

CAASA Family Office Summit 2024

Presented by: the Canadian Association of Alternative Strategies & Assets



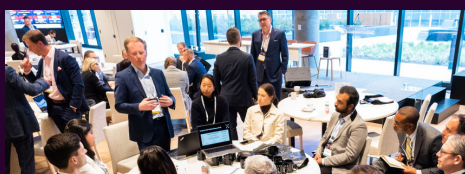
**CANADIAN
ASSOCIATION OF
ALTERNATIVE
STRATEGIES
& ASSETS**

Tuesday, April 9th & Wednesday, April 10th

Program sponsor:



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ABOUT CAASA

INCLUSIVE, ACTIVE, AND PAN-ALTERNATIVE

The Canadian Association of Alternative Strategies & Assets (CAASA) was created in response to industry requests for a national group to represent the Canadian alternative investment participants, including investors, asset managers, and service providers. CAASA is **inclusive** in that it welcomes participation from all companies active in the space as well as select individuals (those with investors) who might want to participate in committees and working groups - or simply attend member events - without their employer being a member of the association. CAASA is very **active** in both committees & groups and events: 65 webinars in 2021 and at least 70 planned in 2022 + 4-6 full-scale conferences each year. **Pan-alternative**, for CAASA, encompasses all alternative strategies and assets including: hedge funds / alternative trading strategies, private and public real estate (funds and direct), private lending, private equity, development & project finance, digital assets / cryptoassets, weather derivatives & cat bonds, and all aspects of diligence, trading, structuring, dealing, and monitoring alternatives in a stand-alone portfolio and as part of a larger investment strategy.

MEMBER BENEFITS

Investors join CAASA to be a part of a formal network of pension plans, foundations, endowments, sovereign wealth funds, and family offices to discuss ideas, strategies, and operational issues particular to their businesses - all within a closed group where managers and service providers may or may not be included, depending on the forum.

Managers see the association as a way to connect with peers, investors, and service providers to speak to fund structuring, sales & marketing, and regulatory issues. CAASA is not a capital introduction platform, but we do create forums where investors and managers can meet organically or via structured meeting sessions where participation by the investors is strictly opt-in.

Service providers participate in our events and working groups as well as assist in the production of thought leadership pieces which provide relevant information to both association members and the industry and investing public at large.

Founders participate in our Founders' Pitch Competitions as well as other initiatives created to propel their fledgling businesses forward.

NATIONAL AND GLOBAL

CAASA believes that the Canadian alternatives industry has a great deal to offer Canadians and the global community. The *Canadian Model of Pension Management* is well-known for its large alternatives focus, managed in-house in many cases with substantial allocations to external managers as well. Canadian investment managers operate in a robust regulatory regime (of hedge fund managers) that is becoming the norm across the globe and a stable banking back-drop that provides solace for investors as well as opportunities for managers. Talent in investment management (approximately 10% of all CFA charterholders reside in Canada) as well as newer areas such as digital assets and robo-advisory services are a differentiator. Of course, Canadian investors and managers are keen to learn of best practices in operations and portfolio management from their global peers.

WHY JOIN?

As mentioned, we have attracted 400+ members over the last 6 years or so and the reasons are plentiful and varied. Whether an investor, manager, or service provider, some seek a group of like-minded individuals in the alts space to connect with on an on-going and (semi-)structured basis; others have a more commercial interest in that they are intent upon being aware of the newest trends in the industry and (providing they have one) presenting their knowledge to an audience of peers; and others have made their mark (or are on their way to doing so) and really just want to give back to a group of people that has done a great deal for them.

Whatever your motivation, if you're not in membership yet we'd love to hear from you!



WELCOME TO OUR IN-DEPTH LOOK INTO FAMILY OFFICE ISSUES

The CAASA Family Office Summit brings together speakers and participants from single and multi-family offices, investment dealers, Canadian and global investment management houses, and affiliated service providers. Panels and break-out sessions will focus on key issues facing family offices in Canada and elsewhere including structuring, legal & tax issues, IT and operational areas, and investments - including: hedge funds, CTAs, private equity, private lending, real estate, infrastructure, and crypto-assets/blockchain-related investments. All content is subject to Chatham House Rule.

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FORMATS FOR THE DAYS

A PLETHORA OF WAYS TO LEARN AND INTERACT

TÊTE-À-TÊTE MEETINGS

This is your opportunity to schedule one-on-one meetings with any conference delegate. All matching is best completed by end of day on Monday, April 8th to ensure the scheduling software has time to confirm the meetings and each one is served up to you at the right time.

You can schedule as few or as many meetings as they might like. Over the years we received feedback that these are possibly the best part of our conferences as it gives folks an opportunity to connect away from the scheduled panels and meal times and really drill down into their respective interests. The software automatically suggests times that are mutually convenient for both parties.

Anyone can book a meeting with any other delegate. Please decline meetings that you might not be interested in or able to commit too - then the other party can invite another to chat. And if you are interested, you’re free to connect via our messenger or email (we do not release delegate emails or other contact information, so you’ll have to ask for it or send yours along to them and await a response).

All meetings will be scheduled via our conference app and web portal. Meetings are best booked by noon on Monday, April 8th to both parties accept the meeting. Delegates are free to have ad hoc chats as well, of course, during the course of the conference at the venue.

TABLE TALKS

We do not sell paid-for speaking spots, preferring delegates to choose when they might like to hear from sponsors. Table Talks are 25-minute sessions where anywhere from 2 to 20 delegates might receive your briefing on a topic and participate in an interactive discussion. As with all of our events, we refrain from pay-to-play and draw all speakers from membership and prefer audiences to opt-in to more niche discussions, as will be delivered at the Table Talks.

Attendees can choose up to two sessions out of those offered on the Main Day (April 10). **Titles/topics of each session will be published on our conference app and web portal, also where attendees will register for their desired sessions. This should be completed by noon on Monday, April 8th to ensure a table is not fully-booked, or delegates can simply sit at any of the open tables at those times.**

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TUESDAY, APRIL 9TH AGENDA

3:00 PM	<i>Special sessions exclusively for SFO relatives & professionals from 3-5PM</i>
3:00 PM	Single Family Office Roundtable (Relatives Only) Robert Montgomery , First Maximilian Associates Craig D’Cruze , Inverted Ventures Shael Soberano , Sharno Group <i>Life as the principal of a family office can be exciting, inspirational, and busy, while at the same time worrisome, stressful, and draining. We provide this (strictly!) family members only session as an opportunity to meet with your peers and share questions, concerns, solutions, and insights across the table. We have nominated three leaders/instigators to get things going and guarantee that all will find the evening illuminating and fun.</i>
3:00 PM	Single Family Office Roundtable (Professionals Only) Drew Colaiezzi , McPike Global Family Office Scott Morrison , Wealhouse Asset Management Dan Rivero , Jesselton Capital Management <i>Working in a single family office is not your typical investment management job – you gain the benefit of a sometimes extremely flat organizational structure and many find the spectrum of duties and tasks to be (very) intellectually stimulating. On the other hand, it can be isolating and difficult to keep up with the pace of work: each family has its own dynamic and cadence so we created this session where (strictly!) full time employees (not relatives) of SFOs can interact with their peers to get the most out of their roles.</i>
5:00 PM	Welcome Reception (All Delegates) <i>A special reception for all our delegates attending the Summit.</i>
7:00 PM	<i>End of Day</i>

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EXCLUSIVE SFO SESSIONS

TUESDAY, APRIL 9th

EXCLUSIVE SESSION FOR SFO FAMILY MEMBERS

Feedback from previous events guide us in creating future programming, and this session is no different. We have created a time where just the relatives / family members of single family offices can discuss areas of interest to them, lead by a team of three of their peers.

Participants can speak as much as they like, knowing that **ONLY** their peers are with earshot - managers, service providers, and professionals at SFOs (they have their own session) and all others are not a part of this exclusive session.

All three of this year's leaders/instigators bring with them a varied family history and view on investing and interacting with their other relations as their family trees evolve over the years.

Topics on offer include:

- Managing requirements, preferences, and expectations of family members
- Succession planning
- Tips from the trenches: ways their families have exploited arbitrages and structures to make the most of what they have
- Working with internal and external advisors and asset managers
- Estate and will planning issues (and solutions)
- Retaining one's entrepreneurial edge
- Philanthropy & giving



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EXCLUSIVE SFO SESSIONS

TUESDAY, APRIL 9th

EXCLUSIVE SESSIONS FOR SFO PROFESSIONALS

Working in a single family office is different from other areas of the industry and each one is unique in terms of its family dynamics, asset mix, investment and diligence structure and process, and other areas that can make the role a joy. This session is **ONLY** for professionals (no family members nor other persons, including sponsors) at single family offices in a full-time capacity.

Your discussion leaders have a wealth of experience in the space.

Topics on offer include:

- Working for a family/patriarch/matriarch
- Making the most of your career with an SFO
- Engaging with external advisors and other professionals
- Clubbing deals and co-investments (with other families)
- Survey of family office structures: high/low touch/interaction, G1/2/3/4/5...
- Non-typical services/tasks performed by SFO professionals
- Integrating family values/philosophy into investment decisions
- Supporting family philanthropy

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ONE MORE
TBD

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WEDNESDAY, APRIL 10TH AGENDA

8:00 AM	<i>Registration & Breakfast (All Delegates)</i>
8:30 AM	<p>Operating Company vs. Portfolio Investments Enzo Gabrielli, Horizon Capital Holdings Scott Morrison, Wealhouse Capital Management Ryan Perillo, KF Matheson Holdings</p> <p><i>Family offices come in two basic flavours: ones that continue to hold the operating company that founded it, and ones that are holding other, portfolio, investments subsequent to the sale. Each one has a distinct dynamic based on the legacy investment, relationships, and relationships to the legacy investment. This panel will discuss the pros and cons of having this ‘founding asset’ on the books throughout successive generations vs. a situation where the investment mix is that of a newer, usually more diversified sort. It features three stages of this situation: a family office with its operating company, one transitioning to portfolio investments, and one that has done so for some time. A truly singular experience for our audience!</i></p>
9:15 AM	<p>Three Shades of Staking GPs Richard Heller, Cooper Family Office Vishnu Amble, GreenBear Group LLC Alison Maschmeyer, Pinnacle Investment Management</p> <p><i>There’s nothing like the focus afforded a new venture – plus the commensurate risk of ruin that might come to pass if the company is not run well or simply doesn’t achieve the scale required to continue as a going concern. As well, hitting one’s stride and capitalizing on hard work is paramount to leaping to the next stage. This panel will impart practical wisdom from three single family offices who invest in more start-up and emerging managers – great information for managers and investors alike.</i></p>
10:00 AM	<p>New Thinking About Commodities: Paths to profit Rahim Kassim-Lakha, Blue Sail Capital, Inc. Eric Nuttall, Ninepoint Partners Matt Zabloski, Delbrook Capital Advisors</p> <p><i>Inflation and the price of everything has been in the news a great deal for the last many month – or even decades! – as investors have taken note of how asset values can exceed even the most wild predictions. As well, price crashes occur from time to time in all assets; an inevitable consequence of over-valuation and a phenomenon not unique to today’s markets: prices have peaked and troughed for time immemorial. This panel will put forth tangible investment ideas that one can use to make the most of both manic and depressed markets as well as a frame of reference for knowing which phase one might be in.</i></p>

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WEDNESDAY, APRIL 10TH AGENDA



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*MGG previously maintained an office location in Los Angeles. Although MGG does not currently maintain this office, the firm remains active in the Los Angeles market through deal origination activities by its San Francisco and New York offices.

<p>10:30 AM</p>	<p><i>Tête-à-tête meetings (4 x 15-minute 1:1 meetings) & Morning Refresher</i></p> <p><i>Join the conversation! Foster knowledge-sharing and build connections between attendees through these opt-in 1:1 meetings.</i></p> <p><i>You can begin to book your meetings on our event app, starting April 2, 2023</i></p>
<p>11:30 AM</p>	<p>The Power of Quant: Harnessing data for returns Vincent Zhou, RedJay Asset Management Harry Moore, Man AHL Steve Shepherd, Capital Fund Management</p> <p><i>Hedge funds (aka alternative strategies) have, at their core, mathematical principals such as correlation (shorting reduces a portfolio's correlation and many strategies have low correlations between each other and among their constituent managers) and optionality (either the trades or instruments employed can produce an advantageous payoff formula). With advances in computational power, many (perhaps all) managers are employing quantitative methods to source trades, model their behaviour in various markets, entering and exiting trades, monitoring risk, and enhancing their understanding of the markets overall. This panel will discuss all this and more!</i></p>
<p>NOON</p>	<p>Emerging Markets as an Investment Destination TBD Philippe Carpentier, Metori Capital Management Aaron Stern, Converium Capital</p> <p><i>Prior to the turn of the century, emerging markets were the darling of investor portfolios – their potential was unmatched, access was becoming easier, and corporate governance seemed to be (finally) getting up to where investors expected. The deluge of cash into these markets pushed them to stratospheric levels and the subsequent crashes in the late 1990s were the stuff of legend. Investors, once (more) burned turned to TMT for their high-octane fix (with perhaps anticipated results).</i></p> <p><i>Over the last couple of decades, the trends which had begun back then have taken hold in many countries – this provides opportunity to invest with the potential to make money for investors thanks to persistent inefficiencies in some markets that can continue to be exploited. Learn about these benefits during this panel!</i></p>



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WEDNESDAY, APRIL 10TH AGENDA

12:30 PM	Lunch is served
1:00 PM	<p>Lunch & Keynote Fireside: Being Direct Nancy Bertrand, Citi Private Bank Josh Roach, Meritage Group LLP</p> <p><i>Family offices have a multitude of investment opportunities via various vehicles and structures: funds, FoFs, direct, SMAs, and joint ventures to name a few. This fireside will focus on direct investments and how one family office is managing their deal sourcing, transaction process, operational oversight and maintenance, and divesture of a number of situations over the years. Joined by a multi-family office with a dedicated Direct Investment Program, they will speak to how families make use of these types of programs and take a holistic look at managing one's portfolio – along with constraints that may be present based on the family's composition. (E.g., having less or more of a leaning toward direct investments and/or certain market sectors based on where they made their money or continue to have legacy assets and expertise.)</i></p> <p><i>This is a rare glimpse at how these speakers make the most of directs and a great opportunity to add your question to the mix!</i></p>
1:45 PM	<p>Hosted table talks:</p> <p>Asset Management One – Quantitative Strategies & Dynamic Tilting Wilshire – An introduction to Wilshire Alternatives Capabilities iCapital – Bringing World Class Alternative to Wealth Channels HGC Investment Management – M&A Opportunity Set and Outlook Hazelview Investments – The Real Estate Asset Class & Family Offices: Navigating Structure, Access & Scale MGG Investment Group – MGG Partnering w iCapital to Bring 1st US Non-Sponsor Focused Private Debt Fund to Canadian Market Trans-Canada Capital – Come and visit our table for a chat or an intro!</p> <p><i>Choose from 2 x 25-minute roundtable discussions</i></p>
2:45 PM	<p>NowTalk – SFO Vignettes Priyanka Hindocha, Stonehage Fleming</p> <p><i>The world of Family Offices is unique. Stonehage Fleming – the multi family office with over 150 years' experience in supporting families all over the world – undertakes regular research with the families that it serves based on the theme 'wealth strategies for intergenerational success'. They published their latest report in 2023 with the premise that families and wealth creators should focus on the stewardship of not only their financial capital, but also of their social, cultural and intellectual capital – the Four Pillars of Capital. In the firm's experience, each pillar is equally important to the successful transition of wealth and reputation and the creation of an impactful legacy.</i></p>
3:15 PM	Afternoon coffee break



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WEDNESDAY, APRIL 10TH AGENDA

3:30 PM	<p>MFO 2.0 Greg Moore, Richter Family Office Neil Nisker, Our Family Office Stephen Harvey, Grayhawk Wealth</p> <p><i>Just as each SFO is unique, multi-family offices are each run with their own special emphasis on certain planning techniques, investment selections, and suite of overall services. This panel will showcase three prominent Canadian multi-family offices and get their takes on these areas as well as topical trends in the markets and their client base.</i></p>
4:00 PM	<p>Hosted table talks: Richter Family Office – Family Office Investment Trends Waypoint Investment Partners – Asset-Backed Private Credit Solutions for Canadian Families BMO Global Asset Management – Balancing Act: Navigating Liquidity and Risk in the Era of Alternative Investments for Family Offices AGF Capital Partners – Private Credit - Boom or Bust? Demystifying the headlines as we discuss the next leg of opportunities and risks in private credit. Ninepoint Partners LP – Portfolio Diversification Through Alternatives Amur Capital – Real Estate and Mortgage Market Analysis: Trends and Emerging Opportunities Kirkland Capital Group – Private Credit in Micro-Balance Commercial Real Estate</p> <p><i>Choose from 2 x 25-minute roundtable discussions</i></p>
5:00 PM	Closing Reception - open to all delegates
7:00 PM	End of Family Office Summit 2024 Thank you!

FAMILY OFFICE SUMMIT 2024 TABLE TALKS

📅 Wednesday, 10th April 2024
🕒 1:45 PM - 2:45 PM ET

FAMILY OFFICE SUMMIT 2024 TABLE TALKS

📅 Wednesday, 10th April 2024
🕒 4:00 PM - 5:00 PM ET



Mark Tower
Executive Director,
Head of Business
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Investor Relations



Anne-Sophie van Royen
Chief Investment
Officer, Quantitative
Strategies

Asset Management One
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Strategies &
Dynamic Tilting**



Athas Kouvaras
Client Relationship
and Development
Manager, Portfolio
Manager

Richter :
Family Office Investment Trends



Vianney Gouny
SVP, Alternative
Client Solution



Scott Glickman
Senior Vice President

Wilshire :
**An introduction to
Wilshire
Alternatives
Capabilities**



David Hodgson
President

Waypoint Investment Partners :
**Asset-Backed Private Credit
Solutions for Canadian Families**



Tom Johnston
Managing Director
and Canadian Market
Head

iCapital :
**Bringing World Class Alternative
to Wealth Channels**



Daniel Stanley
Director, ETF
Distribution,
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BMO Global Asset Management :
**Balancing Act: Navigating Liquidity
and Risk in the Era of Alternative
Investments for Family Offices**



Brett Lindros
President

HGC Investment Management Inc. :
M&A Opportunity Set and Outlook




Chris Gesualdi
Principal, Client
Solutions &
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Meaghan Kelly
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Kieran Young
Co-Head,
Partnership
Office Team



Roger Poirier
Co-Head,
Partnership
Office Team

Hazelview Investments :
**The Real Estate Asset
Class & Family Offices:
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Charles Dixon
Vice President,
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Arif Mulji
Chief Executive Officer

Amur Capital :
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Brock Freeman
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Rahul Khasgiwale
VP, Head of Canadian
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At the suggestion of one of our single family office members, we partnered with **SigmaSandbox** to create a dataroom to provide a more robust experience for managers and investors by getting performance and other information into the hands of prospective investors. We believe this free offering, exclusive to CAASA members, can be used in advance of the conference, during it, and afterward as much as one might like.

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FOR MANAGERS

Uploading your performance and other information gets you on the radar of delegates at the conference and, as **SigmaSandbox** and CAASA will be making this available to more than 1,000 endowments, other significant investors, and wealth managers across North America and around the world with our ongoing events and those held in concert with other associations and the Government of Canada. It is simple and easy to do so and sets your fund apart with the opportunity to have many investors see how well you manage funds entrusted with you.



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Picton Long Short Equity Fund F 201

Contact Information

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Monthly Performance

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	YTD	YTD Benchmark
2022	-2.71%	0.51%	3.25%	-3.70%	-0.92%	-5.52%	4.82%	-0.21%	N/A	N/A	N/A	N/A	-4.80%	0.00%
2021	-1.59%	6.40%	-1.18%	4.32%	1.57%	1.61%	0.59%	1.60%	-2.00%	4.57%	-3.42%	2.69%	15.72%	0.00%
2020	1.17%	-3.11%	-10.75%	9.81%	4.67%	2.90%	5.15%	2.94%	0.33%	-0.04%	7.55%	4.11%	25.77%	0.00%
2019	5.29%	2.42%	1.13%	1.80%	1.52%	0.61%	0.76%	1.91%	-0.98%	-0.28%	1.84%	0.03%	17.13%	0.00%
2018	0.44%	-0.26%	-0.55%	0.07%	3.53%	1.04%	0.38%	0.37%	0.17%	-4.65%	-0.98%	-3.21%	-3.82%	0.00%
2017	0.61%	-0.02%	2.41%	-0.09%	1.22%	-0.86%	-1.77%	1.79%	1.32%	1.85%	0.71%	0.69%	8.07%	0.00%
2016	-3.75%	-0.56%	3.53%	1.39%	0.32%	-1.34%	2.90%	1.05%	2.40%	-0.87%	1.54%	0.48%	7.08%	0.00%
2015	-0.76%	3.06%	0.81%	-0.23%	1.12%	-1.39%	1.67%	-4.21%	-1.85%	1.55%	0.75%	-1.22%	-0.91%	0.00%
2014	-0.27%	2.64%	0.05%	1.01%	0.03%	2.38%	-0.23%	0.89%	-2.79%	-1.08%	1.27%	0.00%	3.85%	0.00%

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SPEAKER BIOGRAPHIES

Thank you to our many speakers, presenters, and those who added their themes and topics, panel and non-industry speaker ideas, and other invaluable input!

SPEAKER BIOGRAPHIES

Nancy Bertrand

Managing Director & Head of Citi Private Bank, Canada
Citi Private Bank



Nancy Hoi Bertrand is Managing Director and Head of Citi Private Bank, Canada. In this role, she is responsible for the growth of the business in Canada, which serves and advises ultra-high net worth families, foundations and single-family offices on wealth preservation and creation, with a particular focus on alternative and international investments.

Having originally joined Citi Private Bank in 2006, Nancy has over 20 years of capital markets experience. Prior to Citi, she practiced U.S. securities law at the global law firm, Shearman & Sterling LLP, specializing in cross-border corporate finance and mergers and acquisitions.

Nancy sits on the board of the Golf Association of Ontario and is Past-President of the Toronto CFA Society and former Chair of its Governance and Nominations Committee. She was a member of the CFA Institute's Capital Markets Policy Committee and a member of CFA Institute's Global Corporate Governance Task Force and received her Chartered Financial Analyst designation in 2002.

Nancy is a graduate in Business Administration (Dean's List) from the Richard Ivey School of Business at Western University and a Bachelor of Laws (great distinction) from McGill University. She has been called to the bars of the State of New York, the Commonwealth of Massachusetts and the Province of Ontario. She previously sat on the cabinet of the Royal Ontario Museum's Young Patrons' Circle, speaks Mandarin, Cantonese, and French, is married and raising a teenage daughter.

Tec Han

Chief Investment Officer
Vibrato Capital LLC



Tec is the Chief Investment Officer of Vibrato Capital LLC, a single family private investment office that oversees both tax-exempt and taxable portfolios. Prior to Vibrato Capital, Tec was a senior analyst for Clark Enterprises, the National Railroad Retirement Investment Trust, and Cambridge Associates. Mr. Han graduated from Vassar College with a B.A. in Economics and earned his M.B.A from the Johnson School of Management at Cornell University and the Smith School of Business at Queen's University through the combined EMBA Americas Program.

Philippe Carpentier

Senior Fund Manager
Metori Capital Management



Philippe has been a quantitative fund manager at Lyxor since 2013, specialized in smart beta and quantitative fixed income. Prior to this, Philippe has been a quantitative researcher for the derivatives division of Société Générale from 2004 to 2013, applying his expertise to various asset classes. He started his career in 2003 at HSBC as a quant analyst in the model validation team. Philippe holds engineering degrees from Ecole Polytechnique and ENSAE, and a Master degree in applied mathematics from the University Paris VI (Jussieu).

SPEAKER BIOGRAPHIES

Drew Colaiezzi

Private Investments
McPike Global Family Office



Drew Colaiezzi is a private equity/venture capital specialist & investment manager with a background in capital markets focused on securitizations, specialty credit products, fixed income asset-back warehouses, financing consultancy, investment strategies & management, investment relationship structures, and early-stage venture.

After working in NYC and Miami for various private equity firms, he moved internationally and currently sits on the investment committee for MGFO, a single-family office based out of the Bahamas with a large venture portfolio containing exposure to various industries with a strong focus on early stage opportunities in financials, tech, fintech, life sciences, the carbon economy, venture funds, co investment opportunities, and web3/crypto based assets.

Craig D'Cruze

Chief Operating Officer
Inverted Ventures



Craig D'Cruze is a multi-faceted entrepreneur with an outstanding track record analyzing and operating large businesses.

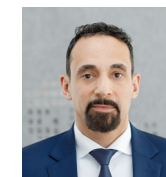
As the Executive Chef of the University of Calgary, Craig oversaw their conferences and multiple restaurant operations. Before the University of Calgary, Craig was the Executive Sous Chef for Marriott Hotels; Craig was flown around North America to lead positive changes in F&B operations in other Marriott properties underperforming in food cost, labour controls, food quality, staff engagement and retention. Craig successfully built, coached, counseled, and maintained teams of over 100 people.

Craig's exceptional natural skills in mathematics, research, and pattern analysis make him perfect to lead Inverted Group as the Chief Operating Officer. In 2020, Craig joined Inverted Ventures to lead Inverted's Due Diligence of both public and private market investments.

Craig's outstanding performance and proven track record of success led to his establishment of Inverted Group's Venture Capital Business, which he continues to lead with great success to this day.

Enzo Gabrielli

Executive Vice President & Chief Financial Officer
Horizon Capital Holdings



Enzo leads the family office of the Right Honourable Paul Martin. Enzo is a corporate executive of the holding company, Bromart Holdings Inc. Its main operating company is CSL Group (Canada Steamship Lines) which is the world's largest commercial manager of self-unloading vessels. Bromart also holds Horizon Capital Holdings, a broad-based investment vehicle and management organization. Horizon's primarily makes direct investments in private companies, with an intent to buy and hold for expansion and growth. Enzo is President of Horizon Real Estate Ltd, which holds various real estate investments in North America.

SPEAKER BIOGRAPHIES

Stephen Harvey
Chief Investment Officer
Grayhawk Wealth



Stephen Harvey is the Chief Investment Officer of Grayhawk and manages the investment management functions at the firm. Stephen has over 20 years investing experience with roles in Toronto, London and New York. Most recently Stephen was the Chief Investment Officer of Titan Advisors, where he managed the investment committee and investment team. Prior to that he was a Partner at Saguenay Strathmore Capital, where he focused on risk management and portfolio construction. He started his career at the Ontario Teachers' Pension Plan within their risk management group.

Priyanka Hindocha
Director - Family Office
Stonehage Fleming



Priyanka is a Director in the London based Family Office department of the multi-family office Stonehage Fleming. She supports high net worth families and entrepreneurs around the world with the strategic coordination of their affairs, with a particular focus on the development of governance and succession plans that give families the best chance of sustainable, intergenerational success. Working closely with Matthew Fleming (a fifth generation Fleming family member), she supports families with a process of articulating a purpose for their wealth as well as identifying risks to the successful preservation of the family and its wealth, ahead of implementing strategies to mitigate against those risks. Her responsibilities also include supporting the next generation of family members manage the responsibilities associated the privilege of significant wealth via mentoring, coaching and bespoke educational sessions

Prior to joining the Group, Priyanka was part of the Private Client Tax practice at Deloitte, focussed on international tax planning for high net worth individuals, families and their offshore structures. She holds a first class BA (Hons) degree in Modern Languages (French & Italian) from University College London and is a qualified Chartered Accountant (ACA) and Tax Adviser (CTA). In 2023 she was the winner of the Future Leader in Private Client Services category at the Spear's Magazine Awards and was included in Citywealth's NextGen Leaders Top 40 Under 40 List.

Rahim Kassim-Lakha
Founder & Principal
Blue Sail Capital, Inc.



Founder & Principal, Blue Sail Capital, Inc. | Principal, Pyfera Growth Capital Corp. | Strategic Advisor, Max Resource Corporation, Storm Exploration Inc., Workforce Wellness.

Rahim Kassim-Lakha is a respected figure in the realm of financial strategy and investment management, with a distinguished career spanning multiple sectors over +25 years. He is acknowledged for his prudent investment approach, award winning fund manager, insightful advisory roles, and strategic foresight.

SPEAKER BIOGRAPHIES

Alison Maschmeyer
Managing Director, Americas
Pinnacle Investment Management Group Limited



Throughout her 20-year career in the financial services industry, Alison Maschmeyer has focused on providing highly customized solutions to assist entrepreneurs in starting and growing their businesses. She has worked with hundreds of start-ups across the financial services, craft alcohol, and technology sectors across the globe, taking a very hands-on approach to crafting creative marketing and capital raising campaigns surrounding the launch of a new business, product or initiative. Alison is currently the Managing Director of the Americas for Pinnacle Investment Management Group, a global multi-affiliate.

Prior to Pinnacle, she was the Managing Principal of Global Capital Advisors Group LLC, a founding member of hedge fund start-up Roc Capital, and also worked for BNP Paribas, Bank of America and Deutsche Bank in New York and London. She has also lent her expertise in fundraising and creative marketing to an array of international and local non-profit organizations.

Alison holds a Bachelor's Degree from Fordham University and her Master's in Business Administration from Columbia Business School. Alison joined the Board of Trustees in 2023.

Robert Montgomery
Chief Executive Officer
First Maximilian Associates



Robert Montgomery is an investor and entrepreneur focused on the digital media, entertainment, gaming and technology industries. For many years, Mr. Montgomery has been an executive and advisor for media, communications and technology companies and the investment community. He currently serves as the CEO of First Maximilian Associates Inc., his own family investment and advisory firm. He also serves as Chairman of GameCo Inc.. Mr. Montgomery was previously owner and CEO of Achilles Media, an events and transaction facilitation company focused on the entertainment, technology and investment industries. Mr. Montgomery's prior positions include: Co-Founder and Partner in Achilles Partners; President, Americas at the Fantastic Corporation; Co-Founder and Chairman of Media Content plc in London; Managing Director for Europe and Asia Pacific at communications research and consulting firm Kagan World Media; co-founder of Digital Media International; Managing Director at Euromoney plc, and Managing Director at IBC plc.

Mr. Montgomery is a graduate of the University of Manitoba, Canada. Active in the community, Mr. Montgomery is Past Chair of the Board of Governors of the OCAD University.

SPEAKER BIOGRAPHIES

Greg Moore
Partner & Portfolio Manager
Ricter Family Office



Accomplished, driven, yet known for his kindness, Greg has big ideas that match the scope of his ambition. A great people connector he loves to build durable, effective business relationships. His enthusiastic nature means that he is ready to jump whole heartedly into every project he takes on.

Greg is a seasoned wealth management professional with almost 30 years' experience in global financial markets, working with individuals, institutions, and family offices. Greg brings a unique, holistic approach to wealth management and works with professionals in a segment of the market that sees value in working alongside an independent, trusted advisor as part of their overarching wealth management solution.

As a Family Enterprise Advisor (FEA), Greg works with families to help them navigate the complexities of wealth and legacy transmission. Bringing together both a technical skill set, as well as a deep understanding of relational family and enterprise strategy issues, he strives to help families address complex problems through open communication and trust.

In addition to his business development and relationship management roles, Greg is actively involved in ongoing investment manager due diligence and manager selection to ensure that RFO clients continue to receive access to unique, best in class investment solutions. Bringing a thoughtful approach to asset allocation and portfolio construction, Greg works closely with families to help them bridge the gap between a complex investment landscape and their own unique investment goals.

Harry Moore
Senior Client Portfolio Manager
Man AHL



Harry Moore is a Senior Client Portfolio Manager at Man AHL with principal responsibility for investor engagement, new product development, and communication of Man AHL's strategies to clients.

Prior to joining Man AHL in 2019, Harry worked as a hedge fund analyst at Mercer, constructing fund of hedge fund portfolios across a broad mix of systematic and discretionary investment styles. Prior to that, he was an actuarial analyst focused on liability-driven investments and de-risking strategies for institutional clients. Before moving to focus on investments, Harry worked at Willis Towers Watson as a student actuary, managing liability models for pension schemes.

Harry holds a BSc (hons) in Economics from the University of Birmingham, is a Fellow of the Institute and Faculty of Actuaries ('FIA') and holds the Chartered Alternative Investment Analyst ('CAIA') qualification.

SPEAKER BIOGRAPHIES

Scott Morrison
Chief Investment Officer
Wealhouse Asset Management



Scott Morrison is the Chief Investment Officer of Wealhouse Capital Management, a privately-owned investment firm and family office. As the firm's founder, Scott is responsible for overseeing Wealhouse's various strategies across asset classes, as well as the firm's private equity portfolio and real estate holdings. Outside of Wealhouse, Scott serves on the investment committee of the Centre for International Governance Innovation (CIGI), where he advises on investment decisions for CIGI's endowment fund. Scott has over 25 years of asset management experience. Prior to Wealhouse, Scott spearheaded the portfolio management for notable firms such as Mackenzie Investments, CI Funds, and Investors Group. Scott holds a Bachelor of Finance from Concordia University and is a CFA Charterholder.

Neil Nisker
Co-Founder, CIO & Executive Chairman
Our Family Office



With investment management and wealth advisory experience dating back to 1972, Our Family Office's Co-Founder, Executive Chairman and CIO enjoys a well-deserved reputation as a trusted and respected figure in the Canadian financial services industry. His keen intellect and deep expertise make him particularly well-qualified to revolutionize the shared family office segment in Canada and grow Our Family Office into the market-leading service provider and partner to the nation's wealthiest families. Highlights of Neil's career to date include:

- Fiera Capital Corporation: Neil was active with Fiera Capital Corporation, one of Canada's largest investment managers, from 2006 to 2014, serving as the President of Fiera Private Wealth and as the company's Executive Vice Chairman and a member of the company's board of directors and governance committee.
- YMG Capital Management Inc.: Neil served as President of the YMG Private Wealth Management division from 2000 to 2006. Fiera Capital Corporation purchased YMG Capital Management in 2006.
- Nisker Associates, Strategic Wealth Management: From 1997 to 2000, Neil was the Chairman of Nisker Associates, Strategic Wealth Management, a Canadian registered investment counselling firm. It was acquired by YMG Capital Management in 2000.
- Best Investments International Inc.: In 1990, Sir John Templeton selected Neil to be one of the three managers of Best Investments International Inc., a global equity mutual fund he owned. Neil held this position until the fund was closed in 2000.
- Brown Baldwin Nisker Ltd.: For more than 25 years, Neil was a driving force behind Brown Baldwin Nisker. Through his diligence, the firm grew into one of the premier institutional brokerages in Canada. It was sold to HSBC Securities in 1988.
- In addition, Neil has been the President of Privatech Investments Inc., an investment company headquartered in Toronto, Canada since 1994.

Volunteerism and Philanthropy Neil has been extensively involved in philanthropy and a range of activities, including acting as:

- Co-Chair of the UJA Federation of Greater Toronto, Annual Campaign
- Co-Chair of the Baycrest Centre for Geriatric Care, Campaign
- Vice-Chair of the Mount Sinai Hospital Foundation and Chair of the Investment Committee
- Chairman of The Jewish Foundation of Greater Toronto and Chair of the Investment Committee
- Co-Chair of the 2011 JFNA Investment Institute in Palm Beach, Florida

SPEAKER BIOGRAPHIES

Eric Nuttall
Partner, Senior Portfolio Manager
Ninepoint Partners

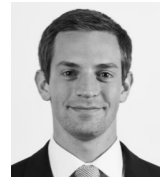


Eric Nuttall is a Partner and Senior Portfolio Manager with Ninepoint Partners LP. He joined the firm in August 2017 and was previously a Portfolio Manager at Sprott Asset Management LP since February 2003.

Eric's views on energy are frequently sought after by BNN Bloomberg, CNBC, The Globe and Mail, The National Post, and other media organizations.

Eric graduated with High Honours from Carleton University with an Honours Bachelor of International Business.

Ryan Perillo
Head of Investments
KF Matheson Investment Holdings



Ryan Perillo is the Head of Investments for KF Matheson (KFM), a private family office for two successful Canadian entrepreneurs and business partners. KFM takes a long-term approach to investing and managing the accumulated wealth and philanthropic assets of the principals. Prior to his current role, Ryan held progressive finance roles within the principal's operating company Baylis Medical, culminating in playing a lead role in its successful 2021 cardiology division sale to Boston Scientific (BSX).

Before joining Baylis Medical, Ryan started his career in the financial services group at PwC, and went on to join a newly launched value investment fund as their second employee in a CCO/finance/operations role, which grew to ~\$60m of AUM over his time with the firm.

Ryan holds a BBA from Lazaridis School of Business and Economics at Wilfrid Laurier University, where he graduated With Distinction. He also holds CPA, CA, and CFA designations.

SPEAKER BIOGRAPHIES

Prathna Ramesh
VP Operations
FutureSight



Prathna is an early-stage investor and operator in B2B SaaS companies. She is currently a VP of Operations at FutureSight, a venture builder fund that backs entrepreneurs as an institutional cofounder with capital. She is the former Managing Director of Maple Leaf Angels in Toronto, one of Canada's most active angel networks. She served as GP (General Partner) of the MLA48 pre-seed funds and is currently the Chief Compliance Officer. She is active in the founder community as a business advisor and is an advocate for women in venture.

Dan Riverso
Chief Executive Officer and Chief Investment Officer
Jesselton Capital Management



Dan Riverso is the Chief Executive Officer and Chief Investment Officer at Jesselton Capital Management Inc., which provides a customized, private and confidential platform for the investing needs of high-net-worth individuals and families. Jesselton, based in Toronto, is registered with the Ontario Securities Commission as an Investment Fund Manager and Exempt Market Dealer. Dan has 20 years of experience working with Canada's wealthiest families. Previously, he was Chief Investment Officer of a boutique Toronto-based, multi-family office, Continuum Private Wealth Partners Inc. He began his career at I3 Advisors Inc. (subsequently BNY Mellon Wealth Management, Advisory Services, Inc.), where he was Vice President, Director of Research. Dan is a CFA Charterholder.

Josh Roach
Managing Director
Meritage Group LP



Josh Roach is a trusted peer and long-time member of the SFO (single-family-office) community where, over the last fifteen years, he has created significant social capital through his efforts to build like-minded networks and collaborative models to: (a) merge common interests and objectives, (b) pool and leverage specialized industry knowledge and skill sets, and (c) optimize alignment between asset owners in co-investment vehicles.

SPEAKER BIOGRAPHIES

Steve Shepherd

Head of Canada
Capital Fund Management



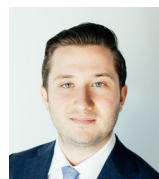
Based in Toronto, Steve is responsible for investors in Canada and East Asia. He joined CFM in 2012 and spent nine years as Head of APAC in Tokyo and Sydney and three subsequent years as Head of APAC with Capstone Investment Advisors.

Prior to CFM, Steve was Senior Portfolio Manager in the hedge fund group at the CPPIB in Toronto, responsible for manager selection, active risk allocation, and portfolio analytics, following a stint as Head of Risk Management at a boutique rates fund, and several years in engineering and technology roles in Canada, Eastern Europe and the Middle East.

His academic background includes electrical engineering, finance and generative linguistics, with Masters degrees from McGill University and the University of Toronto. He is also a graduate member of the Australian Institute of Company Directors (AICD).

Shael Soberano

Chief Investment Officer
Sharno Group



Shael Soberano, CFA, Chief Investment Officer of Sharno Group Inc., brings the most current analytical tools and rigorous discipline to the financial analyses he utilizes in capital allocation decisions. Shael developed and refined his financial expertise as a securities analyst and principal investor across a wide array of alternative investments.

Most recently, Shael Soberano was Vice President, Senior Analyst at Vision Capital Corporation, a leading Toronto-based Hedge Fund manager focused on publicly-traded real estate related securities. Shael first joined Vision Capital as an Analyst in 2009, shortly after its inception. He was promoted to Vice President, Senior Analyst in 2014, and was registered as an Advising Representative with the Ontario Securities Commission in 2017. With a wide range of roles spanning both business development and investment management initiatives, Shael's contributions and leadership were integral in Vision Capital's substantial AUM growth and contributed to its award-winning risk-adjusted performance over that period.

Shael is a CFA Charterholder and completed the Bachelor of Management and Organizational Studies Honours Degree with a Specialization in Finance at the University of Western Ontario. Shael completed the Partners, Directors, and Senior Officers (PDO) Course in 2019 and the Canadian Securities Course (CSC) in 2010, provided by the Canadian Securities Institute (CSI), and attended the SNL Real Estate REIT School in Chicago, Illinois.

SPEAKER BIOGRAPHIES

Aaron Stern

Managing Partner & Chief Investment Officer
Converium Capital



Aaron Stern is a Managing Partner and the Chief Investment Officer of Converium Capital, a multi-strategy opportunistic investment manager headquartered in Montreal. Converium aims to deliver positive and uncorrelated returns regardless of macroeconomic conditions by investing in distressed and event-driven opportunities globally and across the capital structure. Prior to founding the firm, Aaron was a Partner and Managing Director at Fir Tree Partners, a multi-strategy investment firm founded by Jeffrey Tannenbaum. At Fir Tree, Aaron was responsible for distressed, special situations and event-driven investments globally where he successfully employed his contrarian idea selection in new areas for the firm, including sovereign and municipal debt, emerging markets and engagement opportunities in Europe and Asia. He most recently led an innovative restructuring of Puerto Rico's Government Development Bank and a campaign to improve governance and shareholder returns at a recently privatized Japanese railway operator.

Prior to Fir Tree, Aaron held investment roles at O.S.S. Capital Management and Millennium Management. Before Millennium, he was an investment banking analyst in Credit Suisse's Leveraged Finance and Restructuring groups. He earned his bachelor's degree in Commerce, with a Major in Accounting from McGill University, where he is a Global Expert and guest lecturer.

Matt Zabloski

Fund Manager & Chief Investment Officer
Delbrook Capital Advisors



Mr. Zabloski is the Founder and Portfolio Manager at Delbrook Capital Advisors, a firm dedicated to alternative strategies in the global materials sector. Mr. Zabloski has capital markets, investment research and portfolio management experience, beginning with Boston-based Fidelity Management and Research Company where he acted as a Portfolio Manager and Research Analyst. In early 2008, he joined CI Investments to launch the CI Cambridge Advisors series of investment funds. While with CI, Mr. Zabloski managed a growth-oriented portfolio and acted as a Senior Research Analyst for various investment funds. Mr. Zabloski received an Honors BA and an MBA, both from the Richard Ivey School of Business at the University of Western Ontario.

Vincent Zhou

Portfolio Manager – External Manager Selection
RedJay Asset Management



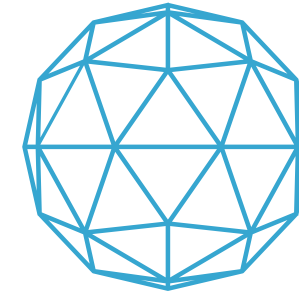
Vincent is a portfolio manager at Redjay Asset Management, a spin-out asset management firm from a Toronto based single family office. As a PM, he's responsible for portfolio construction and manager selection for Redjay's external funds program. Prior to joining Redjay in 2021, Vincent was a director at Alberta Teachers' Retirement Fund where he was responsible for manager selection for the \$2B absolute return program.

Vincent has a Mathematics degree from University of Waterloo. He's also a CFA Charter holder.

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Best Post Trade Technology

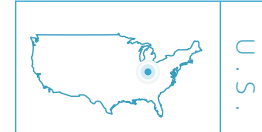
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ASSET CLASSES SUPPORTED

equities, fixed income, commodities/futures, options, foreign exchange, fund-of-funds, cryptocurrencies, real estate, and real assets.

2024 UPCOMING EVENTS

SAVE THE DATE

APRIL
23 THE MAPLE MODEL ON LAC LEMAN
GENEVA

MAY
7 FOCUS ON ALTERNATIVES
CALGARY

MAY 15 JULY 17 SEPT 18 NETWORKING NIGHT
TORONTO

JUNE
17 START-UP ROUND-UP PITCH COMPETITION
TORONTO

JULY
7 CAASA STAMPEDE WHOOP-UP
TORONTO

SEPT
24-25 PRIVATE MARKET FOCUS
TORONTO

SEPT
26 DIGITAL ASSETS DAY
TORONTO

NOV
12-13 CAASA ANNUAL CONFERENCE
MONTREAL

<https://caasa.ca/our-conferences-and-events#upcoming-events>

For more information on CAASA membership, initiatives, and events, please contact:

James Burron, CAIA
Founding Partner

Paul Koonar, CFA
Partner

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