CAASA Family Office Summit 2022

Presented by: the Canadian Association of Alternative Strategies & Assets

CAASA
CANADIAN ASSOCIATION OF
ALTERNATIVE STRATEGIES & ASSETS

Tuesday, May 3rd, Wednesday, May 4th & Thursday, May 5th

Program sponsor:

Morgan Stanley

INVESTMENT MANAGEMENT

ABOUT CAASA

INCLUSIVE, ACTIVE, AND PAN-ALTERNATIVE

The Canadian Association of Alternative Strategies & Assets (CAASA) was created in response to industry requests for a national group to represent the Canadian alternative investment participants, including investors, asset managers, and service providers. CAASA is inclusive in that it welcomes participation from all companies active in the space as well as select individuals (those with investors) who might want to participate in committees and working groups - or simply attend member events - without their employer being a member of the association. CAASA is very active in both committees & groups and events: 65 webinars in 2021 and at least 70 planned in 2022 + 4-6 full-scale conferences eachyear. Pan-alternative, for CAASA, encompasses all alternative strategies and assets including: hedge funds / alternative trading strategies, private and public real estate (funds and direct), private lending, private equity, development & project finance, digital assets / cryptoassets, weather derivatives & cat bonds, and all aspects of diligence, trading, structuring, dealing, and monitoring alternatives in a stand-alone portfolio and as part of a larger investment strategy.

MEMBER BENEFITS

Investors join CAASA to be a part of a formal network of pension plans, foundations, endowments, sovereign wealth funds, and family offices to discuss ideas, strategies, and operational issues particular to their businesses - all within a closed group where managers and service providers may or may not be included, depending on the forum.

Managers see the association as a way to connect with peers, investors, and service providers to speak to fund structuring, sales & marketing, and regulatory issues. CAASA is not a capital introduction platform, but we do create forums where investors and managers can meet organically or via structured meeting sessions where participation by the investors is strictly opt-in.

Service providers participate in our events and working groups as well as assist in the production of thought leadership pieces which provide relevant information to both association members and the industry and investing public at large.

Founders participate in our Founders' Pitch Competitions as well as other initiatives created to propel their fledgeling businesses forward.

NATIONAL AND GLOBAL

CAASA believes that the Canadian alternatives industry has a great deal to offer Canadians and the global community. The *Canadian Model of Pension Management* is well-known for its large alternatives focus, managed in-house in many cases with substantial allocations to external managers as well. Canadian investment managers operate in a robust regulatory regime (of hedge fund managers) that is becoming the norm across the globe and a stable banking back-drop that provides solace for investors as well as opportunities for managers. Talent in investment management (approximately 10% of all CFA charterholders reside in Canada) as well as newer areas such as digital assets and robo-advisory services are a differentiator. Of course, Canadian investors and managers are keen to learn of best practices in operations and portfolio management from their global peers.

WHY JOIN?

As mentioned, we have attracted 330+ members over the last 4 years or so and the reasons are plentiful and varied. Whether an investor, manager, or service provider, some seek a group of like-minded individuals in the alts space to connect with on an on-going and (semi-)structured basis; others have a more commercial interest in that they are intent upon being aware of the newest trends in the industry and (providing they have one) presenting their knowledge to an audience of peers; and others have made their mark (or are on their way to doing so) and really just want to give back to a group of people that has done a great deal for them.

Whatever your motivation, if you're not in membership yet we'd love to hear from you!

WELCOME TO OUR IN-DEPTH LOOK INTO FAMILY OFFICE ISSUES

The CAASA Family Office Summit brings together speakers and participants from single and multi-family offices, investment dealers, Canadian and global investment management houses, and affiliated service providers. Panels and break-out sessions will focus on key issues facing family offices in Canada and elsewhere including structuring, legal & tax issues, IT and operational areas, and investments - including: hedge funds, CTAs, private equity, private lending, real estate, infrastructure, and crypto-assets/blockchain-related investments. All content is subject to Chatham House Rule.

THANK YOU TO OUR SPONSORS

DART Family Office
SLATE Securities
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ICM Asset Management
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AGF
MGG Investment Group
NEXT EDGE CAPITAL
Figment
GROUP RMC
YTM CAPITAL
Stewart Asset Management, LLC
GDA Capital
Amundi Asset Management
Morgan Stanley Investment Management
ALGONQUIN CAPITAL
ANTRIM INVESTMENTS

FORMATS FOR THE DAYS

A PLETHORA OF WAYS TO LEARN AND INTERACT

TÊTE-À-TÊTE MEETINGS

This is your opportunity to schedule one-on-one meetings with any conference delegate. All matching MUST be completed by end of day on Monday, May 2nd to ensure the scheduling software has time to confirm the meetings and each one is served up to you at the right time.

You can schedule as few or as many (up to 33, 15-minute meetings over the 3 days): each afternoon on May 3rd & 4th, and the morning of the 5th. Over the years we received feedback that these are possibly the best part of our conferences as it gives folks an opportunity to connect away from the scheduled panels and meal times and really drill down into their respective interests. The software automatically suggests times that are mutually convenient for both parties.

Anyone can book a meeting with any other delegate. Please decline meetings that you might not be interested in or able to commit too - then the other party can invite another to chat. And if you are interested, you're free to connect via our messenger or email (we do not release delegate emails or other contact information, so you'll have to ask for it or send yours along to them and await a response).

All meetings will be scheduled via our conference app and web portal. Meetings should be completed by noon on Monday, May 2nd to both parties accept the meeting. Delegates are free to have ad hoc chats as well, of course, during the course of any of the days at the venue.

TABLE TALKS

We do not sell paid-for speaking spots, preferring delegates to choose when they might like to hear from sponsors. Table Talks are 25-minute sessions where anywhere from 2 to 12 delegates can get a briefing on a topic and participate in an interactive discussion. As with all of our events, we refrain from pay-to-play and draw all speakers from membership and prefer audiences to opt-in to more niche discussions, as will be delivered at the Table Talks.

Attendees can choose up to two sessions out of those offered on Day 1 & 2. **Titles/topics of each session will be published on our conference app and web portal, also where attendees will register for their desired sessions. This should be completed by noon on Monday, May 2nd to ensure a table is not fully-booked, or delegates can simply sit at any of the open tables at those times.**

DAY 1 AGENDA

TUESDAY, MAY 3rd

7:00 AM	*Registration - pre-purchase only, no on-site reg*
8:00 AM	***Breakfast & Keynote - Wake Up to Our Tax & Estate Update*** **Carol Bezaire,** Mackenzie Investments *Will they, won't they: raise taxes, scrap the advantageous capital gains inclusion rate, tax principal residences, enhance the alternative minimum tax, raise property and/or transaction taxes - take your pick! This chat will focus on what we know and what might occur in successive federal, provincial, and local budgets. Bring your questions!*
9:00 AM	***NowTalk - The Domicile Decision by DART*** **Sue Nickason,** Dart Family Office *Anyone who has visited the Cayman Islands knows of Camana Bay, the Ritz Carlton, Grand Cayman, Kimpton Seafire Resort + Spa, and other developed/ owned by Dart. Its patriarch, Kenneth Dart, moved to Cayman entirely more than 20 years ago. Numerous family offices, technology and other operating companies have now joined the 12,000+ investment funds on Cayman. Learn why from Sue.*
9:30 AM	***Family Offices and Digital Assets (Advanced Class)*** **Michael Branco,** Celeste Ventures **Lorne Sugarman,** Metaverse Group **Paul Stapleton,** Fidelity Clearing Canada **Charlie Morris,** CMCC Global *Family Offices are gaining exposure to digital assets at an accelerating pace and many others are intent upon learning as much as they can about these innovative investments: Bitcoin and other cryptocurrencies (either direct or via funds and ETFs), various venture capital opportunities, listed mining and other digital plays, Metaverse-oriented securities, and even NFTs.*
10:30 AM	*Morning Coffee Break*
11:00 AM	***Credit's Place in Today's Market*** **Marco Lukesch,** Emso Asset Management **Matt Shandro,** Fulcra Asset Management **Shalini Sriram,** Third Point *High inflation. Low (and rising!) interest rates. Volatile trading. What is an investor to do? This panel will illustrate various trading strategies and sectors of the credit market as alternatives to more plain vanilla exposure opportunities.*

DAY 1 AGENDA

TUESDAY, MAY 3rd

11:45 AM	***The Many Facets of Equity*** **Cameron Richards,** Guardian Partners **Desmond Kingsford,** Highwood Value Partners **Mark Maybank,** Maverix Private Equity **Jason Moore,** Man Numeric *It used to be that equity investing was almost exclusively public market securities but access to many areas hitherto unreachable by all but the most sophisticated and connected investors has changed this. Join us for a varied and expert panel that truly shows how one can make the most of this asset class.*
12:30 PM	***Lunch & Keynote – Clubbing/Succession*** **Neil Nisker,** Our Family Office **Evelyne Massa,** Group RMC *Clubbing deals is natural for families: it draws upon the expertise of the group, reduces risk via diversification, and allows access to deals and markets that might not otherwise be possible. Once a tidy sum has been amasses, one naturally turns to the next generation and ensuring the have opportunities and motivation to fulfill their passions and advance the ethos of the family – to put the success into succession.*
1:30 PM	*Afternoon Coffee Break*
1:45 PM	***Hosted table talks:*** **Avenue Living Asset Management** - *Navigating Inflation and Interest Rates with Real Estate* **YTM Capital Asset Management** - *What should I tell my clients about Fixed Income?* **ICM Group** - *Music Royalty Investing – Alternative Income Made Accessible – The Why and The How* **Next Edge Capital** - *Yield Premium in Private Lending Explained – Where to find it/ How to get it* **Amundi Asset Management** - *Your Introduction to Amundi* **AGF Investments** - *Private Credit Solutions for Family Offices: Opportunities emerging within the Canadian private credit market* **Algonquin Capital** - *Fixed Income Sucks* **GDA Capital -** *The Role of Digital Assets in Strategic Asset Allocation* *Choose from 2 x 25-minute roundtable discussions*
2:45 PM	*Tête-à-tête meetings (2 x 15-minute 1:1 meetings)*

DAY 1 AGENDA

TUESDAY, MAY 3rd

3:15 PM	***Meeting of the Minds – Today's Quant*** **Jay Barrett,** SmartBe Investments **Matt Piselli,** FORT LP **Nicolas Mirjolet,** Quantica Capital *Quantitative methods have been used since the dawn of investing to create securities valuation models, allocation strategies, and (more recently) systematic trading systems that can facilitate implementation of sophisticated programs based on fundamental and/or price action inputs.*
4:00 PM	*Tête-à-tête meetings (4 x 15-minute 1:1 meetings)*
5:00 PM	***End of Day 1 for non-sponsor Managers & Service Providers***
5:00 PM	***Sponsor & Investor Reception*** **Mackenzie Putici,** New World Wine Tours  Mackenzie Putici New World Wine Tours *Once again, Mackenzie will lead us in a tasting of select reds and whites. Always a crowd-pleaser, his knowledge of all types of wines and other drinks is encyclopedic. This will be fun!*
6:00 PM	***Single Family Office Roundtable - Family Members Only*** **Evelyne Massa,** Group RMC **Daniel Stow,** Zen Capital & Mergers **Brandon K. Laughren,** Laughren Group *Life as the principal of a family office can be exciting, inspirational, and busy, while at the same time worrisome, stressful, and draining. We provide this (strictly!) family members only dinner as an opportunity to meet with your peers and share questions, concerns, solutions, and insights across the table. We have nominated three leaders/instigators to get things going and guarantee that all will find the evening illuminating and fun.*
6:00 PM	***Single Family Office Roundtable - Professionals Only*** **Jason Granger,** Fipke Group **Enzo Gabrielli,** Horizon Capital Holdings **Scott Morrison,** Wealhouse Asset Management Working in a single family office is not your typical investment management job – you gain the benefit of a sometimes extremely flat organizational structure and many find the spectrum of duties and tasks to be (very) intellectually stimulating. On the other hand, it can be isolating and difficult to keep up with the pace of work: each family has its own dynamic and cadence so we created this dinner where (strictly!) full time employees (not relatives) of SFOs can interact with their peers to get the most out of their roles.
8:00 PM	*End of Dinners & Day 1 for All*

EXCLUSIVE SFO DINNERS

TUESDAY, MAY 3rd

EXCLUSIVE SESSION FOR SFO FAMILY MEMBERS

Feedback from previous events guide us in creating future programming, and this session is no different. We have created a time where just the relatives / family members of single family offices can discuss areas of interest to them, lead by a team of three of their peers.

Participants can speak as much as they like, knowing that ONLY their peers are with earshot - managers, service providers, and professionals at SFOs (they have their own session) and all others are not a part of this Zoom room - and we hope to hold an In Real Life one soon as well!

If you are an SFO relative and not registered for this session (it is a special invite and we have limited capacity to the room), please let CAASA staff know and we will add you to the list!

This year's three leaders/instigators are from Vancouver, Montréal, and New York and each brings with them a varied family history and view on investing and interacting with their other relations as their family trees evolve over the years.

Topics on offer include:
- Managing requirements, preferences, and expectations of family members
- Succession planning
- Tips from the trenches: ways their families have exploited arbitrages and structures to make the most of what they have
- Working with internal and external advisors and asset managers
- Estate and will planning issues (and solutions)
- Retaining one's entrepreneurial edge
- Philanthropy & giving

EXCLUSIVE SFO DINNERS

TUESDAY, MAY 3rd

EXCLUSIVE SESSION FOR SFO PROFESSIONALS

Working in a single family office is different from other areas of the industry and each one is unique in terms of its family dynamics, asset mix, investment and diligence structure and process, and other areas that can make the role a joy. This session is ONLY for professionals (no family members nor other persons, including sponsors) at single family offices in a full-time capacity.

If you are an SFO professional and not registered for this session (it is a special invite), please let CAASA staff know and we will add you to the list (capacity is limited).

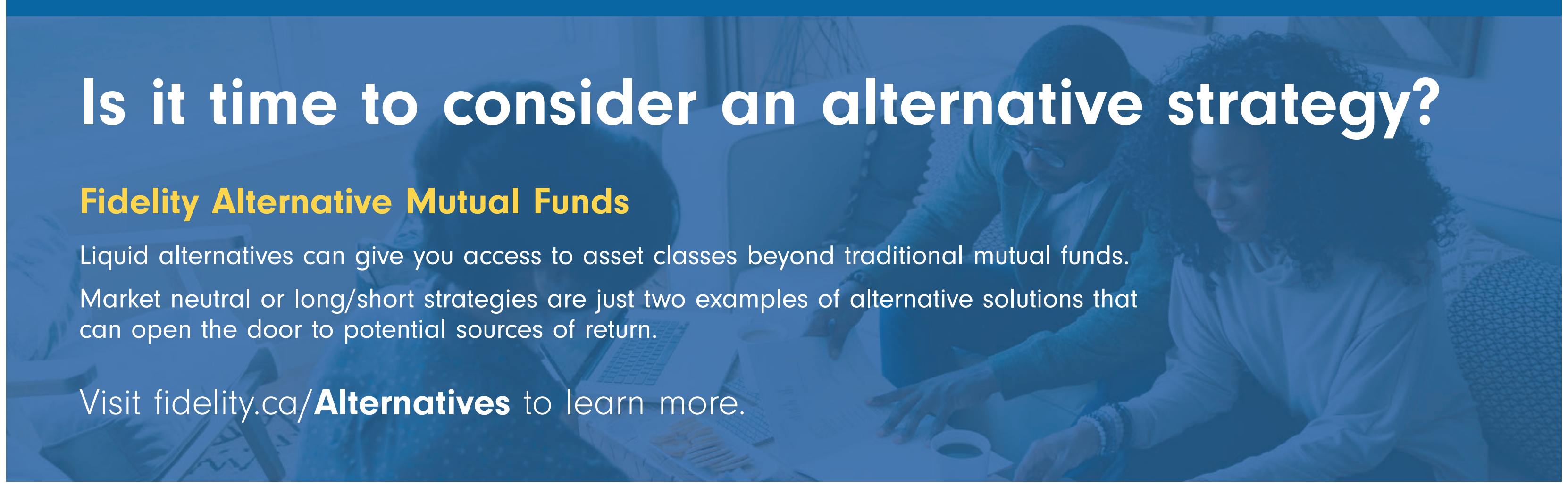
Your discussion leaders are from Kelowna, Toronto, and Montréal and have a wealth of experience in the space.

Topics on offer include:
- Working for a family/patriarch/matriarch
- Making the most of your career with an SFO
- Engaging with external advisors and other professionals
- Clubbing deals and co-investments (with other families)
- Survey of family office structures: high/low touch/interaction, G1/2/3/4/5...
- Non-typical services/tasks performed by SFO professionals
- Integrating family values/philosophy into investment decisions
- Supporting family philanthropy

DAY 2 AGENDA

WEDNESDAY, MAY 4th

8:00 AM	***Breakfast & Keynote - Domiciling Your Digital Assets*** **TBC,** Stonehage Fleming *There is no denying that digital assets have created a great deal of wealth for a growing number of investors and maths/coding enthusiasts - but how can families ensure that, like all of their assets, they are in the right domiciles and structures to ensure they have the most advantageous tax and legal treatment? This chat will cover a (real life) case study of this sort of situation from an expert in the field.*
9:00 AM	***NowTalk – Easy Alpha – Class Action Services*** **Bob Williamson,** Battea Class Action Services *Every investor knows that we are in a litigious world and securities settlements are growing each year as classes of security-holders seek relief via the courts. Obtaining a judgement is only part of the process, though. One must prove their holding and file their paperwork in a prescribed manner by the due date in order to receive their due amounts. Class action services specialize in ensuring maximum benefit, for a contingency fee. They can also uncover monies otherwise unknown to investors by surveying their portfolio for relevant tickers and holding periods.*
9:30 AM	***Real Estate for Good*** **Jason Campbell,** Eckler **Funke Okubadejo,** Actis **Jonathan Turnbull,** Harrison Street **Erik Dmytruk,** Slate Securities *Possibly the oldest investment, real estate has long provided a roof over one's head, and a place to work, play, and shop/etc. One drawback is the incredible amount of energy and carbon-producing materials and processes that are required for its construction and maintenance. This panel investigates the many ways that we can make use of this essential asset while ameliorating its potential negatives.*
10:30 AM	*Morning Coffee Break*
10:45 AM	*Tête-à-tête meetings (4 x 15-minute 1:1 meetings)*
11:45 AM	***Financing the Future & Providing Private Yield*** **Athas Kouvaras,** Richter Family Office **Dev Gopalan,** Sagard Holdings **Theresa Shutt,** Fiera Private Debt **Daniel Leger,** MGG Investment Group *Private Lending has grown immensely over the last few years – not least because of low interest rates, but also with a growing openness of regulators to provide vehicles active in this area and investors coming to understand the risks and returns on offer in these securities. Our learned panel will illuminate some lesser-known areas as well as mainstream sectors that deserve attention.*



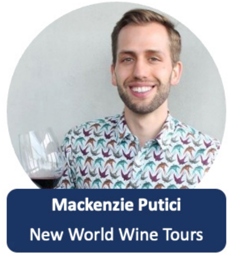
DAY 2 AGENDA

WEDNESDAY, MAY 4th

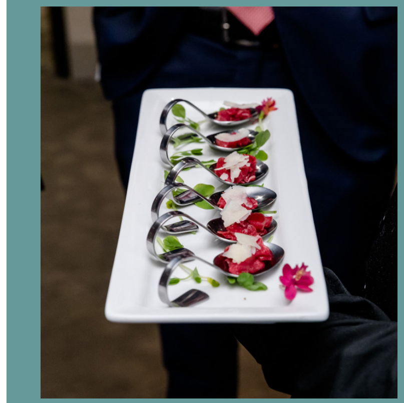
12:30 PM	***Lunch & Keynote – Canadian Multi-family Office Evolution*** **Mindy Mayman,** Richter Family Office *The creation of centralized concerns providing a range of services to family offices (aka multi-family offices) many decades ago allowed certain families to gain access to opportunities and expertise that they could not have discovered nor financed perhaps on their own. It also created a cousin-class of professionals to those working in single family offices – ones who can see across various dynasties with unique and varied needs and synthesize solutions tailored to types of families and also to each one individually. As prosperous families grow in number, they seek out those with the ability to provide counsel just as those doing so are proliferating. This talk will discuss the state of advice today and where it is headed.*
1:30 PM	*Afternoon Coffee Break*
1:45 PM	***Hosted table talks:*** **Slate Securities** - *Private & Public Real Estate – Offering access to opportunities, globally* **Waratah Capital Advisors** - *Long/Short Liquid Alternative Funds in Canada* **Fidelity Investments** - *Widening the Investment Opportunity Set Through Equity Alternatives* **Stewart Asset Management, LLC** - *High Conviction investing in growth stocks* **Dart Family Office** - *The Cayman Islands – A Jurisdiction of Choice for Family Offices* **Figment** - *Staking 101 - Support Blockchain Infrastructure and Earn Yield on Digital Assets* **Group RMC -** *The Institutional Imperative - Why herd mentality doesn't always get you the best deal* **Antrim Investments** - *Fixed Income Solutions in a rising rate environment* **MGG Investment Management** - *The Pros and Cons of Non-sponsor vs Sponsor-backed private lending* *Choose from 2 x 25-minute roundtable discussions*
2:45 PM	*Tête-à-tête meetings (4 x 15-minute 1:1 meetings)*
3:45 PM	***NowTalk – Making an Impact (In More Ways than One)*** **Brad Crombie,** Alquity Investment Management *ESG investing is a hot topic (no pun intended) and unlike institutional investors that are investing a beneficiary's monies, families are putting their own capital to work and many really, really care about where it goes and what it does in terms of their core values. There is a real passion here by many family members to ensure they do well and invest well and this talk will present one manager's view on how to achieve laudable goals.*

DAY 2 AGENDA

WEDNESDAY, MAY 4th

4:15 PM	***Digging Deeper into Alternatives*** **Joseph Bakish,** Bakish Wealth, Richardson Wealth Limited. **Atul Tiwari,** Cult Wines **Sasha Polishchuk,** Carlisle Management Company S.C.A. **David Vankka,** ICM Asset Management *So-called alt-alts are outside of the typical public and private equities or debt instruments and assets such as real estate. They are taking a unique tack as they navigate the investment landscape. These managers and their differentiated offerings can provide investors with diversifying return streams without sacrificing liquidity, safety, or other key factors associated with other alternative investments.*
5:00 PM	***CAASA de Mayo (for all delegates)*** **Mackenzie Putici,** New World Wine Tours *Once again, Mackenzie will lead us in a tasting chosen just for this group and date (hint: it may involve a lime). This is a great opportunity to relax a bit and learn about our drinks of the day.* 
6:00 PM	*End of Day 2*



DAY 3 AGENDA

THURSDAY, MAY 5th

8:00 AM	***Breakfast & Keynote - Building Your Venture Portfolio*** **Kamal Hassan,** Loyal VC *Venture investing can seem simple and daunting at the same time. Selecting opportunities, sizing positions, and choosing which will receive follow-on investments have many ways that on can make mistakes. This talk will illustrate a simple heuristic that investors can use to get the most of their venture sleeve and provide discipline throughout the investment cycle.*
9:00 AM	***NowTalk – Arbing Crypto*** **Mitchell Nicholson,** KPMG *Over the last few months you may have come across many of the areas of investment in digital assets. This talk focuses on cryptocurrencies, coins, and tokens as well as DeFi platforms where these investments can become more than simply buy and HODL assets. This talk will delve into these opportunities and get everyone up on this new-new thing.*
9:30 AM	***Families Allocating to VC*** **Jean Courcelles,** Mirabaud Canada **Marcus Daniels,** Highline Beta **Ajay Gopal,** Framework Venture Partners **Ian Whytock,** Tidal Venture Partners *Many families owe their fortune to a dedicated trail-blazer who staked their claim in a new industry – or invented one! Today's start-up founders have similar dreams of providing a service to a growing market, creating well-paying jobs, and delivering true value to everyone around them; all while (possibly) creating multi-generational wealth. Before this can be done, however, they need capital to make their ideas come to life – and that could be where successful families come into the picture. This panel provides the insight and opinions of experienced VCs in various niches on how families can ensure the catch the next waves of innovation.*
10:15 AM	*Tête-à-tête meetings (3 x 15-minute 1:1 meetings)*
11:00 AM	***Reflections & Opportunities Going Forward*** **Speakers TBD** *A unique opportunity for those who would like to take in all that the previous days offered. A selection of our family office attendees will take the stage and speak to the many nuggets of wisdom and great ideas from the many panels and discussions. Not to be missed!*
11:45 AM	*Lunch*
1:00 PM	*End of Summit - Thank you!*

TABLE TALKS - TUESDAY, MAY 3

NEXT EDGE CAPITAL

GDA Capital

ICM Asset Management

AGF

avenueliving asset management

YTM | CAPITAL

TABLE TALKS - WEDNESDAY, MAY 4

SLATE Securities

ANTRIM INVESTMENTS

Figment

GROUP RMC

WARATAH

DART Family Office

MGG INVESTMENT GROUP

OUR DATAROOM

ALL THE DATA REQUIRED TO MEET YOUR (INVESTMENT) MATCH

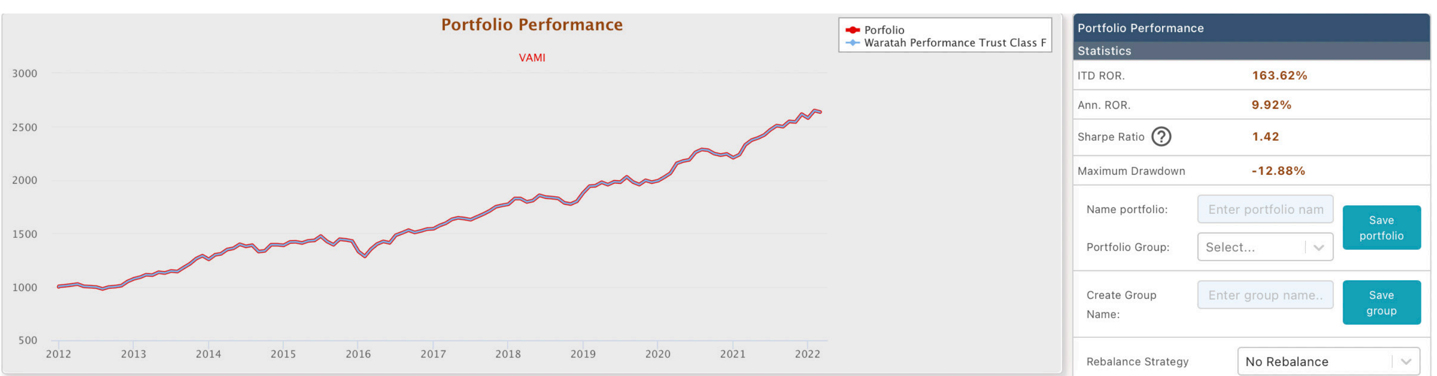
At the suggestion of one of our single family office members, we partnered with **SigmaSandbox** to create a dataroom to provide a more robust experience for managers and investors by getting performance and other information into the hands of prospective investors. We believe this free offering, exclusive to CAASA members, can be used in advance of the conference, during it, and afterward as much as one might like.

FOR INVESTORS

Simply create a login and you can search the database, choose any number of funds, introduce them into a portfolio approximating yours (more than 2,500 ETFs and 115 indices to choose from) to see how they might have affected its returns, and/or download tear-sheets of the fund to get portfolio and other data on it, the manager, and whom to contact to get more information. You can also use this to research managers who have invited you to meet or, if you like, extend your own invitations for a tête-à-tête (more on these on subsequent pages).

FOR MANAGERS

Uploading your performance and other information gets you on the radar of delegates at the conference and, as **SigmaSandbox** and CAASA will be making this available to more than 1,000 endowments, other significant investors, and wealth managers across North America and around the world with our ongoing events and those held in concert with other associations and the Government of Canada. It is simple and easy to do so and sets your fund apart with the opportunity to have many investors see how well you manage funds entrusted with you.



SigmaSandbox

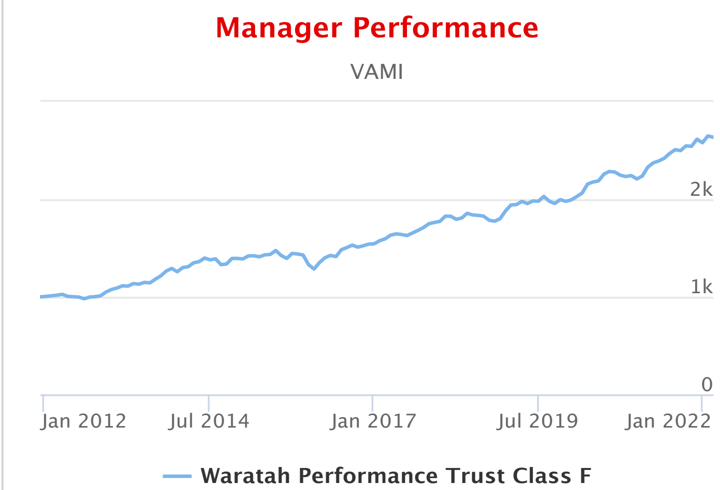
Waratah Performance Trust Class F

Contact Information
Waratah Advisors

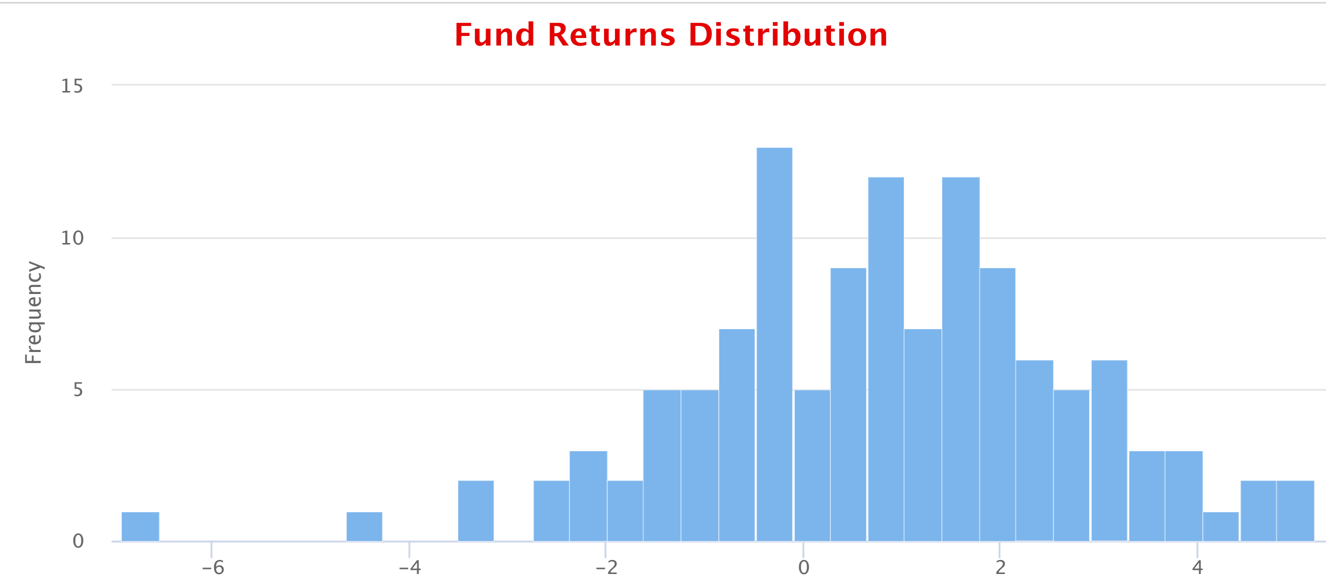
Fund Details
Waratah Performance uses research driven stock selection, dynamic portfolio construction and active factor management to produce double digit compounded returns with lower volatility and drawdowns than North American equity markets.

Statistics & Ratios Summary

	Fund	Benchmark
Ann. RoR	9.92 %	N/A
Sharpe Ratio (0%)	1.42	N/A
Volatility	6.85 %	N/A
Average Monthly Return	0.81 %	N/A
Highest Monthly Return	5.19 %	N/A
Lowest Monthly Return	-6.91 %	N/A
Maximum Drawdown	-12.88 %	N/A
% Positive Months	65.85 %	N/A
% Negative Months	34.15 %	N/A
Average Monthly Gain	1.90 %	N/A
Average Monthly Loss	-1.29 %	N/A
1Y Return	13.19 %	N/A
1Y Volatility	4.78 %	N/A



About the manager:
Waratah Performance is a directional long/short North American equities strategy. The fund targets double digit compounded returns with lower volatility and drawdowns than the North American equity markets.



SUMMIT POLICIES

PRIVACY & SECURITY

We do not release the names of individuals attending CAASA events - including to sponsors and speakers at events - although we may disclose the employers of attendees, except for those working at single family offices which are redacted. For this gathering, of course, all delegates have access to the individuals' names and employers for the purpose of scheduling meetings and booking seats at Table Talks. However, **we never release the email addresses or phone numbers of attendees**; asking instead that folks reach out via the mobile app / web portal or other means such as corporate websites or services such as LinkedIn. We can possibly pass on contact requests to another party, without any guarantee of a response.

All of our sessions are strictly Chatham House Rule and we ask all delegates to refrain from using screenshots and other archival methods as well as not tweeting or using other social media concerning any of the speakers, topics, or information communicated. Any communication should be broad and general and have absolutely no attribution of any notions to any speaker.

SPEAKER BIOGRAPHIES

Thank you to our many speakers, presenters, and those who added their themes and topics, panel and non-industry speaker ideas, and other invaluable input!

SPEAKER BIOGRAPHIES

Ralph Awrey
Director, Family Office, Canada
Stonehage Fleming

We are valued advisors to the world's leading families and wealth creators. We manage and protect their wealth, often across multiple geographies and generations. We work with families to help determine a purpose and strategy for their wealth, providing the platform to protect and manage their assets, across generations. This support can vary from a single standalone service to operating as a fully outsourced Family Office. With a sixth generation family at our core, we bring the families we support the benefit of shared experience; the challenges and opportunities they face will often be unique to them, but not to us. We give them the peace of mind and the time to focus on what's most important to them.

Ralph is Director for Stonehage Fleming, based in Toronto. He has more than 20 years' experience specialising in working with families and their advisors across the Americas providing strategic counsel, management and implementation covering the full spectrum of a family's wealth requirements His experience in both living and working internationally allows him to bring the right resources and practical wisdom to help international families manage their wealth and protect their legacy for this generation and those to come.

Prior to joining Stonehage Fleming, Ralph held a variety of senior leadership roles in wealth management across the Americas with Canadian banks and a Single Family Office. This included a 20-year career with Royal Bank of Canada (RBC) through postings in Canada, Barbados, and the Cayman Islands where he also served on the Boards of RBC's Delaware and Caribbean Trust companies. Ralph is a Chartered Accountant (Canada), and has a Masters in Business Administration from The Rotman School of Management, University of Toronto, and Honours Bachelor of Arts from Western University.

Joseph Bakish
Portfolio Manager, Wealth Advisor, Investment Advisor
Bakish Wealth, Richardson Wealth Limited

Joseph Bakish is a Portfolio Manager, Wealth Advisor, Investment Advisor at Bakish Wealth, at the firm Richardson Wealth Limited. Named Canada's Top Under 40 Investment Advisors in 2020 by Wealth Professional Magazine, Joseph Bakish has built his career by putting the best interests of his clients at the forefront of his objectives.

After initially building a solid practice at Investors Group, Joseph realized that as business and the industry was evolving, they needed a change. Bakish Wealth joined Richardson Wealth six years ago, which has opened the door to a wider universe of alternative assets that can add value and enhance portfolio diversification – from private equity and real estate to private debt. Clients have responded well to their unbiased access to investment solutions, which has led to a growing referral base and the expansion into their third client segment of high-net-worth investors. Bakish Wealth's book boasts $242 million in assets under management (AUM) across 490 households, as well as a large insurance component.

Most of their clients are between 40 and 60 years old, and many are research-oriented physicians, medical researchers, and university professors. Their clients also include high-net-worth business owners and high-potential young professionals. For prospects with established careers, their minimum investment is $1 million.

SPEAKER BIOGRAPHIES

Jay Barrett
Managing Director, National Sales
SmartBe Investments

Jay Barrett is Managing Director, SmartBe Investments. He has held positions with some of Canada's premier firms including RBC, BMO and more recently with CIBC Asset Management as Executive Director. Jay completed his undergraduate degree at St. Francis Xavier University in 2001 and graduated in Financial Management at Cornell University in 2018. His background includes extensive experience in public and private markets as well as alternative and quant-based strategies. Jay lives in Montreal and is board member at Mile End Community Mission and the Trinity Center Foundation.

Carol Bezaire
Vice President, Tax, Estate & Strategic Philanthropy
Mackenzie Investments

Carol Bezaire is Vice President of Tax, Estate and Strategic Philanthropy at Mackenzie Investments, and one of Canada's leading authorities on matters of tax and estate and charitable giving. She leads a team of six Tax and Estate Planning professionals and three Business Development experts, who all support and offer opportunities to help financial advisors stay well informed in an ever-changing marketplace. The Tax and Estate Planning team also provides support to Mackenzie's new product initiatives in the area of tax efficiency and strategic philanthropy.

Prior to joining Mackenzie in 2004, Carol spent six years in a similar capacity at another Canadian mutual fund company. Carol also worked for a number of years as an advisor, providing financial and estate planning advice to high net worth individuals. In partnership with Sales, Carol and her team conduct numerous tax and estate planning seminars across the country with both advisors and investors. Carol has designations as a Certified Financial Planner and Certified Life Underwriter. She is also a member of the Society of Tax and Estate Practitioners and the Canadian Tax Foundation.

Michael Branco
Founder & Chairman
Celeste Ventures

Michael specializes in bootstrap start-ups with the ability to take organizations and ideas from scratch and bring them to reality. Michael now works closely with venture partners to capitalize new organizations and deliver value to the key stakeholders.

Michael's first self-created startup is Fireminds, a technology solutions company, headquartered in Bermuda with staff in US, Canada, Caribbean, South America and Europe, serving international clients in Bermuda, North America and the Caribbean. In 2017, Fireminds received a major investment from ATN International (Nasdaq:ATNI), Inc. to expand its operations and join the group of telco companies.

In addition, Michael founded Premier Tickets in 2009 which is now a leading ecommerce company serving Bermuda based and global clients such as America's Cup 35, SailGP and the PGA. In 2019, PTIX merged with AAC Saatchi Saatchi a full services ad-agency based in Bermuda; Michael led the strategic acquisition of the marketing company. Furthermore, Michael secured the airport advertising contract for Bermuda Skyport under AAC Media and placed the new CEO of AAC-PTIX.

Michael also started Innovation House, a seven-story commercial property; converting it from a distressed property to a fully occupied office hub with tenants ranging from legal, insurance, tech start-ups, retail to a doctor's office.

Michael has 20+ years' experience in technology consulting and an extensive background in start-up businesses and initiatives. Michael has the ability to create innovative technology solutions, build people centric organizations and solve problems; fueled by coffee and hands on technology skills.

Michael earned his Computer Science degree from Western University and pursued graduate studies in Artificial Intelligence and Distributed Databases at Stanford University. Michael earned his MBA from the Richard Ivey Business School and completed Board of Directors College training at Stanford Law School.

Michael is a Justice of the Peace / Commissioner of Oaths in Bermuda, District Grand Officer of the Freemasons UGLE Bermuda, Past President of the Freemasons Charitable Fund Bermuda, Incoming Chair of YPO Bermuda, Board member / advisory of Fireminds, Premier Tickets, Innovation Ltd, Treefrog Consulting, AAC Saatchi Saatchi, AG Research, Bermuda First Advisory Group, Bermuda College Task Force and the Corporation of Hamilton Infrastructure Committee.

In Michael's "spare" time he loves spending time with his wife and two daughters in their home in Bermuda. Michael is an avid golfer currently playing off a 12 handicap.

SPEAKER BIOGRAPHIES

Jason Campbell
Principal
Eckler

A Principal within Eckler's Investment Consulting practice, Jason joined the firm in 2006. He provides investment strategy advice to a wide range of institutional clients, including DB and DC pension plans, endowment/ foundations, single family offices, corporations and non-profit organizations.

In addition to consulting responsibilities, Jason is a member of the Investment Research Steering Committee which oversees investment manager and strategy research.

Jason's specific area of expertise is alternative investments including infrastructure, real estate and private equity/debt strategies.

An active volunteer within the pension and investment community, Jason has authored several articles and presented on investment strategy at numerous conferences. He is currently a member of the Canadian Investment Review Advisory Board, a volunteer on the CNIB's National Investment & Pension Committee and a director with the National Ballet School Foundation.

Jason graduated from the University of Western Ontario in 1999 with an Economics degree. He has also earned the designations of Chartered Financial Analyst and Chartered Alternative Investment Analyst.

Jean Courcelles
Portfolio Manager
Mirabaud Canada

As a portfolio manager, I help individuals and institutions make the most of their capital. To serve them optimally, I decided to join Mirabaud, a Swiss banking and financial group of international scope which employs more than 700 people, spread over four continents. The group's assets under management now stand at more than $54 billion CAD, making it the third-largest private banking group in Geneva.

In addition to my own expertise, my clients can also benefit from the network and experience of the 200-year history of this family company. In Montreal as in Geneva, we are guided by our values: independence, responsibility, and integrity. These dictate a measured business policy and strict risk management aligned exclusively with the interests of our customers.

When I am not advising clients, I like to escape the city and stay connected to the great outdoors by cycling, hiking, and running.

Read more at caasa.ca - or contact us at +1 (647) 953-0737 or caroline@caasa.ca

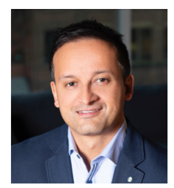
SPEAKER BIOGRAPHIES

Brad Crombie
Chief Executive Officer
Alquity Investment Management

Brad Crombie is Chief Executive Officer of Alquity, a specialist ESG and Impact investment manager. Previously Mr. Crombie was responsible for all Fixed Income and LDI investing as Global Head at Aberdeen Asset Management, now a part of Standard Life Aberdeen PLC, a FTSE 100-listed asset management company. In this role, he served on the company's executive committee as well as a director of its principal UK operating subsidiaries. Prior to joining Aberdeen, Mr. Crombie was Managing Director and Head of EMEA Non-Financials Credit Research at Bank of America Merrill Lynch. He started his investing career in European High Yield.

In addition to the GRI, Mr. Crombie also serves on the Advisory Group on McGill University in the UK and Europe. From 2015 to 2018, Mr. Crombie was a founding member of the Advisory Council of the FICC Market Standards Board (FMSB), the body created following the UK's Fair and Effective Markets Review (FEMR), and served on its Conduct & Ethics Sub-Committee.

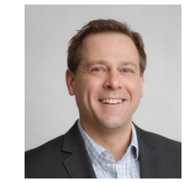
He graduated from McGill University with a BA Degree in History and Political Science and an MA Degree in History and went on to read History in the PhD. programme at the University of Cambridge.



Marcus Daniels
Founding Founder & CEO
Highline Beta

Marcus Daniels is a Founding Partner & CEO of Highline Beta where he leads visionary execution, strategic deals, corporate venture co-investment and startup funding. He has 21+ years as a serial tech entrepreneur & operating executive with a top decile pre-seed investing (34 startups) track record. 12+ years working with Fortune 1000 companies advising on corporate innovation models & building a pipeline of new corporate ventures beyond the core business. Formerly the Co-Founder & CEO of HIGHLINE.vc and Managing Director of Extreme Startups, Marcus continues to help evolve accelerator models to make corporate-startup collaboration work.

SPEAKER BIOGRAPHIES



Erik Dmytruk
Portfolio Manager & Managing Director
Slate Securities

Erik heads up Portfolio Management at Slate Securities, employing a wealth of real estate investment experience. Previously Erik was Director and Portfolio Manager of real estate at AIMCo. Experience in virtually all real estate asset types and geographies.

- Was integral in growing AIMCo's real estate investments from a $2 billion domestic portfolio to more than $21 billion globally.
- Gained extensive experience in all aspects of the real estate investment process including acquisitions, development, tactical asset planning, leasing initiatives, JV arrangements, portfolio construction and risk management.
- Managed a public markets portfolio with a value in excess of $650 million which was successfully implemented in order to complement the global real estate portfolio by taking advantage of dislocations that occur in public markets and to diversify with strategic long-term investments.
- Property development in excess of $1 Billion in all stages, including land acquisition, entitlements, design, horizontal and vertical development
- Office properties, Residential lots, industrial, multi-family and condominium, Retail, UK entitlement/densification.
- Establishment of partnerships, and fund investment in various geographies including the UK, Europe, USA, and Mexico
- Diligence of local management teams, direct acquisition of local properties and a full understanding of partnership agreements and cross border tax structures.

Prior to joining AIMCo, he competed briefly on the professional tennis circuit after earning ITA NCAA All America team status as a collegiate athlete. Erik graduated with Honors from the University of California – Berkeley with a Bachelor of Science degree in Business Administration, and he is a CFA Charterholder.

Enzo Gabrielli
Executive Vice President and Chief Financial Officer
Horizon Capital Holdings

SFO Dinner Leader

Enzo Gabrielli has been with the family office of the Right Honorable Paul Martin for the past 15 years and is currently the Executive Vice President and CFO. The principal holding is CSL Group (Canada Steamship Lines) which is the world's largest commercial manager of self-unloading vessels, and operates highly specialized fleet for off-shore transhippers and bulk carriers. Horizon Capital Holdings Group is a broad-based investment vehicle and management organization that provides diversification to marine logistics. Our portfolio includes North American real estate and direct investments in private companies, with a primary intent to buy and hold for expansion and growth. In his capacity, Enzo holds the title of President for various subsidiaries companies and also serves as a director and audit committee chairman for several portfolio investments and not-for-profit entities. He oversees the real estate and investment portfolio, assists with various philanthropic initiatives and manages the various facets of a family office.

Prior to joining Horizon, Enzo worked for accounting firms in the financial advisory services, with significant experience in corporate finance, M&A transactions, insolvency and corporate restructuring in Canada and United States. Enzo pursued his graduate studies at McGill University where he graduated with Great Distinction. He holds both a chartered accountant and chartered financial analyst designation as well as the ICD.d designation. He lectured in the business program at McGill University.

Ajay Gopal
Partner
Framework Venture Partners

Ajay Gopal is a Partner of Framework Venture Partners. Based in Toronto, Ajay has over a decade of combined VC and alternative investments investing and capital markets experience. With an entrepreneurial background, Ajay is a partner of a healthcare services company currently in operation in Toronto. Ajay currently serves on multiple Boards of Framework portfolio companies including Paper, Countingup, FlipGive and Daisy Intelligence. As a student of engineering with prior operational technology experience, Ajay is keenly interested in meeting with technology companies across all verticals and focused on helping entrepreneurs with the scaling journey.

Dev Gopalan
Partner & Portfolio Manager
Sagard Holdings

Dev Gopalan is a Partner & Portfolio Manager, Sagard Senior Lending Partners and joined the firm in 2021. Dev is responsible for overseeing the Senior Lending strategy at Sagard. He is based in New York, US.

Prior to Sagard, Dev served as the CEO of Angel Island Capital, Head of US Private Credit at KKR where he was a member of the Global Private Investment and Portfolio Management Committees, and a Principal at Canada Pension Plan Investment Board ("CPPIB") in the Credit business. Dev also worked at Barclays, Goldman Sachs, and JPMorgan in various roles in Leverage Finance.

Dev holds a M.A. from Brandeis University and BS from Georgetown University. In addition, he is currently on the board of Finding Ferdinand, Nobordist, inKind Cards, and Rebounds Hounds, a NYC dog rescue.

Jason Granger
Chief Operating Officer
Fipke Group

SFO Dinner Leader

Jason Granger is the Chief Operating officer of Kel-ex Developments Ltd. and the Fipke Group, a privately owned independent group and family office. In this role he is responsible for managing a group of private companies, managing the investment portfolio, and helping to represent the group in its holdings of publicly traded mineral exploration companies.

Jason has 12 years of capital markets and investment experience, including 8 years in equity research covering diversified industrials and 4 years in corporate development and investor relations. He also spent 10 years in accounting and finance roles in public practice, industry and CFO advisory capacities.

Jason holds a BBA from Simon Fraser University and an MBA from the Schulich School of Business at York University. He also has a designation as a Chartered Professional Accountant and is a CFA charter holder.

Kamal Hassan
Managing Partner
Loyal VC

Kamal Hassan is a Managing Partner of Loyal VC. Loyal is an open-ended, globally diverse fund with investments in 200+ companies in 50+ countries designed to minimize systemic bias inherent in the investment process to unlock greater returns. Loyal also benefits from its network of 600+ expert advisors who work closely with the company founders.

Loyal is the fund Kamal wished he had available during his 20-year career as an entrepreneurial CEO and 15 years as an angel investor. The fund was created by drawing upon his professional and philanthropic experiences: including the networks that stem from his time as a Global Director with the Founder Institute accelerator, former INSEAD Alumni Association President, as a consultant at Bain & Co, and as an engineer at IMAX. Kamal has an INSEAD MBA and a degree in engineering physics from Queen's University. He has traveled to more than 100 countries and speaks five languages.

Desmond Kingsford
Founder
Highwood Value Partners

Desmond Kingsford is Highwood Value Partner's founder and is registered as The Firm's Portfolio Manager. He holds an MA in Philosophy from Cambridge University, a B.Commfrom McGill University in Finance and Math and is a CFA Charterholder.

Prior to founding Highwood Value Partners, Mr. Kingsford was a Senior Analyst and Partner of MSD Partners (Europe) LLP, the European office of MSD Capital LP, the exclusive manager of Michael Dell's personal capital. Mr. Kingsford spent 11 years at MSD as part of the European team, which grew from three people managing $200mn of capital to a five person investment team managing the $1.2bn European Opportunities Fund("EOF"), an opportunistic, fundamental value fund across equities and credit in Europe. Prior to MSD, Des was a Vice President on the Consumer team for Putnam Investments from 2002 to 2007, also based in London.

Mr. Kingsford now resides in British Columbia, Canada with his wife and three children.

Kerem Kolcuoglu
Managing Partner
Penrose Partners

Kerem is an MBA and the Managing Partner of Penrose Partners, a blockchain-focused consulting firm advising startups, enterprises, governments and investors on navigating the blockchain and digital assets space.

Kerem is an advisor to the Canadian Technology Accelerators and to the Premier's Office of FinTech for the Bermuda Government. He is also a Founding Board Member of the Bermuda Innovation & Technology Association (BITA) and a Founding Partner of the i3 Innovation Incubator in Bermuda.

Previously at PricewaterhouseCoopers and at MLG Blockchain, he has consulted multinational enterprises, governments and educational institutions on understanding and adopting blockchain technology. Bridging his experience in multiple industries, he has foreseen change and advised clients on navigating digital transformation in an age of disruption.

Athas Kouvaras
Client Relationship and Development Manager
Richter Family Office

Athas is a Client Relationship and Development Manager at Richter Family Office with a focus on working with UHNW families. He brings 20 years of experience in the investment industry with an emphasis on alternative investments. Before joining Richter, he was a Partner at Alignvest Investment Management with a focus on business development. Prior roles included being a Regional Director for Russell Investments, where he was responsible for mid-market institutional business development and a Regional Sales Manager role at Man Investments Canada. He began his career at BluMont Capital, where he was a research analyst.

Athas is a CAIA Charterholder and a member of the Chartered Alternative Investment Analyst Association. He holds a Bachelor of Commerce degree from the University of Toronto.

Brandon K. Laughren
Co-Founder & CIO
Laughren Group

SFO Dinner Leader

Brandon K. Laughren, Managing Director, Co-Founder and CIO of the Laughren Group, a single family office with investments private equity, private debt, venture capital and real estate. In addition, he serves as a mentor for two startup accelerators. Prior to co-founding the Laughren Group, Mr. Laughren founded and managed Stone Laughren LLC, a private equity and venture capital advisory firm. Mr. Laughren has acted as a transaction advisor and an investor for 30+ years. He has been involved in M&A transactions totaling more than $50 billion in value and debt and equity raises in excess of $10 billion.

Marco Lukesch
Portfolio Manager
Emso Asset Management

Marco started his career as a consultant focused on financial services at Oliver, Wyman & Co. He joined HBK Capital Management in 2005, where he worked until 2013where he was the co-head of EM. Thereafter, he was co-head of EM at Pine River Capital Management, focusing on illiquid opportunities in CEEMEA and LatAm. He oversaw all aspects of investing: sourcing, structuring, trading, and management of illiquid positions. At Pine River, he was instrumental in creating a consumer-lending platform in Brazil, as well as tax-blocker subsidiaries. Marco joined Emso in 2017.

Marco graduated magna cum laude from the University of Pennsylvania with a B.A. and a B.Sc. and was admitted to the Phi Beta Kappa Society. Marco is also a CFA charterholder.

Evelyne Massa
Investor Relations
Group RMC

SFO Dinner Leader

Evelyne heads Investor Relations at Group RMC, a family-held private real estate co-investment group headquartered in New York City. Group RMC is focused on acquiring and holding large, undervalued office portfolios in the U.S. Midwest and Southeast and presently oversees 19.4 million sq. ft. of commercial office real estate, representing over $2BN in asset value. Its principals co-invest to a significant degree in each acquisition alongside co-investors from across North America, Asia, the Middle East and Europe.

Evelyne has previously worked in Canadian politics and completed a BA in sociology from McGill University, as well as graduate studies in the UK at the University of Cambridge and Oxford University.

Mark Maybank
Co-founder and Managing Partner
Maverix Private Equity

Mark is a Co-founder and Managing Partner with Maverix Private Equity where he leads the collective team and firm operations. As an active investor, director, advisor and entrepreneur, he has a wealth of experience spanning across multiple industries and geographies. This includes financial services, technology, health and wellness, media, telecom, oil and gas, services, and advanced manufacturing. With a passion to drive growth with a focus on human elements, Mark strives to scale Canada's strong and vibrant innovation-based ecosystem.

Prior to Maverix, Mark was the President and COO of Canaccord Genuity Corp, where he led the expansion of their wealth management and international capital markets businesses, positioning the firm as a global leader in commodity and technology financing.

In addition to being EVP, Corporate Development at Itemus Inc., a technology firm, he was also a Senior Technology Analyst with Yorkton Securities, and CFO of ECS Enhanced Cellular Communications, a US-based cellular services company. Mark earned his Chartered Accountant and Chartered Business Valuator designations at Deloitte in the audit, tax, and valuation advisory practices. Currently, Mark is the Chairman of Fleet Canada Inc. and serves on other boards including Forum Equity Partners and New Latitude Capital. Previously, he served on several boards including Executive Chairman (Advisory) of the Kirchner Group, Knix Wear, Entrec, Empire State Connector, Biologix, Moraine Capital, and Canaccord Genuity Financial.

Mark is an active philanthropist with former roles as Co-Chair of Gold Medal Plates, a Canadian Olympic Foundation program, Special Advisor to the Treasury Board of Canada, Ambassador for World Bicycle Relief, Advisor to SpiderTech cycling, member of the Corporate Advisory Board of the Art Gallery of Ontario and guest lecturer at the Director's College.

Outside of the office, Mark and his family enjoy an active lifestyle which includes skiing, biking, running, and swimming. They enjoy travelling and spending time in Annecy, France and the Okanagan Valley, BC

Mindy Mayman
Partner, Portfolio Manager
Richter Family Office

As you create significant wealth, having independent, objective advisors to help you manage said wealth is crucial. Mindy assists her clients through the challenges inherent to wealthy families and helps them secure peace of mind for today, and comfort and clarity for the future.

Mindy joined Richter in 2006, as its unique family office business model was beginning to take shape as a completely independent entity. This division has since grown, and focuses on wealth management, philanthropy, insurance needs and family governance. Mindy advises clients on the design and implementation of plans to help them achieve their financial objectives, quantifying goals and then determining the most appropriate asset allocation and financial solutions. Acting with complete independence and objectivity, Mindy recommends the proven third-party managers to optimize her clients' investment strategies.

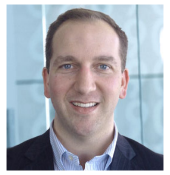
A skilled financial advisor, Mindy is able to craft and deliver advice that is practical, understandable and relevant to the goals and objectives of ultra-high-net-worth families. Her insights and well-rounded expertise make her a trusted resource for many prominent business leaders and their families.

SPEAKER BIOGRAPHIES

Nicolas Mirjolet
Chief Executive Officer & Co-Head of Research
Quantica Capital

Nicolas joined Quantica Capital from RAM Active Investments, a multi-billion systematic asset management company based in Geneva, where he served as senior quantitative investment manager, developing and managing systematic short-term equity long/short strategies. Until 2018, Mirjolet was CIO and lead portfolio manager of Tolomeo Capital, a Zurich based systematic asset manager specialized in long/short equities and futures strategies. He co-founded Tolomeo Capital in 2011, after doing a spin-out with the quant & risk-management team of a multi-billion family office in Zurich. Nicolas started his career in 2006 as a quant analyst for ETH Zurich spin-off swissQuant

Nicolas Mirjolet graduated from CentraleSupélec in Paris (France) and holds a MSc in electrical engineering from the Swiss Federal Institute of Technology (ETH Zurich).



Jason Moore
Client Portfolio Management
Man Numeric

Jason Moore is the Head of Client Portfolio Management at Man Numeric.

Prior to joining Man Numeric in 2015, Jason was a product specialist at BlackRock for the Small and Mid-Cap Growth equity team. He began his career at State Street Research.

Jason graduated from Connecticut College with a bachelor's degree in economics. He is a member of the CFA Society Boston and is a CFA charterholder.

Charlie Morris
Co-Founder
CMCC Global

Charlie is the co-founder of CMCC Global, a blockchain asset management company that manages three digital asset funds as well as the Liberty Bitcoin Fund. CMCC Global was founded in Hong Kong in 2016 and today has offices in Asia and North America. It has a particular focus on Fintech innovation in Asia. Charlie's background as both a software engineer and management consultant allows him to combine a deep technical understanding of blockchain technology with the ability to evaluate its business implications.

Charlie's involvement in the blockchain space has included advising financial institutions, developing blockchain applications and investing in companies and protocols. He has given keynote addresses at the CLSA Investor Conference, Franklin Templeton annual offsite and the Global Investment Forum. He has also presented to senior management at many large institutions including Fidelity, Putnam Investments and Wellington Management.

SPEAKER BIOGRAPHIES

Scott Morrison
Chief Investment Officer
Wealhouse Capital

SFO Dinner Leader

Scott Morrison is the Chief Investment Officer of Wealhouse Capital Management, a privately- owned investment firm and family office. As the firm's founder, Scott is responsible for overseeing Wealhouse's various strategies across asset classes, as well as the firm's private equity portfolio and real estate holdings.

Outside of Wealhouse, Scott serves on the investment committee of the Centre for International Governance Innovation (CIGI), where he advises on investment decisions for CIGI's endowment fund.

Scott has over 25 years of asset management experience. Prior to Wealhouse, Scott spearheaded the portfolio management for notable firms such as Mackenzie Investments, CI Funds, and Investors Group. Scott holds a Bachelor of Finance from Concordia University and is a CFA Charterholder.

Mitchell Nicholson
Manager
KPMG

Mitchell is a Manager in KPMG's Cryptoasset practice and has spearheaded its recent treasury and NFT initiatives. Additionally, Mitchell helps deliver KPMG's educational workshops, strategy development, and vendor assessments for institutional investors and financial services firms seeking to adopt cryptoassets. Prior to joining the firm, Mitchell spent 2 years as an Economist at the Bank of Canada and in his personal time he actively researches and invests in the cryptoasset space.

Sue Nickason
Vice President
Dart Family Office

Sue is a VP at Dart, a Cayman Islands based company which has invested over $1.6 B in the local economy. Sue has worked in marketing and business development for over 20 years. Her experience includes work in the hospitality, real estate, NGO and private client sectors. She assists C-suite executives, private client advisors and HNWI's in learning about the Cayman Islands value proposition for the purposes of corporate relocation or expansion and permanent residency.

Originally from Canada, Sue moved to the Caribbean over a decade ago. She holds an MBA in Marketing (with Distinction) from the School of Hotel Administration at the University of Guelph and a BA (Honours) from Mount Allison University, as well as certificates in board directorship, investment migration, AML, economic development, adult education, customer service, revenue management and communications.

SPEAKER BIOGRAPHIES

Neil Nisker
Co-Founder, Executive Chairman, and CIO
Our Family Office

With investment management and wealth advisory experience dating back to 1972, Our Family Office's Co-Founder, Executive Chairman and CIO enjoys a well-deserved reputation as a trusted and respected figure in the Canadian financial services industry. His keen intellect and deep expertise make him particularly well-qualified to revolutionize the shared family office segment in Canada and grow Our Family Office into the market-leading service provider and partner to the nation's wealthiest families. Highlights of Neil's career to date include:

- Fiera Capital Corporation: Neil was active with Fiera Capital Corporation, one of Canada's largest investment managers, from 2006 to 2014, serving as the President of Fiera Private Wealth and as the company's Executive Vice Chairman and a member of the company's board of directors and governance committee.
- YMG Capital Management Inc.: Neil served as President of the YMG Private Wealth Management division from 2000 to 2006. Fiera Capital Corporation purchased YMG Capital Management in 2006.
- Nisker Associates, Strategic Wealth Management: From 1997 to 2000, Neil was the Chairman of Nisker Associates, Strategic Wealth Management, a Canadian registered investment counselling firm. It was acquired by YMG Capital Management in 2000.
- Best Investments International Inc.: In 1990, Sir John Templeton selected Neil to be one of the three managers of Best Investments International Inc., a global equity mutual fund he owned. Neil held this position until the fund was closed in 2000.
- Brown Baldwin Nisker Ltd.: For more than 25 years, Neil was a driving force behind Brown Baldwin Nisker. Through his diligence, the firm grew into one of the premier institutional brokerages in Canada. It was sold to HSBC Securities in 1988.
- In addition, Neil has been the President of Privatech Investments Inc., an investment company headquartered in Toronto, Canada since 1994.

Volunteerism and Philanthropy Neil has been extensively involved in philanthropy and a range of activities, including acting as:

- Co-Chair of the UJA Federation of Greater Toronto, Annual Campaign
- Co-Chair of the Baycrest Centre for Geriatric Care, Campaign
- Vice-Chair of the Mount Sinai Hospital Foundation and Chair of the Investment Committee
- Chairman of The Jewish Foundation of Greater Toronto and Chair of the Investment Committee
- Co-Chair of the 2011 JFNA Investment Institute in Palm Beach, Florida

Frequent speaker at Investment Conferences for family offices, and foundations and endowments

Funke Okubadejo
Director
Actis

Funke is an experienced private equity and real estate investor, at Actis since 2007, with a strong track record in key sectors including real estate projects with GAV of >$550m in office, retail and hospitality sectors across Africa. Funke is leading the Actis's real estate income fund strategy for Africa.

Prior to joining Actis, Funke gained six years private equity experience spanning origination, investment structuring and asset management at African Capital Alliance, a leading Nigerian private equity firm where she also helped to establish its real estate fund.

Funke started her career at Arthur Andersen (Now KPMG Professional Services), is a qualified chartered accountant and holds a Bachelor of Science in Chemical Engineering from the University of Lagos and a Masters in Finance from the London Business School.

With prior her experience in assurance and business advisory, she brings rounded skills to add value at both strategic and operational levels to management and project teams to attain set business objectives. She is also an experienced board director having served on various boards over the past two decades. Funke is also a member of the Actis Macro Forum which is a global grouping that reviews macro trends in emerging economies.

Matt Piselli
Portfolio Manager
FORT LP

At FORT, Matt heads the development of fully systematic strategies in commodity markets as well as assisting in the management of FORT's Mean Reversion Strategies across all markets. Before joining FORT, Matt ran a commodity hedge fund, Buttonwood Merchants LLC, from 2015-2018. His fund was initially launched on Tudor's incubation platform, Launchpad Trading, before transitioning to a standalone entity in 2017. Prior to this, he was with Gresham Investment Management as Head Trader in charge of all discretionary alpha generation for their flagship TSM Strategy, which peaked at $7 billion AUM. Matt began his career at UBS in the Commodity Structuring Group before moving on to manage all of UBS's physical US Natural Gas assets in 2006.

Matt graduated with a BSE from Princeton University in Operations Research and Financial Engineering.

Oleksandra (Sasha) Polishchuk
Head of Business Development and Investors Relations
Carlisle Management Company S.C.A.

Oleksandra is one of the principles in charge of the Business Development and Investor Relations at Carlisle Management Company, a leading Life Settlements investment manager headquartered in Luxembourg with close to 2.5B USD in AUM. Carlisle is unusual among life settlement companies as it has been in the business since its early inception. Collectively, its principles boast a remarkable range and depth of 'know–how' in longevity – contingent assets, based on active participation in the secondary and tertiary life insurance markets. This background provides it with a strong network of global contacts, and allows it to build on an unrivalled expertise among life settlement providers, brokerages and capital market participants.

Oleksandra has served at Carlisle for more than 4 years, most recently, as one of Carlisle's marketing directors, covering a diverse range of private and institutional investors across Europe and Middle East. She plays an integral role for the Company in further evaluating and leading a growing number of business development opportunities. Mrs Polishchuk brings close to a decade of international marketing and sales experience across FMCG, telco and financial services segments. She holds an EMBA from ESCP Business School.

Cameron Richards
Chief Investment Officer
Guardian Partners

As Chief Investment Officer at Guardian Partners, Cameron's mission is to combine the investment strategies and disciplined portfolio construction and risk management utilized by pension funds and endowments, with the customization and client responsiveness required by private clients, to increase the robustness of investment solutions and to enhance the experience of Guardian Partners' clients. Previously, he served as CIO of the Nova Scotia Health Employees' Pension Plan, and was the Head of Real Assets at Albourne Partners in London, England. He also served as the Co-Chief Investment Officer of University of Toronto Asset Management (UTAM), and Chair of the Investment Committee for the entirety of the university's investment assets. Before joining Guardian Partners, he founded Isengard Capital Management, which provided capital markets research to Albourne Partners and also designed a research platform which applied global macro, stock selection and quantitative strategies to generate long and short exposures in stocks.

Cameron is a graduate of Osgoode Hall Law School in Toronto, holds a Bachelor's Degree in Mathematics from Queen's University, earned an MBA from the Schulich School of Business and is a CFA® Charterholder. He has been called to the Bar in Ontario.

Matt Shandro
President & Portfolio Manager
Fulcra Asset Management

Matt has more than 25 years of investment industry experience. He started his career as an equity sell side analyst before moving to the buy side and credit investing. Matt has worked as a portfolio manager at one of the largest mutual fund companies (CI Investments) and one of the wealthiest individuals in Canada (Jim Pattison). Experience in all aspects of fixed income investing (investment grade, private loans, high yield, distressed / bankruptcy, convertibles, and preferreds) combined with an entrepreneurial spirit culminated in the establishment of Fulcra and a differentiated approach to investing in credit markets.

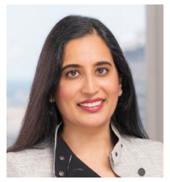
Theresa Shutt
Senior Vice President
Fiera Private Debt

Theresa Shutt is Senior Vice President for Corporate Private Debt at Fiera Private Debt. Prior to joining Fiera Private Debt in July 2019, she was the Chief Investment Officer of Private Debt with Integrated Asset Management.

Ms. Shutt is responsible for the overall strategic direction and operation of the Corporate Debt Financing Team. In this role, she provides leadership in support of business development, investment approval, fund strategy and portfolio management. With over 20 years of experience in corporate lending and capital markets, she contributes to the success of the business by growing AUM through strategic capital deployment and the development of innovative private debt strategies.

Prior to Fiera Private Debt, Ms. Shutt was a Managing Director with BMO Corporate Finance where she developed customized financing solutions for mid-market Canadian Companies. Previous to this role, she held a variety of roles at RBC and Scotiabank structuring fixed income and equity derivatives for corporations and asset managers. She began her career working as an economist for the Conference Board of Canada.

Theresa Shutt holds a Bachelor of Arts (BA) in Economics (Honours) from the University of Alberta, a Master of Arts (MA) in Economics from McMaster University and a Master of Business Administration (MBA) from the Rotman School of Management of the University of Toronto. Ms. Shutt is a Chartered Financial Analyst (CFA) charterholder.



Shalini Sriram
Managing Director and Head of Structured Credit
Third Point

Shalini Sriram is the Head of Structured Credit at Third Point and sits on the firm's risk committee, overseeing a range of investments from residential and commercial mortgage backed securities to the intersection of consumer finance and technology. Prior to joining Third Point in 2017, Ms. Sriram invested in structured credit at Scoggin Capital. From 2006 to 2012, Ms. Sriram was an Executive Director at Morgan Stanley, and Head of ABS CDO and RMBS trading. From 2002 to 2006, Ms. Sriram was an associate at Banc of America Securities on a proprietary ABS trading desk where she first structured and then traded CDOs. Ms. Sriram received a B.A. in Economics cum laude in three years from Wellesley College and an MBA from Columbia Business School.

SPEAKER BIOGRAPHIES

Paul Stapleton
Chief Technology Officer
Fidelity Clearing Canada

A 23-year veteran of the Canadian financial industry, Paul Stapleton joined FCC in May 2017. He manages evolving technology strategy and continuous platform development, implementation and delivery of new services and solutions, and technical alignment to help clients service their businesses.

Paul was part of the executive team that built DundeeWealth from independent IIROC and MFDA dealers in the early 2000s, before the firm's sale to ScotiaBank in 2010. Recently, Paul served as SVP, Information Technology, for investment dealer Dundee Securities, and as VP, Information Technology, for merchant banking firm Dundee Corporation. He graduated from Trinity College in the University of Toronto and has completed the Partners, Directors and Senior Officers (PDO) course.

Lorne Sugarman
CEO
Metaverse Group

Lorne Sugarman is a seasoned senior executive with diverse experience across direct C-level operating experience, investment banking and principal investing.

Lorne is CEO and Director of the Metaverse Group where he has developed an eight figure virtual real estate portfolio. Prior to the Metaverse Group, Lorne was previously the CEO of Wellpoint Health Services for 9 years where he grew the business to over 250 employees, completed and integrated seven acquisitions and ultimately was sold to CloudMD (TSX: CMD).

Lorne spent over 20 years in advisory services with over 15+ years at GMP Securities, most recently as MD / Head of Technology Group, and spent almost 4 years as Principal at KES7 Capital Inc. Lorne started his career with Deloitte Consulting and Deloitte & Touche providing advice to clients in North America.

Lorne holds a BA from Western University and a MBA from the Rotman School at the University of Toronto.

SPEAKER BIOGRAPHIES

Daniel Stow
Chief Investment Officer
Zen Capital and Mergers

Daniel Stow is the Chief Investment Officer at Zen Capital & Mergers. His primary job functions include looking for new investments and opportunities while managing the balance of the portfolio. Zen Capital & Merger's mission is to seek investments that meet their return requirements in conjunction with looking for quality management and integrity. As the Chief Investment Officer, Daniel is responsible for monitoring, managing, and developing investments in alternative assets.

Daniel proactively keeps up to date with market developments and regulation changes. He has confidence in dealing with board members, committees, and external fund managers. As a consequence of his 7 years' experience with the company, Daniel has a seasoned perspective on how to manage an international portfolio with investments in both public and private companies. He has a Bachelor of Business Administration Honours with Distinction in International Business and Finance. In addition, Daniel also holds the CSC accreditation from the Canadian Securities Institute. When he is not keeping busy at Zen Capital & Mergers he enjoys getting outside and enjoying the beautiful nature British Columbia has to offer.

Atul Tiwari
Chief Executive Officer, Americas
Cult Wines

As Chief Executive Officer, Atul Tiwari leads the team and is responsible for the overall strategy and development of Cult Wines Americas. Hailed by Investment Executive as 'having a knack for knowing what's next', Atul is thrilled to have successfully married his extensive investment experience with a passion for wine to introduce this unique asset class to the Americas market. Atul leads with investment rigor, excellent client relationships and unparalleled knowledge of the asset class.

Atul's background speaks for itself, before building Cult Wines Americas, he was employee number one at Vanguard Canada. As CEO for the company, together with his team, he helped pioneer ETF investing in Canada and grew the company from $3 to $30 billion in assets over 7.5 years. Prior to Vanguard, he held progressively senior positions at BMO Financial including senior vice president of BMO Asset Management, heading up the BMO US mutual fund business in Chicago before going on to lead the charge for BMO to enter the ETF business as the founding President of BMO Exchange Traded Funds. In 2018 he received the Indo Canada Chamber of Commerce award for Corporate Executive of the Year and has twice been named to Wealth Professional's annual list of People to Watch in the industry. Atul is a member of the Independent Review Committee for Mackenzie Funds, a Director of the D.D. Foundation, which provides free medical care and education to the disadvantaged in India, a member of the Advisory Council for the CFA Society of Toronto and an Honorary Trustee of the Royal Ontario Museum.

In addition to his personal interest in wine, Atul has also managed a 4000-bottle cellar of fine Burgundy for the Toronto Chapter of the Confrerie des Chevaliers du Tastevin over a five-year period. After earning his law degree from Osgoode Hall Law School (Canada), he began his career in law, practicing in London (UK) and Toronto before transitioning to finance.

SPEAKER BIOGRAPHIES

David Vankka
President & Chief Financial Officer
ICM Asset Management

Mr. Vankka is the President & Chief Financial Officer of ICM Asset Management and the Chief Executive Officer of ICM Investment Management Inc. He is the Portfolio Manager on the ICM Crescendo Music Royalty Fund and the ICM Advantage Venture Capital Corporation.

Mr. Vankka joined ICM as a partner in 2017 and leads ICM's diversified private equity platform. Mr. Vankka has 30 years of experience in investment banking, trading, and capital markets. He has been a Managing Director at several investment banks with extensive advisory experience in domestic and cross-border mergers & acquisitions, equity and debt origination, due diligence, structured product management, strategic planning, risk management, and proprietary trading. Mr. Vankka was a founder of global energy investment bank Tristone Capital which was ultimately sold to Macquarie Group and before that co-head and principal at Peters & Co. Limited. Mr. Vankka also was Vice President, Risk Management at Gluskin Sheff + Associates.

Mr. Vankka holds Chartered Financial Analyst, Chartered Professional Accountant, and Chartered Accountant designations. He is registered as Portfolio Manager with the Alberta Securities Commissions and holds a Bachelor of Commerce with distinction from the University of Calgary.

Ian Whytock
Managing Partner
Tidal Venture Partners

Ian is Tidal's Managing Partner and based in Halifax, Nova Scotia. Tidal is a pre-seed to seed + venture fund that focuses on investing in the emerging ecosystem of Atlantic Canada. Prior to co-founding Tidal, Ian was a strategy consultant at a boutique management consultancy. His practice focus was on advising early-stage companies on their go-to-market, scale-up and business development strategies. He is a graduate of the VC Lab programme, the world's leading VC fund manager accelerator, and the first graduate from Canada.

Ian completed his BA in Philosophy at the University of King's College, and went to do his graduate work at Stellenbosch University in South Africa, where his research focused on emerging market economy transitions.

Read more at caasa.ca - or contact us at +1 (647) 953-0737 or caroline@caasa.ca

SPEAKER BIOGRAPHIES

Bob Williamson
Vice President, Sales
Battea Class Action Services

For more than 10 years Bob has been at Battea as Vice President of Sales whose firm's sole focus is to recover monies due to institution investors from securities class action settlements. Each year, hundreds of cases are settled globally, resulting in billions of dollars being available to damaged investors. Through technology, years of experience and expertise, Battea has automated this time consuming, complex and error prone process uncovering and exhausting every opportunity while maximizing the investor's recovery potential.

Prior to Battea, Bob has spent 20+ years in the financial services sector holding various roles and responsibilities. Bob was Vice President of sales at Lava a subsidiary of CITI who provided, at the time, a suite of cutting edge products which included algorithmic trading, soft dollar services and order management systems. Bob was one of the original employees at NYFIX whose firm revolutionized the way wall street traded securities by implementing the first straight through processing electronic trading platform, utilizing the industry's co-developed/shared user protocol called FIX. Bob spent over 10+ years at Battea, eventually becoming Senior Vice President of Sales heading NYFIX's order management division. In his early years, he was the Regional Sales Manager for VBand, the world leader of a highly specialized telephony system used by institution trading operations worldwide. Bob earned a bachelor's degree at Oneonta State University and spent a semester in Denmark attending the Denmark International Business Program.

MEMBER DIRECTORY

The diversity, drive, experience, and resources of our members is what makes CAASA happen. The CAASA staff literally work all day to keep up with their ideas for advocacy and service offering initiatives; events of all sizes, on all topics, in many cities across Canada and elsewhere; and creating an environment where they can support each other and the industry at large.

Thank you!

For more information on CAASA membership, initiatives, and events, please contact:

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