

# Virtual CAASA Annual Conference 2020

*Presented by: the Canadian Association of Alternative Strategies & Assets*



**CAASA**  
CANADIAN ASSOCIATION OF  
ALTERNATIVE STRATEGIES & ASSETS

**Program Sponsored by:**



**NAPIER PARK**  
GLOBAL CAPITAL

**Tuesday, November 3<sup>rd</sup> & Wednesday, November 4<sup>th</sup>**  
**Plus Additional Meeting Day: Thursday, November 5<sup>th</sup>**





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James Maxwell, President  
jmaxwell@ipfund.ca  
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## WELCOME TO OUR IN-DEPTH LOOK INTO ALL THINGS ALTERNATIVE

The CAASA Annual Conference brings together speakers and participants from public and private pension plans, sovereign wealth funds, foundations & endowments, single and multi-family offices, investment dealers, Canadian and global investment management houses, and affiliated service providers. Panels and sponsored table talks sessions will focus on key issues facing investors worldwide including structuring, legal & tax issues, IT and operational areas, and investments - including: hedge funds, CTAs, private equity, private lending, real estate, infrastructure, and crypto-assets/blockchain-related investments. All content is subject to Chatham House Rule.

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## ASSOCIATION PARTNERS



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Do you worry about betting your family's future on a PM's winning streak?

World leading academics agree there's a better way.

Demystify quant and stack the odds in your favour.

Learn more at our Table Talk session with Jay Barrett from SmartBe Wealth Inc. and at [www.smartbewealth.com](http://www.smartbewealth.com)



## ABOUT CAASA

### INCLUSIVE, ACTIVE, AND PAN-ALTERNATIVE

The Canadian Association of Alternative Strategies & Assets (CAASA) was created in response to industry requests for a national group to represent the Canadian alternative investment participants, including investors, asset managers, and service providers. CAASA is **inclusive** in that it welcomes participation from all companies active in the space as well as select individuals (those with investors) who might want to participate in committees and working groups - or simply attend member events - without their employer being a member of the association. CAASA is very **active** in both committees & groups and events: 100+ events, including this conference, have occurred or are planned in 2020. **Pan-alternative**, for CAASA, encompasses all alternative strategies and assets including: hedge funds / alternative trading strategies, private and public real estate (funds and direct), private lending, private equity, development & project finance, digital assets / crypto-assets, weather derivatives & cat bonds, and all aspects of diligence, trading, structuring, dealing, and monitoring alternatives in a stand-alone portfolio and as part of a larger investment strategy.

### MEMBER BENEFITS

**Investors** join CAASA to be a part of a formal network of pension plans, foundations, endowments, sovereign wealth funds, and family offices to discuss ideas, strategies, and operational issues particular to their businesses - all within a closed group where managers and service providers may or may not be included, depending on the forum.

**Managers** see the association as a way to connect with peers, investors, and service providers to speak to fund structuring, sales & marketing, and regulatory issues. CAASA is not a capital introduction platform, but we do create forums where investors and managers can meet organically or via structured meeting sessions, such as at this conference, where participation by the investors is strictly opt-in.

**Service providers** participate in our events and working groups as well as assist in the production of thought leadership pieces which provide relevant information to both association members and the industry and investing public at large.

### NATIONAL AND GLOBAL

CAASA believes that the Canadian alternatives industry has a great deal to offer Canadians and the global community. The *Canadian Model of Pension Management* is well-known for its large alternatives focus, managed in-house in many cases with substantial allocations to external managers as well. Canadian investment managers operate in a robust regulatory regime (of hedge fund managers) that is becoming the norm across the globe and a stable banking back-drop that provides solace for investors as well as opportunities for managers. Talent in investment management (approximately 10% of all CFA charterholders reside in Canada) as well as newer areas such as digital assets and robo-advisory services are a differentiator. Of course, Canadian investors and managers are keen to learn of best practices in operations and portfolio management from their global peers.



# FORMATS FOR THE DAYS

## A PLETHORA OF WAYS TO LEARN AND INTERACT

### TÊTE-À-TÊTE MEETINGS

*This is your opportunity to schedule one-on-one meetings with any conference delegate. All matching MUST be completed by end of day on Monday, November 2<sup>nd</sup> to ensure the scheduling software has time to confirm the meetings and each one is served up to you at the right time.*

You can schedule as few or as many (up to 47, 15-minute meetings over the 3 days): each day November 3<sup>rd</sup> and 4<sup>th</sup> and all day (9am - 5pm) on November 5<sup>th</sup>. Over the years we received feedback that these are possibly the best part of our conferences as it gives folks an opportunity to connect away from the scheduled panels and meal times and really drill down into their respective interests. The software automatically suggests times that are mutually convenient for both parties.

*Anyone can book a meeting with any other delegate. Please decline meetings that you might not be interested in or able to commit too - then the other party can invite another to chat. And if you are interested, you're free to connect via our messenger or ask for their email (we DO NOT release delegate contact information, so you'll have to ask for it or send yours along to them and await a response).*

**All meetings will be scheduled via the CAASA All-in-One Virtual Platform. Meetings must be completed by noon on Monday, November 2<sup>nd</sup> to ensure entry into the virtual room.**

### TABLE TALKS

*We do not sell paid-for speaking spots, preferring delegates to choose when they might like to hear from sponsors. Table Talks are 20-minute sessions where anywhere from 2 to 30 delegates can get a briefing on a topic and participate in an interactive discussion. As with all of our events, we refrain from pay-to-play and draw all speakers from membership and prefer audiences to opt-in to more niche discussions, as will be delivered at the Table Talks.*

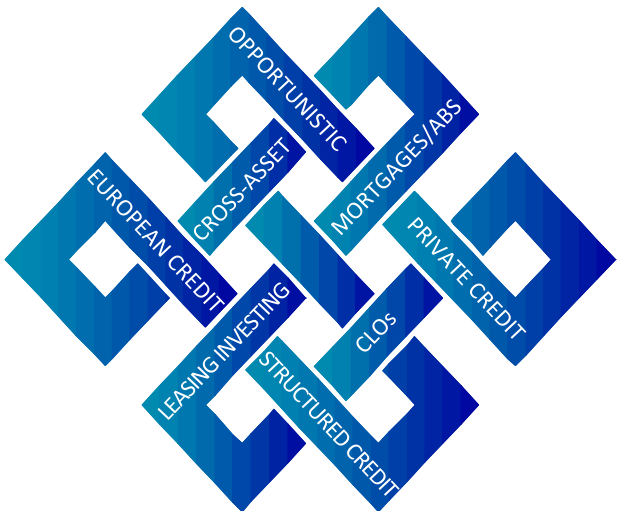
Attendees can choose up to three sessions out of each of the 10 offered on November 3, 4, and 5. **Titles/topics of each session will be published on the CAASA All-in-One Virtual Platform, also where attendees will register for their desired sessions. This must be completed by noon on Monday, November 2<sup>nd</sup> to ensure entry into the virtual room.**

# DAY 1 AGENDA - TUESDAY, NOV 3<sup>RD</sup>

<b>8:00 AM</b>	<i>Tête-à-tête meetings (4 x 15-minute meetings)</i>
<b>9:00 AM</b>	<b>Discussion 1: Absolute Return - Portfolio Construction &amp; Innovative Strategies</b> <b>Brian Broadway</b> , Gryphon Capital Management (moderator), <b>Brandon Gill New</b> , OPTrust, <b>Nicolas Dang</b> , CN Investment Division, <b>Cédric Fontanille</b> , Unigestion
<b>10:00 AM</b>	<i>Mini-break</i>
<b>10:15 AM</b>	<b>Discussion 2: Emerging Managers in an Institutional Portfolio</b> <b>Dr. Ranjan Bhaduri</b> , Bodhi Research Group (moderator), <b>Mark Hannoush</b> , Ontario Teachers' Pension Plan, <b>Yan Kvitko</b> , CPP Investments, <b>Kirk Sims</b> , Teachers' Retirement System of Texas
<b>11:00 AM</b>	<i>Mini-break</i>
<b>11:15 PM</b>	<b>CAASA Chat 1: Co-Investments</b> <b>Kalina Berova</b> , Bodhi Research Group, <b>Shaun Martinak</b> , British Columbia Investment Management Corporation, <b>Adam Buzanis</b> , CAAT Pension
<b>NOON</b>	<i>Lunch Break</i>
<b>12:15 PM</b>	<i>Sponsors' Table Talks (3 x 20-minute meetings)</i>
<b>1:15 PM</b>	<b>CAASA Chat 2: Conquer COVID-19 - The Inside Story</b> <b>Confidy Kong</b> , Bodhi Research Group (moderator) <b>Owais Qureshi</b> , Canada Post Pension Plan
<b>1:30 PM</b>	<b>Discussion 3: The Privates World - Infrastructure, Private Equity, &amp; Venture Capital</b> <b>Michael Bernstein</b> (moderator), <b>Omeir Jilani</b> , First Bank of Abu Dhabi, <b>Cara Nakamura</b> , Kamehameha Schools Endowment, <b>Matt Smagacz</b> , Wyoming State Treasurer
<b>2:15 PM</b>	<i>Mini-break</i>
<b>2:30 PM</b>	<b>Keynote 1: ESG - Race Relations</b> <b>Dr. Debra Thompson</b> , McGill University
<b>3:00 PM</b>	<b>Discussion 4: ESG - Inclusion &amp; Diversity</b> <b>Akanksha Thakur</b> , Simon Fraser University (moderator), <b>Dr. Debra Thompson</b> , McGill University, <b>Kathleen Schmidlkofer</b> , University of Minnesota Foundation, <b>Camilla Sutton</b> , Women in Capital Markets, <b>Hazlitt Gill</b> , Wells Fargo
<b>3:45 PM</b>	<i>Mini-break</i>
<b>4:00 PM</b>	<b>Now Talk 1: COVID-19 Pandemic and the Labour Market</b> <b>Dr. Hashmat Khan</b> , Carlton University - others to be announced
<b>4:30 PM</b>	<b>Now Talk 2: How to Boost Your Immunity</b> <b>Caroline Chow</b> , HappyHealth & CAASA
<b>5:00 PM</b>	<i>Tête-à-tête meetings (4 x 15-minute meetings)</i>
<b>6:00 PM</b>	<b>Sommelier-led Wine Tasting</b>
<b>7:00 PM</b>	End of Day 1 Program



FORGING INVESTOR PARTNERSHIPS IN ALTERNATIVE CREDIT



Napier Park is a \$13.7bn independent alternative asset management firm focused on investment opportunities in alternative credit. Strategies range from credit funds covering all major US and European markets with AUM of \$5.4bn, a CLO platform with \$6.6bn under management, to Private Equity and Leasing strategies managing \$1.8bn of capital. The senior team have spent over ten years developing the firm to take advantage of the post-crisis world of intermittent liquidity and volatility driven by regulatory change. Investors look to Napier Park for uniquely-packaged credit exposures that offer an attractive absolute return while structurally limiting downside risk.

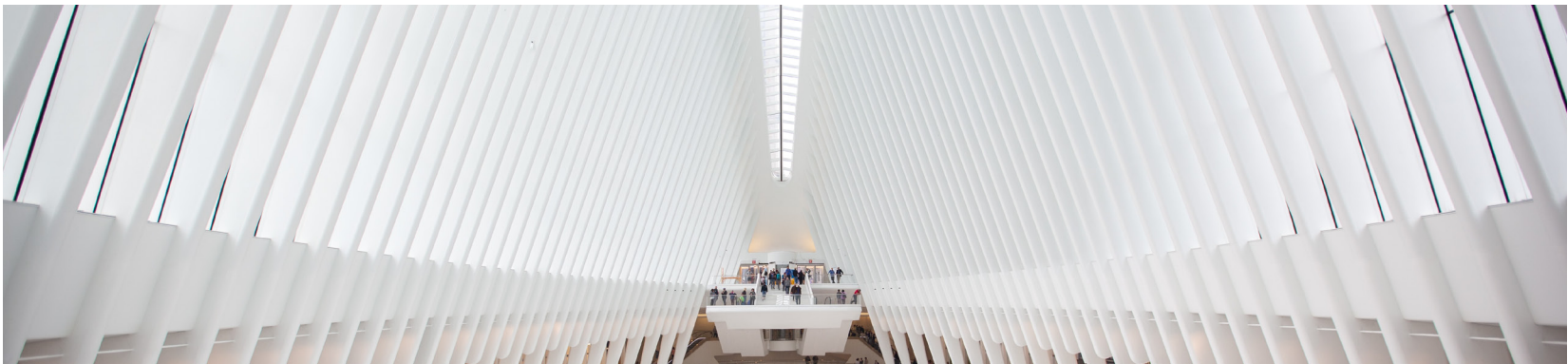
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AXONIC

Axonic Capital is proud to serve as a sponsor of the  
CAASA Annual Conference 2020

Axonic Capital, with \$3.6 billion under management, is an active investor across commercial and residential real estate strategies, systematic fixed income and commercial lending strategies along with its structure credit expertise.



DAY 2 AGENDA - NOV 4<sup>TH</sup>

8:00 AM	Tête-à-tête meetings (4 x 15-minute meetings)
9:00 AM	<b>Discussion 5: Quantitative Trading Strategies</b> <b>Mohamed Farid</b> , World Bank Pension Plan (moderator), <b>Christophe L'Alelec</b> , <b>Dr. Wagner Dada</b> , CPP Investments, and <b>Dr. Robin Lundgren</b> , Fjärde AP-fonden (AP4)
10:00 AM	Mini-break
10:15 AM	<b>Discussion 6: Pension Fund Case Studies on Peer-to-Peer Lending &amp; Repo</b> <b>Ranjan Bhaduri</b> , Bodhi Research Group (moderator), <b>Rob Goobie</b> , Healthcare of Ontario Pension Plan, <b>Mike Johnson</b> , California Public Employees' Retirement System, <b>Jerry May</b> , Ohio Public Employees' Retirement System
10:45 AM	Mini-break
11:00 PM	<b>CAASA Chat 3: Insurance-Linked Securities</b> <b>James Burron</b> , CAASA (moderator), <b>Philippe Trahan</b> , Ontario Teachers' Pension Plan, <b>Bernard van der Stichele</b> , Healthcare of Ontario Pension Plan
11:30 AM	Tête-à-tête meetings (3 x 15-minute meetings)
12:15 PM	Sponsors' Table Talks (3 x 20-minute meetings)
1:15 PM	<b>Discussion 7: Trading &amp; Innovative Investing in COVID-19</b> <b>Ryan Abrams</b> , Exelon Corporation Pension (moderator) <b>Puneet Kohli</b> , Healthcare of Ontario Pension Plan, <b>Dave Finstad</b> , British Columbia Investment Management Corporation, <b>Dominic Garcia</b> , New Mexico Public Employees' Retirement Association, <b>Darryl Orom</b> , Alberta Teachers' Retirement Fund
2:00 PM	Mini-break
2:15 PM	<b>Keynote 2: Myths in Finance</b> <b>Dr. Gunter Meissner</b> , University of Hawaii
3:00 PM	Mini-break
3:15 PM	<b>Discussion 8: Negotiations, Structuring, &amp; Legal Due Diligence</b> <b>Tamara Greeman</b> , World Bank Pension, <b>Nina Fitzpatrick</b> , Lighthouse Partners, <b>Megan Vesely</b> , Ontario Municipal Employees' Retirement System
4:00 PM	<b>Keynote 3: Trends in the Canadian Economy</b> <b>Sheryl King</b> , Bank of Canada with Q&A from <b>Dr. Ranjan Bhaduri</b> , Bodhi Research Group, <b>Dr. Hashmat Khan</b> , Carleton University
4:45 PM	Mini-break
5:00 PM	<b>Discussion 9: Good Riddance 2020! Ideas for 2021</b> <b>Brian Gelfand</b> , (moderator), <b>Elizabeth Burton</b> , Employees' Retirement System of the State of Hawaii, <b>Mee Warren</b> , Bodhi Research Group, <b>Chad Myhre</b> , Heinz Family Office, <b>Derek Drummund</b> , State of Wisconsin Investment Board
6:00 PM	End of Day 2 Program



# TABLE TALKS - NOV 3

**CAASA Annual Conference Sponsors' Table Talk**  
Roundtable on the Future of Family Offices  
Interactive discussion on how the industry is evolving  
Éric Lapointe  
Founder & CEO

November 3<sup>rd</sup>, 2020  
www.caasa.ca

**CEOS**  
FAMILY OFFICE

**CAASA Annual Conference Sponsors' Table Talk**  
Hedged Equity via Long Volatility as an Alternative to 60/40  
Philip Smith  
Portfolio Manager

November 3<sup>rd</sup>, 2020  
www.caasa.ca

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**CAASA Annual Conference Sponsors' Table Talk**  
Trends in Cryptocurrency from Fidelity's Chief Technology Officer  
Paul Stapleton  
Chief Technology Officer

November 3<sup>rd</sup>, 2020  
www.caasa.ca

**Fidelity**  
INVESTMENTS  
FIDELITY CLIPPING CANADA

**CAASA Annual Conference Sponsors' Table Talk**  
True Innovation in Wealth Management  
Smart beta + smart advisors  
Jay Barrett  
Managing Director, National Sales

November 3<sup>rd</sup>, 2020  
www.caasa.ca

**SmartBe**  
WEALTH

**CAASA Annual Conference Sponsors' Table Talk**  
Fund Administration Governance  
Michael Graham  
Executive Director, Relationship Management, Quebec

November 3<sup>rd</sup>, 2020  
www.caasa.ca

**CIBC MELLON**

**CAASA Annual Conference Sponsors' Table Talk**  
Emerging Managers: Worth the Risk?  
Finding value in newer niches  
Hazlitt Gill  
Senior Vice President, Research Director

November 3<sup>rd</sup>, 2020  
www.caasa.ca

**WELLS FARGO**

**CAASA Annual Conference Sponsors' Table Talk**  
Dislocations and Opportunities in Implied Parameters  
Guillaume Dupin  
Head of Absolute Return Strategies

November 3<sup>rd</sup>, 2020  
www.caasa.ca

**LFIS**

**CAASA Annual Conference Sponsors' Table Talk**  
Lower-Mid Market PE Secondaries  
Attractive return prospects in the post-COVID era  
David Swanson  
Principal

November 3<sup>rd</sup>, 2020  
www.caasa.ca

**UNIGESTION**  
First and Second Sessions

**CAASA Annual Conference Sponsors' Table Talk**  
All-in-One: Creating a nexus of diligence knowledge  
Brian Robinson  
Sales Director

November 3<sup>rd</sup>, 2020  
www.caasa.ca

**Vidrio**

**CAASA Annual Conference Sponsors' Table Talk**  
Ex Machina: Balancing Systematic and Discretionary Investing  
Salman Baig  
Portfolio Manager, Cross Asset Solutions - Global Macro

November 3<sup>rd</sup>, 2020  
www.caasa.ca

**UNIGESTION**  
Third Session Only

**CAASA Annual Conference Sponsors' Table Talk**  
Managed Account Platforms  
Creating a Managed Account Platform from the ground up  
Jonathan Planté  
Director, Business Development

November 3<sup>rd</sup>, 2020  
www.caasa.ca

**innocap**  
STRUCTURING ALPHA

# TABLE TALKS - NOV 4

**CAASA Annual Conference Sponsors' Table Talk**  
Co-Investing Alongside Families  
An aligned approach to real estate investing  
Anthony Guarnieri  
Vice President, Family Office & Advisor Relations

November 4<sup>th</sup>, 2020  
www.caasa.ca

**GroupRMC**

**CAASA Annual Conference Sponsors' Table Talk**  
It's never different this time (or maybe it is ? a bit ?)  
Pandemic, election, Brexit: finding predictability and quantifying uncertainty in unprecedented times  
Arnaud de Bevy  
Principal

November 4<sup>th</sup>, 2020  
www.caasa.ca

**AXONIC**

**CAASA Annual Conference Sponsors' Table Talk**  
Opportunities in real estate during and after COVID-19  
Vikram Rajagopalan  
Senior Vice President, Head of Retail Markets

November 4<sup>th</sup>, 2020  
www.caasa.ca

**TREZ**  
CAPITAL

**CAASA Annual Conference Sponsors' Table Talk**  
Hope is not a strategy  
US private lending in post-COVID economy  
Daniel Leger  
Managing Director

November 4<sup>th</sup>, 2020  
www.caasa.ca

**MGG**  
INVESTMENT GROUP

**CAASA Annual Conference Sponsors' Table Talk**  
"Who said teens returns aren't possible?"  
Michael Micko  
Partner, Head of European Credit Strategies

November 4<sup>th</sup>, 2020  
www.caasa.ca

**NAPIER PARK**  
GLOBAL CAPITAL

**CAASA Annual Conference - Table Talk**  
ICM Crescendo –Music Royalties & Streaming Income & growth from an emerging asset class  
Doug Laird  
Managing Director

November 4<sup>th</sup>, 2020  
www.caasa.ca

**ICM**  
Asset Management  
First Session Only

**CAASA Annual Conference - Table Talk**  
Industrial Real Estate, on/near-shoring, & supply chain reworking  
Outlook & opportunities in U.S. & Mexico industrial development  
Doug Laird  
Managing Director

November 4<sup>th</sup>, 2020  
www.caasa.ca

**ICM**  
Asset Management  
Second & Third Sessions

**CAASA Annual Conference - Table Talk**  
SPACs: Why you should consider pairing Merger Arbitrage & Special Purpose Acquisition Vehicles (SPACs)  
Tom Savage  
Portfolio Manager

November 4<sup>th</sup>, 2020  
www.caasa.ca

**PICTON MAHONEY**  
Asset Management  
First & Second Sessions

**CAASA Annual Conference - Table Talk**  
Making Sense of Senseless  
Structural impairment in Treasuries & how to position with ~0% rates  
Phil Mesman  
Head of Fixed Income

November 4<sup>th</sup>, 2020  
www.caasa.ca

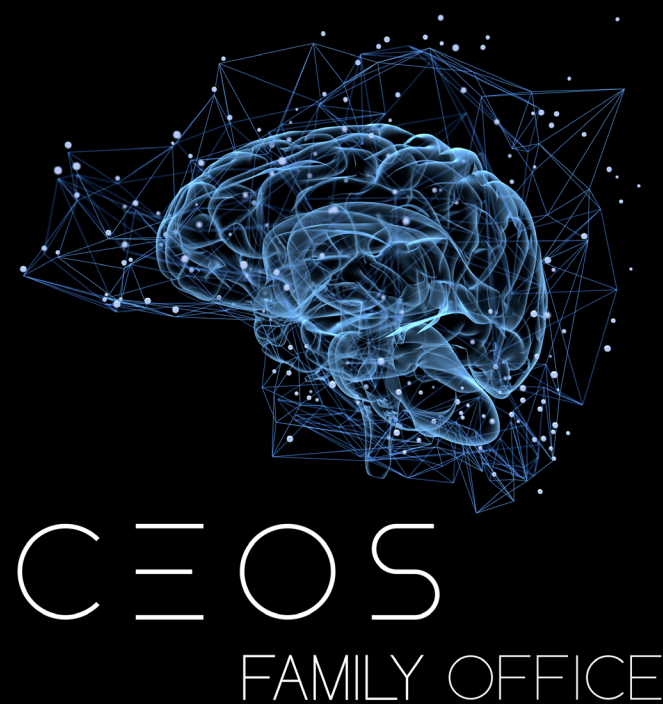
**PICTON MAHONEY**  
Asset Management  
Third Session Only

**CAASA Annual Conference - Table Talk**  
Insurance-Linked Securities  
Life Settlements (Non-Correlated Returns + Alpha)  
Vittorio Vermigli  
Portfolio Management

November 4<sup>th</sup>, 2020  
www.caasa.ca

**Carlisle**  
MANAGEMENT





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Achieving success is easier when you have a relationship with someone who understands your unique needs. Our Prime Services group has the industry expertise to help alternative asset managers take advantage of a rapidly evolving marketplace. In addition, we offer financial, operational, and technical resources which aim to help you succeed, today and over time.

Discover how a powerful relationship could help you achieve success, visit [wellsfargo.com/primeservices](https://wellsfargo.com/primeservices).

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## TWO NEW MEMBERSHIP CATEGORIES

### GLOBAL PARTNER

As we continue to innovate in an effort to bring more value to members than ever before, we added an additional exposure category for select members: Global Partner.



These members, starting with CEOS Family Office, an MFO headquartered in Montréal and offering a complete suite of services to families, will be featured prominently at all three of our signature conferences: *CAASA Annual Conference*, *Family Office Summit*, and (new in 2021) our *Wealth Managers' Forum*, as well as our Founding Day Drinks typically held near our anniversary on February 5.

As is our tradition, we treat all members equally for our speaking opportunities (191 in 2019 and more than 100 in Q2 2020 alone) and Global Partners are afforded the same propensity to speak on and moderate panels and participate in podcasts.

Any CAASA member this is interested in becoming a Global Partner should contact the CAASA office.

### (START-UP) FOUNDER

We have had a great deal of interest from start-up founders to be involved with our events and initiatives over the last few months - especially as we are active in the family office / angel network and venture capital funds.

To this end, we have created a Founder category to cater to this type of member. We will also feature Founder members on our panels and podcasts and organize special events for them to connect with funding sources and source best practices and great ideas from peers and others.

The intent is to work with true start-ups and give them access to great content and connections and CAASA reserves the right to require certain companies that might be better categorized as managers or service providers - especially if they might be considered mature or with substantial backing - to be so categorized and pay the relevant fee.



## MANAGED ACCOUNT PLATFORM

Structuring, Risk,  
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NOVEMBER 2020

**Vidrio**

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### OFFERING MEMORANDUM PROCESSING

We have partnered with CAASA member,  
DealMaker, to deliver a transformative  
solution to our Fund Manager members.

DealMaker is a digital platform that offers

Fund Managers a better way to process OM transactions. Conducting OM transactions on DealMaker saves Fund Managers significant time and money. The platform supports an additional wide range of issuer transactions. DealMaker transforms the sale of private securities into an efficient online process.

**DEALMAKER**

DealMaker offers the option of fund collection, providing a one-stop solution for investors. Investors on DealMaker benefit from the speed and convenience of signing online with e-signature. DealMaker eliminates the hassle of having to print, sign, scan, correct, and send reams of paperwork. Issuers and managers benefit from gaining access to a portal that tracks the signing process of all documents, investors, and advisors on the platform, using real-time data.

CAASA members receive a 30% discount on DealMaker. Contact [info@dealmaker.tech](mailto:info@dealmaker.tech) to receive complete pricing and more information. We are not receiving any compensation for this partnership and offer all savings to our members.

### FOUNDER, ANGEL, & VC PLATFORM

We are also working with Knightley.co to offer their virtual dataroom to our members engaged in the start-up (pre-seed, seed, & pre-Series A) stage.

**Knightley**

This service is a well-thought platform where start-up founders can display their business idea (including a video introduction) to potential funders. With a plethora of information that can be uploaded, founders control what investors see, allowing access to more intrusive documents as they see fit.

Investors can scroll through ideas and companies and see their key metrics (e.g., sales, locations, SKUs) and set a reminder for when that metric meets their interest requirements.

This is a powerful, new platform that CAASA SFO and Founder members have a free 30-day trial of and a 40% discount off the monthly price when they subscribe for a year. CAASA does not receive compensation from Knightley in any way - we give it to you!

Read more at [caasa.ca](http://caasa.ca) - or contact us at +1 (647) 953-0737 or [caroline@caasa.ca](mailto:caroline@caasa.ca)

VIRTUAL CAASA ANNUAL CONFERENCE - 2020 | 15



# SUMMIT POLICIES

## PRIVACY & SECURITY

Typically, we do not release the names of individuals attending CAASA events - including to sponsors and speakers at events - although we may disclose the employers of attendees, except for those working at single family offices which are redacted. For this gathering, of course, all delegates have access to the individuals' names and employers for the purpose of scheduling meetings and booking seats at Table Talks. However, **we never release the email addresses or phone numbers of attendees**; asking instead that folks reach out via the mobile app / web portal or other means such as corporate websites or services such as LinkedIn. We can pass on contact requests to another party, without any guarantee of a response.

To ensure the security of information and views expressed during the summit panels and other sessions, we use a scheduling platform that integrates pre-recorded and live video so that all attendees can easily connect during the tête-à-tête sessions, Table Talks, and plenary times. Since attendees will be identified as their login name for each session, **if we see duplicate names or believe another person is using a delegate's login, we will terminate transmission and investigate - and ask attendees to let us know if they see something suspicious.**

**All tête-à-têtes and Table Talks will not be recordable via the site and all plenary sessions will only be broadcast live.** Recordings of any of the proceedings will not be released post-event in order to keep the live-feel of an in-person event and ensure that potentially sensitive information inadvertently communicated does not go further. **All of our sessions are strictly Chatham House Rule** and we ask all delegates to refrain from using screenshots and other archival methods as well as not tweeting or using other social media concerning any of the speakers, topics, or information communicated. Any communication should be broad and general and have absolutely no attribution of any notions to any speaker. If one wishes to use social media about the Family Office Summit generally, **our preferred hashtag is #FOS2020.**

## New Focus on Private Debt Valuation Amid the Pandemic

Our global enterprise hosted a May 2020 virtual roundtable to discuss current practices for valuing private credit strategies in the midst of rising economic and market uncertainty brought on by the COVID-19 pandemic.

### How is COVID-19 Affecting Private Credit Valuations?

- Valuation questions are at the forefront at private credit firms, particularly those active in illiquid, hard-to-value markets.
- The relatively stable environment for subscriptions and redemptions is likely to change and asset managers could expect greater scrutiny.
- Many have preferred a simpler model based on yield adjustments, using current and historical objective inputs where possible.
- The use of amortized costs as a proxy for impairment is another area where people are reflecting on current approaches.

To learn more, contact: Jon Lofto at 905 755 7123

Further key takeaways from the virtual roundtable: <https://bny.mn/2HhtXLe>

[www.cibcmellon.com](http://www.cibcmellon.com)

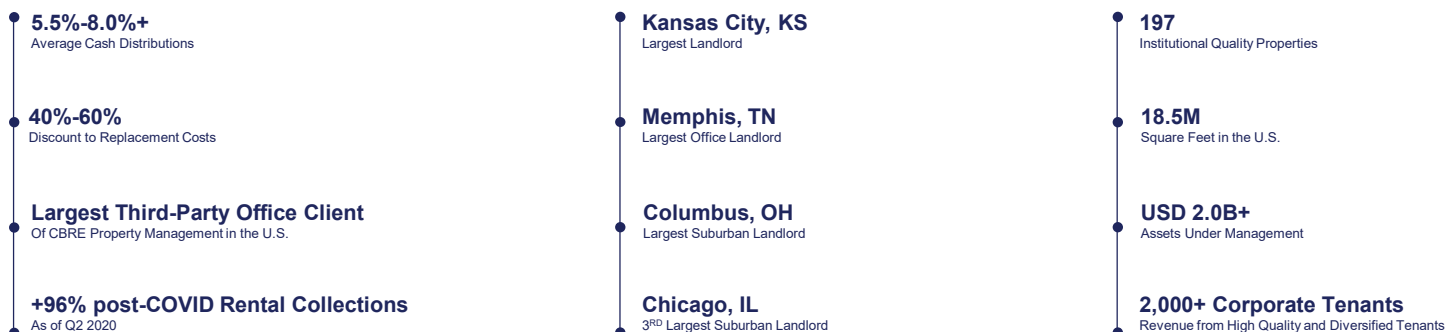
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### REAL ESTATE CO-INVESTING IN INSTITUTIONAL ASSETS

Group RMC is a real estate Co-Investment group that invests in underappreciated office properties in non-gateway U.S. markets. Group RMC and its affiliate entities currently own over 18.5M square feet in the U.S., principally in the Midwest, representing over \$2.0B in asset value.



### Contact

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VIRTUAL CAASA ANNUAL CONFERENCE - 2020 | 17



# SPECIAL SPEAKERS

## **DR. GUNTER MEISSNER, PhD** **ADJUNCT PROFESSOR OF MATHEMATICAL FINANCE** **COLUMBIA UNIVERSITY & NYU**

After a lectureship in mathematics and statistics at the Economic Academy Kiel, Gunter Meissner PhD, joined Deutsche Bank in 1990, trading interest rate futures, swaps, and options in Frankfurt and New York. He became Head of Product Development in 1994, responsible for originating algorithms for new derivatives products, which at the time were Lookback Options, Multi-asset Options, Quanto Options, Average Options, Index Amortizing Swaps, and Bermuda Swaptions. In 1995/1996 Gunter Meissner was Head of Options at Deutsche Bank Tokyo. From 1997 to 2007, Gunter was Professor of Finance at Hawaii Pacific University and from 2008 to 2013 Director of the Master in Financial Engineering Program at the University of Hawaii. Currently, he is President of Derivatives Software and Adjunct Professor of Mathematical Finance at Columbia University and NYU.



Gunter Meissner has published numerous papers and five books on derivatives and risk management. His six's book on "Forecasting – 10 Methods" will come out in 2020.

## **DR. DEBRA THOMPSON, PhD** **ASSOCIATE PROFESSOR OF POLITICAL SCIENCE** **MCGILL UNIVERSITY**

Dr. Debra Thompson is an Associate Professor of Political Science at McGill University. She is a leading scholar of the comparative politics of race, with teaching and research interests that focus on the dynamics of racial inequality in democratic societies. Dr. Thompson's award-winning book, *The Schematic State: Race, Transnationalism, and the Politics of the Census* (Cambridge University Press, 2016) is a study of the political development of racial classifications on the national censuses of the United States, Canada, and Great Britain. The book maps the changing nature of the census from an instrument historically used to manage and control racialized populations to its contemporary purpose as an important source of statistical information, employed for egalitarian ends.



Her research has also appeared journals such as in the *Canadian Journal of Political Science*, *South Atlantic Quarterly*, *Social and Legal Studies*, and *Ethnic and Racial Studies*, among others. Dr. Thompson previously taught at the University of Oregon and Northwestern University, and held a SSHRC postdoctoral fellowship with the Center for American Political Studies at Harvard University in 2010-2011.

# SPECIAL SPEAKERS

## **SHERYL KING** **ADVISOR TO THE GOVERNOR** **BANK OF CANADA**

Sheryl King was appointed as Advisor to the Governor, representing the Bank of Canada in its New York Office, effective 5 July 2016. She is responsible for promoting and strengthening ties between the Bank and the financial community in New York, with an emphasis on financial market and financial stability developments.



Before assuming this role at the Bank, Ms. King was Managing Director North America and Global Quantitative Research at Roubini Global Economics since 2014. From 2009 to 2012, she was Chief Economist and Strategist at Merrill Lynch Canada. From 2004 to 2009, she was Assistant Chief US Economist at Merrill Lynch in New York.

Prior to that, Ms. King worked as a U.S. macro forecaster and as a bond and currency strategist at TD Bank. Earlier in her career, she spent eight years at the Bank of Canada, first as an economist and later as an analyst in the Bank's Financial Markets Department.

Born in Montreal, Ms. King holds a BA in economics from Concordia University and an MA in economics from Carleton University.







# Differentiated, Non-Sponsor Direct Lending

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MGG Investment Group is an SEC-registered investment firm and currently manages more than \$1 billion long-term committed equity capital from leading endowment, foundation, pension, insurance, and family office investors.

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# SPEAKER BIOGRAPHIES

## TREZ CAPITAL: Delivering Results for Investors & Borrowers for 23 Years

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**Daniel Marchand**  
Senior Vice President, Head of Investor Global Sales  
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[danielm@trezcapital.com](mailto:danielm@trezcapital.com)



*Thank you to our many speakers, presenters, and those who added their themes and topics, panel and non-industry speaker ideas, and other invaluable input!*



# SPEAKER BIOGRAPHIES

**Ryan Abrams**, CFA, FRM  
*Senior Portfolio Manager*  
Exelon Corporation



Ryan Abrams is Senior Portfolio Manager at Exelon Corporation. In this role, he supports developing investment strategy and asset allocation for Exelon's various investment trusts. He is also responsible for sourcing, implementing, and monitoring all of Exelon's public equity investments, as well as investments with hedge funds and other uncorrelated strategies. His areas of interest include alpha-beta separation, portable alpha, risk parity, risk-based asset allocation, and overlay strategies. He earned his Master of Arts from the University of Texas at Austin, Bachelor of Arts from the University of Notre Dame, and holds the Chartered Financial Analyst (CFA) and Financial Risk Manager (FRM) designations.

**Michael Bernstein**, CFA, ICD.D  
*President*  
Juno Advisors Ltd.



Michael is the president of Juno Advisors Ltd., his consulting company which focuses on strategic advisory and investment opportunities. Over the last year, he has worked with one of Canada's largest pension funds, an international sovereign wealth fund, and a provincial government. He recently served as Special Advisor to Waterfront Toronto on its smart city initiative with Sidewalk Labs, the Google sister company. Michael is the former President and Chief Executive Officer of Capstone Infrastructure Corporation. He was responsible for the company's overall management of power infrastructure and utilities businesses in Canada and internationally.

As an executive with extensive experience in the Canadian power, infrastructure and utilities sector, Michael was previously the President of Macquarie Infrastructure and Real Assets Canada Ltd. and a Senior Managing Director of Macquarie Group Limited. Prior to this, Michael served as the Senior Managing Director of Infrastructure and Utilities Advisory for Macquarie Capital Markets Canada, as well as a senior executive with CIBC World Markets' Power & Utilities investment banking group. Michael has been involved in a wide range of IPOS, private and public debt and equity financings, M&A and significant P3 projects such as the \$4.25 billion Bruce Power refurbishment, the development of the A-25 bridge in Quebec and the completion of the Sea-to-Sky highway project. At Capstone, Michael helped triple the size of its power portfolio to over 500 MW, primarily through acquiring and developing renewable projects in Canada.

Currently, Michael is the chair of CircuitMeter Inc., an early stage sub-metering and data analytics company, a director of BiomeRenewable, and a director of the Independent Electricity System Operator of Ontario. Until January 2019, he was the Chairman of the Association of Power Producers of Ontario (APPRO). He is a member of the Young Presidents' Organization (YPO) and works with both CDL and MARS to help start-ups. From 2013 to 2017, Michael was a director of Build Toronto, the real estate development arm of the City of Toronto.

Michael holds a Bachelor of Arts in Economics and Philosophy from Dartmouth College and an MBA from Ivey Business School at Western University. He also holds the Chartered Financial Analyst and ICD.D designations.

# SPEAKER BIOGRAPHIES

**Kalina Berova**, CFA  
*Visiting Scholar*  
Bodhi Research Group



Ms. Kalina Berova has over 15 years of investment and risk management industry experience. Currently she is Visiting Researcher at Bodhi Research Group.

Most recently, Ms. Berova was part of the External Relationships Team at the New York office of OMERS, a Canadian pension fund, where she gained experience in a broad range of traditional and niche hedge fund strategies, custom alternative investment solutions, and private equity funds & co-investments.

**Ranjan Bhaduri**, PhD, CFA, CAIA  
*President & CEO*  
Bodhi Research Group



Dr. Ranjan Bhaduri is the Founder, President & CEO of Bodhi Research Group. Bodhi Research Group is focused on research and education in the alternative investments industry.

Dr. Bhaduri has extensive experience in hedge fund research, hedge fund factorization, portfolio management, and due diligence (investment, operational, and structural). Dr. Bhaduri has designed and implemented an institutional due diligence and research program. Dr. Bhaduri's experience includes being on an Investment Committee at Morgan Stanley where he conducted due diligence and helped design customized portfolios of Alternatives. Earlier, he was at a Canadian Fund of Funds, and at a multi-billion dollar capital management firm where he was involved in all aspects of its fund of hedge funds and structured finance business. He has also worked with two major Canadian investment banks in the Financial Strategy Consulting Group and in Global Risk Management & Control, respectively.

Dr. Bhaduri has held an advisory role at the East-West Center, a leading think tank on the Asia-Pacific region. He has taught finance and mathematics at several universities and lectured on Derivatives for the Montreal Exchange. Dr. Bhaduri has published papers on, and been invited to speak worldwide regarding hedge fund issues, and advanced portfolio and risk management techniques.

Dr. Bhaduri holds both the CFA and CAIA charters. He is a member of the American Mathematical Society, the Mathematical Association of America, the Toronto CFA Society, and PRMIA. Dr. Bhaduri previously served as a member of the All About Alpha Editorial Board, and has previously served on the CAIA Chicago Chapter Executive. Dr. Bhaduri recently served on the Board of Directors of AIMA Canada, co-chair of AIMA Canada Managed Futures Committee, and on the AIMA Global Research Committee.



# SPEAKER BIOGRAPHIES

## **Brian Broadway**

*President*

Gryphon Capital Management



Mr. Broadway joined Gryphon in 2017 as the President. Mr. Broadway's duties include overseeing all of the daily operations of the family office as well providing strategic insight and direction in the areas of philanthropy and investment management for this multi-generation single family office. Mr. Broadway received his Bachelor of Science degree, with an emphasis in Accounting, from the University of Virginia in 1991 and an M.B.A., with an emphasis in Finance, from the University of North Carolina – Chapel Hill in 1999.

From August 1991 through July 1995, Mr. Broadway worked in the assurance practice at Coopers & Lybrand, LLC, as a member of the Financial Services Team and became a licensed Certified Public Accountant in Virginia in 2004. From August 1995 through April 2014 Mr. Broadway was employed by Chesapeake Capital Corporation, an industry leading CTA, was a Principal, held various senior leadership positions and was the President of the family office of its' founder. From 2014 until earlier this year he was the COO and Principal of Aspen Partners, Ltd, a CTA based in Richmond Virginia.

Mr. Broadway, and his wife, Janet, live in Manakin-Sabot, just outside of Richmond. Both he and his wife are involved in their church and in his spare time Mr. Broadway enjoys wing shooting, cycling, and international travel.

## **James Burron, CAIA**

*President & Co-founder*

CAASA



James co-founded CAASA in response to industry support for a Canadian alternatives association to serve all aspects including: hedge / alternative strategies; private lending; private real estate; private equity; plus emerging areas where Canada is a leader such as digital assets / blockchain and robo-advisors.

Prior to CAASA, James was the Chief Operating Officer of AIMA Canada where his team of three worked with 12 committees to produce 50-60 events per annum across Canada, organized 100+ committee meetings, and increase member numbers over his 7-year tenure from 66 to 164 corporate entities.

James currently sits on the Canadian Investment Funds Standards Committee (CIFSC), which categorizes mutual funds and alternative mutual funds (aka liquid alternatives) for the retail space in Canada. He is also called upon by membership and industry groups to speak to risk ratings and portfolio placement of all types of alternative investments.

James also has experience in research and writing for the CAIA Association (holding the designation since 2006) as well as serving on CAIA's exam council and as a grader for the Level II portion of the exam. He had roles in institutional sales and FoHF structuring in Seoul, South Korea, as a Product Manager at ICICI Wealth Management, and as an Investment Advisor at RBC Dominion Securities. James graduated from Simon Fraser University with a BBA (Finance).

# SPEAKER BIOGRAPHIES

## **Elizabeth Burton, MBA, CAIA**

*Chief Investment Officer*

Employees' Retirement System of the State of Hawaii



Elizabeth Burton is the Chief Investment Officer of the Employees' Retirement System of the State of Hawaii ("HIERS"), where she oversees over \$17 billion in pension fund assets. HIERS provides retirement, disability and survivor benefits for employees of the State of Hawai'i ("State") and its counties, including teachers, professors, police officers, firefighters, judiciary employees, judges and elected officials. The defined benefit plan has both contributory and noncontributory benefit structures.

Prior to joining HIERS, Ms. Burton served as Managing Director of the Quantitative Strategies Group at the Maryland State Retirement Agency. In this role, Ms. Burton was responsible for the \$4.5B Absolute Return Portfolio and for risk management oversight of the \$55B Plan. Ms. Burton joined the Agency in July 2016.

Previously, Ms. Burton owned William Street Advisory—a strategic advisory practice which she founded in 2013. Prior to that role, Ms. Burton was a Senior Economist and Expert Witness with Criterion Economics. Prior to that role, Ms. Burton was a Consultant at First Annapolis where she worked on M&A transactions and consulting the payments industry. Previous positions include: Co-Portfolio and Quantitative Risk Analyst with a South Africa-based fund of hedge funds, Trader (fixed income securities) for a risk management firm, and Portfolio Management Associate with a quant-focused fund of hedge funds.

Ms. Burton received her Bachelor's degree in Political Science and French, cum laude, from Washington and Lee University, and her MBA in Finance, Econometrics & Statistics from the University of Chicago Booth School of Business. She is on the board of a private residential real estate investment trust and a Trustee of The Hill School, a private boarding school. She is also a CAIA charter holder. Ms. Burton was named one of Chief Investment Officer Magazine's Top-40-Under-40 in June 2017. In 2019 Ms. Burton received the Industry Innovation Award for <\$20B plan by Chief Investment Officer Magazine and was listed in the Power 100 in 2019.

## **Adam Buzanis**

*Portfolio Manager, Private Equity*

CAAT Pension Plan



Adam is a Portfolio Manager at the Colleges of Applied Arts and Technology (CAAT) Pension Plan and has overall responsibility for the execution and portfolio management of the plan's global private equity program, which consists of primary fund commitments, co-investments and secondaries.

Prior to joining CAAT, Adam was mostly recently the Director of Research at a leading Toronto based multi-family office. In 2012, he joined CC&L Funds Inc., an affiliate company of the CC&L Financial Group responsible for launching and developing the firm's alternative investment funds business. Adam began his career within risk management at CIBC, prior to transitioning to the bank's asset management arm in 2009.

Adam obtained his Master of Finance degree from the Smith School of Business at Queen's University and holds both the Chartered Financial Analyst (CFA) and Financial Risk Manager (FRM) designations.



# SPEAKER BIOGRAPHIES

## **Caroline Chow**

*Vice President & Co-Founder, CAASA  
Founder, HappyHealth*



Caroline is Vice President and co-founder of the Canadian Association of Alternative Strategies & Assets (CAASA). She works closely with members to establish and grow the Association's initiatives both within Canada and abroad. She serves a broad range of alternatives such as liquid alts, private lending, real estate and digital assets.

Previously, she spent 5 years at AIMA Canada and during this time, corporate membership, events and committee engagement were doubled.

Caroline is also a certified Culinary Nutrition Expert (CNE) and Les Mills RPM instructor. When she is not contributing to the Canadian Alternatives industry, she provides education, consulting and workshops through her brand, Happy Health.

She is an Honours graduate of the Academy of Culinary Nutrition as well as the University of Waterloo, holds a degree in Honours Economics with a Finance Specialization and has completed the Canadian Securities Course (CSC).

## **Dr. Wagner Dada, PhD, CFA**

*Senior Portfolio Manager, Capital Markets and Factor Investing Department  
Canada Pension Plan Investment Board*



Dada is responsible for researching and building systematic alpha and risk premia factors for multi-asset strategies. Before his current role, he was previously responsible for portfolio construction and research in the tactical asset allocation team within CPPIB. He joined CPPIB in August 2015 after spending seven years designing, building and implementing a global macro multi-asset systematic strategy at Tudor Investment Corporation. He holds a PhD degree in Economics from the University of Cambridge and is a CFA charterholder.

## **Nicolas Dang CFA, FRM, CAIA**

*Manager, Absolute Return  
CN Investment Division*



Nicolas is Manager of the Absolute Return Program at Canadian National Railway Pension Fund in Montreal, Canada. He was named by Chief Investment Officer (aiCIO) as top 25 NextGen CIO list in 2018 and one of the 2015 top Forty Under Forty. He previously worked at several alternative funds in the capacity of quant analyst and derivatives trader. Outside the realm of finance, he is co-founder of Junto Montreal Society for Useful Knowledge, a non-profit organization with the mission to compound its members intellectual capital.

# SPEAKER BIOGRAPHIES

## **Derek Drummond, CAIA**

*Portfolio Manager  
State of Wisconsin Investment Board*



Derek Drummond, CAIA, is the Portfolio Manager for the Funds Alpha team at the State of Wisconsin Investment Board (SWIB). The Funds Alpha team oversees approximately \$25B in assets, and is responsible for the sourcing, evaluation and implementation of external investment managers across both traditional and alternative investment strategies. Derek has more than 19 years of experience developing and implementing investment structures for both asset owners and managers, including developing portfolio construction, analytics and risk management frameworks, and establishing manager due diligence and evaluation processes. Derek has received numerous awards from Institutional Investor for his contribution to the alternatives asset industry.

## **Mohamed Farid, CFA**

*Principal Portfolio Manager - Managing the Absolute Return Portfolio  
World Bank Pension Fund*



Mohamed Farid is a Principal Portfolio Manager, managing \$2.7 billion portfolio of the absolute return strategies at the World Bank Pension Fund in Washington D.C. He has extensive experience investing directly in hedge funds and he is an active member of the Investment Committee of the Pension Plan of the World Bank.

Prior to joining the World Bank Pension Plan in 2001, Mohamed has worked for Deloitte & Touche and KPMG financial consulting groups. Mohamed started his career in commercial banking and worked in several positions in Treasury and Trade finance functions. He holds a Master's degree from the Johns Hopkins University in International economics and political science as well as Master's degree in Banking and Finance from Finafrica foundation in Milan, Italy.

## **David Finstad, CFA**

*Senior Portfolio Manager  
British Columbia Investment Management Corporation*



Dave Finstad is Senior Portfolio Manager, Partnership Portfolio within BCI's Public Markets department, where he leads the management of the firm's external absolute return and long-only equity investments.

Mr. Finstad has over 25 years of investment industry experience. Previously, he was a Visiting Researcher at Bodhi Research Group. Previously, he built and led the external management team at the New York office of OMERS, a Canadian pension fund based in Toronto. Prior to that, he spent 10 years at AIMCo in Edmonton, Alberta in a similar position. He also worked for Frank Russell Canada (now Russell Investments) and for the Workers' Compensation Board - Alberta.

Mr. Finstad is a member of the board for Alignment of Interests, a non-profit, investor-driven organization focused on strengthening the alignment between hedge fund industry participants. He has spoken at numerous industry conferences and has written a number of articles across a range of investment topics including manager selection and investment culture.

Mr. Finstad has a M.Sc. in Finance from the London School of Economics and is a CFA charterholder. Dave is also an avid ice hockey fan.



# SPEAKER BIOGRAPHIES

## **Nina Fitzpatrick**

*Deputy General Counsel*  
Lighthouse Partners



Nina serves as in-house counsel to the Firm, advising on various legal matters, primarily related to Lighthouse's managed account program. She is involved in the formation and operation of Lighthouse's funds, as well as advising on structural and organizational matters and U.S. and international regulatory issues (including AIFMD). Before joining Lighthouse, Nina practiced with the law firm of Dewey & LeBoeuf LLP in its private equity practice group, specializing in representing institutional investors investing in managed accounts, hedge funds, private equity funds, real estate funds and fund of hedge funds. Nina received her Juris Doctor from Georgetown University Law Center and obtained her Bachelor of Arts in English from Washington College. Nina is a member of the New York State Bar and is certified as an Authorized House Counsel in Florida.

## **Cédric Fontanille**

*Head of External Strategies*  
Unigestion



Cédric Fontanille, Director, is Head of External Strategies within the Cross Asset Solutions Team. He joined Unigestion in March 2012. Cédric began his career in 2001 in the Quantitative and Risk Analysis team at Olympia Capital Management in Paris. Then, he became a Strategy Analyst focusing on European based Directional Trading and Relative Value Hedge Fund strategies in the London office. Later on, he was promoted to Deputy Head of Research and took over global research responsibilities for Directional trading and Quantitative trading strategies. In 2010, he became Head of Olympia's US office in New York. Cédric holds a DEA in Economics & Financial Econometrics from the University of Paris II- Pantheon Sorbonne Assas. He also hold a Master in Applied Mathematics and Social Sciences from the University of Paris IX - Dauphine.

## **Brian Gelfand**



Brian Z. Gelfand has 20 years of senior leadership experience in exchange-traded derivatives and securities. Brian served as Special Advisor to the Secretary General of IOSCO before joining the Montréal Exchange, where he occupied senior leadership roles in business development, market operations and microstructure, corporate relations, and ultimately market regulation, surveillance and enforcement. Most recently Brian was Chief Commercial Officer for Post Trade Services at the TMX Group, responsible for integrated business development, relationship management and product and service development for both the central securities depository (CDS) and the derivatives central counterparty (CDCC).

In his spare time Brian enjoys playing jazz piano, cycling, skiing, sailing and scuba diving.

Brian is a member of the Bars of Quebec, New York and Massachusetts, and holds B.A., B.C.L. and LL.B degrees from McGill University and an M.A. in International Relations from The Johns Hopkins University (SAIS).

# SPEAKER BIOGRAPHIES

## **Hazlitt Gill**

*Senior Research Director Global Manager Research*  
Wells Fargo Investment Institute



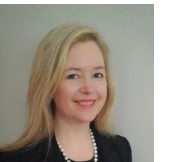
Hazlitt Gill is a senior research director for Global Manager Research (GMR), a division of Wells Fargo Investment Institute. The GMR team selects and oversees the third-party money managers used throughout Wells Fargo's brokerage, private banking, family wealth, and retirement businesses.

In his role, Mr. Gill oversees the investment manager research for alternative investments. Prior to joining Wells Fargo, Mr. Gill was the chief investment officer for RLJ Select Investments LLC. He has more than 20 years of experience in the financial services industry.

Mr. Gill earned both a Masters of Science in Operations Research and Statistics and a Bachelors of Science in Mechanical Engineering from Rensselaer Polytechnic Institute and holds Series 7, Series 63, and Series 3 Exemption securities registrations with FINRA. He resides in Charlotte, North Carolina.

## **Brandon Gill New, CFA**

*Senior Portfolio Manager*  
OP Trust



Brandon Gill New is a Senior Portfolio Manager in the Strategic Relationships and Innovation division at OPTrust. In her role, she provides strategic direction and oversight over a number of strategies within the plan's portfolio of C\$6B externally-managed public-markets funds.

Prior to joining OPTrust in early 2018, Brandon was a Director at BMO Capital Partners, a proprietary private debt and equity fund at Bank of Montreal. She also spent a decade at Ontario Teachers' Pension Plan. There, she served as a Portfolio Manager in the Alternative Investments Division managing a portfolio of global hedge funds and esoteric investment funds, and as an Assistant Portfolio Manager in the Public Equities Division covering Emerging Markets equities.

Brandon holds an MBA from London Business School and a B.A. in International Economics from Middlebury College in Vermont. She is also a CFA Charterholder.

## **Robert Goobie, CPA**

*Assistant Vice President Collateral Management, Fixed Income, and Derivatives*  
Healthcare of Ontario Pension Plan



Robert is the Assistant Vice President Collateral Management, Fixed Income, and Derivatives at the Healthcare of Ontario Pension Plan (HOOPP) with a focus on the optimization of large portfolios of collateral in excess of \$100b for both Equities and Fixed Income assets, utilizing global relationships-peer to peer, broker dealers, and custodian banks. He also inspired HOOPP's in-house collateral management software with integrates Fixed Income, Equities, Derivatives, Securities Lending and Borrowing, Repo, and FX Trading into one cohesive platform.

Robert Joined the HOOPP in October of 2000 after working seven years in the financial industry including at Deutsche Bank, Bankers Trust, TD Securities, and HSBC. He has a bachelor's degree of Commerce, Accounting, and Finance from Ryerson University in Toronto, Canada, and is a Certified Pubic Accountant.

Robert is very interested in the peer to peer community, utilizing blockchain technology for Capital Market, focusing on: Fixed Income and Equity Repo, Securities Lending and Borrowing, and Collateral Management and Financing. Robert was instrumental in founding the Global Peer Financing Association, where he serves as the Chair on the Board of the Directors.



# SPEAKER BIOGRAPHIES

## **Tamara Greeman**

*Lead Counsel, Legal Vice Presidency*  
World Bank



Tamara Greeman is Lead Counsel, Corporate Finance (LEGFI) in the Legal Department of the World Bank in Washington DC. She heads legal technical assistance to central banks and public sector asset managers participating in the World Bank Treasury's Reserve Management and Advisory Partnership and is a lead counsel for the World Bank Pension Plan investments in range of asset classes from fixed income and public equities to alternatives, including hedge funds. She is a member of the Plan's Investment Committee.

Prior to joining the World Bank in 2004, Tamara practiced as a New York attorney in the law firm of Foley Hoag and before that opened and managed Ernst & Young Legal Services in Bratislava. She started her career at SJ Berwin solicitors in London. In private sector Tamara advised multinational corporations and financial institutions and held a number of non-executive Director and Supervisory Board positions in investment and corporate firms.

Tamara holds Master of Laws degree from Harvard Law School, focused on finance and securities, and is member of New York and Slovak Bar Associations.

## **Mark Hannoush, CPA, (CA), CFA**

*Managing Director, Due Diligence & Advisory*  
Ontario Teachers' Pension Plan



As a Managing Director in the Finance department, Mark Hannoush leads a team that specializes in conducting due diligence at the Ontario Teachers' Pension Plan in Toronto, Canada. His role is to ensure that operational risk management is assessed and mitigated, as well as to provide direct support and assistance with deal implementation for the Plan's External Manager Program which includes a variety of investment products from Hedge Funds to Private Equity Funds, Managed Accounts and Managed Account Platforms. Mark also manages the Business Investigative Due Diligence process in support of the Investment Division. The Ontario Teachers' Pension Plan has been a significant investor in the External Manager space for over two decades. Mark was formerly in charge of the Finance function for the Equities group within the Finance department, where he managed the middle and back office functions and processes for all internally traded and externally managed public equity portfolios. He began his career as an auditor with Ernst & Young LLP in Ottawa, Canada where he earned his CPA (CA) designation. He is also a CFA Charterholder.

## **Omeir Jilani, CAIA**

*Head of Alternative Investments*  
First Bank of Abu Dhabi



Omeir is currently the Head of Alternative Investments at the First Abu Dhabi Bank (Formerly known as the National Bank of Abu Dhabi), the largest Bank in the United Arab Emirates. Managing the Alternative Investments portfolio of the bank; he has been an integral part in establishing the Alternative Investments desk since 2008. Being a part of the team that manages Bank's principal investments of over \$30 billion; he has been an active member of the Global Markets Division, representing the Division at Group's Asset Allocation Committee and the Investment Review Committee that outlines and discusses the Asset Allocation of the fiduciary capital. Omeir is also the Member of the Board of OneShare plc – 100% owned by FAB - an open-ended investment company incorporated in Ireland. Since Jan 2017; he was appointed as the Member of Investors Steering Committee for Alternative Investment Management Association (AIMA), an association that represents the global alternative investment industry.

# SPEAKER BIOGRAPHIES

## **Michael Johnson**

*Investment Officer, Securities Lending and Collateral Management.*  
California Public Employees' Retirement System



Michael joined CalPERS in March 2006 and is currently responsible for the operation of the CalPERS Securities Lending Program. The CalPERS Securities Lending Program is unique in that it utilizes a proprietary auction platform to capture value on an exclusive lending basis and does not rely on any agent lenders. Michael is the main point of contact for every part of the Program.

In 1993, Michael earned his Bachelor of Business Administration in Accounting from Chaminade University, Honolulu, HI.

## **Dr. Hashmat Khan, PhD**

*Professor & Chair of the Economics Department*  
Carlton University



Hashmat Khan is Professor and Chair of the Economics Department at Carleton University. He received his B.A. (Honours) in Economics from Delhi University, an M.A. from the Delhi School of Economics, and a PhD in Economics from the University of British Columbia in 1999. Hashmat started his career as a Research Analyst at the Bank of Canada and in 2002 joined the Bank of England as a Senior Economist. In 2005, Hashmat returned to Canada and joined the Economics Department at Carleton University. He has held visiting positions at the University of California, Berkeley, Federal Reserve Bank of San Francisco, and the Vancouver School of Economics. His main research interests include models of aggregate fluctuations, dynamic stochastic general equilibrium models, investment dynamics, inflation dynamics, productivity dynamics, housing markets, and environmental macroeconomics. He has published in many leading peer-reviewed general interest and leading field journals. He is the Co-Director of the Centre for Monetary and Financial Economics at Carleton and currently a Guest Editor for the Canadian Journal of Economics on COVID-19 related research.

## **Puneet Kohli, CFA**

*Assistant Vice President, Fixed Income & Derivatives*  
Healthcare of Ontario Pension Plan



Puneet Kohli is an Assistant Vice President at the Healthcare of Ontario Pension Plan (HOOPP) in the Equities Derivatives & Collateral Management division. At HOOPP, Mr. Kohli is responsible for the development of investment programs to maximize the Plan's return-to-risk profile. His focus is on volatility and alternative strategies within capital markets.

Prior to HOOPP, Mr. Kohli was a Portfolio Manager with the National Bank of Canada, Alternative Investments. Over eight years, he managed two products - Lotus (a volatility arbitrage fund) and Red Stone (a tail-risk fund).

From 2001 to 2004, Mr. Kohli was the VP of Global Equity Derivatives at the Bank of Montreal, Nesbitt Burns (BMONB) where he was responsible for the volatility proprietary trading and advised on the use of volatility and credit based products at BMONB. From 1997 to 2001, Mr. Kohli was at the Ontario Teachers Pension Plan Board where he had many roles including Research and Economics, Quantitative Analysis and Volatility Portfolio Management. Mr. Kohli managed four market neutral accounts that were volatility and correlation based.

Mr. Kohli earned his Bachelor's of Science, specializing in Mathematics, from the University of Toronto. Mr. Kohli also holds a Masters in Business Administration (MBA) and has achieved his Chartered Financial Analyst (CFA) designation.



# SPEAKER BIOGRAPHIES

## **Confidy Kong**

*Creative Lead*

Bodhi Research Group



Confidy Kong is the Creative Lead at Bodhi Research Group. Focused on the company’s branding and marketing, Ms. Kong brings an element of refinement, sophistication and strategy to Bodhi Research Group that runs deeper than digital. From ideation to execution, Ms. Kong conducts the design, strategy, and operations of Bodhi Research Group’s educational arm involving: seminars, webinars, and conferences. Ms. Kong excels in client relationships by curating exceptional experiences with her in-depth knowledge and previous network in the arts, entertainment, and culinary and wines sectors.

Education and experience ranging from creative direction, social art direction, brand identity, digital marketing, market research, and strategy development allows for the seemingly opposing worlds of “business and arts” to blend together seamlessly. Her penchant for inventiveness and an acute eye for accuracy delivers Bodhi Research Group a perspective both exciting and enlightening.

Beginning her career at Marques d’Elegance, an exotic automobile and luxury lifestyle events company, she was the C-Suite Executive Assistant eventually working towards Marketing Assistant and later, Marketing Manager. During her tenure, she developed an expertise and etiquette serving the clientele of primarily single family offices. Ms. Kong’s artistic involvement within the fashion industry such as; direction, photography, styling, and copywriting were issued in multitudes of publications including Elléments Magazine, HUF Magazine, Destinations of The World, WEST, Forum Magazine, The Invidiata Collection and more. This recognition spearheaded her career in creative direction and digital marketing at companies such as Perk Naturals, U-Feast, and INK Entertainment and with offers from Huawei and ASTOUND.

After returning from living abroad in Austria, she received her Bachelor of Arts at Wilfrid Laurier University for Organizational Leadership and Psychology. Ms. Kong furthered her education in research by recently completing certifications in UX Design and Data Analytics at BrainStation. Ms. Kong also earned a Diploma in Business Marketing at Mohawk College of Applied Arts and Technology; she received a number of awards from provincial and national Marketing Case Competitions, and was on the Dean’s Honour List. Aside from the technical skill-set, Ms. Kong is expanding her interests in culinary and wine culture being currently enrolled in the Court of Master Sommelier.

## **Yan Kvitko, CFA**

*Senior Portfolio Manager, Head of Emerging Manager Investing: External Portfolio Management*  
CPP Investments



Yan Kvitko is a Senior Portfolio Manager with CPP Investments’ External Portfolio Management (EPM) team. He is responsible for the Emerging Manager program globally which pursues investments in early stage hedge fund managers. Prior to joining CPP, he worked at New Holland Capital, where he was a Senior Portfolio Manager responsible for investment research and portfolio management with a focus on quantitative strategies. Yan began his career at Ziff Brothers Investments.

Yan holds a BS in Computer Science from Stanford University and an MA in piano performance from the Conservatorium van Amsterdam. He is a CFA charterholder.

# SPEAKER BIOGRAPHIES

## **Christophe L’Ahelec, CFA**

*Senior Principal, Alternative Investments*

Ontario Teachers’ Pension Plan



Christophe L’Ahelec is an investment professional with twenty years of global experience in Hong Kong, Switzerland, and Canada.

Christophe was most recently a Senior Principal in the Capital Markets (CM) department, at Ontario Teachers’ Pension Plan Board where he was responsible for the top-down management of the external managers portfolio and was part of the investment committee allocating to hedge fund, private credit and long only equity managers. In addition to these responsibilities in the External Managers Group, he was also responsible for risk reporting and a member of the Capital Markets Risk Forum that allocated risk and monitored CM risk exposures across CM business lines. During his tenure at OTPP, he originated, conducted due-diligence, and on-boarded systematic alpha managers in the equity and futures space, along with leading the group’s work in the alternative risk premia space.

## **Dr. Robin Lundgren, PhD**

*Quantitative Analyst*

The Fourth Swedish National Pension Fund (AP4)



Dr. Robin Lundgren is a Quantitative Analyst working at the The Fourth Swedish National Pension Fund (AP4). Robin has been working at AP4 for almost one year where he is responsible for the analytical tools as well as the quantitative models for the funds financial forecasting.

Prior to AP4 Robin has been responsible for developing and designing a risk system used by mutual funds. In that system Robin was responsible for all market and liquidity models in the system. He has also implementing support for fund regulations such as UCITS IV, AIFMD to make fund companies compliant.

Robin defended his PhD thesis in applied mathematics with title “Convergence of Option Rewards” in 2010.

## **Shaun Martinak**

*Senior Portfolio Manager, Partnership Portfolio*

*British Columbia Investment Management Corporation*



Mr. Martinak has over 14 years investment industry experience. Before joining BCI he served as Senior Principal, Alternative Investments at Ontario Teachers’ Pension Plan. Prior to that he worked at D.E. Shaw & Co., a multi-strategy hedge fund based in New York, and for Mercer Consulting. Mr. Martinak holds a B.S. in Engineering Mechanics and a B.A. in Mathematics and English Literature from Columbia University, and an M.B.A. from NYU’s Stern School of Business.



# SPEAKER BIOGRAPHIES

## **Jerry May**

*Senior Portfolio Manager*

Ohio Public Employees' Retirement System



Jerry May is a Senior Portfolio Manager at the Ohio Public Employees' Retirement System (OPERS). In his role, Jerry oversees \$17 billion in cash investments for the plan's cash and securities lending collateral. Jerry has oversight over the plans securities lending program and external agent and also manages the team of securities lending and cash traders for the \$98 billion retirement fund.

## **Otto Miller, FRM**

*Vice President, Quantitative Research & Risk Management*

PPM America, Inc.



Otto Miller is a dedicated investment professional with 22 years of experience in portfolio management. He joined PPM America in 2013 as a member of the Quantitative Research & Risk Management team. He is responsible for oversight of risk processes as well as maintenance and enhancement of PPMA's equity risk management platform and processes. He also assists in the modeling of new quantitative solutions and the evaluation of new performance analytics platforms. Prior to joining PPMA, Otto was responsible for quantitative risk management at Grosvenor Capital Management. During his time with Grosvenor, Otto contributed to the development and enhancement of their risk management platform and his responsibilities also included advisory to clients as well as alternative investment product due diligence. Prior to Grosvenor, Otto worked as a risk manager for IBM Retirement Funds and as a performance analyst for Northern Trust Global Advisors. Otto has over 15 years of experience in fixed income, equity and alternative investment portfolio management, and he is experienced in evaluating risk on a broad array of investment mandates. Otto earned his B.S. in finance from the University of Illinois at Champaign, his M.A. in Quantitative Methods from Columbia University, and his M.S. in Predictive Analytics at the University of Chicago. Otto holds the designation of Financial Risk Manager (FRM).

## **Chad Myhre, CFA, CAIA**

*Director of Investments*

Heinz Family Office



Chad Myhre is a Director of Investments for the Heinz Family Office, where he manages the global equity, hedge fund, and fixed income allocations, while co-managing the opportunistic allocation. Prior to joining the Family Office, Chad worked at the Public Schools Retirement System of Missouri where he was solely responsible for a \$1.5 billion externally managed equity portfolio. He previously managed a \$2 billion externally managed hedge fund portfolio at the Missouri State Employees' Retirement System (MOSERS). Chad holds a B.A. in Finance from the University of North Dakota and is a CFA and CAIA Charterholder.

# SPEAKER BIOGRAPHIES

## **Cara Nakamura**

*Managing Director, Financial Assets Division*

The Kamehameha Schools



Cara Nakamura serves as a Managing Director of the Financial Assets Division of the Kamehameha Schools, which represents a \$12 billion globally diversified endowment. Prior to joining Kamehameha in 2016, Cara spent over a decade investing for Princeton University (the Princeton University Investment Company), focusing largely on the construction and growth of the endowment's \$6 billion+ private equity/venture capital portfolio. Earlier professional roles included economic research at The Andrew W. Mellon Foundation and a Fulbright Scholarship in Kyoto, Japan. Born and raised on Oahu, Hawaii, Cara earned an A.B. with honors in Economics and Certificates in both East Asian Studies and Japanese Language from Princeton University, and an M.B.A. in Finance from The Wharton School of the University of Pennsylvania. Cara, her husband, and two children reside in Honolulu, Hawaii.

## **Darryl Orom**

*Head of Absolute Return*

Alberta Teachers' Retirement Fund



Darryl Orom is the Head of Absolute Return Investments at Alberta Teachers' Retirement Fund ("ATRF"). He was responsible for the design and implementation of the Fund's Absolute Return program in 2014 and leads an investment team focused on managing a policy allocation to liquid alternatives of 10%. In addition, Darryl participates in formulating the overall Fund's investment strategy and asset allocation as a member of ATRF's Management Investment Committee, Asset Allocation Committee and Portfolio Allocation committee.

## **Owais Qureshi**

*Director & Senior Portfolio Manager*

Canada Post Corporation Pension Plan



Owais Qureshi is a Director and Senior Portfolio Manager for the Canada Post Corporation Pension Fund. He manages and trades Canadian and a US enhanced equity index portfolios with a combined AUM of \$3 billion. He is a CFA charter holder and holds a Master of Finance degree from the Rotman School of Management. Prior to this he worked as a Portfolio Manager for TD Asset Management, where he was responsible for the daily management of approximately \$10 billion of discretionary Structured Investment Portfolios spread over 19,000 high net worth accounts which includes all aspects of portfolio modeling and analysis, trading and risk management. Owais is an active member of the Toronto CFA Society and S&P Dow Jones Indices Canadian Index Advisory Panel.



# SPEAKER BIOGRAPHIES

## **Kathleen Schmidtkofer**

*President & CEO*

University of Minnesota Foundation



Kathy Schmidtkofer has been president and CEO of the University of Minnesota Foundation since March 2014. The Foundation is dedicated to raising and managing private gifts from individuals and organizations and to actively managing endowment assets and real estate holdings to build greatness at the University of Minnesota. Under Schmidtkofer's leadership, the Foundation has achieved record levels of fundraising as part of Driven, the University's \$4 billion system-wide campaign.

Before joining the Foundation, Schmidtkofer was executive vice president and a founding leader of GREATER MSP, a private nonprofit organization dedicated to growing the economy of the Minneapolis-St. Paul region. Prior to that, she held a variety of increasingly responsible finance roles over a 25-year career at General Mills.

Schmidtkofer earned a Bachelor of Arts degree in business from the University of Wisconsin-Madison and later completed her Master of Business Administration degree at the University of Minnesota's Carlson School of Management.

Schmidtkofer serves on the board of the Saint Paul and Minneapolis Community Foundations, the University of Minnesota Alumni Association, and is a member of the Minnesota Women's Economic Roundtable.

## **Kirk Sims, CFA**

*Senior Investment Manager - Head of Emerging Manager Program*

Teachers' Retirement System of Texas



Kirk Sims heads TRS' Emerging Manager Program. Since being established in 2005, TRS has committed \$3.7 billion to one of the largest programs of its kind. An additional \$2 billion has been invested directly with EM Program graduates. Each graduate was selected as a result of consistent outperformance among a group of more than 160 EM managers. Recognizing the Program's success, in early 2019, TRS rolled out a plan to invest another \$3 billion over the next three to five years.

Sims joined the Teacher Retirement System of Texas on March 1, 2019. Before joining Texas Teachers, he was a Senior Investment Officer for the Teachers' Retirement System of the State of Illinois. Sims had oversight and management responsibility of TRS's Emerging Manager Program, a \$750 million evergreen pool of capital designed to identify and invest in emerging investment managers across all asset classes.

Prior, Sims worked at Prudential Retirement where he was responsible for a manager of manager's retirement platform as well as an open architecture investment platform. He also has a background in asset management and has held various positions with both large and small asset managers.

Sims is a CFA charterholder and holds a Masters in Business Administration from the Columbia University Graduate School of Business. He received a Bachelor of Business Administration from Howard University in Washington, D.C.

# SPEAKER BIOGRAPHIES

## **Matt Smagacz, CFA, FRM**

*Senior Investment Officer*

Wyoming State Treasurer's Office



Matthew Smagacz, CFA, FRM is the Senior Investment Officer of the Wyoming State Treasurer's Office where he is integral in managing the \$20 billion sovereign wealth portfolio. He has eighteen years of buy-side experience, specializing in alternatives and fixed income. He holds a BSBA in Finance from Washington University in Saint Louis and is a member of the Global Association of Risk Professionals, the CFA Society of Colorado, and the CFA Institute.

## **Camilla Sutton, CMT, CFA**

*President & CEO*

Women in Capital Markets



Camilla Sutton is the President and CEO of Women in Capital Markets (WCM), a national not-for-profit that aims to accelerate diversity across financial services and is the largest network of professional women in Canada's financial sector.

Camilla's career spans more than two decades in capital markets, most recently as Scotiabank's Global Head of Foreign Exchange, responsible for the firm's FX trading desks, electronic trading and distribution, sales teams, and the global strategy. Prior to this role, she was Scotiabank's Chief FX Strategist, providing global macro commentary and advisory services across the firm's client base. Earlier in her career, she was part of Portfolio Management at OMERS and Equity Research at BMO Capital Markets.

Camilla holds a BA from Dalhousie University and an MBA from the Richard Ivey School of Business. In addition, she is a CMT and CFA charterholder. She is an active member of the global investment community. She is a member of the 30% Club's Advisory Committee, a member of the CFA Society Toronto's Advisory Council, a past member of the CFA Society Toronto's Board of Directors and a past member of the Bank of Canada's Foreign Exchange Committee. She is also a member of several committees within the United Way and has been a long-term community volunteer.

## **Akanksha Thakur**

*Program Coordinator: Equity Diversity Inclusion Resource Hub*

Simon Fraser University



Akanksha Thakur is the Project Coordinator for the Equity, Diversity and Inclusion Hub at Simon Fraser University (SFU)'s Work Integrated Learning division where she has been engaged in programming that facilitates inclusivity and belonging for students on campus. She has helped curate inclusive curriculum for co-curricular courses at both, SFU and the Canadian Race Relations Foundation (CRRF). She is a Youth Ambassador for the Canadian Race Relations Foundation and is the most recent recipient of the John Gibbard Memorial Award from the United Nations Association of Canada. Her work around the topic of intercultural communication led her to further study topics of equity, diversity, racism, marginalization and inclusion. Currently, Akanksha pursuing her MEd in Equity Studies in Education.



# SPEAKER BIOGRAPHIES

## **Philippe Trahan**

*Director, Insurance-Linked Securities (“ILS”), Capital Markets Group*  
Ontario Teachers’ Pension Plan



Philippe Trahan has been heading Ontario Teachers’ Pension Plan (“OTPP”)’s ILS group for thirteen years. OTPP has been investing in ILS since 2005 across all life and P&C perils and through various asset types, from catastrophe bonds, derivative products, external managers, collateralized reinsurance and public and private equities. Prior to joining OTPP, Philippe was a reinsurance broker for 5 years and also held various non-traditional actuarial positions. Philippe is a fellow of the Institute of Corporate Directors and an actuarial Fellow in Canada and in the US. He graduated from Laval University in Quebec City in 1994.

## **Bernard Van der Stichele**

*Portfolio Manager*  
Healthcare of Ontario Pension Plan



Bernard Van der Stichele is portfolio manager with the Healthcare of Ontario Pension Plan (HOOPP), managing the Fund’s ILS investments.

Bernard has been involved in reinsurance and the ILS market since 2005 when he was involved in developing the ILS strategy at the Ontario Teachers’ Pension Plan (OTPP). Following Ontario Teachers’, Bernard managed ILS investments for AQR Capital Management in the US and AlphaCat Management in Bermuda. Bernard has an MSc in Oceanography from the University of Miami.

# SPEAKER BIOGRAPHIES

## **Mee Warren, CAIA**

*Visiting Researcher*  
Bodhi Research Group



Mee Warren is a Visiting Researcher at Bodhi Research Group. Her duties include portfolio diagnostics, machine learning applications, due diligence, and risk management.

Mee Warren is a seasoned investment professional. She recently completed a non-compete clause with Two Sigma Investments while running in the NYC marathon, competing in bridge and pool tournaments, and traveling to more than 25 countries. During this time, she also organized the FWA 2018 International Business Conference in Tokyo and the FWA 2019 International Business Conference in Mumbai and New Delhi, India.

Mee Warren was a Global Equity Region Manager at Two Sigma Investments, LP., an investment management firm with a scientific approach towards investments. During her fifteen years at the firm, the firm grew from under \$100 million to over \$55 billion in AUM. She leveraged her expertise in investments, market structure, and trading to develop new strategies, increase capacity, and enhance returns. In her previous role, she managed the US & Americas Equities Trading desk. In addition to covering the Americas, she also traded European and Asia regions equities, hard & soft commodity futures, bonds, currencies, interest rate swaps, and derivatives. Her first role at the firm, and as the firm’s 30th hire, she worked directly with the Comptroller and CEO to develop and deliver financial reports and corporate functions.

In 2015, Mee received two industry awards: the “Women in Finance Outstanding Contributions” award from Markets Media and the “Women on Wall Street Trailblazer” award from Traders Magazine. Mee graduated with a Bachelor of Science degree from the University of Minnesota’s Carlson School of Management with majors in Finance, International Business, and Risk Management and minors in Latin, Chinese, and East Asian Studies. She also completed the distinguished University of Minnesota Talented Youth Mathematics Program (UMTYMP). Mee is a member of the National Organization of Investment Professionals (NOIP), CFA Society of New York, 100 Women in Finance, and Women in ETFs. She is also on the Board of the Greater New York Bridge Association. Mee Warren earned ACBL Life Master status in May 2020.



*For more information on CAASA membership, initiatives, and events, please contact:*

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