



**CAASA**  
CANADIAN ASSOCIATION OF  
ALTERNATIVE STRATEGIES & ASSETS

## CAASA - CANADIAN ASSOCIATION OF ALTERNATIVE STRATEGIES & ASSETS

### *ACGIA – Association Canadienne en Gestion d'Investissements Alternatifs*

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#### NEW MEMBERSHIP APPLICATION FOR SERVICE PROVIDERS & OTHER MEMBERS

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#### **Membership Process**

- 1) Please complete sections 1-8 within the application package.
- 2) Once completed please send a copy of your logo in jpg and eps format along with the final application form to caroline@caasa.ca (subject line: New Membership Application). Credit card details should be provided at this time.\*
- 3) CAASA will then review your application and process payment upon approval.
- 4) Membership will be activated once payment has been charged.

*\*Payment will not be processed until your application has been approved. You will then receive an email notification and receipt for the charge.*

*\*\*Please note that all content within this application will be used only for information purposes to assist us with tailoring our offering and benefits to best fit your needs and determining membership fees. Any information on a specific individual or entity will not be released to those outside of association staff.*



**1) MEMBERSHIP TYPE**

*The undersigned applies for the following membership category:*

**a) Canadian Corporate Member**

- Canadian Corporation
- Canadian Partnership
- Canadian Limited Partnership
- Other Canadian Legal Entity

**b) Associate Member**

- Non-Canadian Corporation
- Non-Canadian Partnership
- Non-Canadian Limited Partnership
- Other Non-Canadian Legal Entity

**2) SERVICE PROVIDER TYPE**

*Please indicate the entity's primary service category.*

- Legal
- Fund Administration
- Accounting
- Audit
- Consulting
- Exchange
- Prime Brokerage (please specify offering) \_\_\_\_\_
- Non-Canadian Entity (please specify) \_\_\_\_\_
- Other (please specify) \_\_\_\_\_

**3) ENTITY CONTACT DETAILS**

<b>Entity Name:</b>	
<b>General Entity Email:</b>	
<b>Office Number:</b>	
<b>Main Office Address:</b>	
<b>Entity Website:</b>	



**Key Membership Contact**

*Please indicate the primary contact for membership and billing.*

<b>Full Name:</b>	
<b>Email:</b>	
<b>Title:</b>	
<b>MIGs of interest:</b>	

**4) ADDITIONAL CONTACTS & MEMBER INITIATIVE GROUPS (MIGs)**

*All employees of a member are eligible to receive member benefits. Please attach an additional sheet if you would like to add contacts beyond the below personnel.*

**Primary Marketing & Events Personnel:**

*Please indicate if the same as the key contact, CIO etc.*

<b>Full Name:</b>	
<b>Email:</b>	
<b>Title:</b>	
<b>MIGs* of interest:</b>	

**Primary Sales & Business Development Personnel:**

*Please indicate if the same as the key contact etc.*

<b>Full Name:</b>	
<b>Email:</b>	
<b>Title:</b>	
<b>MIGs of interest:</b>	



**Additional Contact:**

<b>Full Name:</b>	
<b>Email:</b>	
<b>Title:</b>	
<b>MIGs of interest:</b>	

**Additional Contact:**

<b>Full Name:</b>	
<b>Email:</b>	
<b>Title:</b>	
<b>MIGs of interest:</b>	

**5) OFFICE LOCATIONS**

*Please indicate additional locations in which your entity has an office or representative.*

Toronto       Montréal       Vancouver       Calgary

Other (within Canada): \_\_\_\_\_

Other (outside Canada): \_\_\_\_\_

**6) SOURCE OF APPLICATION**

*Please indicate how you heard of the CAASA membership.*

CAASA staff       Referral by existing CAASA member

Conference       Online (website, LinkedIn etc.)

Other (please specify) \_\_\_\_\_



**7) AREAS OF INTEREST WITHIN MEMBERSHIP**

- |   |  |
|---|--|
| <input type="checkbox"/> Networking with Peers                    | <input type="checkbox"/> Marketing - Central Canada (ON, QC)           |
| <input type="checkbox"/> MIGs / Peer Groups                       | <input type="checkbox"/> Marketing - Prairie Provinces (MB, SK, AB)    |
| <input type="checkbox"/> Education / Research                     | <input type="checkbox"/> Marketing - West Coast (BC)                   |
| <input type="checkbox"/> Compliance / Operations.                 | <input type="checkbox"/> Marketing - Atlantic Region (NB, NL, NS, PEI) |
| <input type="checkbox"/> Regulatory / Legal                       | <input type="checkbox"/> Marketing - USA                               |
| <input type="checkbox"/> Marketing - Other (please specify) _____ |  |
| <input type="checkbox"/> Other (please specify) _____             |  |

**8) MEMBERSHIP AUTHORIZATION & PAYMENT INFORMATION**

*Membership fees are based on the schedule below (page 6 of the application).*

*My signature below confirms that all information within this application form is true. I confirm that the entity is either duly registered in at least one recognized jurisdiction, in the process of registration or is exempt from registration. Further, upon approval of membership, I confirm that the entity will abide by the association by-laws.*

*I/We consent to receiving commercial electronic messages from the Canadian Association of Alternative Strategies and Assets (“CAASA”), Suite 2500, 120 Adelaide Street West, Toronto, ON M5H 1T1 (647-953-0737 / caasa.ca / caroline@caasa.ca), including news, updates, promotions, offers and information from and about CAASA products and services. I acknowledge I withdraw my consent at any time by unsubscribing using the links provided in those electronic messages, or by contacting CAASA as above.*

<b>Name on card:</b>	
<b>Card type:</b>	
<b>Credit card number:</b>	
<b>Expiry date (mm/yy):</b>	
<b>Billing address:</b>	
<b>Amount to be charged:</b>	
<b>Name of signatory:</b>	
<b>Authorized signature:</b>	
<b>Date:</b>	

\*Please see Appendix for sample MIG names



9) APPENDIX

a) Fee schedule:

**Asset Managers (in CAD Millions)**

AUM	<\$50	\$50-\$100	\$100-500	\$500-\$1,000	>\$1,000	Long-only
Subtotal	\$1,200	\$2,000	\$3,000	\$4,000	\$5,000	\$1,200

**Other Members**

Type	Prime Brokers, Legal, Admin & Audit	Wealth Managers	Other Consultants, Exchanges	Family Offices, Institutions & Investment Dealers
Subtotal	\$5,000	\$3,000	\$2,000	\$500

*Employees of Family Offices, Institutional Investors, and Wealth Managers, as approved by CAASA, may register individuals as members for \$150 plus applicable taxes per year.*

*\*The forgoing annual membership fees are subject to yearly change as determined by the Board of Directors and will be charged the applicable tax rate.*

**b) Proposed Member Initiative Groups (MIGs):**

*More details and groups to follow as we continue to collect member input and constitute our groups.*

Crypto-currency & Fintech / Digital Assets Group  
Marketing & Communications Group  
Peer Connect Group  
Regulatory & Legal Group  
Compliance & Operations Group  
Good Governance Group (3G)

Robo-Advisor Group  
Alberta Group  
British Columbia Group  
Québec Group