SuperWeek 2023: Private Equity, Venture Capital, & Digital Assets

Presented by: the Canadian Association of Alternative Strategies & Assets

CANADIAN ASSOCIATION OF ALTERNATIVE STRATEGIES & ASSETS

Program Sponsor:

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WELCOME TO SUPERWEEK 2023!

We have been active in all areas of alternative investments for many years and are proud to bring to the fore traditional alts such as private equity and venture capital as well as more 'modern' ones such as digital assets. Supporting the ecosystems of these areas of interest and endeavour is an important part of the Canadian and global economy as well as an excellent opportunity for investors to discover potentially lucrative investment options. All content is subject to Chatham House Rule.

THANK YOU TO OUR SPONSORS

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WE HAVE THREE DAYS OF CONTENT!

We invite all investors to attend our **Start-Up Round-Up on Sept 26 at the offices of Highline Beta**. The afternoon is designed with investors (both angels and VCs) and founders in mind as we have our pre-ranked Founders' Pitch times + two panels on 'the pitch' and structuring one's deal.

Our **Private Equity & Venture Capital Day on Sept 27 at the OMERS offices** brings together professionals from those areas of endeavor for a series of short talks, panels, and 1:1 meeting time.

At the **Digital Assets & Blockchain Day on Sept 28 at the McMillan LLP offices**, we discuss all things digital with another series of talks, panels, and 1:1 meetings designed to allow attendees to get as much out of the day as possible.

Delegates are encouraged to populate their profile so that folks that might reach out to them or view them after receiving an invite to chat can get a good idea of who you are and what you do. You can add documents, videos, and product offerings as you like. We DO NOT provide contact information (phone #, email) to anyone for any reason, but the messages and invites that you send will be transmitted to the relevant party's email inbox. It's best to do some research and have a targeted ask or intro to make acceptance as easy as possible.

FLAGSHIP

CAASA ANNUAL CONFERENCE

NOVEMBER 7 - 8, 2023 - MONTREAL

SPONSORSHIP IS $3,500

SPONSORSHIP INCLUDES:

- HOST 2 X 30 MINUTE ROUND TABLE DISCUSSIONS
- ½ PAGE AD IN THE PROGRAM
- LOGO PLACEMENT ON THE WEBSITE, PROGRAM, AND VENUE
- OPPORTUNITY TO PROVIDE A SWAG ITEM IN THE GIFT BOX SENT TO ALL DELEGATES
- COMPLIMENTARY EXPOSURE ITEM
- OPPORTUNITY TO PURCHASE ENHANCED EXPOSURE ITEMS
- INVITATION TO NOVEMBER 1ST - SPONSOR, INVESTOR, AND SPEAKER ONLY SESSIONS.

OUR FLAGSHIP CONFERENCE BRINGS INSTITUTIONAL INVESTORS FROM ACROSS THE GLOBAL FOCUSING ON KEY ISSUES FACING INVESTORS AND MANAGERS IN CANADA AND ELSEWHERE INCL. STRUCTURING, LEGAL & TAX ISSUES, IT & OPERATIONAL AREAS, & INVESTMENTS

DELEGATE REGISTRATION FEES

End Investor (Single Family Offices, Investment Advisors)	Complimentary	Asset Managers: <50 Million AUM	$1,250
Intermediary Investor/Consultant +1	$150	Asset Managers: 50 - 500 Million	$1,750
Core Service Provider	$2,750	Asset Managers: 500 - 1,000 Million	$2,250
Ancillary Service Provider	$1,750	Asset Managers: >1 Billion Aum	$2,750

End Investor means single family offices, and Investment Advisors in the HNW space. Intermediary investors means Multi-family offices and investment consulting companies and +1 means the first delegate is gratis but each additional delegate attracts a small charge. Manager and service provider delegates must be CAASA members in good standing and pay the requisite per person fee for their appropriate sub-category. Core service providers include prime brokers, fund administrators, and accounting and legal firms.

View 2022 Program | View 2022 Event Page | View 2023 Event Page

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Thank you to our 2022 Sponsors

ABOUT CAASA

INCLUSIVE, ACTIVE, AND PAN-ALTERNATIVE

The Canadian Association of Alternative Strategies & Assets (CAASA) was created in response to industry requests for a national group to represent the Canadian alternative investment participants, including investors, asset managers, and service providers. CAASA is inclusive in that it welcomes participation from all companies active in the space as well as select individuals (those with investors) who might want to participate in committees and working groups - or simply attend member events - without their employer being a member of the association. CAASA is very active in both committees & groups and events: 65 webinars in 2021 and at least 70 planned in 2022 + 4-6 full-scale conferences eachyear. Pan-alternative, for CAASA, encompasses all alternative strategies and assets including: hedge funds / alternative trading strategies, private and public real estate (funds and direct), private lending, private equity, development & project finance, digital assets / cryptoassets, weather derivatives & cat bonds, and all aspects of diligence, trading, structuring, dealing, and monitoring alternatives in a stand-alone portfolio and as part of a larger investment strategy.

MEMBER BENEFITS

Investors join CAASA to be a part of a formal network of pension plans, foundations, endowments, sovereign wealth funds, and family offices to discuss ideas, strategies, and operational issues particular to their businesses - all within a closed group where managers and service providers may or may not be included, depending on the forum.

Managers see the association as a way to connect with peers, investors, and service providers to speak to fund structuring, sales & marketing, and regulatory issues. CAASA is not a capital introduction platform, but we do create forums where investors and managers can meet organically or via structured meeting sessions where participation by the investors is strictly opt-in.

Service providers participate in our events and working groups as well as assist in the production of thought leadership pieces which provide relevant information to both association members and the industry and investing public at large.

Founders participate in our Founders' Pitch Competitions as well as other initiatives created to propel their fledgeling businesses forward.

NATIONAL AND GLOBAL

CAASA believes that the Canadian alternatives industry has a great deal to offer Canadians and the global community. The *Canadian Model of Pension Management* is well-known for its large alternatives focus, managed in-house in many cases with substantial allocations to external managers as well. Canadian investment managers operate in a robust regulatory regime (of hedge fund managers) that is becoming the norm across the globe and a stable banking back-drop that provides solace for investors as well as opportunities for managers. Talent in investment management (approximately 10% of all CFA charterholders reside in Canada) as well as newer areas such as digital assets and robo-advisory services are a differentiator. Of course, Canadian investors and managers are keen to learn of best practices in operations and portfolio management from their global peers.

WHY JOIN?

As mentioned, we have attracted 330+ members over the last 4 years or so and the reasons are plentiful and varied. Whether an investor, manager, or service provider, some seek a group of like-minded individuals in the alts space to connect with on an on-going and (semi-)structured basis; others have a more commercial interest in that they are intent upon being aware of the newest trends in the industry and (providing they have one) presenting their knowledge to an audience of peers; and others have made their mark (or are on their way to doing so) and really just want to give back to a group of people that has done a great deal for them.

Whatever your motivation, if you're not in membership yet we'd love to hear from you!

OUR DATAROOM

ALL THE DATA REQUIRED TO MEET YOUR (INVESTMENT) MATCH

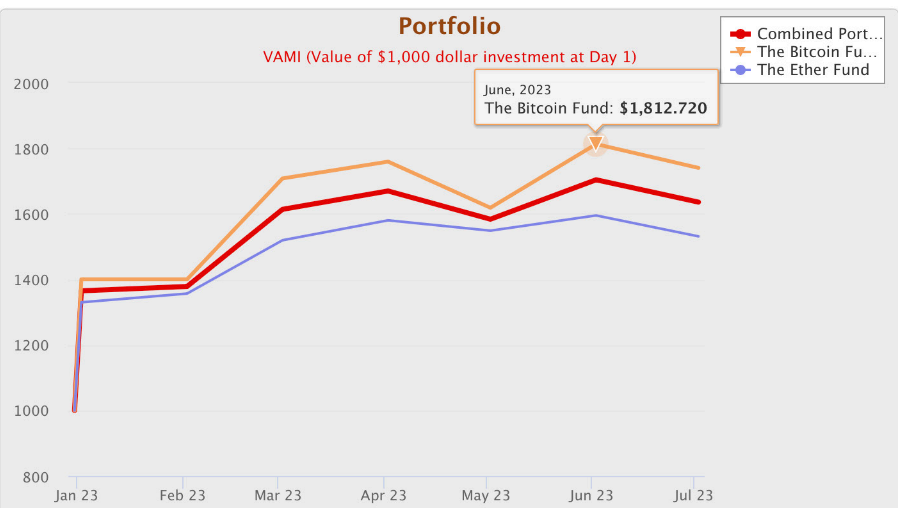
At the suggestion of one of our single family office members, we partnered with **SigmaSandbox** to create a dataroom to provide a more robust experience for managers and investors by getting performance and other information into the hands of prospective investors. We believe this free offering, exclusive to CAASA members, can be used in advance of the conference, during it, and afterward as much as one might like.

FOR INVESTORS

Simply create a login and you can search the database, choose any number of funds, introduce them into a portfolio approximating yours (more than 2,500 ETFs and 115 indices to choose from) to see how they might have affected its returns, and/or download tear-sheets of the fund to get portfolio and other data on it, the manager, and whom to contact to get more information. You can also use this to research managers who have invited you to meet or, if you like, extend your own invitations for a tête-à-tête (more on these on subsequent pages).

FOR MANAGERS

Uploading your performance and other information gets you on the radar of delegates at the conference and, as **SigmaSandbox** and CAASA will be making this available to more than 1,000 endowments, other significant investors, and wealth managers across North America and around the world with our ongoing events and those held in concert with other associations and the Government of Canada. It is simple and easy to do so and sets your fund apart with the opportunity to have many investors see how well you manage funds entrusted with you.



Portfolio Performance

Statistics

ITD ROR.	63.57%
Ann. ROR.	132.46%
Volatility	50.63%
Maximum Drawdown	-5.16%

Name portfolio: Enter portfolio name — Save portfolio

Portfolio Group: Select...

Create Group Name: Enter group name... — Save group

Rebalance Strategy: No Rebalance

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CANADIAN ASSOCIATION OF ALTERNATIVE STRATEGIES & ASSETS

The Bitcoin Fund

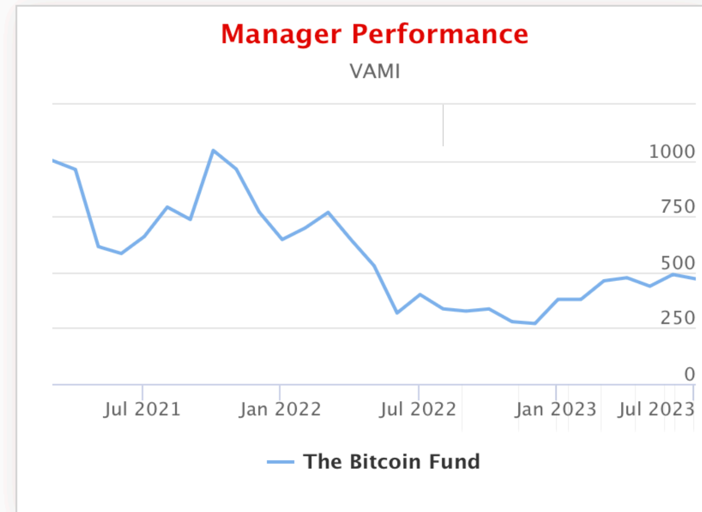
Contact Information
3iQ Corp.
1 King St. West, Suite 4800
Toronto, M5H 1A1
fpye@3iq.ca
1 (514) 775-0010

Fund Details

The Bitcoin Fund is a closed-end fund incorporated in Canada. USD pricing. The fund seeks exposure to digital currency bitcoin and the opportunity for long-term capital appreciation. The Fund will invest in long-term holdings of bitcoin to provide investors with a convenient alternative to a direct investment in bitcoin. The Fund will not speculate with regard to short-term changes in bitcoin prices.

Statistics & Ratios Summary

	Fund	Benchmark
Ann. RoR	-27.64 %	N/A
Sharpe Ratio	-0.17	N/A
Volatility ?	67.76 %	N/A
Average Monthly Return	-0.79 %	N/A
Highest Monthly Return	42.00 %	N/A
Lowest Monthly Return	-40.00 %	N/A
Maximum Drawdown	-74.16 %	N/A
% Positive Months	39.29 %	N/A
% Negative Months	57.14 %	N/A
Average Monthly Gain	18.09 %	N/A
Average Monthly Loss	-13.81 %	N/A
1Y Return	17.58 %	N/A
1Y Volatility	57.73 %	N/A



About the manager:

Founded in 2012, 3iQ is a Canadian investment fund manager focused on providing investors with exposure to digital assets, disruptive technologies and the blockchain space. 3iQ was the first Canadian investment fund manager to agree to terms and conditions with the Canadian securities regulatory authorities to manage a public bitcoin investment fund and multi-crypto asset fund for Canadian accredited investors. Access to these new technologies can be daunting, costly, and inconvenient. 3iQ has worked through a stringent regulatory process to offer investors convenient and familiar investment products to gain exposure to digital assets

Monthly Performance

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	YTD	YTD Benchmark
2023	40.00%	0.00%	22.00%	3.00%	-8.00%	12.00%	-4.00%	N/A	N/A	N/A	N/A	N/A	**74.02%**	0.00%
2022	-16.00%	8.00%	10.00%	-16.00%	-18.00%	-40.00%	26.00%	-16.00%	-3.00%	3.00%	-17.00%	-3.00%	**-64.89%**	0.00%
2021	N/A	N/A	N/A	-4.00%	-36.00%	-5.00%	13.00%	20.00%	-7.00%	42.00%	-8.00%	-20.00%	**-23.07%**	0.00%

Read more at caasa.ca - or contact us at +1 (647) 953-0737 or caroline@caasa.ca

HIGHLIGHTED MEMBERSHIPS

SIGNATURE MEMBERS

As we continue to innovate in an effort to bring more value to members than ever before, we added an additional exposure category: Signature Member.

These members, including **Amundi Asset Management, Romspen Investment Corporation, Group RMC, CIBC Mellon, Preqin** and **Hedge Facts**, are featured prominently at three of our signature conferences.

As is our tradition, we treat all members equally for our speaking opportunities and Signature Members are afforded the same propensity to speak on and moderate panels and participate in podcasts.

Any CAASA member this is interested in becoming a Signature Member (referred to as Gold Partner in 2024) should contact the CAASA office.

(START-UP) FOUNDER

We have had a great deal of interest from start-up founders to be involved with our events and initiatives over the last few months - especially as we are active in the family office / angel network and venture capital funds.

To this end, we have created a Founder category to cater to this type of member. We will also feature Founder members on our panels and podcasts and organize special events for them to connect with funding sources and source best practices and great ideas from peers and others.

The intent is to work with true start-ups and give them access to great content and connections and CAASA reserves the right to require certain companies that might be better categorized as managers or service providers - especially if they might be considered mature or with substantial backing - to be so categorized and pay the relevant fee.

START-UP ROUND-UP TUESDAY, SEPTEMBER 26TH

2:00 PM	***Registration & coffee*** *All Founders, Angels, and VCs are asked to be at Highline Beta offices by 2pm to register for the day.*
2:20 PM	***Introduction*** *Briefing to all on the upcoming sessions, room/area assignments, and expectations of and for all throughout the event.*
2:30 PM	***First Pitch*** *Curated pairings (Founder presenting to Angel and/or VC) will provide opportunity to pitch and answer questions. Each of the Founder decks' will have been read already by the investors so an abridged pitch can be made.*
3:00 PM	***Second Pitch*** *Curated pairings (Founder presenting to Angel and/or VC) will provide opportunity to pitch and answer questions. Each of the Founder decks' will have been read already by the investors so an abridged pitch can be made.*
3:30 PM	***Coffee Break*** *Quick refresher*
3:45 PM	***Masterclass on The Elevator Pitch*** **Reza Khanahmadi,** Rondeivu **Yaron Vorona,** Sixty Degree Capital **Shael Soberano,** Sharno Family Office **Matthew He,** Brightspark Ventures *Presentation by Angels and VCs on what they love to see & their pet peeves. Always room to grow and improve!*
4:15 PM	***Structuring Your Deal*** **Jason Chertin,** McMillan LLP **Prathna Ramesh,** FutureSight Ventures **Harris Lambouris,** Laertes Health **Matt Boczkowski,** Aquaporin *Presentation covering the typical terms used in venture investment contracts, usual/unusual items such as extensions and A+ rounds, and valuation/pricing models used. This panel brings together a lawyer, two angel investors (one from North America, another from UAE), and a representative from the start-up entity side to show all facets of this topic!*
5:00 PM	***Reception*** *Casual networking*
6:00 PM	***End of Start-Up Round-Up***

Read more at caasa.ca - or contact us at +1 (647) 953-0737 or caroline@caasa.ca

SENIA RAPISARDA
Managing Director, HarbourVest Partners

Senia Rapisarda joined HarbourVest in 2014 to focus on partnership and direct co-investments in Canada and to expand HarbourVest's local presence in the market.

Senia joined the Firm from BDC Venture Capital, where she was responsible for the establishment of a team focused on direct and indirect investments across Canada. Her previous experience includes positions at Nur Energie in London, London Business School, Nomura International, and Salomon Brothers, among other roles.

Senia received a JD (summa cum laude) from LUISS University in Rome in 1987 and a Masters in Law and Economics (Fulbright from Columbia University in 1989. An Italian and Canadian citizen, Senia speaks fluent Italian, French, and Spanish.

PRIVATE EQUITY & VENTURE CAPITAL ASSEMBLY
FIRESIDE - SEPTEMBER 27TH

MARIE-CLAUDE BOISVERT
Partner, Head of Private Equity Canada, Sagard Holdings

Marie-Claude Boisvert has served as Partner, Head of Private Equity Canada at Sagard since joining in 2021 to launch the strategy. Marie-Claude oversees fundraising, originating, investing, and portfolio companies management across all sectors. She is a member of the Sagard Private Equity Canada investment committee and is based in Montreal.

Prior to Sagard, Marie-Claude was Partner at Clearspring Capital Partners and Managing Partner at Kilmer Capital Partners where she was involved in all aspects of the funds' operations and was responsible for investment activities in Quebec.

Marie-Claude previously held the positions of CFO and interim COO in two private-equity owned portfolio companies. In addition, her experience includes investment banking in London with Goldman Sachs, as well as corporate finance with KPMG in Montreal. She held board of directors positions with Atelka Entreprise, Altasciences, Coalision, Demers Braun Ambulances, Regal Confections and currently Chairs the board of Voyages Traditours and an observer to Telecon. Marie-Claude is a Governor of Réseau Capital and board member of ACG Québec. She also sits on the Board of Directors of Fondation Lise Watier and is a co-founder of Elle-Invest.

Marie-Claude holds a B.Comm. from HEC Montreal and an MBA from INSEAD. She is a Chartered Professional Accountant (CPA, CA).

Read more at caasa.ca - or contact us at +1 (647) 953-0737 or caroline@caasa.ca

PRIVATE EQUITY & VENTURE CAPITAL ASSEMBLY WEDNESDAY - SEPTEMBER 27TH

10:30 AM	***Registration & coffee*** *All attendes are asked to be at the OMERS offices by 10:30am, if possible, to register for the day.*
11:00 AM	***Looking Over the Valley*** **Thomas Kalafatis,** KalNes Capital Partners **Robert Guo,** Sixty Degree Capital **Alex Luce,** Creative Ventures **Mark Maybank,** Maverix Private Equity *All investments have times when valuations challenge one's underlying belief in the investment thesis – but that could be the most appropriate time to keep one's will and possibly add fresh funds to the opportunity. Our panelist have broad experience in venture and will provide a perspective on the current market as well as offer ideas that can be best capitalized upon while others might be in a sort of panic mode.*
11:45 AM	***Adding PE & Venture to Your Portfolio*** **Tom Johnston,** iCapital Network **Ida Khajadourian,** Richardson Wealth **Craig Machel,** Richardson Wealth **Francis Sabourin,** Richardson Wealth *We have assembled a group of individuals who have a great deal of experience in allocating to alternate investments over a great many years. This panel will allow them to explain their motivations for allocating to alternatives, how they position these opportunities with their clients, and how they perform initial diligence and ongoing monitoring on the investments as well as communicate to their clients. What is happening with their hard-earned money.*
12:30 PM	***Lunch & Keynote – A Fireside chat with Senia Rapisarda and Marie-Claude Boisvert*** **Marie-Claude Boivert,** Sagard Holdings **Senia Rapisarda,** HarbourVest Partners *Every market goes through turbulence from time to time and private equity and venture capital are not immune. Markets and investors have vacillated between FOMO (Fear Of Missing Out) and FOGA (Fear Of Getting Annihilated) in the last two years or so but, as the saying goes: this too shall pass. Join us for a fireside chat with two titans of the industry whose longitudinal view of these markets will provide insightful perspective for all attendees – not to mention the opportunity to hear their views on what investors should be doing now to position their portfolios for the next market phase.*

PRIVATE EQUITY & VENTURE CAPITAL ASSEMBLY WEDNESDAY - SEPTEMBER 27TH

1:30 PM	***Now Talk – Unlocking New Horizons: Tokenization and digital assets within regulatory frameworks*** **Vincent Kadar,** Polymath *Emerging technologies are reshaping the landscape of investment portfolios and activities while ensuring adherence to regulatory frameworks — particularly blockchain — can serve as the foundation for regulatory compliance with these alternative opportunities. This talk will delve into the benefits of tokenization including: enhanced liquidity, reduced friction, and increased accessibility to previously inaccessible asset classes, while emphasizing the pivotal role of blockchain technology in establishing regulatory compliance.*
2:00 PM	***1:1 Meetings*** *Your opportunity to connect with other delegates. Meetings can be scheduled beforehand with our messaging & meeting app or simply occur organically on the spot.*
3:00 PM	***NowTalk – ODD: Obstacles to yes*** **Esther Zurba,** Castle Hall Dilligence *Sophisticated investors leave no stone unturned when it comes to finding opportunities. Sometimes that involves lengthy plane trips to far-off places to meet with investors, managers, and even target companies. Other times, it involves hiring either local folks in another jurisdiction or sending their people across the globe to live in a target country. This panel will talk about some of the advantages and disadvantages of spending the globe for one's investment portfolio.*
3:30 PM	***Principal Investors Discuss Venture Capital*** **James Burron,** CAASA **Mathieu Larochelle,** Trans-Canada Capital **Ian Morley,** Wentworth Hall Consultancy Ltd **Spencer Clark,** Richter Family Office *Venture teams such as those at single family offices and pension plans each have their unique way of looking at the world and investment opportunities, which might be presented to them. When it comes to venture capital, this is possibly even more true, because, especially in the case of family offices, the patriarch/matriarch are still firmly in control, The families have in-depth knowledge in a few industries, such as the ones that they may have made a fortune in. Pension plans' team composition obviously is a determining factor in their ability to source, diligence, transact, and exit deals over what might be many years. This panel will illustrate some of those preferences in action, and how these principal investors access venture, through funds, and with direct or co-investment transactions.*
4:15 PM	***End of Private Equity & Venture Capital Assembly***

SUE ENNIS
VP Corporate Development, Hut 8 Mining

Sue Ennis is an award-winning emerging technology and innovation champion, passionate about bringing global investor awareness and capital into opportunities in Canada's thriving technology, natural resources and small cap sector. She is currently VP of Corporate Development at Hut 8 – one of North America's largest and most exciting Enterprise Data Infrastructure as a Service providers and Bitcoin miners. Sue also sits on the board of Valour, a publicly traded technology company focused on bridging the gap between traditional capital markets and decentralized finance.

Over the past 15 years, Sue Ennis has been instrumental in raising over a billion dollars for Canadian structured product and small cap companies. She has helped drive retail and institutional investor interest and awareness into hidden and under loved publicly traded or pre-ipo Canadian company gems and steered under-appreciated Canadian companies in the fintech and digital asset sector into multi-billion market cap opportunities. Before joining Hut 8, she was VP of global partnerships at Shyft Networks, a public protocol designed to validate identity and compliance directly into open source blockchain data. Prior to that role, Sue was one of the first wealth directors at Coinsquare in 2017, where she helped launch commercial operations for the wealth division. Prior to this role she held leadership positions with Voyager and Invesco.

A certified blockchain expert, seasoned capital markets advisor and storyteller, Sue is a sought-after industry voice who has championed technological innovation as a media spokesperson and keynote speaker across the globe for her ability to simplify complex concepts into digestible, actionable, and investible ones.

When Sue isn't running ultra-marathons in Greenland or the Middle East, working on a shark research boat in South Africa, or completing a jungle survival course in the Amazon, you can find her in Toronto and skiing in Collingwood with her family. Sue is a designated Chartered Investment Manager and holds a Certificate of Specialization in Corporate Finance and ESG Investing from Columbia Business School.

IAN MORLEY
Chairman, Wentworth Hall Consultancy

Ian Morley is a successful business Angel and Entrepreneur.

He is the Chairman of Wentworth Hall Family Office and Consultancy, Chairman of Atitlan YRD, an award winning Crypto Multi Manager. Chairman of Salutem Senior Living, Chairman of Regenerative Medical Group, NED at Conister Bank, NED at CF@L, Director of Condor Trade and also holds various Directorships with a range of other companies covering Property, Alterantive Energy, Farmland ,Blockchain and Crypto currencies.

He is the author of Morley's Laws of Business and Fund Management and one of the leading global figures and pioneers in the development of the Hedge Fund Industry. He ran one of Europe's first and oldest Fund of Funds and subsequently helped build one of Europe's largest privately-owned Fund of Funds. He has helped build, manage, own, buy, sell and mentor start-up businesses over the last twenty years. He founded and was elected the first Chairman of what is today known as The Alternative Investment Management Association (AIMA), the world's only truly global trade association for the Hedge Fund Industry. He has advised Central Banks, International Regulators and other International Organisations such as the EU and OECD on matters related to Economics, Markets and Regulation. He is actively involved in Family Office conferences and networks.

His articles have been published in such papers as The London Times, FT, International Herald Tribune and various trade publications. He has appeared frequently on BBC, radio and TV, Sky, CNN, CNBC, Bloomberg and various international TV and radio stations.

Ian trained as an Economist at LSE where he was Vice President of the Students Union. He is a member of Gray's Inn and one of the few fund managers to be accredited as a journalist.

Ian served as a battle medic with a MASH unit and has completed sixteen International Marathons, one hundred and fifty Half Marathons and competed in weight lifting and dancing competitions. He was the London Theater Correspondent for the Irish Stage and Screen. He has also written about Running and Building a house in Italy for Italy Magazine. His other interests include boating, football, politics and philanthropy. He lives in London with his dog, cat and sometimes his children.

DIGITAL ASSETS & BLOCKCHAIN DAY
THURSDAY - SEPTEMBER 28TH

10:30 AM	***Registration & coffee*** *All attendes are asked to be at the OMERS offices by 10:30am, if possible, to register for the day.*
11:00 AM	***Future of Staking: An Investable Security?*** **Charlie Morris,** CMCC Global **Erina Penkovsky,** Allnodes **Eric Richmond,** Coinsquare **Didier Lavallee,** Tetra Trust Company *Just a few years ago, or perhaps a few months ago, or even a few weeks ago, many in this room may not have known what staking is. But now, it is as important to be up to speed on with regard to digital assets as knowledge of battery technology would be for those investing in EV companies. It is a driver for how these investments actually work, and are a crucial part of the infrastructure of these proof of stake digital assets. This panel is going to explain how the space works and how investors can profit from this.*
11:45 AM	***Institutional Grade Crypto*** **Kerem Kolcuoglu,** Penrose Partners **Vincent Molino,** Bitwise Asset Management **Louis LaValle,** 3iQ Digital Assets **Ruairi Hanafin,** Firinne Capital *This is an age-old question when it comes to pretty much any investment. Is this digital asset space one that people should lock up their money in and think of it as a long-term bet, or something that they should be actively trading in order to avoid the pitfalls of a very dynamic market. To be honest, this is a question endemic to all investments. Most would agree that real estate should be long-term, but many engage in trading new, including options on new builds, and can turn a pretty penny doing that as well. The same goes for stocks and bonds where the dichotomy between active trading, which may involve shorting, and long-term by and hold, which is about as old as a hills. How does this affect digital assets and how should investors be positioning their portfolios? This panel will talk about that and more!*
12:30 PM	***Lunch & Keynote – A Fireside Chat with Ian Morley and Sue Ennis*** **Ian Morley,** Wentworth Hall Consultancy Ltd **Sue Ennis,** Hut 8 Mining *Ian Morley has been an investor for more than 50 years and, as one might guess, has an opinion about many areas in the investment sphere (and many others as well!). In this keynote we will look back on what issues and hurdles the Hedge Fund industry experienced over 30 years ago and how Digital Assets are facing the same political hurdles and the opposition coming from traditional finance. As well as giving us his views on the geo-political world and how he has adapted his portfolio.*

DIGITAL ASSETS & BLOCKCHAIN DAY
THURSDAY - SEPTEMBER 28TH

1:30 PM	***Now Talk – Unlocking New Horizons: Tokenization and digital assets within regulatory frameworks*** **Vincent Kadar,** Polymath *Emerging technologies are reshaping the landscape of investment portfolios and activities while ensuring adherence to regulatory frameworks — particularly blockchain — can serve as the foundation for regulatory compliance with these alternative opportunities. This talk will delve into the benefits of tokenization including: enhanced liquidity, reduced friction, and increased accessibility to previously inaccessible asset classes, while emphasizing the pivotal role of blockchain technology in establishing regulatory compliance.*
2:00 PM	***NowTalk – A Review of the Investment Case for Crypto*** **Shaun Cumby,** Virgo Asset Management *Although Bitcoin was explicitly created to be an inflation hedge (among other things), investors naturally denominated BTC and other digital assets in fiat (usually USD) currency in order to have a frame of reference. There are Bitcoin Maximalists that purport that BTC should be the coin of the realm but until that time we will continue to denominate all assets in fiat and compare their returns in that base currency. This leads to the next question: are digital assets a good investment? That can be answered positively if it produces a return stream (aka price performance) that is superior on a risk-adjusted basis and/or offers correlation benefits compared to other assets. Even a low or negative-return security can be beneficial for a portfolio if its correlation is advantageous; and a high-returning asset can be a detriment if its downside correlation (that is, it loses value when other assets do) is greater than its upside correlation. This talk will delve into this data to find out if digital assets are suitable options for investors.*
2:30 PM	***NowTalk- Crypto Venture: Worth the risk?*** **Sebastien Davies,** Aquanow **Jillian Friedman** Ether Capital *Basically all crypto investing is venture but not all venture investing includes crypto. For investors looking at the space, is crypto/blockchain investing at this stage worth the risk and how might the performance of these investments pay off in the future… and how much do these scenarios rely upon price action of cryptocurrencies like ETH? These points and more will be discussed in this illuminating talk!*

(Program continues on next page.)

DIGITAL ASSETS & BLOCKCHAIN DAY
THURSDAY - SEPTEMBER 28TH

3:00 PM	***1:1 Meetings*** *Your opportunity to connect with other delegates. Meetings can be scheduled beforehand with our messaging & meeting app or simply occur organically on the spot.*
4:00 PM	***NowTalk – Lessons from FTX: The Cost of Ignoring ODD*** **Esther Zurba,** Castle Hall Dilligence **James Burron,** CAASA *Everyone should know what FTX is. It's so-called business plan, as well as the execution of it has been a thing of Senate hearings, and likely a book from Michael Lewis in the next little while. The interesting question is how did it get to be where it was. It had Sophisticated, institutional investors that seemingly drop the ball when it came to investing. What is endemic of venture capital investing? Is this something that given the law of large numbers one might expect to happen at some point? Or is there some universal way that investors can protect themselves from these types of scenarios. This panel will look into that and more!*
4:30 PM	***End of Digital Assets & Blockchain Day***

PRIVACY & SECURITY

We do not release the names of individuals attending CAASA events - including to sponsors and speakers at events - although we may disclose the employers of attendees, except for those working at single family offices, which are redacted. For this gathering, of course, all delegates have access to the individuals' names and employers for the purpose of scheduling meetings and booking seats at Table Talks. However, **we never release the email addresses or phone numbers of attendees**; asking instead that folks reach out via the mobile app / web portal or other means such as corporate websites or services such as LinkedIn. We can pass on contact requests to another party, without any guarantee of a response.

If you receive an email or communication from any party offering the registration list or any contact information of any delegate, please let us know. You can rest assured that we did not release this information to anyone.

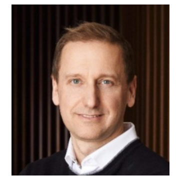
All of our sessions are strictly Chatham House Rule and we ask all delegates to refrain from using phone camera recordings and other archival methods as well as not tweeting or using other social media concerning any of the speakers, topics, or information communicated. Any communication should be broad and general and have absolutely no attribution of any notions to any speaker.

SPEAKER BIOGRAPHIES

Thank you to our many speakers, presenters, and those who added their themes and topics, panel and non-industry speaker ideas, and other invaluable input!

SPEAKER BIOGRAPHIES

Start-up Round-up Founder



Matt Boczkowski
Chief Executive Officer
Aquaporin

Matt Boczkowski is CEO at Aquaporin where his responsibilities include various aspects of the day to day management of the company as well as setting the commercial strategy and execution for the business. He is also an operating partner at Cimbria Capital where he strengthens the firm's expertise in areas of commercialization, scale-up, strategic positioning and expansion strategies.

Start-up Round-up Founder

Tim Burgess
Co-Founder & CEO
WealthAgile

Senior financial executive, with 20 years of experience in institutional equity sales and portfolio management. Recently ran the Spartan onTREND Fund, where he built a fully systematic momentum strategy.

James Burron
Co-Founder & Partner
CAASA

James co-founded CAASA in response to industry support for a Canadian alternatives association to serve all aspects including: hedge / alternative strategies; liquid alternatives; private lending; private real estate; private equity; plus emerging areas where Canada is a leader such as digital assets / blockchain and robo-advisors. Prior to CAASA, James was the Chief Operating Officer of AIMA Canada where his team of three worked with 12 committees to produce 50-60 events per annum across Canada, organized 100+ committee meetings, and increase member numbers over his 7-year tenue from 66 to 164 corporate entities. CAASA currently has more than 350 members.

James currently sits on the Canadian Investment Funds Standards Committee (CIFSC), which categorizes mutual funds and alternative mutual funds (aka liquid alternatives) for the retail space in Canada. He is also called upon by membership and industry groups to speak to risk ratings and portfolio placement of all types of alternative investments.

James also has experience in research and writing for the CAIA Association (holding the designation since 2006) as well as serving on CAIA's exam council and as a well as other duties. He had roles in institutional sales and FoHF structuring in Seoul, South Korea, as a Product Manager at ICICI Wealth Management, and as an Investment Advisor at RBC Dominion Securities. James graduated from Simon Fraser University with a BBA (Finance).

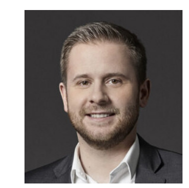
SPEAKER BIOGRAPHIES

Jason Chertin
Partner
McMillan LLP

Jason Chertin is an accomplished corporate finance lawyer with a dynamic capital markets and securities law practice and extensive experience in mergers and acquisitions. Structuring and executing a variety of capital market transactions, Jason advises on public offerings, and mergers and acquisitions with emphasis on negotiated transactions, plans of arrangement and amalgamations, and stock exchange listings. He is a leader of the firm's Investment Funds & Asset Management Group.

Jason acts for issuers, underwriters, investment funds and asset managers in addition to stakeholders in the cannabis and mining sectors. He advises on public and exempt securities offerings, takeover bids, business combinations, reverse takeovers, qualifying transactions, reorganizations, proxy contests and related party transactions. A significant part of Jason's practice involves advising investment fund managers and portfolio advisers on public and exempt offerings of securities by open-end mutual funds, closed-end investment funds, commodity pools and pooled funds. He also assists with dealer and adviser registration and compliance matters.

Jason's experience in securities regulatory work encompasses proxy solicitation, corporate governance, continuous disclosure, preparation for annual and special meetings of shareholders, compliance with NI 81-102 and IRC requirements, prospectus renewals, and applications to regulatory authorities for exemptive relief.

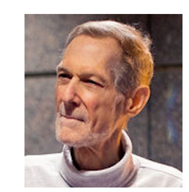


Spencer Clark
Senior Client Relationship Manager
Richter Family Office (MFO)

Spencer Clark is a Senior Portfolio Manager at Richter Family Office (RFO), providing personalized wealth management solutions to high net worth families. Spencer is also responsible for evaluating and monitoring venture capital and private equity investment opportunities for RFO.

Prior to joining RFO in 2017, Spencer worked for a large global asset manager in their ETF and Index Investments business and began his career in the investment counsel division of a large Canadian bank. Spencer holds a Bachelor of Commerce from the John Molson School of Business at Concordia University, and has earned the CFA, CAIA, and CIM designations.

Start-up Round-up Allocator



Skip Cooper
Managing Director
Cooper Family Office (SFO)

Skip Cooper is a first-generation member of the Cooper Family Office. Skip oversees risk management, portfolio allocation and back office operations. In addition to the office's US-based alternative investing, his primary focus for the family office is investment internationally: in private equity, hedge funds, real estate and direct opportunities. Mr. Cooper is an honors graduate of Princeton University.

Skip Cooper is a member of the NYU Stern Family Office Council and the Stanford University Global Projects Center Global Investor's Forum. Skip speaks regularly at family office and investment conferences around the world, often addressing the topic of global investing for US family offices.

SPEAKER BIOGRAPHIES

Start-up Round-up Allocator

Marcus Daniels
Founding Partner & CEO
Highline Beta

Marcus Daniels is a Founding Partner & CEO of Highline Beta where he leads visionary execution, strategic deals, corporate venture co-investment and startup funding. He has 21+ years as a serial tech entrepreneur & operating executive with a top decile pre-seed investing (34 startups) track record. 12+ years working with Fortune 1000 companies advising on corporate innovation models & building a pipeline of new corporate ventures beyond the core business. Formerly the Co-Founder & CEO of HIGHLINE.vc and Managing Director of Extreme Startups, Marcus continues to help evolve accelerator models to make corporate-startup collaboration work.

Start-up Round-up Allocator

Sebastien Davies
VP, Research
Aquanow

As VP, Research at Aquanow and Market Strategist at AQN Digital, Sebastien Davies focuses on industry analysis, investment management, and growth initiatives. He joined from CIBC Capital Markets, where he held roles in Institutional Equity & Derivatives Sales. Prior thereto, Sebastien conducted research and risk management at a long/short hedge fund.

Start-up Round-up Allocator

John Davitsky
Co-Founder
Golden Hawk Financial

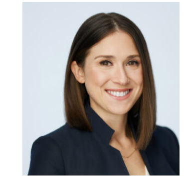
John is Co-Founder of Golden Hawk Financial (GHF) based in Toronto. The company helps clients by connecting interesting ideas to interesting capital and vice versa. GHF focuses on alternative investments including AI, Tokenization, Sustainable Energy, Digital Assets, and Real Estate Development.

John started his career as a Financial Advisor at Caldwell Securities in Toronto in 2009. In this role he was responsible for managing investments for families, corporations and other high net-worth individuals. He progressed through the organization gaining experience in alternative investments, brokering private equity deals, placing shares in late stage pre-IPO companies and investing in digital assets. In recent years John moved into trading, becoming Head Trader, and finally Vice President, where, as a high touch trader he traded $1B-$1.5B daily for the firm's largest client.

In 2022, he joined Echelon Wealth Partners, where he worked with their Electronic Trading group to help lead a digital asset project with Fidelity Clearing Canada. This project developed a product to hold digital assets for clients on Fidelity's balance sheet in a traditional finance model.

Golden Hawk Financial was born out of a vision to create exciting and unique opportunities for its clients in the alternative investment space.

SPEAKER BIOGRAPHIES



Jillian Friedman
Chief Operating Officer
Ether Capital

Jillian is the COO of Ether Capital (NEO: ETHC), a public technology company focused on staking and developing infrastructure to support crypto investors. As a lawyer and banking expert who has been active in the cryptocurrency space since 2014, she oversees Ether Capital's long-term business strategy to become a central business and investment hub for the Ethereum ecosystem. She previously worked for National Bank of Canada where she led the bank's blockchain and digital asset efforts with a focus on the wealth management, capital markets and risk sectors. Her legal experience includes digital assets, commercial finance and fintech.

Start-up Round-up Founder

Ian Garmaise
Chief Operating Officer
Virtual Film School

Ian Garmaise, COO of Virtual Film School, has a strong background in Edtech, and has worked in a variety of roles at various startups, and as an IT and Marketing Consultant.

Start-up Round-up Allocator

Robert Guo
President
Sixty Degree Capital

Mr. Robert Guo, President of "Sixty Degree Capital"-Venture Capital investment firm, a seasoned insightful financial executive, and business leader with international market development skills with extensive experience in public and private companies as Board member, executive and advisor. Former: Board Director of "China Wind Power" (TSX-V: CNW), and Board Director of "Environmental Waste International Inc. "(TSX-V: EWS). CEO of Goldenmount Capital International Inc. Investment Advisor at "BMO Nesbitt Burns" and Senior Associate at "TD", MBA from the Schulich School of Business, York University.

Ruairi Hanafin
Chief Investment Officer
Firinne Capital

Ruairi Hanafin is the Chief Investment Officer at Firinne Capital. He is an entrepreneur, investor, and early proponent of blockchain technology. He also co-founded Canadian agricultural technology startup Grain Discovery. He has held positions in research and portfolio engineering in the quantitative portfolio management teams at CPP Investments, where he also founded the blockchain research group, and at the Vancouver derivatives analytics company FINCAD.

He holds a Ph.D. in Theoretical Physics from Trinity College, University of Dublin.

SPEAKER BIOGRAPHIES

Matthew He
Investment Analyst
Brightspark Ventures

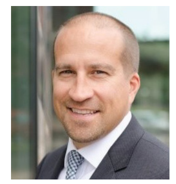
Start-up Round-up Allocator

Matt is an Investment Analyst at Brightspark Ventures, Canada's oldest VC firm backing exceptional entrepreneurs at the early stage. Brightspark is actively investing out of its $120M Fund IV, leading Seed and Series A rounds across Canada.

Prior to Brightspark, Matt served for two years as the Director of Investments for Front Row Ventures, investing at pre-seed in the very best young founders across the Canadian ecosystem. He has previously worked at White Star Capital in New York and FounderFuel in Montreal.

Bruce Hodges
CEO
Parachute

Start-up Round-up Founder



Bruce has had a progressive international career in all areas of financial services; Banking, Insurance, and Investments. Over the first 10 years of his career Bruce worked in retail banking for several of Canada's top Banks working his way up from Teller to Personal Lender, Commercial Lender, and ultimately Product Manager with a $4B credit portfolio. During this time Bruce completed his MBA, published research for the Canadian Bankers Association, and taught E-commerce Strategy in Wilfrid Laurier University's MBA program.

In 1998, Bruce left the corporate world and joined a 20-person start-up to take on-line credit adjudication to market. The start-up built credit solutions for the likes of National Bank, Fair Isaac, and Ford Credit globally. He facilitated a sale of the company to a publicly traded entity and continued the journey including raising $40M in equity.

On leaving the dot-com world, Bruce began a 20-year corporate stretch in Insurance, initially joining Manulife to build out their global e-commerce strategy and moving to several global roles with the likes of Generali, Sunlife and ING. This journey relocated he and his family to Japan, Thailand, Hong Kong, Malaysia, The Netherlands, and Italy in roles spanning CIO, COO, CIDO and CEO overseeing more than 60 different countries at various stages of his career.

After his final stint in Europe as CIDO (Chief Information & Digital Officer) at Generali, overseeing IT, Operations and Digital for the $70B, 60 Country Insurer, Bruce returned to Canada mid-2018. After his return, Bruce was COO of Foresters Financial, and EVP Transformation at CIBC, one of Canada's top 5 banks. Since leaving CIBC in April 2021, Bruce founded Parachute, a start-up set to disrupt the financial wellness space taking on payday, and high interest predatory lenders, with the intent to bring at risk Canadians back from the brink to good financial health.

SPEAKER BIOGRAPHIES

Lakhveer Jajj
CEO & Founder
Moselle

Start-up Round-up Founder

With a decade of experience in the startup tech community, Lakhveer is the Founder and CEO of Moselle. Diving into the venture world and having helped co-found various startups, including a commerce development shop called Sunview Labs, he joined CareGuide as a foundational member of the team and led their nanny tax payroll product called HeartPayroll.

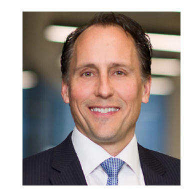
Following CareGuide, Lakhveer joined Highline Beta to help launch their venture studio and various ventures, including Relay, which was recently acquired.

Tom Johnston
Canadian Market Head
iCapital Network

Tom Johnston heads the iCapital Network activities in Canada, having been active in the alternatives and broader asset management industry in the country for more than 25 years. He is a substantial presence at many industry events and has been a champion of the sector for much of his career.

Prior to his role at iCapital Network, he lead the institutional sales efforts at CI Global Asset Management, after a 15-year tenure at UBS Asset Management (Canada). Tom started his career at the Royal Trust company and has served as a member of the board of directors and executive committee with the Portfolio Managers Association of Canada (PMAC). He has a Bachelor of Arts from The University of Western Ontario and a Bachelor of Laws from the University of Windsor.

Vince Kadar
CEO
Polymath



Vincent guides the growth of Polymath, delivering an innovative and evolutionary software platform for a new generation of capital markets, leaning on his successful 25 years of start-up and scale up experience in financial software and services, as well as telecom software.

Prior to Polymath, Vincent was the CEO of Telepin Software Systems Inc, a fintech software company providing a disruptive digital wallet and payments platform for developed and emerging markets globally (acquired by Constellation Software Inc. (TSX:CSU).

He has also held CTO and founding positions at Airwide Solutions, a software provider in mobile messaging and security software for the telecoms industry (acquired by Mavenir). Vincent is also a member of the Governing Council of the Polymesh Association, an institutional–grade permissioned blockchain built specifically for regulated assets.

SPEAKER BIOGRAPHIES

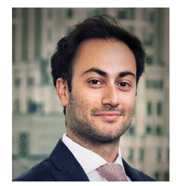
Ida Khajadourian
Portfolio Manager, Investment Advisor
Richardson Wealth

For more than 20 years, Ida has worked closely with Institutions, Family Offices, wealthy entrepreneurs, and high net worth families building trusted relationships and delivering a high level of holistic Wealth Management services.

Ida's beliefs include the importance of estate planning, tax minimization, capital preservation and evolutionary portfolio construction using alternative strategies to achieve consistent absolute returns with a risk reward-oriented approach.

Ida obtained a Bachelor of Commerce Degree from the University of Toronto with specialization in Finance and major in Economics. Ida is a multi award winning Portfolio Manager and obtained her Chartered Alternative Investment Advisor (CAIA®) designation in 2005.

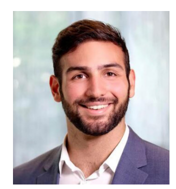
Start-up
Round-up
Founder



Reza Khanahmadi
Chief Technology Officer & Co-Founder
Rondeivu Inc.

Reza's background is a marriage of engineering and finance. After graduating Honours Electrical Engineering from University of Waterloo, he achieved his CFA charter.

With over a decade of experience as fractional CTO and solutions architect, he has developed and deployed over 7 different products from idea to full-scale launch, focusing on security, robustness, usability, and sleek design. Before joining Rondeivu, he worked on algorithmic trading, market making, and analytics systems for futures and derivative products.



Kerem Kolcuoglu
Managing Partner
Penrose Partners

Kerem is an MBA and the Managing Partner of Penrose Partners, a blockchain-focused consulting firm advising startups, enterprises, governments and investors on navigating the blockchain and digital assets space. Kerem is an advisor to the Canadian Technology Accelerators and to the Premier's Office of FinTech for the Bermuda Government. He is also a Founding Board Member of the Bermuda Innovation & Technology Association (BITA) and a Founding Partner of the i3 Innovation Incubator in Bermuda.

Previously at PricewaterhouseCoopers and at MLG Blockchain, he has consulted multinational enterprises, governments and educational institutions on understanding and adopting blockchain technology. Bridging his experience in multiple industries, he has foreseen change and advised clients on navigating digital transformation in an age of disruption.

SPEAKER BIOGRAPHIES

Start-up
Round-up
Allocator

Harris Lambouris
Founding Partner & CEO
Laertes Health LLP

Harris is the Founding Partner and CEO of Laertes Health LLP, a private investment company aiming to develop healthcare projects in the MENA region. Sets standards of excellence and alignment of the company's vision and strategy. Collaborates with family offices to develop the business, creating opportunities and maintaining professional excellence.

He is also a partner with hhm-consult, an advisory practice. As a trusted partner of choice continuously looks for innovations and improvements. Builds senior level networks and relationships with clients and partners. Engages and advises startup companies and green field projects helping them achieve their goals and objectives during the business cycle.

He has many years of international experience in management consulting/strategy. He combines the financial rigor of a Big 4 firm with the analytical skills and people leadership experience. Led healthcare strategy and directed projects during their planning, design and development stage. Served as a member of Deloitte (LSHC) Team for almost 10 years in MENA region. During his tenure he led the operational and commercial advisory and led large scale pre & post transaction projects.

His education includes: Corporate Financial Strategy at INSEAD Business School, France; MSc in Management Research, Cardiff, UK; and MBA in Finance Adelphi University, New York.

Mathieu Larochelle
Senior Director, Equity & Alternative Investments
Trans-Canada Capital

Mathieu serves as Senior Director, Equity & Alternative Investments, and has been with TCC since 2019. He is mainly responsible for the ongoing management, monitoring and research of TCC's public and private equity portfolios, including venture capital fund commitments. He is also heavily involved in the sourcing, underwriting and selection of private equity and venture capital direct co-investments and is a voting member of the External Managers Investment Committee (EMIC). He has nearly 20 years of investment experience covering alternative asset classes. Prior to joining TCC, he was a senior researcher and consultant at a large global investment consulting firm in charge of hedge fund and other alternative strategies for the benefit of institutional clients in North America, Europe and Asia.

Earlier in his career, Mathieu worked at a global operational due diligence consultant assisting institutional investors in making investment decisions. Mathieu holds a Bachelor of Commerce degree from Concordia University and he is a CFA and CAIA charterholder.

SPEAKER BIOGRAPHIES

Louis LaValle
Managing Director
3iQ Digital Assets (US) Inc.

Louis LaValle is Manager Director of 3iQ Digital Assets (US) Inc. Louis joined 3iQ in 2021 and is responsible for the development, execution, and investment management of the firm's institutional investment platform. Louis brings over 20 years of investment management experience advising global institutions on hedge fund, private equity, and digital asset investing. Prior to 3iQ, Louis held senior leadership positions at several alternative investment management firms including Neuberger Berman, EACM Advisors, Permal Group and SkyBridge Capital. He previously served as strategic advisor to Crescent Crypto Asset Management, a digital asset investment firm that created one of the industry's first suite of investable crypto index solutions.

He began his investment management career at Morgan Stanley, managing over $1 billion of capital on behalf of family offices and institutional investors. Louis holds a bachelor's degree with honors from New York University (NYU).

Didier Lavallee
Chief Executive Officer
Tetra Trust

Didier brings over 16 years of capital markets and asset servicing experience with in depth knowledge of equities and foreign exchange to Tetra. Prior to becoming CEO of Tetra, Didier held various leadership positions at the Royal Bank of Canada including Head of Canadian Custody Product for RBC Investor and Treasury Services. Before that, Didier also ran RBC I&TS North American FX Sales Trading team, FX Product and held various roles in capital markets with RBC, UBS and Genuity. Didier holds a B.A. in Finance and Administrative Studies from Western University.

Dr. Alex Vallejo Luce
General Partner
Creative Ventures

Dr. Luce is currently a General Partner at Creative Ventures, a venture capital firm focused on early-stage deep technology investing. He leads the firm's climate technology focus area and is active across all aspects of Creative Ventures' business, including deal sourcing, portfolio support, recruiting, personnel management, fundraising, culture, and strategy. He has been involved in over 30 private company financing transactions.

In addition to his work at Creative Ventures, Dr. Luce is passionate about increasing representation of Latino/a investors in the venture capital industry. He is a founding member of VCFamilia, a nonprofit organization dedicated to creating an inclusive environment for current and future Latinx investors. Dr. Luce has also been an active mentor to emerging venture capitalists for both the National Venture Capital Association and LatinxVC.

Dr. Luce holds a M.S. and Ph.D. in Materials Science and Engineering from UC Berkeley where he was a National Science Foundation Graduate Research Fellow and a Berkeley Chancellor's Fellow. He also holds a bachelor's degree in engineering physics from the University of Arizona where he was a National Hispanic Scholar.

SPEAKER BIOGRAPHIES

Craig Machel
Portfolio Manager & Investment Advisor
Richardson Wealth

Craig encourages his clients to think differently about investing in order to ensure a positive impact in his clients' financial circumstances. He works beyond traditional portfolio management in the equity and bond markets alone to include conservative and predictable alternative assets, offering more effective diversification and a benchmark that offers protection and peace of mind regardless of market conditions. Craig is a frequent commentator on alternative asset allocations for various media outlets, and a panelist and speaker at industry events.

Mark Maybank
Co-founder and Managing Partner
Maverix Private Equity

Mark is a Co-founder and Managing Partner with Maverix Private Equity where he leads the collective team and firm operations. As an active investor, director, advisor and entrepreneur, he has a wealth of experience spanning across multiple industries and geographies. This includes financial services, technology, health and wellness, media, telecom, oil and gas, services, and advanced manufacturing. With a passion to drive growth and a focus on human elements, Mark strives to scale Canada's strong and vibrant innovation-based ecosystem.

Prior to Maverix, Mark was the President and COO of Canaccord Genuity Corp, where he led the expansion of their wealth management and international capital markets businesses, positioning the firm as a global leader in commodity and technology financing.

In addition to being EVP, Corporate Development at Itemus Inc., a technology firm, he was also a Senior Technology Analyst with Yorkton Securities, and CFO of ECS Enhanced Cellular Communications, a US-based cellular services company. Mark earned his Chartered Accountant and Chartered Business Valuator designations at Deloitte in the audit, tax, and valuation advisory practices. Currently, Mark is the Chairman of Fleet Canada Inc. and serves on other boards including Forum Equity Partners and New Latitude Capital. Previously, he served on several boards including Executive Chairman (Advisory) of the Kirchner Group, Knix Wear, Entrec, Empire State Connector, Biologix, Moraine Capital, and Canaccord Genuity Financial.

Mark is an active philanthropist with former roles as Co-Chair of Gold Medal Plates, a Canadian Olympic Foundation program, Special Advisor to the Treasury Board of Canada, Ambassador for World Bicycle Relief, Advisor to SpiderTech cycling, member of the Corporate Advisory Board of the Art Gallery of Ontario and guest lecturer at the Director's College. Outside of the office, Mark and his family enjoy an active lifestyle which includes skiing, biking, running, and swimming. They enjoy travelling and spending time in Annecy, France and the Okanagan Valley, B.C.

SPEAKER BIOGRAPHIES

Vincent Molino
Head of Operational Due Diligence
Bitwise Asset Management

Vincent is Head of Operational Due Diligence at Bitwise Asset Management, and has worked in the investment industry for over 19 years. Prior to Bitwise, he was Head of Risk within Northern Trust's fintech products and held leadership positions at Mercer, Permal Group, Barclays and J.P.Morgan.

Vincent has authored thought leadership pieces on crypto, fintech, risk management, operations and industry trends. He has also spoken at conferences hosted by Bloomberg, the CFA Society, the Managed Funds Association (MFA) and the Alternative Investment Management Association (AIMA).

Vincent graduated from St. John's University with a B.S. in Political Science.

Charlie Morris
Co-Founder
CMCC Global

Charlie is the co-founder of CMCC Global, a blockchain asset management company that manages three digital asset funds as well as the Liberty Bitcoin Fund. CMCC Global was founded in Hong Kong in 2016 and today has offices in Asia and North America with a particular focus on Fintech innovation in Asia. Charlie's background a software engineer and management consultant allows him to combine a deep technical understanding of blockchain technology with the ability to evaluate its business implications.

Charlie's involvement in the blockchain space has included advising financial institutions, developing blockchain applications and investing in companies and protocols. He has given keynote addresses at the CLSA Investor Conference, Franklin Templeton annual offsite and the Global Investment Forum. He has also presented to senior management at many large institutions including Fidelity, Putnam Investments and Wellington Management.

James Nguyen
Co-Founder & CEO
Quantropi

Start-up Round-up Founder

James, born and raised in Ottawa, is a Ottawa Top Forty under 40 recipient and is the Co-Founder and CEO of a quantum AI cybersecurity company – Quantropi, a NATO approved supplier, 2022 Deloitte Fast 50 company and 2023 Future In Review – FiRe Starters recipient. As an emerging leader in emerging industries, he has been privileged to have worked alongside some of the greatest minds in the industry when it comes to emerging deep-tech such as fin-tech, new material sciences, quantum and AI.

He has travelled extensively globally and has built a strong network in emerging markets. With his background in investments and banking he has been an active investor in early-stage companies while speaking at global events to help bring technologies to market that will make the world better.

Read more at caasa.ca - or contact us at +1 (647) 953-0737 or caroline@caasa.ca

SPEAKER BIOGRAPHIES

Mukul Pal
Founder & CEO
AlphaBlock

Start-up Round-up Founder

Mukul has spent over 20 years in global financial markets, in investment management capacities, working from 2000-2004 for the Bombay Stock Exchange, HDFC Securities, and various financial institutions in India, from 2005-2010 consulting European asset managers and securities divisions of financial institutions like Société Générale, Raiffeisen, Uniqua Insurance, Bucharest Stock Exchange, Bank of Transilvania and starting 2011 building Smart Beta Investing solutions for asset owners and asset managers in North America.

He has published extensively, filed several patents, holds an MBA in Finance, a master's in applied econometrics and statistics, the CMT and CAIA designations, and has been awarded a top fintech award from MIT in 2016. In his current role, he runs AlphaBlock, a Deep Tech company that in its first application builds Indexes and Exchange Traded Funds.

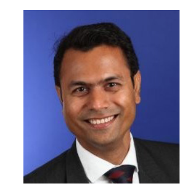
Prathna Ramesh
VP Operations
FutureSight

Start-up Round-up Allocator

Prathna is an early-stage investor and operator in B2B SaaS companies. She is currently a VP of Operations at FutureSight, a venture builder fund that backs entrepreneurs as an institutional cofounder with capital. She is the former Managing Director of Maple Leaf Angels in Toronto, one of Canada's most active angel networks. She served as GP (General Partner) of the MLA48 pre-seed funds and is currently the Chief Compliance Officer. She is active in the founder community as a business advisor and is an advocate for women in venture.

Kapil Dev Ramgirwar
Co-Founder & CFO
Rosseau Group

Start-up Round-up Founder



Kapil is the co-founder and CFO of Rosseau Group, a company focused on reengineering immersion-cooled data centers. Rosseau's solutions offer unrivaled efficiency and sustainability, expanding the potential of high-performance computing in areas such as artificial intelligence, edge computing, the Internet of Things, and Bitcoin mining.

With 20 years of experience in big 4 professional services, Kapil has provided assurance services to public and private financial institutions, including central and commercial banks, multibillion-dollar hedge funds, and private equity funds. He also developed and led the crypto assurance services with a big 4 professional services firm. Kapil is a CPA, CA, and holds a CFA charter.

Read more at caasa.ca - or contact us at +1 (647) 953-0737 or caroline@caasa.ca

Ajay Rao
Chief Financial Officer
Chandaria Family Holdings, Inc.

Start-up Round-up Allocator

Ajay is a seasoned family office and wealth management executive with a strong track record in managing and growing family wealth and investments. In a leadership role with a UHNW family business, he established their Family Office from scratch, implementing streamlined processes and risk management protocols. Previously, Ajay, a CPA from Ontario and India, held key Corporate Financial Leadership roles in India, Mauritius, and South Africa. Furthermore, Ajay serves as the Vice-Chair of FEI Canada's Private Company Committee's Family Business Thought Leadership Group.

Eric Richmond
President
Coinsquare

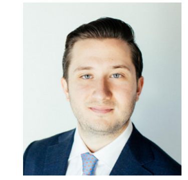
Eric Richmond is the President at Coinsquare, a leading Canadian cryptocurrency exchange. He is also a founder of Tetra Trust Company – Canada's first digital asset trust company and custodian. Eric has played a key leadership role in Coinsquare and Tetra's strategic direction and led the execution and negotiation of multiple financings, acquisitions and strategic partnerships. He has in-depth knowledge of the principles, applications and current developments relating to blockchain technologies, and the regulators' approaches to these technologies across multiple jurisdictions. Prior to Coinsquare, Eric was a lawyer at Blake, Cassels & Graydon LLP, where he advised clients on a variety of corporate and capital markets transactions, as well as corporate governance and securities regulatory matters.

Francis Sabourin
Portfolio Manager & Investment Advisor
Richardson Wealth

Financial Planner and Portfolio Manager, Francis Sabourin, Director, Wealth Management at Richardson Wealth, was awarded the Distinguished Advisor Award (prix Conseiller émérite) for the year 2016 by the publication Finance et Investissement, highlighting the good performance of his portfolios, both equal to or higher than the benchmarks, while minimizing the risks, thereby focusing on good capital protection. The Advisor of the Year Award is designed to recognize an advisor with at least 20 years of experience, who has marked the financial industry with a unique and remarkable practice. Francis celebrated 25 years of practice on October 5, 2017.

Shael Soberano
Chief Investment Officer
Sharno Group Inc.

Start-up Round-up Allocator



Shael Soberano, CFA, is the Chief Investment Officer of Sharno Group Inc., a privately owned independent principal investment group and family office focused on identifying strategic, value-add investment opportunities across a wide range of traditional and alternative asset classes and strategies. Shael brings the most current analytical tools and rigorous discipline to the financial analyses he utilizes in capital allocation decisions. Shael developed and refined his financial expertise as a securities analyst and principal investor across a wide array of alternative investments. Shael is also the President & CEO, Portfolio Manager & Chief Compliance Officer of Sharno Capital Corporation, a registered Exempt Market Dealer and Portfolio Manager with the Ontario Securities Commission, and distributes securities in Ontario to eligible Accredited Investors.

Most recently, Shael Soberano was Vice President, Senior Analyst at Vision Capital Corporation, a leading Toronto-based Hedge Fund manager focused on publicly traded real estate related securities. Shael first joined Vision Capital as an Analyst in 2009, shortly after its inception. He was promoted to Vice President, Senior Analyst in 2014, and was registered as an Advising Representative with the Ontario Securities Commission in 2017. With a wide range of roles spanning both business development and investment management initiatives, Shael's contributions were integral in growing Vision Capital's assets under management and contributed to its award-winning risk-adjusted performance over that period.

Shael is a CFA Charterholder and completed the Bachelor of Management and Organizational Studies Honours Degree with a Specialization in Finance at the University of Western Ontario. Shael completed the Partners, Directors, and Senior Officers (PDO) Course in 2019 and the Canadian Securities Course (CSC) in 2010, provided by the Canadian Securities Institute (CSI).

Alik Sokolov
Co-Founder & CEO
Responsiblii

Start-up Round-up Founder

Alik's experience spans the fields of finance and AI in industry and academia. Alik spent 6 years in Deloitte consulting as an ML team lead, and a year at Mithril Capital as a venture capitalist investor. He is also an accomplished researcher, having published works on applying deep learning to financial time series as well as for ESG investing. The latter set of algorithms is the backbone of Responsibli.

Yaron Vorona
Founder
Vorona Ventures

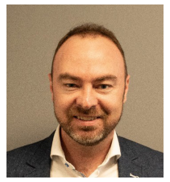
Start-up Round-up Allocator

Yaron Vorona is a serial founder turned VC, Backing Innovators Building Abundance. Yaron has spent the past 15 Years working in finance and strategy for Deep-Tech ventures, raising over $55m. He co-founded companies in the cybersecurity, AI, blockchain, and defense sectors. His global network stems from his experience working in Asia, Europe, the Middle East, Africa, and North America. Yaron holds an MBA from the London Business School, and a BSc in Physics and Business from the University of Waterloo. He's a Father, Explorer, Connector, and Personal Excellence Practitioner.

SPEAKER BIOGRAPHIES

Ryan Wallace
Co-Founder
Snowshoe.ai

Start-up Round-up Founder



Ryan Wallace is the CEO and co-founder of Snowshoe.ai. He is a Y-combinator alumnus with multiple successful exits. Ryanstudied electronic engineering and consults on applying artificial intelligence in the Enterprise.

Ali Yahyaoui
Chief Executive Officer
LexiComply

Start-up Round-up Founder

Dedicated professional with a strong academic background and practical experience in launching successful startup ventures. Adept at leveraging innovation and technology to drive growth. Master's degree in Applied Entrepreneurship and hands-on involvement in multiple startup initiatives showcase a solid foundation for transforming ideas into thriving businesses.

Hudhaifah Zahid
Co-Founder & CEO
econommi

Start-up Round-up Founder

Hudhaifah is a blockchain engineer turned co-founder & CEO of econommi. He is on a mission to transition the world away from debt, towards assets instead. Personally affected by a broken credit system, he believes we can all do better. His work brings a fresh, systemic perspective on money, society and incentives. His team has engineered a more equitable financial instrument for vehicle loans. They hope to expand this to all sorts of assets and contracts in the near future.

Esther Zurba
Director
Castle Hall Diligence

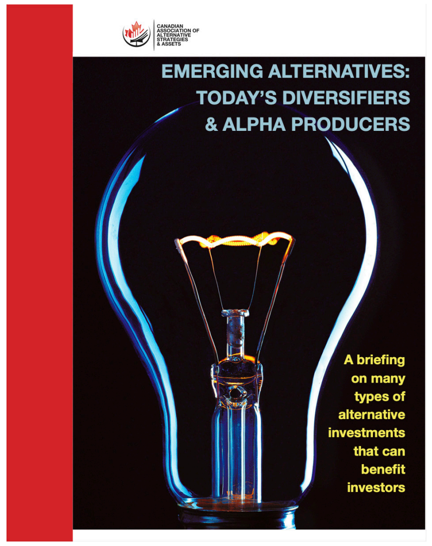
Esther Zurba is a Director with Castle Hall, responsible for lending subject matter expertise across Castle Hall Diligence's ongoing product development and client engagements. Esther has more than 20 years of professional experience including over 15 years conducting operational due diligence on global investment managers across all asset classes. Esther joined Castle Hall Diligence in 2012 from Scotia Capital's Trade Operations and Risk Control group, where she conducted ODD on external asset managers supporting multiple business lines.

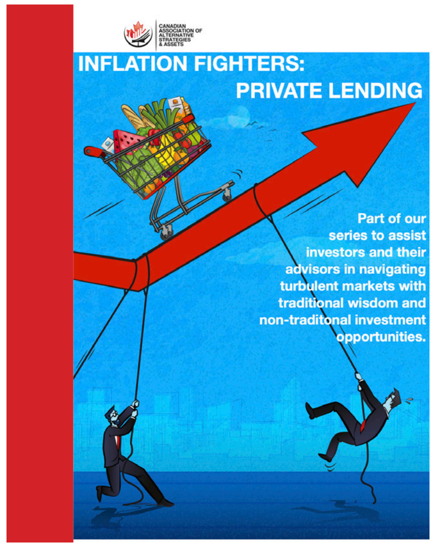
Prior to joining Scotia Capital, Esther worked with Mapleridge Capital, a Toronto based short-term systematic CTA. Esther holds an MBA from Richard Ivey School of Business at the University of Western Ontario, and BA (Hons) from University of Toronto.

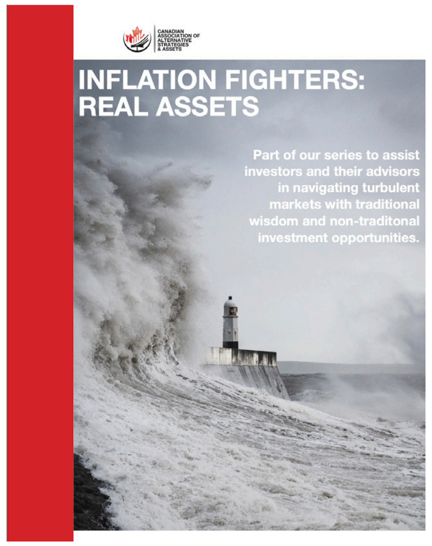
Esther is also a CAIA charterholder and has been actively involved with the CAIA Association since 2007 in various capacities, including as current co-head of the CAIA Toronto Chapter. Esther is also a member of AIMA Canada's Legal, Finance & Compliance Committee.

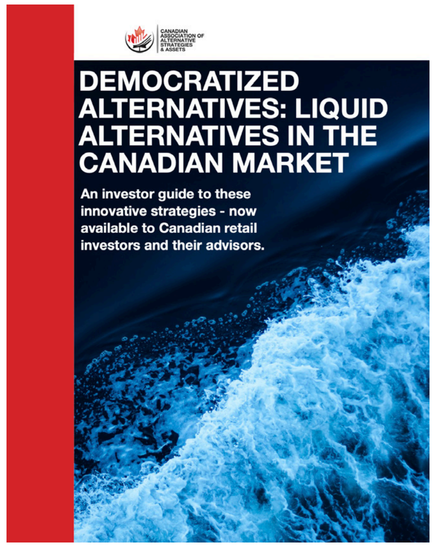
RECENT PUBLICATIONS

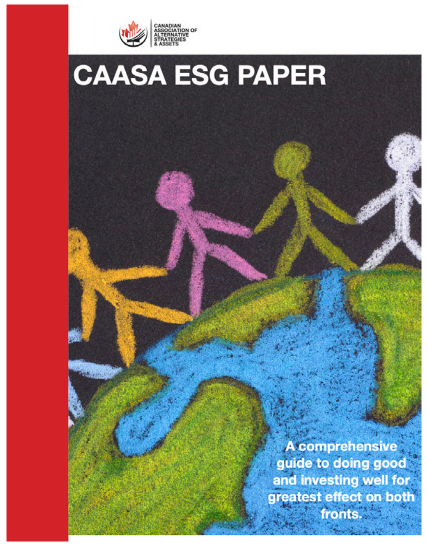
Read our publications; available for download on the CAASA site under the Resources:Publications tab

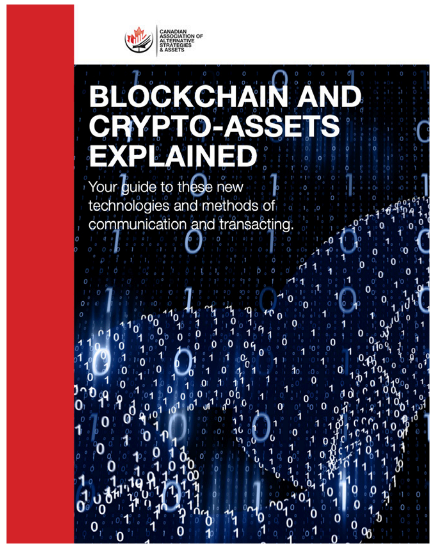


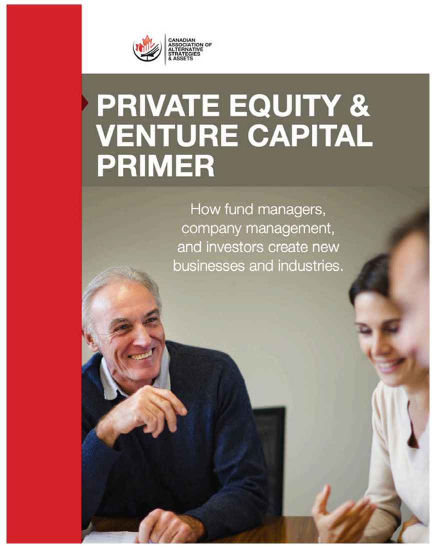












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