CANADIAN ASSOCIATION OF ALTERNATIVE STRATEGIES & ASSETS

CAASA Annual Review 2022




YYZ – EYW – MIA – PHX – ZHR – GVA – LAX – DFW – AUS – IAH – LAX – PBI – LGA – AUS – YYZ




YYZ – EWR – GCM – IAH – YYZ – YUL – DUB – LHR – LUX – MUC – DFW – AUS – DUB – YVR – YYZ



YYZ – YUL – GVA – XGZ – YYC – YVR – ORD – MIA – ICN – YUL – ICN – PUS – YVR – SFO – YYZ



YYZ – YWG – SEA – LAX – YYC – BDA – YUL – LGA – DXB – LHR – YUL – EWR – YUL – LGA – YYZ

CAASA MEMBER ADVISORY PANEL

DIVERSE LEADERSHIP FOR A DIVERSE INDUSTRY

James Burron, CAIA
Co-Founder & Partner
CAASA

Jason Chertin
Partner
McMillan LLP

Caroline Chow
Co-Founder & Partner
CAASA

Brian D'Costa
Founding Partner
Algonquin Capital

Michael Schnitman
SVP, Head of Alternative Investments
Mackenzie Investments

Athas Kouvaras
Client Relationship & Development Manager
Richter Family Office Inc.

Kimberly Poster
Chief Legal Counsel & SVP
AUM Law

Wilson Tow
Managing Partner
Altrust Solutions

Jessica Clark Barrow
Executive Vice President
Waratah Capital Advisors

Dean Shepard
Chief Executive, Managing Partner
Picton Mahoney Asset Management

MESSAGE FROM CAASA

Caroline Chow
Co-Founder & Partner

James Burron
Co-Founder & Partner

Paul Koonar
Partner

Stanley Tow
Accounting Manager

Manya Thakur
Membership Coordinator

Alexis St-Cyr
Event Manager

ANOTHER PIVOT EXECUTED

Our move from virtual events to in-person was pretty seamless thanks to our growing team. When we started, James and Caroline did everything from sales to content planning/programming, to website and database creation and maintenance, to accounting. It was a true, boot-strapped start-up and we thank the 100 or so members that joined us on this journey in our first year: 2018. In 2019 we brought on Paul who straddled both worlds of front office (with James) to mid/back (with Caroline) and it was a fabulous time during COVID. As we emerged from lock-down and saw many, large in-person events on the horizon we added Stanley to manage our books in-house, Alexis to take the reins of the events (thanks to her years of experience in the field), and Manya to help make our database and membership servicing shine as much as members expect it to.

Thanks to the team, we were able to produce a record number of conferences across the country, attract members and attendees from around the world, and introduce new services such as **The KYP Nexus** where asset managers can disseminate significant changes to their funds (as required by law starting January 1, 2022) to any advisor and dealer in Canada without charge.

As always, if members or others have ideas for our programming, format, initiatives, or other areas of activity we are only a (Zoom) call or email away!

Your CAASA Team

ABOUT CAASA

INCLUSIVE, ACTIVE, AND PAN-ALTERNATIVE

The Canadian Association of Alternative Strategies & Assets (CAASA) was created in response to industry requests for a national group to represent the Canadian alternative investment participants, including investors, asset managers, and service providers. CAASA is inclusive in that it welcomes participation from all companies active in the space as well as select individuals (those with investors) who might want to participate in committees and working groups - or simply attend member events - without their employer being a member of the association. CAASA is very active in both committees & groups and events: 33 events in 2018 and at least 50 planned in 2019 (15 in the first 2 months alone). Pan-alternative, for CAASA, encompasses all alternative strategies and assets including: hedge funds / alternative trading strategies, private and public real estate (funds and direct), private lending, private equity, development & project finance, digital assets / cryptoassets, weather derivatives & cat bonds, and all aspects of diligence, trading, structuring, dealing, and monitoring alternatives in a stand-alone portfolio and as part of a larger investment strategy.

MEMBER BENEFITS

Investors join CAASA to be a part of a formal network of pension plans, foundations, endowments, sovereign wealth funds, and family offices to discuss ideas, strategies, and operational issues particular to their businesses - all within a closed group where managers and service providers may or may not be included, depending on the forum.

Managers see the association as a way to connect with peers, investors, and service providers to speak to fund structuring, sales & marketing, and regulatory issues. CAASA is not a capital introduction platform, but we do create forums where investors and managers can meet organically or via structured meeting sessions where participation by the investors is strictly opt-in.

Service providers participate in our events and working groups as well as assist in the production of thought leadership pieces which provide relevant information to both association members and the industry and investing public at large.

Founders participate in our Founders' Pitch Competitions as well as other initiatives created to propel their fledgeling businesses forward.

NATIONAL AND GLOBAL

CAASA believes that the Canadian alternatives industry has a great deal to offer Canadians and the global community. The *Canadian Model of Pension Management* is well-known for its large alternatives focus, managed in-house in many cases with substantial allocations to external managers as well. Canadian investment managers operate in a robust regulatory regime (of hedge fund managers) that is becoming the norm across the globe and a stable banking back-drop that provides solace for investors as well as opportunities for managers. Talent in investment management (approximately 10% of all CFA charterholders reside in Canada) as well as newer areas such as digital assets and robo-advisory services are a differentiator. Of course, Canadian investors and managers are keen to learn of best practices in operations and portfolio management from their global peers.

WHY JOIN?

As mentioned, we have attracted 330+ members over the last 4 years or so and the reasons are plentiful and varied. Whether an investor, manager, or service provider, some seek a group of like-minded individuals in the alts space to connect with on an on-going and (semi-)structured basis; others have a more commercial interest in that they are intent upon being aware of the newest trends in the industry and (providing they have one) presenting their knowledge to an audience of peers; and others have made their mark (or are on their way to doing so) and really just want to give back to a group of people that has done a great deal for them.

Whatever your motivation, if you're not in membership yet we'd love to hear from you!

2022 EVENTS, PUBLICATIONS & MILESTONES

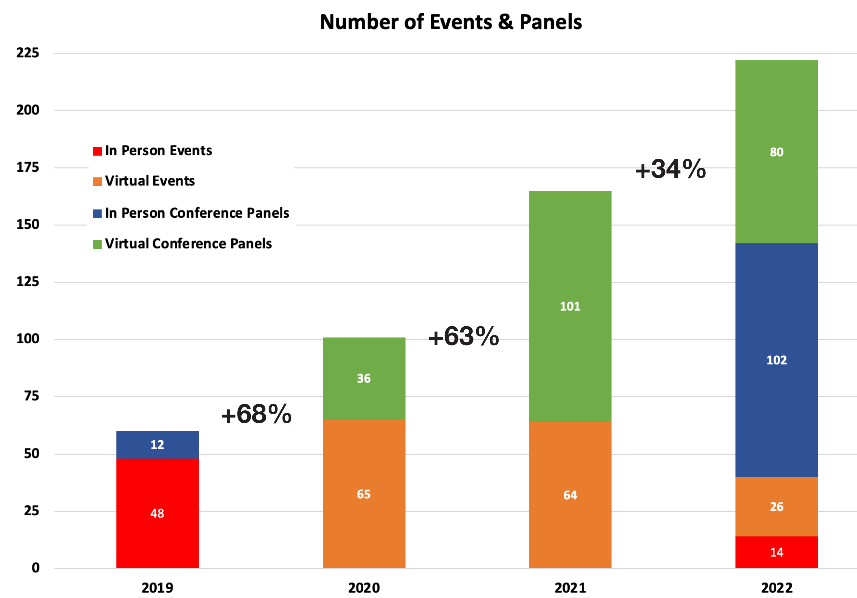
Thank you to our many speakers, presenters, and those who added their themes and topics, panel and non-industry speaker ideas, and other invaluable input! Thanks also, of course, to our growing audience and your interest in the topics.

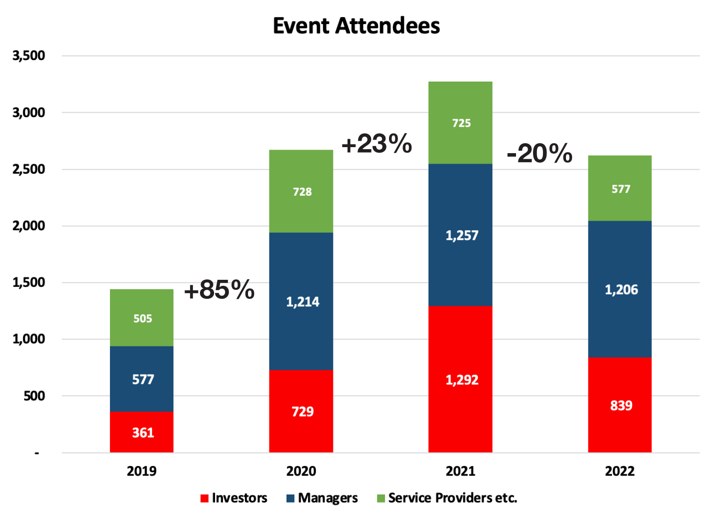
EVENTS IN REVIEW

2022 EVENT STATISTICS

We organized **26 webinars and 14 in-person events in 2022 plus 182 panels during our 5 conferences and 6 podcasts** across a broad range of topics, formats, and audience profiles.

In addition, we had representation at 36 third-party conferences across Canada, in the U.S., and elsewhere over the year. Usually as a delegate, but also with various roles such as speaker, moderator, or panelists - we were happy in all instances to suggest CAASA members to fill those presentation roles as well, giving each member ample opportunities to shine to these audiences also!





Event attendee totals and composition evolved over our transition out of COVID. With our fewer virtual events/ webinars (26 in 2022 vs. 64 in 2021), and move to more in-person conferences, overall 'attendee' numbers dropped, but one must remember that *one virtual attendee to a webinar is not equal to one in-person at a conference* where there might be 15-25 separtate panels plus numerous socials and breaks to connect.

We had overall average of 32% investors (40% in 2021), 46% managers (40% in 2021), and 21% service providers (no real change from 20% in 2021). The bulk of investors were present in the conferences (35-40% of total conference attendees) and, as noted above, the interaction with investors is of arguably higher-quality at our conferences given the use of our meeting scheduling app plus just being in the same room in person.

For the virtual events, we continue to provide replays on our site as well as distribution via the **CAASA CE Centre** to further boost viewership.

Our membership has been asking us to organize ex-Canada events which we are happy to do. Our first is on Grand Cayman in January 2023 and we plan to return to executing events at the various Govenment of Canada missions around the world. Our team previously organized them in London, Geneva, Zurich, Dubai, Tokyo, Hong Kong, Singapore, Sydney, Washington D.C., Chicago, Boston, and Minneapolis and new funding programs from the government can provide generous funding to our participating members.

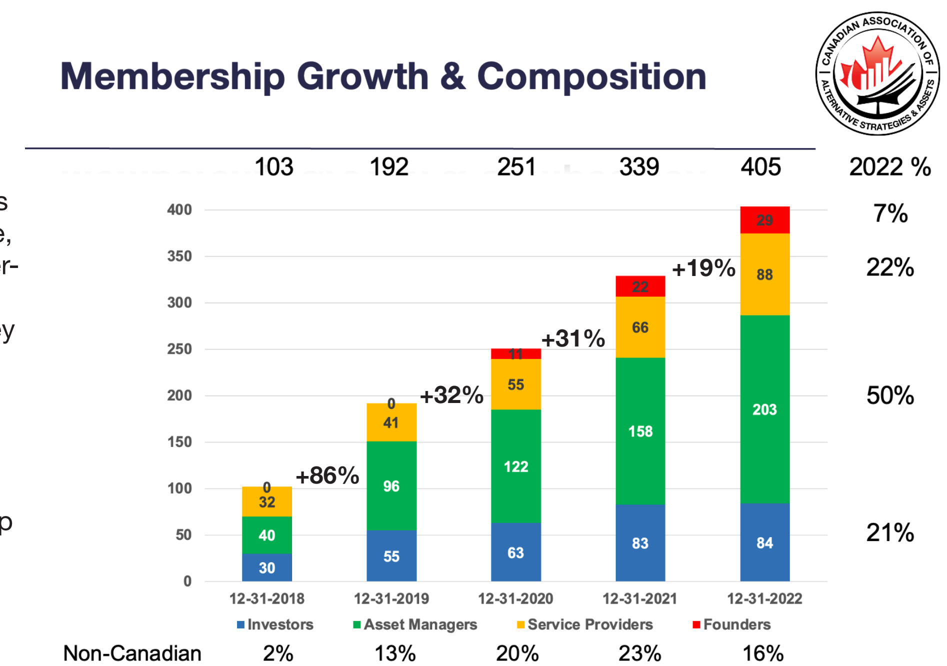
We are very happy to see in-person events return and our increased head-count allows us to capitalize on this. Additional initiatives, to be released in 2023, will further strangthen our abiltiy to connect members with fellow members and investors - we are always open to ideas to help us bring Canada to the world and the world to Canada!

MEMBERSHIP STATS & FINANCIALS

ANOTHER ATH!

During COVID membership growth was a steady 30%+ per year as we saw the number of foreign (those without any Canada-based operations/personnel whatsoever) grew from 13% to 23%. In 2022 we saw a surge in Canadian members joining and re-joining now that we were back in person for the bulk of our events.

With growth in every category, overall member numbers grew by 'just' 19%. We has a feeling that we would slow slightly in the year and we did just that. Our focus throughout the year was to ensure that all members were as engaged as much as they might like. Some, of course, were very busy with the many other conferences that re-started throughout the year and, thus, could not be as involved as they wanted with us. Others had salespeople and other folks shift to competitors (fairly commonas the world came out of lockdown). (This gave us ample opportunities to engage new shops as many CAASA champions tend to even write membership into their business plan at the new company!)



At the end of the year, we know that all members will review their expenses and determine if their association relationships make sense for the coming year. There are three scenarios, as we see it: (i) they love it and re-up for the following year without hesitation and possibly with a renewed focus/budget; (ii) they did not see a great deal of activity on their part but were given opportunities and the timing just didn't pan out, so they renew with a mindset to do more; or (iii) they just didn't get involved and did not see a fit between the offering and their needs. Obviously, the third one is anathema to us. We love to hear member feedback and often design entire conferences based on their 'beefs', so to speak. We keep true to our founding values of privacy for investors, connecting people at our conferences, and giving members exposure in a disciplined manner (no lunch and learns; no pay-to-play) but subjet to those, we are all ears for eays to deliver more.

As we entee 2023, we have a number of members who are doubling-down on CAASA by subscribing to our Signature Member package (detail on page 54) wherein they pre-pay (at a great discount of up to 33%) to sponsor select events throughout the year. and we look forward to adding to this list as we move forward.

FISCAL RESPONSIBILITY

Event revenues started up again in earnest as we offered in-person (and paid the assciated venue and food & bev expenses). This is nothing new to us and our staff are well-versed in how to get the most out of each dollar, whether virtual or in-person.

We will continue to offer a plethora of event foci, loci, and formats to satisfy and (possibly) exceed attendee and member expectations!

YOUR SOURCE

INDUSTRY MONTHLY REPORTS

We began collecting data on the liquid alts market as soon as the new rules were promulgated on January 3, 2021 and added two versions of our **Canadian Liquid Alts Update** to our site soon thereafter. One version, for CAASA members only, has a complete listing of all funds and managers as well as their individual fund AUM, fees, CIFSC classification, and liquidity terms. The public version has an abridged level of detail.

When the cryptocurrency fund industry started to really pick up steam (read: having more than one or two participants and with greater than $1 billion in AUM), we added our **Canadian CryptoFund Update** which details all issuers' funds, AUM, and the like.

As a service to investors and advisors, we also list the ticker symbol/FundServ codes of our members companies' offerings and their sales contacts.

Where resources allow and demand is present, we will continue to add unique value-added resources for both members and the industry at large. Any member that might have an idea for us to follow up on is welcome to let us know!

CAASA CE CENTRE - COURSES ON ALL THINGS ALTERNATIVE

We believe in making alternatives as accessible as possible and developed our CE Centre with that in mind! Launched in early 2021, we partnered with **Learnedly** to create a platform for IIROC Investment Advisors (and many others, as described below) to gain unbiased knowledge across all alternative strategies and asset classes.

CAASA CE Centre

Discover. Develop. Master.

Powered by learnedly.

Of course, true to our mantra of access, registration on and use of this platform by advisors is 100% free and without any additional charge, ever.

To date, we have released more than 60 courses including sessions from our *Wealth Managers' Forum* and *Digital Assets Global Exchange* and (soon) discussions from our *Private Equity & Venture Capital Assembly.* We also transferred many of our webinars (all of them to be added by end of January 2022) on topics such as: India, China, Colombia, growth stocks, alt-alts, real estate, private lending & mortgage funds, quant investing, the effects of COVID on certain markets, KYP & compliance, cryptoassets/currencies, ESG, the metaverse, inflation, foreign exchange, and more!

As alluded to, we have CE credits on offer: initially from the **Financial Planning Institute** and the **Mutual Fund Dealers Association**. We are adding eligibility for both **CFA** and **CPA** members and given that our courses are content-rich, provide real insights vs. marketing pitches, and the skill-testing questions are written by an experienced individual in that field we believe our courses are appropriate for these and other designations in the financial and investment field.

If any users have suggestions or comments we are very open to feedback and want this to be the preeminent source for all things/courses alternative!

PARTNERSHIPS

A PLETHORA OF PARTNERSHIPS

Since we began, we have been working with similarly-minded organizations to produce some truly awesome content (or so we have been told). Our first formal partnership was with the **CAIA Association** and then with its sister organization, the **Financial Data Professional (FDP) Institute**. As a result, we have access to their staff for speaking engagements (and vice versa), we cross-promote various events, and our members receive a 10% discount off the CAIA and FDP designations and a USD 200 discount on the CAIA Fundamentals course.

In 2021 we formally partnered with the **Managed Funds Association (MFA)** as part of their MFA Partnership Program. This allows our staff access to their and other partners' events, special rates for our members to attend, and cross-exposure opportunities (where we promote each others' events on our websites and newletters. Also in the MFAPP is the: **Connecticut Hedge Fund Association, New York Alternative Roundtable, Palm Beach Hedge Fund Association, Texas Alternative Investment Association, Southeastern Alternative Funds Association, MidSouth Alternative Investment Association** and **CalALTs.** We look forward to working with everyone over the next while!

Over the year, as one can read on the following pages, we organized a number of events in concert with various organizations. Thank you to each of them for working with us and making these a success!

JANUARY & FEBRUARY

KORIED TRUSTEE CONFERENCE - KEY WEST - JAN 18-21

JB returned to his annual trek to Key West to join in Koried's trustee-focused conference (the origanizers have another in July in Coral Gables as well). It featured speakers from many significant asset managers (many of which are CAASA members), consultants, and pension plan trustees and investment people. About 1/3 of the 180 attendees were investors/allocators and you can't beat the timing!

THE FRANCIS SABOURIN HOUR FT DAVID PICTON - JANUARY 19

Continuing his tradtion, **Francis Sabourin (Richardson Wealth)** invited **David Picton (Picton Mahoney Asset Management)** to his show and chatted about portfolio management and what investors should be doing to make the best of any market.

CAASA VIRTUAL CAREER SHOWCASE - JAN 22, 23, 29 & 30

Those who know us know that we are dedicated to supporting and enhancing all areas of the alternatives ecosystems. This year we added something new to our usual 5-10 career panels presented around the globe: our Career Showcase which featured students from more than 20 schools across Canada and the U.S. and Switzerland.

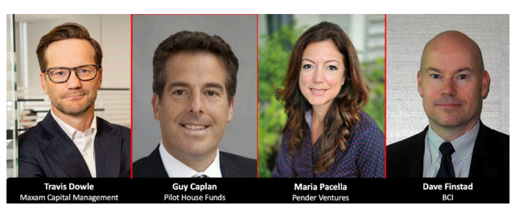
We sent a copy of *"Backpack to Briefcase"* to each student and they were treated to panels + a Corporate Culture Chat from mnay of our members. Thank you to our members who made it happen:

2023's version will include an interactive resume and interview tool for all sponsors to access the 100s of student profiles, screen them, and pick promising individuals for 1:1 meetings.

MFA NETWORK 2022 - MIAMI - JANUARY 23-27

Being part of the Managed Funds Association Partnership Program has been great! We finally met in person in Miami during their flagshipconference. (Participating member organizations listed on previous page.) MFA always organizes a quality program with a super-long list of major asset managers and allocators presenting and taking meetings at the event. CAASA members CBOE, A.R.I., and Galaxy all had special events around the conference to ensure a full schedule!

SIMON FRASER UNIVERSITY CAREER PANEL - FEBRUARY 8



Our first of many! We love organizing career panels and students love to be at them. This one was virtual (will be in person in 2023!). Thank you to **Travis Dowle (Maxam Capital Management, Guy Caplan (Pilot House Funds), Maria Pacella (Pender Ventures),** and **Dave Finstand (BCI)** for being a part of it.

WEALTH MANAGERS' FORUM

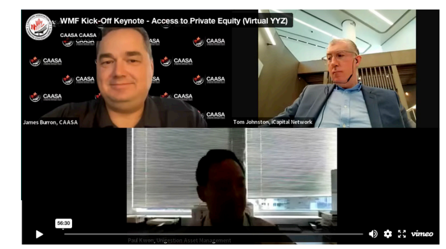
WMF KICK-OFF KEYNOTE - REAL ESTATE INVESTING IN YOUR OWN BACKYARD (VIRTUAL YYC) FEBRUARY 3

Now in its second year (virtually, we hope for the last time!) our Wealth Managers' Forum had a lead-up with a few Kick-Off Keynotes, the first of which featured **Scott Starratt (Canaccord Genuity Wealth Management)** and **Jason Jogia (Avenue Living Asset Management)**. We were going to have in-person receptions across Canada, but Omnicron shut that down pretty hard and we ended up with a 100% virtual offering in 2022 for the WMF. Thank you to **Unigestion** for sponsoring this event!

WMF KICK-OFF KEYNOTE - VIEWS FROM THE BOND/CREDIT MARKETS (VIRTUAL YVR) - FEB 3

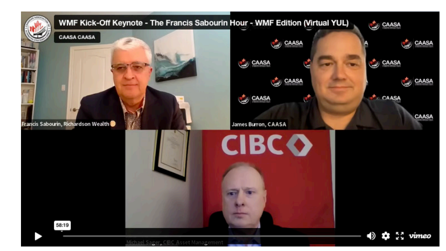
Two veteran credit managers came together to answer JB and audience questions about where this mega-market is headed in 2023. Some great insights as well as anecdotes to help show the way in the coming year as well as homespun common sense ideas of trading and investing in fixed income were offered as well. Thank you to **Philip Mesman (Picton Mahoney Asset Management)** and **Liam O'Sullivan (RPIA)** for being a part of this - as well as **Unigestion** for sponsoring as well!

WMF KICK-OFF KEYNOTE - ACCESS TO PRIVATE EQUITY (VIRTUAL YYZ) - FEBRUARY 10



This session, sponsored by **Unigestion**, had **Tom Johnston (iCapital Network)** and **Paul Kwan (Unigestion Asset Management)** speak to how retail investors might gain access to private equity via some pretty innovatively-structured funds. Matching private equity and retail investors has been a quixotic quest for some time now, with a few false starts as previous vehicles did not create the right expected and actual level of liquidity to allow them to weather the eventual storms. We were happy to hear of at least one product that might be appropropriate for retail investors - allowing them to partake in an asset class that hitherto has been a boon for Accredited Investors and pension and the like thereto.

WMF KICK-OFF KEYNOTE - THE FRANCIS SABOURIN HOUR, WMF EDITION (VIRTUAL YUL) - FEB 10



Award-winning Investment Advisor **Francis Sabourin (Richardson Wealth)** is a fixture with his monthly Francis Sabourin Hour webinars with us - and contrary to rumour, he is not an employee of CAASA, although we do love working with him on these and other events! He asks the questions that IAs want to pose - this time to **Michael Sager (CIBC Asset Management)**. What a great convo between these two! Thank you once more to **Unigestion** for sponsoring the series.

WEALTH MANAGERS’ FORUM

Since this year’s WMF was online, we have the sessions available on our Learnedly CE Centre. Everyone can access these sessions via our site (under Resources) and receive valuable CE credits. If you have any questions on these or other initiatives, please let us know!

Thank you to our sponsors for being a part of this:

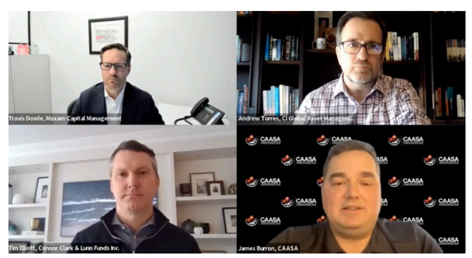
NOWTALK - STATE PF ALTERNATIVES - FEBRUARY 15

This NowTalk, presented by **David Lowry (Preqin)** featured research shop that’s world-renown for the depth and breadth of its reports and surveys covering all areas of the alternatives landscape across the globe. Advisors were be well-served to tune in to this presentation to get up to speed on what is happening in the space and where opportunities might present themselves over the next while.

TO SHORT OR NOT TO SHORT? - FEBRUARY 15

The universe of investment options in Canadian equity funds is dominated by long-only strategies that employ various techniques to value and trade stocks, and use shorting to deliver value to their investors. Does stock-picking suffice to produce excellent portfolios, and – if so – what are the best techniques to use? This panel delved into how a selection of managers might short (or not), why they do it (or not), and how those who do short express their views (e.g., cash borrow or various derivatives strategies) and manage the unique risks that shorting can pose. Thank you to our partcipants: **Darren Coleman (Raymond James), Ryan Marr (Waypoint Investment Partners), Philip Smith (Investment Partners Fund, Jordan Steiner (LionGuard Capital Management Inc),** and **Jordan Zinberg (Bedford Park Capital).**

LIQUID ALTS LUNCH - FEBRUARY 15



This is it! Now in its third year, our Liquid Alts Lunch is a stalwart of any conference focused on the retail channel. Celebrating 3+ years since promulgation, the Modernization of the Canadian Investment Management Industry (31-103) original comment letter from March 2013 has brought about not only $20+ billion in AUM, but a sea-change in how advisors and investors view risk and alternatives overall. **JB** would like to thank his panel: **Travis Dowle (Maxam Capital Management), Andrew Torres (CI Global Asset Management),** and **Tim Elliott (Connor Clark & Lunn Funds Inc.)**

WEALTH MANAGERS’ FORUM

NOWTALK – THE LYON’S SHARE: A LOOK AT EMERGING MANAGERS - FEBRUARY 15

This NowTalk features a pioneer in the fund marketing and placement business – especially now with his role of introducing new and emerging asset managers to advisors – **Grahame Lyons (Arbutus Partners)**. In this chat he speaks to the emerging manager scene in Canada features a real estate manager that is growing its portfolio via returns, new investors, and additional allocations from current ones: **Mark Irwin (DaVinci Capital)**.

THE VIEW ON CREDIT & EQUITY MARKETS - FEBRUARY 15

Our first keynote features two scions of the Canadian securities and asset management industry: **David Picton (Picton Mahoney Asset Management)** and **Richard Pilosof (RPIA)**. This was a real treat and opportunity to get a longitudinal look at the industry, spanning decades, from these veterans who are in the trenches and can speak to all areas of their respective specialties.

A WIDE VARIETY OF TABLE TALKS!

As delegates to our conferences would know, we not only have hand-picked speakers (without thought to sponsorship dollars and the like) - we also provide a plethora of Table Talks on a wide-ranging list of assets, strategies, and topics. These are all opt-in, small group discussions lead by our supporting members of the particular conference and have been a boon for all involved!

WEALTH MANAGERS' FORUM

NOWTALK – BIOTECH: GREAT THINGS- FEBRUARY 16

This NowTalk, led by **Eden Rahim (Next Edge Capital)** looked into the exciting (and very much more in the news!) topic of biotech from a seasoned trader in the field. Eden Rahim's mutual fund offers long-only and long-short versions which can exploit the great deal of in-depth information that he has amassed on these companies and their innovations.

TRANSLATING ONE'S STRATEGY TO LIQUID ALTS - FEB 16

When the rules were being drafted for Liquid Alts, some postulated that they were too restrictive to allow many strategies but these four examples show that it is very possible to produce a liquid alts version (exactly the same or modified) of many tried-and-true trading strategies. Come hear their stories and learn how liquid alts can expand the realm of possibilities for investors.

Super job by **Craig Machel (Richardson Wealth), Julian Klymochko (Accelerate Financial Techonogies), Justin Jacobsen (PenderFund Capital),** and **David Burbach (YTM Capital),**

ADDING CRYPTO TO YOUR BOOK- FEBRUARY 16

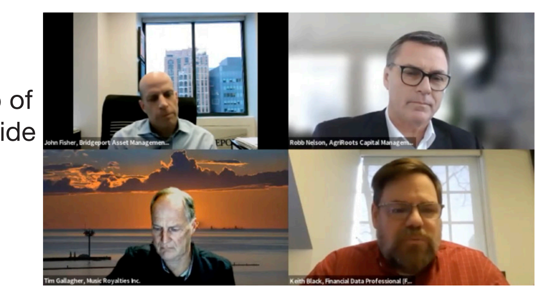
Cryptoassets and cryptocurrencies have gone from esoteric ideas embraced by a few in the fringes of (economic) society to a full-blown multi-trillion-dollar asset class in just the last 5-10 years. Clients are asking about them more frequently and there are both unregulated and regulated options for them. This panel discussed some of the ways that IAs can incorporate exposure to these assets in their books and how to position them with clients alongside more traditional assets.

Thank you to our speakers: **Kerem Kolcuoglu (Penrose Partners), Raj Lala (Evolve ETFs), Sue Ennis (Hut 8 Mining),** and **Hashim Mitha (Meetami).**

NOWTALK – NAVIGATING ESG DATA- FEBRUARY 16

Bonnie-Lyn de Bartok (The S Factor) and **Jocelyn Courcelles (Fundata)** weighed in on an interesting issue: how to use data-driven processes to discern if ESG and responsible investing claims might be true. It was a great opportunity to know more about this important area of investment data and how it can be used to further sustainabiltiy and other goals of investors.

DEFINITE DIVERSIFIERS (ALT-ALTS) - FEBRUARY 16



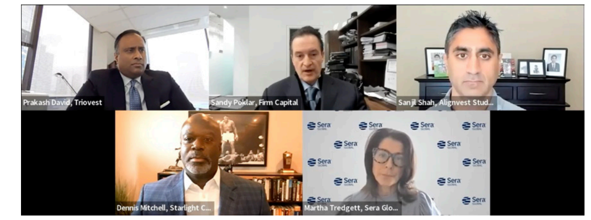
Diversification can be difficult to discover as well as fleeting, but this line-up of speakers has alternative strategies and assets that are unique and can provide true diversification for investor portfolios. Another super panel with **Keith Black (Financial Data Professional (FDP) Institute), John Fisher (Bridgeport Asset Management), Robb Nelson (AgriRoots Capital Management),** and **Tim Gallagher (Music Royalties Inc.).**

WEALTH MANAGERS' FORUM

NOWTALK – NEO IDEAS: PLATFORM TRADED FUNDS - FEBRUARY 17

Access to new issues in the offering memorandum space can be a confusing and daunting task for both IAs and clients. Sourcing deals, performing diligence, and completing the required paperwork are just three areas that platforms such as NEO Connect and DealSquare ameliorate and simplify. This NowTalk discussed how IAs can get their clients access to cutting-edge transactions that can truly diversify their holdings (and their book) as well as provide a differentiator for their practice. Thank you to **Peter-Paul Van Hoeke (DealSquare),** and **Erik Sloane (Neo Exchange)** for your insights!

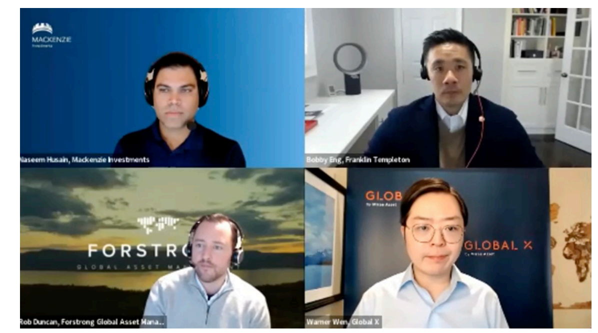
REAL ESTATE ROUND-UP - FEBRUARY 17



Real Estate is perhaps the oldest investment and one that continues to provide value for investors over various markets and business cycles. This panel highlighted leaders in the field and provide insight into how IAs and their clients can best make use of its particular benefits and challenges.

Great information from **Martha Tredgett (Sera Global Advisory), Sandy Poklar (Firm Capital), Sanjil Shah (Alignvest Student Housing), Dennis Mitchell (Starlight Capital),** and **Prakash David (Triovest).**

INSIGHT ETFS: WMF EDITION- FEBRUARY 17



ETFs were invented in Canada and we continue to offer an amazing array of one-asset, index, portfolio, and thematic options for investors of all types. This panel included some of the innovators in the space and will provide IAs with a view of the landscape and how they can be used in various client portfolios.

Thank you to the panel: **Robert Duncan (Forstrong Global Asset Management), Naseem Husein (Mackenzie Investments), Warner Wen (Global X ETFs),** and **Bobby Eng (Franklin Templeton).**

NOWTALK – ALTS, DATA, AND ALTERNATIVE DATA - FEBRUARY 17

This NowTalk looks into how IAs and other advisors can get up the curve on alternative investments, data-driven investing, and the new-new world of alternative data. Our speakers introduced three ways to gain valuable knowledge and skills in these areas and some advice as to which ones might be best for you – depending on your business plan and preferences.

Thank you to **Keith Black (Financial Data Professional (FDP) Institute),** and **John Bowman (CAIA Association).**

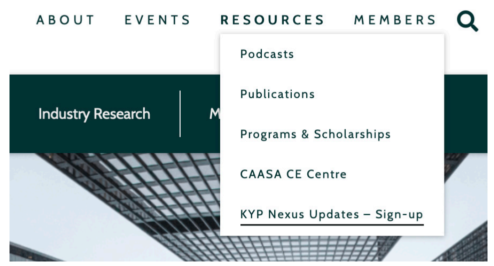
WMF & KYP NEXUS

FOCUS ON PRIVATE LENDING - FEBRUARY 17

Private Lending (aka private debt, aka private yield, aka private credit) is one of the fastest growing asset classes in the world, providing important diversification benefits to investor portfolios and IA books as it distributes credit to typically underserved or unbanked areas of the market. This panel has speakers representing a selection of the industry and will be a real eye-opener for those who are in the market or looking at allocating to this area.

A plethora of great ideas from **Travis Forman (Harbourfront Wealth Management), Katie Bonar (Cameron Stephens Mortgage Capital), Alkarim Jivraj (Espresso Capital), Allison M. Taylor (Invico Capital Corporation),** and **James Kelly (Cortland Credit).**

CAASA'S KYP NEXUS - YOUR SOURCE FOR ALTS INFORMATION



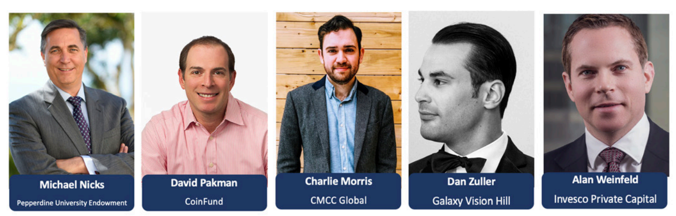
In response to the December 2021 changes to the Client Focused Reforms (CFRs) requirements, CAASA is offering a simple reporting portal for all asset managers to easily provide monthly fund changes.

This industry resource is available for all - any investment/asset manager, wealth manager, investment advisor, or broker-dealer can create a simple profile (name & email) to provide and/or receive important (and as mandated by law) information about any kind of fund. For an abundance of clarity: this is available for CAASA members and non-members, although members who are asset managers will have enhamced features for their listings.

As mentioned, as of January 1, 2022, all asset managers are required to distribute information concerning significant changes to their funds to all investors and their advisors. On the other sides, advisors must keep informed of these changes and incorporate them into their offerings to clients. We developed this portal to put the two together. All significant changes noted by asset managers will be sent to all advisors and clients each month.

We hope you make good use of this portal and look forward to any feedback you might have.

CRACKING CRYPTO VENTURE - FEBRUARY 23

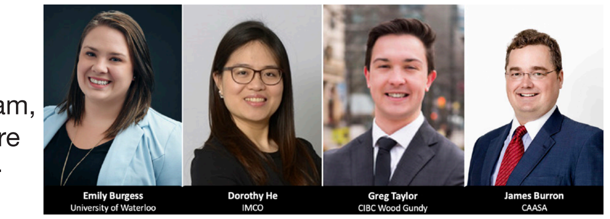


This panel was all about the new-new-new thing. For those in the know, cryptocurrencies have been gathering a great deal of momentum over the last few years and venture investing has become almost mainstream as the asset class has opened up via many vehicles that are finding their way into non-traditional hands. The convergence of these two trends is crypto-venture funds that provide access to early-stage companies in this somewhat nascent industry. Our moderator and panel will illuminate the audience with how these opportunities came about, what to look for in a fund, manager, and mandate, challenges that may present themselves, and where this exciting area is perhaps headed.

Thank you to **Michael Nicks (Pepperdine University Endowment), Alan Weinfeld (Invesco Private Capital), Charlie Morris (CMCC Global), Dan Zuller (Galaxy Vision Hill),** and **David Pakman (CoinFund).**

FEBRUARY & MARCH

ACCESSING THE UWATERLOO TALENT POOL: AN OVERVIEW OF THE LARGEST CO-OP PROGRAM IN CANADA (2022) - FEBRUARY 24



The University of Waterloo is home to the world's largest co-op program, mobilizing 23,000+ of Canada's brightest university students from more than 120 co-op programs each year (8,000+ each term) into the workforce. They are the go-to experts when it comes to learning how to attract, recruit and retain the next generation of talent. Waterloo students are drawn to innovation, new experiences and making a difference. For over 60 years, employers from around the corner and around the world have been working with Waterloo's dedicated staff team to find the right talent for their business.

So great to have **Emily Burgess (University of Waterloo), Dorothy He (IMCO),** and **Greg Taylor (CIBC Wood Gundy)** explain the program from their perspectives as administrator, employer, and student!

DOWN ON THE FARM: FOOD AS AN ASSET CLASS - MARCH 2

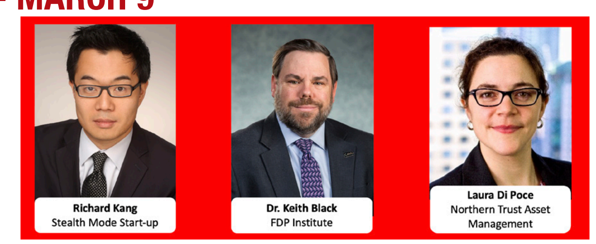
Possibly the oldest investment sub-sector, farming is integral to live as we know it and, as we will see in this panel, can be an excellent way to achieve non-correlated returns for those investors who are looking at longer time horizons. Our panel included a start-up founder, and farm investors from the fund management side as well as pension funds. They discussed the merits of modern farming and how its return drivers might be affected by contemporary investment trends and challenges.

This panel included: **Robb Nelson (Agriroots), Mohamad Yaghi (Rakr),** and **Kent Willmore (AGinvest Farmland Properties Canada).**

UNIVERSITY OF GENEVA CAREER PANEL - MARCH 3

After a virtual version, we finally had an in-person career panel with masters (wealth management and finance streams) students at the University of Geneva - capping off a multi-conton trip across the country. Amazing and surprising info from our panel: **Eugenio Rodriguez (Unigestion Asset Management), Haydn Hammond (Invictus Capital), Elena Manola-Bonthond (CERN Pension Fund),** and **Jaco Geldenhuys (Stonehage Fleming)**. Thank you all!

UNIVERSITY OF TORONTO MFI PROGRAM CAREER PANEL - MARCH 9



Speaking of career panels, March was busy! We also organized one for the Masters of Finance Insurance program at the University of Toronto. Great insights from **Richard Kang (in steal mode), Keith Black (FDP Institute),** and **Laura Di Poce (Northern Trust Asset Management.**

Members who might want to participate in future editions should contact JB.

MARCH

BERMUDA RISK SUMMIT - MARCH 9-10

Always seeking new frontiers, we sent a delegation to the Bermuda Risk Summit to talk ILS and risk management - thank you for the hospitality and those new members we attracted!

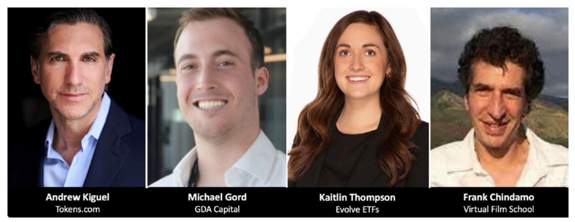
MFA WEST - WEEK OF MARCH 9

The Managed Funds Association organized their annual COO/ODD event in Los Angeles/Beverley Hills and we were there to witness it. What a great line-up of roundtable and sessions designed to get forward-thinking individuals on the same page when it comes to diligence and operations. Truly, the MFA made this sometimes mundane topic one that made sense to the audience and interesting to even those not in those roles.

BERMUDA INTERNATIONAL TECH SUMMIT - WEEK OF MARCH 9

We had representation, thanks to our friends at Penrose Partners, at this annual event showcasing Innovation Island (Bermuda) and all that it has to offer for investors and entrepreneurs. We look forward to next year's edition!

ENTER THE METAVERSE - MARCH 15

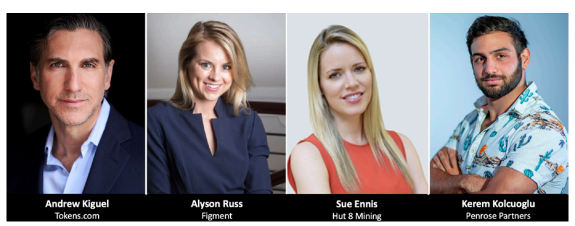


Seemingly brand new in the world of investment is the metaverse, which is a digital world or universe in which players/participants can do many of the tasks and activities that they might in real life: donning 'clothing' or skins, buying property, interacting with others (in a multitude of ways), and even earn money. These alternative worlds have been around for years (including their requisite digital currencies) and have come into the spotlight/limelight as crypto-currencies and one's online presence have come to the fore and become more important for many. Our panel did a great job of explaining this burgeoning area if interest: **Michael Gord (GDA Capital), Andrew Kiguel (Tokens.com), Kait Thompson (Evolve ETFs),** and **Frank Chindamo (Virtual Film School.**

THE FRANCIS SABOURIN HOUR: FEATURING ERIC CHADWICK - MARCH 16

It was great to welcome **Francis Sabourin (Richardson Wealth)** and his guest, **Eric Chadwick (Flaherty & Crumrine Inc.)**, as they discussed the US preferred shares market and the benefits it can provide to Canadian investors as a complement (or replacement) to their fixed income allocation.

GETTING YOUR HEAD AROUND CRYPTO-STAKING - MARCH 23



As part of our commitment to covering all aspects of trading and investment, we were happy to bring together this group of experts in the (crypto) staking industry to explain what it is, how it works, and why it might be an appropriate topic to research and possibly invest for those who can come to understand its unique challenges and opportunities. Who knows how mainstream these ideas might be in a just a few years time.

Thank you to **Sue Ennis (Hut 8 Mining), Andrew Kiguel (Tokens.com), Alyson Russ (Figment),** and **Kerem Kolcuoglu (Penrose Partners)**.

MARCH

CARMO SECONDARIES CONFERENCE - SANTA MONICA - MARCH 23

It was a 2-for-1 trip as we represented at this conference as well as ALTSLA this week. Secondaries have fast become a major are of interest and endeavour for our members and we managed to find a few prospective members at this conference. Everyone seems to be interested in more Canadian clients. **JB** took the metro from SM to LA for a reception. Interesting.

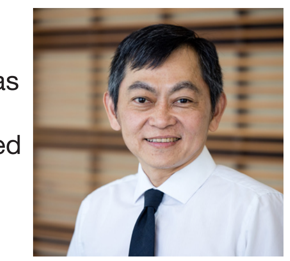
ALTSLA - LOS ANGELES - MARCH 24

Another excellent conference produced by Markets Group, the CAIA Association, and the local CFA societies. More than 700 attended this gathering in downtown LA - one of the bigger conferences we attended post-COVID,

PALM BEACH YACHT SHOW - MARCH 26-28

Thank you to **Dart Family Office** and **Ogier** for the invite to mingle with the boat-set in West Palm and Palm Beach proper. Meeting many family offices and their representatives was a great way to introduce CAASA to this market segment that we've already had a great deal of interest from.

WELCOME TO STANLEY TOW - MARCH



We lucked out in hiring again with the addition of **Stanley Tow** to our growing staff. Stanley has a great deal of experience in managing accounting and associated tasks for SFO-lead small businesses and his contacts in the industry have been invaluable to our growingly-sophisticated processes and procedures.

We look forward to his continued inout into how we ensure CAASA is on sure financial footing through comprehensive retrospective and contemporary reporting and advice. Welcome!

DR. FISMAN COVID19 UPDATE SERIES: S3E1 - THE FAREWELL TOUR

We have had Dr. Fisman gracing our webianrs for many months...years, actually. As soon as COVID became a thing, we engaged him to give our members what they wanted: data/evidence-based, learned, straight-talk about the disease, how it could be transmitted, how deadly it was, and (later) how vaccines were shaping up. Thankfully, we believe this session (something in the mid-teens in terms of edition number) would be our last...but we had one more in May, just to make sure.

CAASA MENTORSHIP PROGRAM MATCHING COMMITTEE MEETING - MARCH 31

We love to create a path forward for younger industry leaders and our mentorship program is a significant part of that. It hand-matches mentors and mentees and has resulted in some incredible successes for both parties over the years. Thank you to our Matching Committee: **Jessica Clark-Barrow (Waratah Capital Advisors), Daniel Conti (HarbourVest Partners), Brian D'Costa (Algonquin Capital), Brent Merriman (CIBC Mellon), Meaghan Kelly (AGF Investments),** and **Jillian Tyler (Invico Capital)**.

APRIL

INFLATION PROOF YOUR PORTFOLIO WITH REAL ESTATE - APRIL 5

Inflation is on everyone's minds and real estate has historically been an appropriate hedge to its effect on purchasing power, assuming one has a longer-term time horizon. This panel showed how today's real estate investors and allocators are creating opportunity from what can be a portfolio decimator and how one can access these facets of one of (perhaps *the*) oldest asset class on the planet. Thanks to our panel: **Travis Forman (Harbourfront Wealth), Greg Romuldt (Centurion Asset Management), Jonathan Turnbull (Harrison Street), Gordon Martin (Celernus)**, and **Rhys Wyn Trenhalle (Walkerville Capital)**.

CAYMAN ISLANDS FOUNDERS' PITCH COMPETITION - APRIL 6

As part of our commitment to the venture ecosystem, we organized this Founders' Pitch Competition with **Dart**, one of the most visible entities on the Cayman Islands and known for its forward-thinking support of infrastructure required to create a conducive environment for start-ups and transplants to these lovely islands! Our judges include **Raoul Pal, Paul Henry (Dart Family Office) , Marcus Daniels (Highline Beta),** and **Laurie Fuller (Raiven Capital),** founders **Sergei Beliaev (Continuum Social), Mohamad Yaghi (Rakr), and Othalia Doe-Bruce (Innovfin)** plus an intro to the Islands by **Sue Nickason (Dart Family Office).**

GM (GOOD MORNING) TO NFTs - APRIL 13

If you haven't heard of NFTs (and maybe wanted to know more), you might have been living under a rock for the previous 6 months or so. Not just an archaic way to 'mint' art (or so their buyers hope!), Non-Fungible Tokens have entered the mainstream with a descriptive Saturday Night Live cold open, entertaining jabs during Late Night with Stephen Colbert, and many other mainstream media outlets. But what, really, are they? Why might investors and/or art-lovers and/or 'normal people' want to investigate them? This panel explained just that. Thanks to our participating members: **Kunal Bhasin (KPMG), Julian Klymochko (Accelerate Financial Technologies), Jeremy Dela Rosa (Leyline), Ori Ohayon (GDA Capital / NFT BAZL)**, and **Sergei Beliaev (Continuum Social).**

TALKING HEDGE - AUSTIN - APRIL 13

We love to support **Meg Bode**'s Talking Hedge conferences and this one, held in Austin, was a great example of her ability to get the right people into a room. Not only serious institutional investors but some pretty eclectic SFOs were there to round out the group. Fantastic!

CARMO CRYPTOCURRENCY CONFERENCE - APRIL 19

Carmo has produced some great content and this one was up to standard. It was great to meet with many SFOs (who later attended our conferences in Toronto, Vancouver, and Montreal...as we as Cayman in 2023) and get a handle on the crypto scene from those in the trenches.

APRIL & FAMILY OFFICE SUMMIT

GAIMOps CAYMAN - APRIL 24-27

Changing gears from front off is to mid/back, we had a contingent in Cayman for this annual mega-gathering of ODD and ops professionals to cover topical topics in a tropical clime. Look forward to supporting this conference in 2023 as well!

CANADIAN ALTERNATIVE INVESTMENT FORUM 2022 - APRIL 28

Karen Azlen (Introduction Capital, a member of CAASA) has organized this 1-day conference for more than 10 years now and it's a signature industry event with great speakers and an audience that is heavy on family offices and UHNW individuals.

FAMILY OFFICE SUMMIT - MAY 3 - 5

We finally returned to in-person with our sold-out Famliy Office Summit, held in Toronto. We had a full roster of sponsors and 35% of attendees were investors/allocators, with the lion's share being SFOs. We'd like to thank our sponsors for their support of CAASA and this conference.

DART Family Office · SLATE Securities · WARATAH · ICM Asset Management · Fidelity Investments · avenueliving asset management · AGF · MGG Investment Group · NextEdge Capital · Figment · Group RMC · YTM Capital · Stewart Asset Management, LLC · GDA Capital · Amundi Asset Management · Morgan Stanley Investment Management · Algonquin Capital · Antrim Investments

BREAKFAST & WAKE UP TO OUR TAX & ESTATE UPDATE - MAY 3

Will they, won't they: raise taxes, scrap the advantageous capital gains inclusion rate, tax principal residences, enhance the alternative minimum tax, raise property and/or transaction taxes – take your pick! This chat focused on what we know and what might occur in successive federal, provincial, and local budgets and was well done by **Carol Bezaire (Mackenzie Investments)**.

ANOTHER WIDE VARIETY OF TABLE TALKS!

As delegates to our conferences would know, we not only have hand-picked speakers (without thought to sponsorship dollars and the like) - we also provide a plethora of Table Talks on a wide-ranging list of assets, strategies, and topics. These are all opt-in, small group discussions lead by our supporting members of the particular conference and have been a boon for all involved!

NOWTALK – THE DOMICILE DECISION BY SUE NICKASON, DART FAMILY OFFICE - MAY 3

Anyone who has visited the Cayman Islands knows of Camana Bay, the Ritz Carlton, Grand Cayman, Kimpton Seafire Resort + Spa, and other developed/owned by **Dart**. Its patriarch, Kenneth Dart, moved to Cayman entirely over 20 years ago. Numerous family offices, technology and other operating companies have now joined the 12,000+ investment funds on Cayman. The audience learned why from **Sue Nickason (Dart Family Office)**.

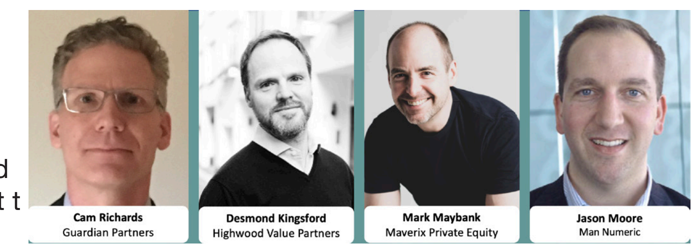
FAMILY OFFICES AND DIGITAL ASSETS (ADVANCED CLASS) - MAY 3

Family Offices are gaining exposure to digital assets at an accelerating pace and many others are intent upon learning as much as they can about these innovative investments: Bitcoin and other crypto-currencies (either direct or via funds and ETFs), various venture capital opportunities, listed mining and other digital plays, Metaverse-oriented securities, and even NFTs. Many thanks to: **Michael Branco (Celeste Ventures), Lorne Sugarman (Metaverse Group), Paul Stapleton (Fidelity Clearing Canada)**, and **Charlie Morris (CMCC Global)**.

CREDIT'S PLACE IN TODAY'S MARKET - MAY 3

High inflation. Low (and rising!) interest rates. Volatile trading. What is an investor to do? This panel illustrated various trading strategies and sectors of the credit market as alternatives to more plain vanilla exposure opportunities. Great job **Nancy Hoi Bertrand (Citi Private Bank), Marco Lukesch (Emso Asset Management)**, and **Mike Quinn (RPIA)**.

THE MANY FACETS OF EQUITY - MAY 3



It used to be that equity investing was almost exclusively public market securities but access to many areas hitherto unreachable by all but the most sophisticated and connected investors has changed this. This varied and expert panel that t ruly showed how one can make the most of this asset class. Speakers: **Cameron Richards (Guardian Partners), Desmond Kingsford (Highwood Value Partners), Mark Maybank (Maverix Private Equity)**, and **Jason Moore (Man Numeric)**.

CLUBBING & SUCCESSION PLANNING - MAY 3

Clubbing deals is natural for families: it draws upon the expertise of the group, reduces risk via diversification, and allows access to deals and markets that might not otherwise be possible. Once a tidy sum has been amassed, one naturally turns to the next generation and ensuring the have opportunities and motivation to fulfill their passions and advance the ethos of the family – to put the success into succession. Thank you to our speakers: **Neil Nisker (Our Family Office)** and **Evelyne Massa, (Group RMC)**.

MEETING OF THE MINDS – TODAY'S QUANT - MAY 3

Quantitative methods have been used since the dawn of investing to create securities valuation models, allocation strategies, and (more recently) systematic trading systems that can facilitate implementation of sophisticated programs based on fundamental \and/or price action inputs. Super insights from **Jay Barrett (SmartBe Investments), Matt Piselli (FORT LP)**, and **Nicolas Mirjolet (Quantica Capital)**.

SINGLE FAMILY OFFICE ROUNDTABLE (RELATIVES ONLY) - MAY 3

Life as the principal of a family office can be exciting, inspirational, and busy, while at the same time worrisome, stressful, and draining. We provide this (strictly!) family members only dinner as an opportunity to meet with your peers and share questions, concerns, solutions, and insights across the table. We nominated three leaders/instigators to get things going and guarantee that all will find the evening illuminating and fun: **Brandon K. Laughren (Laughren Group), Evelyne Massa (Group RMC)**, and **Daniel Stow (Zen Capital and Mergers)**.

SINGLE FAMILY OFFICE ROUNDTABLE (PROFESSIONALS ONLY) - MAY 3

Working in a single family office is not your typical investment management job – you gain the benefit of a sometimes extremely flat organizational structure and many find the spectrum of duties and tasks to be (very) intellectually stimulating. On the other hand, it can be isolating and difficult to keep up with the pace of work: each family has its own dynamic and cadence so we created this dinner where (strictly!) full time employees (not relatives) of SFOs can interact with their peers to get the most out of their roles. Leaders of this group: **Jason Granger (Fipke Group),Enzo Gabrielli (Horizon Capital Holdings)** and **Scott Morrison (Wealhouse Capital Management)**.

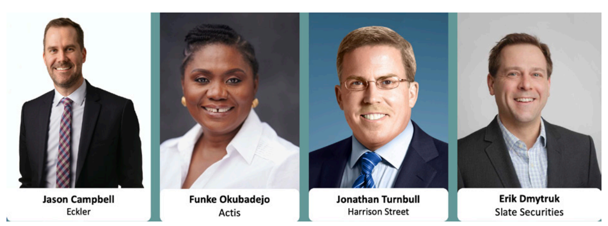
DOMICILING YOUR DIGITAL ASSETS - MAY 4

There is no denying that digital assets have created a great deal of wealth for a growing number of investors and maths/coding enthusiasts – but how can families ensure that, like all of their assets, they are in the right domiciles and structures to ensure they have the most advantageous tax and legal treatment? This chat by **Ralph Awrey (Stonehage Fleming)** and **Kerem Kolcuoglu (Penrose Partners)**.

NOWTALK – EASY ALPHA: CLASS ACTION SERVICES - MAY 4

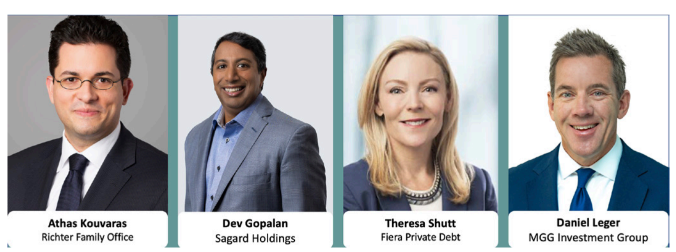
Every investor knows that we are in a litigious world and securities settlements are growing each year as classes of security-holders seek relief via the courts. Obtaining a judgement is only part of the process, though. One must prove their holding and file their paperwork in a prescribed manner by the due date in order to receive their due amounts. Class action services specialize in ensuring maximum benefit, for a contingency fee. Skillfully described by **Bob Williamson (Battea Class Action Services)**.

REAL ESTATE FOR GOOD- MAY 4


Jason Campbell, Eckler | Funke Okubadejo, Actis | Jonathan Turnbull, Harrison Street | Erik Dmytruk, Slate Securities

Possibly the oldest investment, real estate has long provided a roof over one's head, and a place to work, play, and shop/etc. One drawback is the incredible amount of energy and carbon-producing materials and processes that are required for its construction and maintenance. This panel investigates the many ways that we can make use of this essential asset while ameliorating its potential negatives. Thanks to: **Jason Campbell (Eckler), Funke Okubadejo (Actis), Jonathan Turnbull (Harrison Street)**, and **Erik Dmytruk (Slate Securities)**.

FINANCING THE FUTURE & PROVIDING PRIVATE YIELD - MAY 4


Athas Kouvaras, Richter Family Office | Dev Gopalan, Sagard Holdings | Theresa Shutt, Fiera Private Debt | Daniel Leger, MGG Investment Group

Private Lending has grown immensely over the last few years – not least because of low interest rates, but also with a growing openness of regulators to provide vehicles active in this area and investors coming to understand the risks and returns on offer in these securities. Our learned panel illuminated some lesser-known areas as well as mainstream sectors that deserve attention. Featuring: **Athas Kouvaras (Richter Family Office), Dev Gopalan (Sagard Holdings), Theresa Shutt (Fiera Private Debt)**, and **Daniel Leger (MGG Investment Group)**.

FAMILY OFFICE SUMMIT

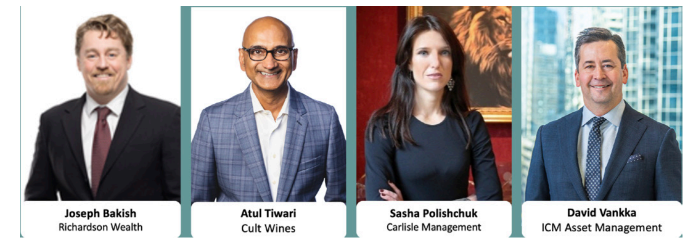
LUNCH KEYNOTE – CANADIAN MFO EVOLUTION - MAY 4

The creation of centralized concerns providing a range of services to family offices (aka multi-family offices) many decades ago allowed certain families to gain access to opportunities and expertise that they could not have discovered nor financed perhaps on their own. As prosperous families grow in number, they seek out those with the ability to provide counsel just as those doing so are proliferating. This talk by **Mindy Mayman (Richter Family Office)** discussed the state of advice today and where it is headed.

NOWTALK – MAKING AN IMPACT (IN MORE WAYS THAN ONE) - MAY 4

ESG investing is a hot topic (no pun intended) and unlike institutional investors that are investing a beneficiary's monies, families are putting their own capital to work and many really, really care about where it goes and what it does in terms of their core values. There is real passion here by many family members to ensure they do well and invest well and this talk presented **Brad Crombie's (Alquity Investment Management)** view on how to achieve laudable goals.

DIGGING DEEPER INTO ALTERNATIVES - MAY 4


Joseph Bakish, Richardson Wealth | Atul Tiwari, Cult Wines | Sasha Polishchuk, Carlisle Management | David Vankka, ICM Asset Management

So-called alt-alts are outside of the typical public and private equities or debt instruments and assets such as real estate. They are taking a unique tack as they navigate the investment landscape. These managers and their differentiated offerings can provide investors with diversifying return streams without sacrificing liquidity, safety, or other key factors associated with other alternative investments. Thanks to: **Joseph Bakish (Richardson Wealth), Atul Tiwari (Cult Wines), Sasha Polishchuk (Carlisle Management Company S.C.A.)**, and **David Vankka (ICM Asset Management)**.

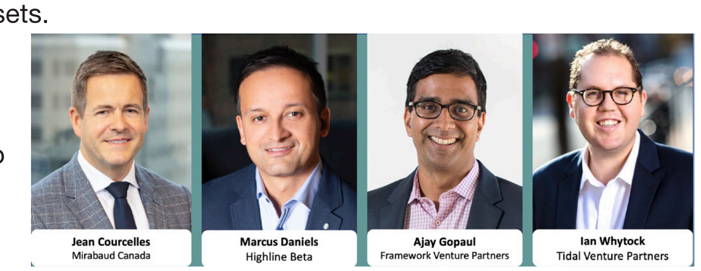
BUILDING YOUR VENTURE PORTFOLIO - MAY 5

Venture investing can seem simple and daunting at the same time. Selecting opportunities, sizing positions, and choosing which will receive follow-on investments have many ways that on can make mistakes. This talk by **Kamal Hassan (Loyal VC)** illustrated a simple heuristic that investors can use to get the most of their venture sleeve and provide discipline throughout the investment cycle.

NOWTALK – ARBING CRYPTO - MAY 5

Over the last few months you may have come across many of the areas of investment in digital assets. This talk by **Mitchell Nicholson (KPMG)** focused on crypto-currencies, coins, and tokens as well as DeFi platforms where these investments can become more than simply buy and HODL assets.

FAMILIES ALLOCATING TO VC - MAY 5


Jean Courcelles, Mirabaud Canada | Marcus Daniels, Highline Beta | Ajay Gopaul, Framework Venture Partners | Ian Whytock, Tidal Venture Partners

Many families owe their fortune to a dedicated trail-blazer who staked their claim in a new industry – or invented one! Today's start-up founders have similar dreams of providing a service to a growing market, creating well-paying jobs, and delivering true value to everyone around them; all while (possibly) creating multi-generational wealth. Before this can be done, however, they need capital to make their ideas come to life – and that could be where successful families come into the picture. This panel provides the insight and opinions of experienced VCs in various niches on how families can ensure the catch the next waves of innovation. Great panel by **Jean Courcelles (Mirabaud Canada), Marcus Daniels (Highline Beta), Ajay Gopal (Framework Venture Partners)**, and **Ian Whytock (Tidal Venture Partners).**

FAMILY OFFICE SUMMIT & MAY

REFLECTIONS & OPPORTUNITIES GOING FORWARD - MAY 5

This session was an ad hoc reflection from three of our family office folks: **Nancy Hoi Bertrand (Citi Private Bank), Brandon Laughren (Laughren Group)**, and **Nick Rodrigues (Inverted Ventures)**. A super opportunity to have a little (or a lot!) of audience interaction and lively discussion about how family offices look at the world - and how to approach them.

DR. FISMAN COVID19 UPDATE SERIES: S3E2 - MAY 12

As we had expected, ths was the last instalment of our regular updates on all things COVID. Thank you to **Dr. David Fisman (University of Toronto)** for his candid talk about the virus as well as his advice on how to keep everyone safe. With any luck, we won't need to continue this series for 100 years!

THE FRANCIS SABOURIN HOUR: FEATURING ALGONQUIN CAPITAL - MAY 18

May's guest of **Francis Sabourin (Richardson Wealth)** was **Brian D'Costa (Algonquin Capital)**, as they discussed the impacts from the rise of interest rates, inflation, employment data and what it means for your fixed income allocation. We are thrilled to have this venue where a practicing Investment Advisor hears a 'pitch' and poses questions that he and others would ask of portfolio managers.

AIM SUMMIT: LONDON - MAY 16 & 17

Our first time attending the London version of this conference (many times in Dubai). Raha always has a great venue and line-up of speakers. Thank you for having me there and allowing me to help out on the metaverse panel!

TAIA CONFERENCE - AUSTIN - MAY 25

The Texas Alternative Investment Association had a super conference just as things started to get toasty warm in Austin - featuring George W. Bush (entertaining!) and a bevy of major investors and asset managers.

IRISH FUNDS ASSOCIATION CONFERENCE - MAY 31

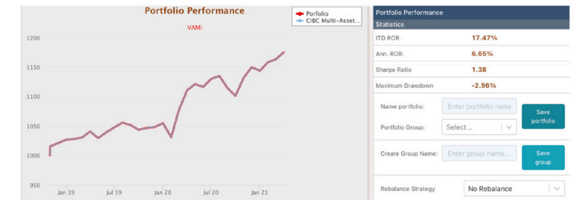
JB made another trip across the pond in May to attend the Irish Funds Association's flagship conference in lovely Dublin. An excellent gathering of folks from all over the EU and UK as well as a few from North America. We recommend those in the fund administration industry make it a point to get to this event.

WEALTH PROFESSIONAL AWARDS GALA - JUNE 1

After judging these awards over COVID, **JB** finally attended in person to present the Alternative Investment Solutions Provider of the Year award to CAASA member **Centurion Asset Management**. An incredible opportunity to see if the tux still fit (it did) and to get to the event only a couple hours after arriving from Dublin. Nothing like having finely-tuned travel plans!

CAASA ALTERNATIVE PERSPECTIVES

We finally were able to present this conference in person - and in Vancouver! About 130 attendees gathered and we with 40% from the investor category, 40% managers, 10% service providers, and 10% start-up founders. We debuted our Start-Up Round-Up and had great feedback and always look to add more content and format ideas to each conference each year.



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coinbase | avenueliving asset management | Amundi ASSET MANAGEMENT

ANTRIM INVESTMENTS | ICM Asset Management | AXONIC

ARBUTUS PARTNERS | 以诺财富 ENOCH WEALTH | Steepe & Co

SPEAKER, SPONSOR, AND INVESTOR BOAT CRUISE - JUNE 13

What a great time on the water with Olympian (speed-walking) Evan Dunfee who had a very engaging life story thus far!

BREAKFAST KEYNOTE – GETTING OFF ZERO - JUNE 14

Anyone who is anyone is looking at cryptocurrencies and many are making active investments in the space (or adjacent ones such as crypto-venture). For many investors, this continues to seem like 'a bridge too far' as they are required to complete due diligence and act in a prudent manner with regard to their beneficiaries and investors/clients. The question is: where are the diligence and prudence lines? When should the do something, and how much is something? And questions answered by the incomparable **John D'Agostino (Coinbase)**.

LET'S TALK CLIMATE - JUNE 14

It's fitting that our first full-sized CAP panel was on climate. This is an area of paramount importance for the world at large, which of course includes all investors and their managers. Changes to climate around the world will continue to have profound effects on weather, food production and use of land, the amount of land (for those areas on the coast), and overall habitability of the planet. This panel looked into how managers and investors are taking this topic seriously, having devised ways to ameliorate its effects in ways that can provide returns as well. Our speakers: **Kenndal McArdle (Pender Ventures), Matt Goldklang (Man Numeric), Phil Pothier (Albourne)**, and **Hyewon Kong (IMCO)**.

PRIME TIME FOR PRIVATE LENDING - JUNE 14

Private lending used to be (or at least perhaps seem like) a few individuals in back-rooms hammering out deals to finance real estate, growth capital, and factoring transactions – the 'real deal' was at the banks where loans were originated and syndicated, it appeared. Now, with changes in banking laws and capital requirements as well as investor sentiment, private lending has come to the fore as a suitable solution for both investors and borrowers alike. still dealing in un-rated instruments and not underwriting does not involve regulation to a large degree), private lending is a huge business and one that cannot be ignored by those with capital to commit. Lendng their voices to this topic was: **Zack Ellison (Applied Real Intelligence ("A.R.I.")), David Mucciardi (Peakhill Capital), Christian Skogen (Trez Capital)**, and **Les Marton (bfinance Canada Inc.)**.

LUNCH KEYNOTE – INVESTING IN THE OPAQUE - JUNE 14

For many years investors of all types and sizes have allocated more to private markets in an attempt to both provide return and reduce overall portfolio risk. Public market investment valuations are easy to calculate and decompose into relevant factors as well as compare to peers and benchmarks. Private investments, however, have a grand challenge in terms of price discovery – due to illiquid markets for these assets and stale-dating/appraisal-based valuations – and a dearth of suitable benchmarks and peer groups. Investors' seemingly headlong charge into the latter asset classes can produce as many challenges as opportunities and this talk spoke to how major investors are looking at this phenomenon and evolving their portfolios as well as risk processes. Thank you to **Bondi Kwa (BCI)** and **Luis O'Shea (Burgiss)**.

CAASA ALTERNATIVE PERSPECTIVE TABLE TALKS

As delegates to our conferences would know, we not only have hand-picked speakers (without thought to sponsorship dollars and the like) - we also provide a plethora of Table Talks on a wide-ranging list of assets, strategies, and topics. These are all opt-in, small group discussions lead by our supporting members of the particular conference and have been a boon for all involved!

GROWTH OF IMPACT INVESTING - JUNE 14

For many years, doing good has been seen as a way to not make money. One would set aside a portion (as a tithe) that would be invested in soup-kitchen sorts of projects in order to fulfill a corporate or family mandate. We know now that impact investing need not be without return and, in fact, could lead to decent profits for those who put their capital to good use. This talk by **Jordan Villarreal (Preqin)** briefed the crowd on how investors are making the most of this trend and creating a new life-changing industry in its wake.

QUANT CHAT - JUNE 14

Quant has been a part of investing since it began, if one thinks that a genius pouring over financial reports to find trends and undiscovered gems is 'quant' investing. In the 1960s and 70s, computing power started to make dissection of data more efficient, but it was the Dark Ages compared to what could be done at the turn of the century. Now, with such notions of alternative data, super-computers, and a plethora of new ways to use the latter to test hypothesis and uncover trends in the former, are we at peak data? What a super in-depth discussion on how data and its use in investing continues to innovate and create value for investors by **Jordon Brooks (AQR Capital Management), Deniz Cicek (Axonic Capital Management)**, and **Mee Warren (Kula Investments)**.

ROYAL RUMBLE – EQUITY VS. CREDIT - JUNE 14

With so many alternatives just within the broad areas of equity and credit – and the headwinds that seem to be hitting both areas – it made sense to spar them off against each other (with a seasoned moderator/referee) to give our audience a look into how these asset managers look at their sliver of the markets and apply their strategies to a challenging and constantly evolving environment. Moderating was **Nancy Hoi Bertrand, (Citi Private Bank)**, with **Kunal Soni (Morgan Stanley Investment Management)** & **Andrew James Labbad (Wealhouse Capital Management)** speaking from the credit camp and **Oren Shiran (Lazard Asset Management LLC)** & **Travis Dowle (Maxam Capital Management)** for equities.

BEAT BURNOUT: TACKLE STRESS & BOOST YOUR ENERGY - JUNE 15

Almost 40% of Canadians in the finance space are feeling burnt out. Are you one of them? We heard from our very own Registered Holistic Nutritionist, **Caroline Chow (CAASA & Happy Health)** as she shared her first-hand account of managing burn out while building 3 simultaneous businesses, what the stress response actually looks like in the body, the key factor that impacts your daily energy, mood and resilience, and what you can do to ultimately beat burnout and excel both in and out of the boardroom!

ALLOCATING TO VENTURE - JUNE 15

Like all asset classes, venture goes through ups and downs and is currently (thanks in large part to COVID, ironically) returning unbelievable returns for some investors – it all depends on vintage! – but is it in its salad days or is this the end of a period of inexplicably expensive exits and valuations that will lead to a (severe) hangover for all who take the plunge today. This panel discussed that and gave the audience a view of the landscape of venture, since the innovations in crypto, gaming, and other industries has changed the players and the plays over the last few years. Thank you to: **Michael Nicks (Pepperdine University Endowment), Justin Yagerman (Invesco Private Capital), Eugene Zhang (TSVC)**, and **Robert Morgan (50 South Capital Advisors).**

CAASA ALTERNATIVE PERSPECTIVES

REAL RETURNS IN REAL ESTATE - JUNE 15

Real property has long been seen as an inflation hedge and current memory (at least for the last 50 years) has found real estate beside a perpetually bull-market bond market as interest rates have fallen from highs to near-term lows. As well, bond and stock markets have been negatively correlated (more or less) in crisis situations (flight to quality; bank rate reductions during recessions) but these two artefacts of the markets may not hold as rates start/continue to rise. How does this affect cap rates and will real estate continue to be the inflation hedge that it has been? And which areas are best to exploit this? These questions and more were answered by **John Courtliff (ICM Asset Management), Mark Breakspear (Western Wealth Capital), Matthew O'Hara (Unison Investment Management)**, and **Song Ann Lim (Enoch Wealth Canada).**

START-UP ROUND-UP! - JUNE 15

A 'product extension' of our Table Talks, the Start-Up Round-Up puts ~10 start-up founders at tables around the room – each one paired with an investor or two (VC or SFO). The start-up runs through their pitch and the invited investors then offer some initial diligence questions to them in order to show the group how one can pierce the patina of a shiny deck to get at the meat of an offering, as well as how to discover the strengths and weaknesses of each idea and founder team (the latter to be ameliorated by sound advice from the investor!).

This is a one-of-a-kind opportunity for all to hear some great ideas and get a glimpse into how investors in the space look at these opportunities.

JUNE

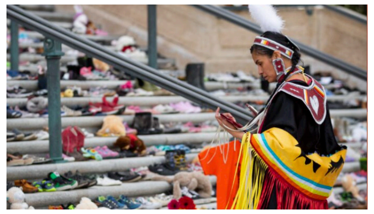
CANADIAN ANNUAL DERIVATIVES CONFERENCE - JUNE 20

Moved to June in Montreal vs. November in Quebec City, this yearly collection of industry participants had its usual fine mix of high-quality content and ample time and occasions to meet and greet. Having it presented at the QE was a nice touch as well!

KICK-OFF CAASA MENTORSHIP PROGRAM - ORIENTATION - JUNE 23

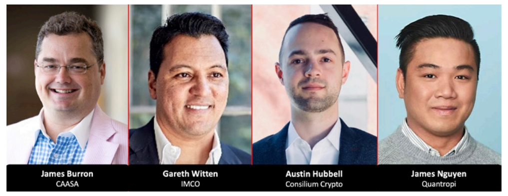
Our mentroship program started officially with a special training session for both mentors and mentees where they formally meet and learned the time-tested process that leads to such great results. Hint: the mentee drives the bus by scheduling the meeting times and agenda items so that the mentor can give the best advice possible and give pointers on their situation. Thank you to all of our mentors and mentees!

JELLYBEANS: COPING DURING RESIDENTIAL SCHOOL - JUNE 21



In recognition of National Aboriginal Day, we are spotlighting an emotional and heart-wrenching story told by **Elder Shirley Ida Eliza Williams** (PhD). During this talk she shared a first hand account of her time during residential schools in Canada and the impact is has had on her life, those around her, and the future of the Indigenous peoples of Canada. We thank her for taking time to educate us on this national issue.

UNIVERSITY OF TORONTO MASTERS OF MATHEMATICAL FINANCE CAREER PANEL - JUNE 23



Now in its 11th year, we were happy to produce this (our 90th+ overall) career panel with the UofT MMF program. Thank you to the program administrators who rounded up the students and to **JB**'s panel: **Gareth Witten (IMCO), Austin JHubbell (Consilium Crypto),** and **James Nguyen (Quantropi)**.

H1 JOINERS

TruX Investments, Yameba Capital, Make Space Properties, Orchard Global Asset Management, PHL Group, RRCPE GQ, Quantica Capital, Northern Trust Asset Management, Stack Capital, Brompton Group, Canadian Urban, Shoreline Asset & Wealth Management Consulting, Calvert Home Mortgage Investment Corporation, Aquanow, CityZeen, AlphaBlock, Infinity Loop, W.A. Robinson, Burgiss, Coinbase, Warwick Capital, Citi Private Bank, Hamilton Lane, Arxnovum Investments, Tidal Venture Partners, VirgoCX, Inverted Ventures, Virtual Film School, Defi Technologies / Valour, Bison Digital, Hunter Holdings, Rosseau Group International, Citigroup Prime, Quantropi, OZ Capital, Triton Lake, BMO Global Asset Management, Enoch Wealth, Middlemark Partners, Spirit Blockchain Group, Epiphany Capital, Menai Finanical and other new members who prefer to be stealth (typically family offices).

JULY SUMMER SOCIAL - JULY 6

With COVID gone (we surely hope!) we started back with our socials, starting in July at **Ki Restaurant**. We sold out quickly and added August and September dates to our calendar.

As our Signature Member banner model, **Mark Tower (Amundi Asset Management)** can attest, it was a great time. Thanks also to our other Signature Members **Coinbase** and **Avenue Living Asset Management** as well as our event sponsors: **Trez Capital** and **Steepe & Co.**

JULY

TWO NEW MEMBERS OF STAFF: ALEXIS ST-CYR AND MANYA THAKUR

We were thrilled when our chosen candidates for Events Manager (Alexis) and Membership Coordinator (Manya) signed on to our little (and growing) group. With their talents we are certain we can take CAASA further and provide top-notch service and offerings to members. They immediately sprung into action with ideas and we could not be happier.

CALGARY STAMPEDE & CAASA - JULY 12

Yee-ha! We finally got back to the Stampede and had a dozen of our closest friends to our Bootlegger's Breakfast table. A great time was had by all as a few folks brought their friends - even better! For 2023 we have already ordered two tables so will be an even bigger CAASA social in one of the friendliest cities that Canada has to offer. Thanks to **Michael Lee-Hing** for the room!

MANAGING YOUR ALTERNATIVE FUND: OUTSOURCING EXPLAINED - JULY 20

This event will showcase to asset managers of all types (hedge, PE, venture, lending/credit) how heir peers make best use of outsourced services over the course of their professional lives – from start-up up to and including mature asset management complexes.

A short briefing of Apex's timely thought leadership piece was followed by an in-depth panel with expertise from the fund administration, IT consulting, and trading areas. Thank you to our panel: **Benjamin Arnold (Meraki Global Advisors), Ray Archer (RSM Canada),** and **David Paradis (Apex Group)**.

TRUSTEE EDUCATIONAL CONFERENCE - MIAMI - JULY 21

Koried organized a great confernce at The Biltmore in Coral Gables once more. This 2-day conference included numerous excellent panels relating to how pension fund trustees can gather information on investment alternatives, process it, and arrive at decisions that can make a difference in the lives of their beneficiaries. **JB** will be there in 2023!

ALTSCHI - CHICAGO - JULY 21

PK made the trek to the Windy City to enjoy some alts content from Markets Group, the CAIA Association, and the CFA Society of Chicago. He sent a glowing review of this edition of ATLSXX that presents in mulitple U.S. cities each year.

CAASA TEAM BUILDING DAY - JULY 27

We finally got together in the same city/building to partake in a little R&R at K1 Speed in Toronto - for those who have not tried it, it's high-octane go-karting and was a fun way to get to know everyone (some of us are a little competitive).

The dinner afterward allowed us time to meet our new team members and make sure they know that they are most welcome to provide their ideas at any time and make suggestions as to how to do their jobs and make the best experience possible for our members and staff alike.

AUGUST & SEPTEMBER

AUGUST SUMMER SOCIAL - AUGUST 3

Another sold out social at **Ki Restaurant** as we had even more register to fill our larger footprint. It was a perfect night to have a flight of sake and wine + some great appetizers. Thanks also to our Signature Members **Amundi Asset Management, Coinbase** and **Avenue Living Asset Management** as well as our event sponsor: **Steepe & Co.**

KOREA BLOCKCHAIN WEEK - AUGUST 7-11

JB finally got back to his old stompin' grounds, Seoul, for this massive conference that included big-name speakers and experts in block-chain as well as a huge number of local and visiting delegates. The key to this event was the multiple side-events (thanks for the invites!) where some pretty serendipitous meetings made for an excellent use of the Aeroplan points and time required to get across the Pacific (not to mention the jet-lag!).

CAIA & CAASA – THE PORTFOLIO FOR THE FUTURE - AUGUST 24

Ranjan Bhaduri (Bodhi Research Group) sat down with **Bill Kelly (CAIA Association)** to talk anout the portfolio for the future. Note that it is 'for' the future and not 'of' the future, as trustees and investment professionals need to take proactive steps to direct their monies into assets and strategies that will fend off many ills: inflation, rate shocks, market volatility, and longevity risks to name a few. It takes a calculated assessment and disciplined implementation over a number of years to help ensure that funds will be available for all in the amounts promised (or estimated, in the case of DC plans). (Take note of Bill's CAASA socks - way to represent!)

FIAMTL MECHOUI - AUGUST 25

We partnered once more with **FiaMTL**, headed by **Claude Perron (Crystalline Management)**, to produce our first in-person version of this event in three years. The virtual ones were all right, but nothing beats having 300+ attendees at a 6-hour gathering during the dog days of summer!

We'd like to acknowlege the roles of our partner organizations: **AIMA Canada,** the **Emerging Managers Board, CAIA Association, CFA Society of Monteal, FDP Institute, Finance Montreal,** and the **TMX Group**.

We cannot wait to get to the 2023 edition on August 24th!

CAIA KOREA - THE MAPLE MODEL - SEOUL - SEPT 1

Thank you to CAIA Korea for the invite to have **JB** speak to a group of pension employees, asset managers, consultants, and service providers located in Seoul, South Korea. The topic: The Maple Model is one that JB knows well and a little digging we presented some new data and had an interesting discussion about how the model was implemented in Canada and how it might fit the Korean context. We'd love to have another session on this in 2023!

SEPTEMBER

VANCOUVER SUMMER SOCIAL - SEPTEMBER 6

Always a good time in Vancouver, and this event was no exception. Thank you to our many members who joined us for this informal gathering. We surely have to do this a few times in summer 2023!

ALTSSV - MOUNTAIN VIEW - SEPTEMBER 7-8

This edition - organized by Markets Group, the CAIA Association, and the CFA Society of San Francisco - was held at the former head office of Silicon Graphics, now known as the Computer History Museum. Following a great social at the Balboa Cafe (the in spot for the tech scene back in the day), this one-day event had a good number of attendees and super speakers. Thank you for the invite!

TORONTO SUMMER SOCIAL - SEPTEMBER 8

One more time, we had our third social of the season at **Ki Restaurant** again and it sold out again. Thanks also to our Signature Members **Amundi Asset Management, Coinbase** and **Avenue Living Asset Management** as well as our event sponsor: **Steepe & Co.**

CAASA CHARITY GOLF DAY - SEPTEMBER 14

What a super day on the links - and all for a great cause - at our tournament's official home: **Angus Glen Golf Course** (home of the 2002, 2007 Canadian Open and host venue for the 2015 Pan Am Games) We are proud to support the **Blake Boultbee Youth Outreach Service** in their initiatives aimed at offering comprehensive services to high-risk youth and their families in the city of Toronto. Rod Cohen, a golf nut himself, put his skill against that of all participants and had a great time interacting with our full course of golfers. **JB** stopped by his office shortly thereafter to present him with our donation and learn more about what he has been doing for the youth of downtown Toronto for so many years.

Thank you to our sponsors: **Rise Properties, Morrison Financial, Picton Mahoney Asset Management, Fundata, SGGG Fund Services, Wealhouse Capital Management, Uniglobe Travel, Marriott - Delta, Marriott Bonvoy, Peller Estate, White Oak Resort, Donville Kent Asset Management, RSM Canada, Music Royalties Inc., Marret Asset Management,** and **Angus Glen G.C.**

WORLD ALTERNATIVE INVESTMENT SUMMIT (WAIS) CANADA - SEPTEMBER 15 & 16

JB presented at this conference, giving the audience a review of the alternatives landscape in Canada over the last 2 to 20 years and what investors and their advisors can do to create portfolios that will hold up well to future shocks.

Many CAASA members, such as **Morrison Financial, 3iQ Corp, Equiton,** and **Centurion Asset Management** also presented - great time!

MONEYSHOW - TORONTO - SEPTEMBER 16

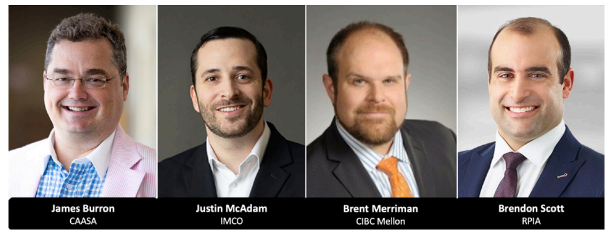
JB presented at this conference as well, focusing on liquid alternatives for a retail (actual investors plus some IAs) audience. It was amazing to see that not many knew about this ground-breaking legislation (that we worked on for six years and has accumulated $20 billion in AUM in a few short years). So good to get back in front of actual investors and let them know how liquid alts could be a part of their investment portfolio.

SEPTEMBER & SUPERWEEK

THE FRANCIS SABOURIN HOUR FT JEFF PARKS - SEPTEMBER 22

Continuing his tradtion, **Francis Sabourin (Richardson Wealth)** invited **Jeff Parks (Stack Capital)** to his show and chatted about how retail investors can gain access to venture capital plays via liquid, exchange-traded vehicles. A novel way of adding one more arrow to the quivers of Investment Advisors for their clients to gain the benefits of alternative investments in their portfolios.

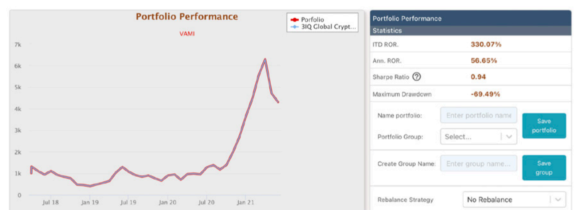
QUEEN'S UNIVERSITY / QUAAF CAREER PANEL - SEPT 22



QUAAF is the Queen's University Alternative Asset Fund, a student-run investment portfolio investing in alternative funds and, recently, also running stock portfolios (long-only). We organized another of our career panels for these students and owe our thanks to the panel: **Justin McAdam (IMCO), Brent Merriman (CIBC Mellon)**, and **Brendon Scott (RPIA)**.

SUPERWEEK 2022 - SEPTEMBER 27-29

This year we mashed-up our Digital Assets Global Exchange and our PE & VC Assembly. We noted a great deal of corss-over between the two and thought it best to produce a conference where we could cross-pollenate these two fairly realted groups (especially digital assets and venture).



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EDUCATIONAL PARTNER

SUPERWEEK

ORIGIN STORY OF BLOCKCHAIN/BTC/CRYPTO - SEPTEMBER 27

Ever wonder where crypto/digital assets came from? Why was it invented and has it fulfilled its purpose – or will it? These questions and more weree covered in this session which delved deep into the origin story of digital assets and gives a peek as to where it might be headed. Great job by our panelists: **Shaun Cumby (Arxnovum Investments Inc.), Kerem Kolcuoglu (Penrose Partners), Koleya Karringten (Canadian Blockchain Consortium).**

USE CASES OF TOKENS/COINS (ETH) - SEPTEMBER 27

Many believe that all coins/tokens/digital assets are cryptocurrencies, but while Bitcoin is a cryptocurrency (and even that is up for debate from some), other digital assets such as Ethereum (or Ether, or ETH) are more new-fanlged, distributed computers that can have many uses. This talk set things straight in the audience's minds and set the stage for the next level of learning. Another solid panel by **Kerem Kolcuoglu (Penrose Partners)** and **Jonathan Han, VirgoCX Wealth**.

CUSTODY & STAKING - SEPTEMBER 27

An investor's most important question (above risk/volatility and even return) is 'where is my money?'…aka return of capital. In the digital assets world, ownership is established on the blockchain, but translating this to the TradFi (Traditional Finance) world can be a challenge. Thankfully, we have a panel that ameliorated this situation and help attendees get comfortable with digital assets custody. Thank to **Freddie Allen (Coinbase)** and **Kirk Emery (Figment)**.

CAASA NFT & YOUR WALLET - SEPTEMBER 27

Mitch walked the uninitiated (and those who might just be new to metaverse) through the simple steps to create a digital wallet – to which you can upload the coveted CAASA SuperWeek22 NFT. Presented by: **Mitch Nicholson (Garden City Advisory Inc)**.

CRYPTO REGULATORY BATTLES: HERE ARE YOUR WINNERS - SEPTEMBER 27

Following on from custody, regulation is a big deal in any industry and those (who are progressive) in the digital assets space are quickly getting up the curve on regulations – just as regulators are educating themselves about these new assets. This is was the audience's opportunity to hear the stories of how key players in the industry have made great strides in bridging the gap and received approval for their products/services. Thanks to **Fred Pye (3iQ Digital Asset Management), Lisa Durnford (Wealthsimple Crypto),** and **Didier Lavallee (Tetra Trust Company)**.

PARTNERSHIP IN DIGITAL ASSETS: HOW INSTITUTIONAL MANAGERS COLLABORATE WITH A REGULATED CUSTODIAN - SEPTEMBER 27

Learn how an institutional wealth manager can partner with a regulated trading and custody provider to offer digital asset solutions to their clients. We learned how technology, innovation and agile thinking can open new thinking and opportunities for institutional money managers in the digital assets space. Panelists: **Paul Stapleton (Fidelity Clearing Canada)** and **John Davitsky (Echelon Wealth Partners).**

NFT & THE METAVERSE - SEPTEMBER 27

Highlighting the speed of change in the industry, last year's Bootcamp only touched on NFTs and we had our first speaker on the Metaverse – and now both of these are much more mainstream. Many struggle with what these innovations could possibly mean for their businesses and personal/consumer life and this session was designed to answer those questions and more! Thank you to **George Djuric (KPMG), Andrew Kiguel (Tokens.com),** and **Zachary Friedman (GDA Group).**

BREAKFAST FIRESIDE: ZOE CRUZ - SEPTEMBER 28

The transition from TradFi to the digital assets world is difficult for some, but for others it's seen as more of a brand-new area to apply their experience and expertise to a fledgling industry. This can create conditions ripe for profit exploitation as well as the opportunity to work with regulators to create, rather than the trial and error that might have happened in TradFi over the last many years, legislation that fits the industry best – and from the start. We heard from someone who has gone from the pinnacle of financial services to a leading role in digital assets and learn where both industries are headed. Many thanks to **Zoe Cruz (Menai Financial Group)** and **Koleya Karringten (Canadian Blockchain Consortium)**.

PE/VC: ALLOCATING IN TODAY'S MARKET - SEPTEMBER 28

For years, private equity investors have been chanting the mantra of 'dry powder' and 'sky-high valuations' while venture investors have been echoing the latter, but with a more invested portfolio (save cash earmarked for follow-ons). Now that some of the wind has been knocked out of a few markets, is this a good time to invest? Most know the inherent and unassailable benefits of vintage diversification in privates, but what are the macro trends that might propel this year's crop of PE and VC transactions into the plus (or plus-plus!) column when one looks back on them in 5-10 years time? Moderated by **Alex Dauria (Aksia)** with panelists **Spencer Greene (TSVC), Mark Maybank (Maverix Private Equity)**, and **John Fisher (Bridgeport Asset Management)**.

CRYPTO & WEB3 VENTURE INVESTING - SEPTEMBER 28

We organized a very popular webinar on this topic earlier in the year and now it's back with an updated panel that will provide commentary on the current state of crypto – and now Web3 – venture investing. With the area in flux as much as it is, we believe this panel was an excellent way for those new and experienced in the space to get and keep up to speed with its evolution. Our panel: **Keith Black (RIA Channel), Chris Perkins (Coinfund), Shubin Jha (Menai Asset Management)**, and **Elliott Campbell (HarbourVest Partners)**.

SUPERWEEK

LUNCHEON FIRESIDE: MODERN VENTURE - SEPTEMBER 28

This fireside brought together many of the themes we have during the entire conference and allowed the audience an glimpse into how the market for private equity and venture capital got to where it is, what's happening now, and where it is headed over the next short to long while. A real treat to have these speakers, **Robert Schwartz (Third Point Ventures)** and **Adam Buzanis (CAAT Pension Plan**) together!

SUPERWEEKTABLE TALKS

As delegates to our conferences would know, we not only have hand-picked speakers (without thought to sponsorship dollars and the like) - we also provide a plethora of Table Talks on a wide-ranging list of assets, strategies, and topics. These are all opt-in, small group discussions lead by our supporting members of the particular conference and have been a boon for all involved!

SUPERWEEK

NOWTALK – CRYPTOCURRENCIES AND DIGITAL ASSETS IN DIVERSIFIED PORTFOLIOS - SEPTEMBER 28

Where and how are investors allocating to cryptocurrencies and digital assets? We explored the digital assets landscape, including buying coins directly, investing in public funds, as well as hedge funds and venture capital. Super informative talk by **Keith Black (RIA Channel)** and **Charlie Morris (CMCC Global)**.

BULLS & BEARS: KEY EVENTS OF 2022 - SEPTEMBER 28

2022 had been a busy year thus far – and it's not over yet! Unlimited upside at the beginning of the year was replaced by a Crypto Winter in the spring/summer and who knew where we might end up as we head into 2023. Well, this panel might had some ideas.

Thanks to **Kerem Kolcuoglu (Penrose Partners), Eric Richmond (Coinsquare), Tanya Woods (Futurity Partners),** and **Jillian Friedman (Ether Capital).**

DIGITAL ASSETS: A SERIOUS ASSET CLASS - SEPTEMBER 28

Savvas Michael Mallas is a Partner for Family Office at **Stonehage Fleming**, one of the largest independent multi-family offices in the world. He heads up the firms Digital Asset Offering, supporting clients around the world who wish to introduce digital assets into their personal and fiduciary structures.

He shared his insights into the examples of the risk based approach which he has supported clients in approaching the asset class, and treating digital assets as any other asset, with the same discipline towards risk. In doing so he has been able to support clients in investing in so many different kinds of digital assets from blockchain, NFTs, early stage tokens and funds.

INSTITUTIONS ALLOCATING TO CRYPTO - SEPTEMBER 28

Everyone is doing it, but no one is talking about it…or is it the other way 'round? Crypto has been a salacious subject of late as the cryptocurrencies/tokens have dropped in price and some rather high-profile bankruptcies have plagued the headlines; adding even more trepidation for investors who might see its adoption and perhaps eventual domination of their portfolio/thinking. No matter the motivation, crypto has become (for some) a four letter word, even for true adherents who value its investment case almost as much as they value their role in the organization; and for naysayers, these 'fluctuations' have seemingly proved their earlier points. This talk centred around how investors are wading through the bad news and making up their minds on allocations to these assets going forward. Special thanks to **Anthony Bassili (Coinbase Institutional Americas)** and **Kevin Blank (State of Wisconsin Investment Board (SWIB))**.

SUPERWEEK

ALLOCATING TO PRIVATE EQUITY FIRESIDE - SEPTEMBER 29

This fireside brought together two experienced allocators who explained their views of the private equtiy markets and what investors can expect in the next while, based on their respective vantage points, observations, and assumptions. Which stage of the economy and how this affects private equity (and the associated de-coupling that can occur) will also be discussed (paper of one of the participants here) as well as where and how they are positioning their portfolios for optimal near and further-term performance. Exellent job by **Drew Schardt (Hamilton Lane)** and **Jason Campbell (Eckler Investment Consulting)**.

SFOS ALLOCATING TO PE/VENTURE - SEPTEMBER 29

A real treat, we had four single family office folks joining us for this moderated roundtable where they discussed how they allocate to private equity, with a focus on venture capital. Many (if not all) family offices owe their existence to a well-played venture play (aka the founder started a company that happened to succeed very well) and how they plan to replicate this 'luck', or not, will also emerge as topic of discussion between these seasoned investors. Our panel: **Neal Gupta (KiwiTech), Harjit Singh (Xurge Capital Partners, LLC), Wendy Diamond (LDP Ventures/ Women's Entrepreneurship Day Organization),** and **Drew Colaiezzi (McPike Global Family Office)**.

HEADING FOR THE EXITS: UNLOCKING VALUE ON THE WAY OUT - SEPTEMBER 29

Getting in can be easy, but making one's way to the exit in a profitable manner can wreck havoc on a portfolio in any asset class: but especially privates. How one plans to cash out can affect timing, pricing, and complexity, as well as flexibility should circumstances change. This panel explained the options available to investors on both sides of the transaction: those selling and those who might be buying assets from a winding-down fund (or one that is selling for any reason). On stage: **Clelia Zacharias (LGT Capital Partners (USA) Inc.), Peter Misek (Framework),** and **Bradley Mashinter (Fiera Comox Partners)**.

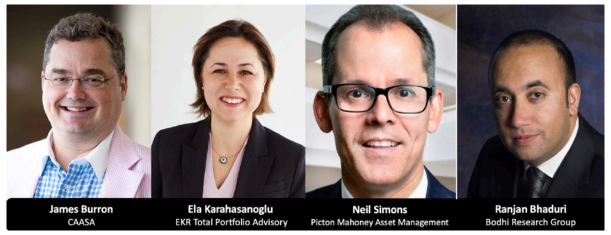
LUNCHEON KEYNOTE – AVOIDING LEMONS – INSTITUTIONAL OPPORTUNITIES IN THE CRYPTOASSET SPACE - SEPTEMBER 29

The past several months have provided many headlines of turmoil across the cryptoasset markets. This talk, by **Mitch Nicholson (Garden City Advisory Inc)** provided context on recent events, key considerations for assessing investment opportunities and addressing risks inherent to the cryptoasset space. He also followed up on a pick posed at the Family Office Summit - it fared pretty well!

START-UP ROUND-UP! – FAMILY OFFICES/VCs - SEPTEMBER 29

A 'product extension' of our Table Talks, the Start-Up Round-Up puts ~10 start-up founders at tables around the room – each one paired with an investor or two (VC or SFO). The start-up runs through their pitch and the invited investors then offer some initial diligence questions to them in order to show the group how one can pierce the patina of a shiny deck to get at the meat of an offering, as well as how to discover the strengths and weaknesses of each idea and founder team (the latter to be ameliorated by sound advice from the investor!).

CFA SOCIETY OF WINNIPEG CAREER PANEL - OCTOBER 4



We were not sure about COVID when we planned this panel (seemed another variant might be on the lose) and doing a virtual one made for an easier time getting alumni and other speakers to lend their advice to this pool of students and young professionals in The Peg. JB was there anyways and hosted a social. Thanks to our esteemed panel: **Ela Karahasenoglu (formerly of BCI), Neil Simons (Picton Mahoney Asset Management),** and **Ranjan Bhaduri (Bodhi Research Group)**. Next time in person!

TALKING HEDGE TORONTO - OCTOBER 5-6

We had a contingent at **Meg Bode**'s annual fantastic conference in Toronto (others in Austin and Santa Monica) and it didn't disappoint!

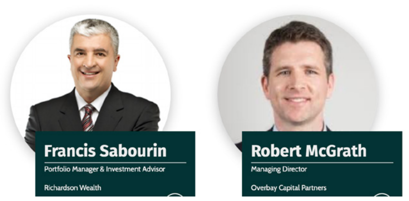
ALTSSEA - SEATTLE - OCTOBER 5-6

For the first time in 30 years, **JB** made his way to Seattle to attend this conference organized by Market Group, the CAIA Association, CFA Society of Seattle, and the Seattle Alternative Investment Association. Such a great gathering all off things alternative and the weather was pretty good also (the rain in Spain falls mainly in Seattle). Thank you for the invite!

LETTING DATA TELL THE STORY - OCTOBER 11

Many a manager, at their peril, has relied on their track record to bring in capital. Veterans in the field (any field) know that one's performance needs to be (i) acceptable, (ii) known, (iii) part of a larger marketing and branding exercise. Whether as part of the draw of a PM with personality, a crack team of data aficionados who can map all types of attribution, and/or a cadre of wholesalers and consultants who get in front of prospects via databases or personal means, all three of these factors can make or break an asset management company. This webinar discussed how to use data platforms like **SigmaSandbox** to one's advantage, how some companies are creating specialty units to fashion their story, and how those in the trenches are using distribution methods to win sales. Thanks to **David Rudd (SigmaSandbox)** and **Michael White (Picton Mahoney Asset Management)**.

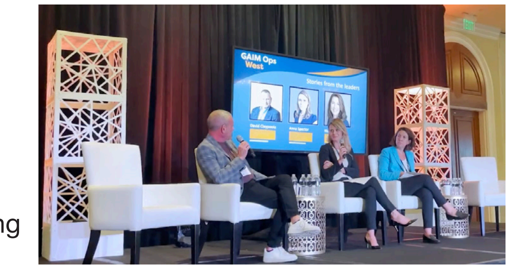
THE FRANCIS SABOURIN HOUR: FEATURING OVERBAY CAPITAL PARTNERS - OCTOBER 13



We enjoyed this session with **Francis Sabourin (Richardson Wealth)** and his guest, Robert McGrath **(Overbay Capital Partners)**, as they discussed Overbay's strategy of acquiring seasoned private equity portfolios, thereby skipping the J-curve and delivering a portfolio with all-important vintage diversification with one trade.

OCTOBER

GAIMOps WEST - DANA POINT - OCTOBER 17-19



After so many GAIMOps Cayman visits, it was time to try the West Coast version and it did not dissapoint. Fewer people made it more intimate and enabled everyone to meet as many as they might like during the events held at the Waldorf Astoria and side-events around the neighbourhood. Word to the wise: it's a good idea to split an Uber or just take one yourself vs. renting a car as the cost of renting, gas, and valet easily outstrips the fare.

CANADIAN BLOCKCHAIN CONSORTIUM CONFERENCE - OCT 19-20

Continuing our support of the Canadian digital assets industry, we had representation at the CBC conference in Calgary. Two days of engaging speakers in a unique setting just outside the core of town (fewer distractions) made for a memorable event. Thank you for the invite to moderate the panel on anonymity - nothing like a crash course in privacy vs anonymity from renown experts!

BERMUDA TECH SUMMIT - OCTOBER 24-28

Thank you to the Premier of Bermuda for backing such an interesting summit. Both the main events and side-sessions (thanks for the invites **Kerem** and **Bermuda Ignite!)** provided ample proof that the start-up ecosystem of Innovation Island aka Bermuda is alive and thriving. Also a great opportunity to meet our many members on the island in real life (vs Zoom) and connect with many more who would love to sell into Canada or work with Canadian companies to create something new.

9TH ANNUAL ETF CONFERENCE - ALTS PANEL - OCTOBER 27

JB had the opportunity to moderate the 'non-ETF' panel of this ETF conference produced by Credo Consulting (**Ozy Comacho** & **Yves Rebetez** at the helm) with a few CAASA members who are no strangers to alts: **Sanjil Shah (Alignvest Student Housing), Robert Wilson (Picton Mahoney Asset Management)**, and **Robert Kolochuk (Wavefront Global Asset Management)**.

CAASA ANNUAL CONFERENCE - NOVEMBER 1-3

Our flagship conference returned to in-person in Montreal with another awesome line-up of speakers - with its usual twist: speakers are virtually all end investors such as pension plans, Sovereign Wealth Funds, and family offices. With than 200 attendees about 40% were investors, 50% from asset managers, and 10% from service providers.

We owe a huge thanks to **Ranjan Bhaduri (Bodhi Research Group)** whom we have worked with for many years on this conference (and prior ones under another banner). His global reach, ability to ascertain each individual speakers' strengths, and perfect placement with regard to topic and format is second to none. We truly value his dedication to this conference and the super-high quality content it has produced.

Thank you to our many sponsors who made this possible! They provided some of the programming also, via the Table Talks scheduled throughout the content days that allowed delegates to discuss selected topics in small groups. As well, our platform facilitated dozens of 1:1 meetings between those looking to connect in a more intimate setting.

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Montréal

THE UNIVERSITY OF CHICAGO FINANCIAL MATHEMATICS PROGRAM

CAASA ANNUAL CONFERENCE

LUNCHEON KEYNOTE: TANYA CARMICHAEL - NOVEMBER 1

Everyone enjoyed **Tanya Carmichael** as she shared insights from her extensive experience in private equity investing, collaborating inside and outside of organizations to drive change in ESG, sustainability and governance and what culture-driven competencies investors need to continue to be successful. Tanya has led OTPP's Private Equity Funds portfolio, served as the Chair on the Board of Directors for ILPA, and served as a member of the Private Equity Steering Committee of the UN Principles for Responsible Investment. This keynote session provided special insights into the tremendous opportunity that investment organizations have to use culture as a strategic advantage.

MARKET OUTLOOK WITH A GLIMPSE INTO 2023 - NOVEMBER 1

The markets have been active and turbulent – rising interest rates, increased inflation, increased geopolitical risks have all led to increased volatility and market turmoil. Veteran economist Constance Emerson provides insights into the current capital market conditions, and tries to give a glimpse into 2023 in this exclusive NowTalk from **Constance Everson (Capital Markets Outlook Group, Inc)**.

NOWTALK – YOUR HORMONES: THE KEY TO WEIGHT LOSS & INCREASED ENERGY - NOVEMBER 1

Our hormones are a key factor to almost every process in the body although they are often overlooked when it comes to our weight and energy levels. In this talk, **Caroline Chow (CAASA & Happy Health)** delves into the key hormones that you should know, what hanger really means and what you can do today to start on the path to better health, mood, and energy.

THE ART AND SCIENCE OF DUE DILIGENCE - NOVEMBER 1

Dave Finstad (BCI), and **Cem Usakli (PSP Investments)** brought decades of experience in pension portfolio management, and **Dr. Sudharshan Sathiyamoorthy (Richter Family Office)** and **Leia Levand (Innocap Investment Management)**, are at a multi-family office and a managed account platform, respectively. Moderated by **CAASA**'s **James Burron**, this interactive and lively session spoke to important nuances of investment due diligence that should be of interest to both investors and managers.

NOWTALK: TAIL RISK MODELING AND HEDGING - NOVEMBER 2

In this NowTalk, **Pierre-Philippe Ste-Marie (Bodhi Research Group)** covered useful concepts ranging from statistics and data science to trading and portfolio management in order to try to quantify hedge tail event risks.

CAASA ANNUAL CONFERENCE

THE PURSUIT OF ALPHA - NOVEMBER 2

Alpha's the name of the game, and this talented panel will discuss best ideas, strategies, and priorities in their pursuit of alpha. Moderated by **Christophe L'Ahelec, (University Pension Plan of Ontario (UPP))**, and featuring **Mohamed Farid (World Bank Pension Plan), Darryl Orom (AIMCo), Jonathan Feintuch (New Holland Capital),** and **Sandy Poiré (CN Investment Division).**

NOWTALK: QUANT CORNER: CUT A LOSING TRADE, OR DOUBLE DOWN? OPTIMAL CONTROL THEORY PRODUCES MOVING-AVERAGE TRADING RULES - NOV 2

The classical trading question – When should one cut a losing trade (or investment), and when should one increase their position? Esteemed Mathematician **Roger Lee**, who is the Director of the **University of Chicago**'s Masters in Financial Mathematics, and considered one of the world's best quants, presents mathematical insights from his research on this important topic.

LEGEND'S LOUNGE – CLAUDE LAMOUREUX WITH DR. RANJAN BHADURI - NOVEMBER 2

A legend's legend and the Godfather of Governance – **Claude Lamoureux**! The inaugural president and chief executive officer of the Ontario Teachers' Pension Plan who created the Maple model and opened the path for so many other Canadian pensions. Mr. Lamoureux is also the co-founder of the Canadian Coalition for Good Governance.

In this exclusive CAASA session, Mr. Lamoureux will share his story about the creation of OTPP, in addition to providing nuggets of wisdom. Dealing with boards, the importance of controls, innovation, and current affairs will all be covered.

EVENING RECEPTION: EXPLORING SWEATER-WEATHER WINES SMALL-GROUP TASTING EXPERIENCE - NOVEMBER 2

Our in-house sommelier **Mackenzie Putici (New World Wine Tours)** gave us a guided exploration of wines structured for cool weather - every 20 minutes a new group departed on the tasting journey of two white wines and two reds, hand-selected for the occasion.

The CAASA team is always looking for innovative ideas. Hosting small-group mini tastings during our reception is a unique way to connect with other delegates while learning more about some fantastic seasonal wines.

CAASA ANNUAL CONFERENCE

RESEARCH AND INNOVATION IN A PORTFOLIO CONTEXT - NOVEMBER 2

Dr. Bahi Kandavel (Northstar Trading), who runs a private proprietary trading firm, moderated this special session featuring three different subtopics with esteemed panelists.

Joining his was **Dr. Wagner Dada (CPP Investments)** who spoke about research and innovation in quantitative trading and **Jamie Ronson (IMCO)** shared her perspectives about strategic research in an evolving macroeconomic context.

NOWTALK: YIELD FARMING IN DECENTRALIZED FINANCE - NOV 2

This NowTalk presented current research and new ideas. **McGill University Professor Patrick Augustin** who has conferred with the Bank of Canada, spoke on some ideas that are on the frontiers of digital assets.

We heard opportunities for earning passive income by pledging pairs of crypto-currency tokens in liquidity and harvesting governance tokens in yield farms is referred to as "yield farming". Dr. Augustin characterizes the risk and return characteristics of yield farming investment strategies. His analysis also highlighted ramifications for the current debate about the regulation of decentralized financial services.

RESPONSIBLE INVESTING & ESG IN PORTFOLIO APPLICATIONS - NOVEMBER 2

Industry experts **Jamy Kallikaden (CPP Investments), Maria Clara Rendon (University Pension Plan of Ontario), Annie Sorich (Public Sector Pension Investment Board,** and **Deborah Ann Trott (Trott Lombardo Consulting)** shares leading portfolio management and due diligence techniques that i ntegrate responsible investing and ESG.

LUNCH FIRESIDE – DR. MARLENE PUFFER WITH STEVE DROBNY - NOVEMBER 2

CN Investment Division's President & CEO, **Dr. Marlene Puffer**, shared some of the current challenges and opportunities for pensions in what was a gripping discussion with global macro and alternative investing expert and author **Steve Drobny (Clocktower Group)**.

These two industry leaders left the audience wanting more and ensured that CAC 2022 ended with a bang!

NOVEMBER

LPEA TORONTO RECEPTION - NOVEMBER 10

We were happy to assist the **Luxembourg Private Equity & Venture Capital Association** in their quest to gather a substantial group for the Toronto stop in their North America road show. There was quite a bit of content and queries from the Canadian audience around the new ESG rules and even more discussion during the reception that followed. Always open to partnering again!

ETFGI: NEW YORK - NOVEMBER 15 & 16

Always a joy working with Deborah Fuhr - especially now that we're back to in-person events! As part of a few days of meetings, this conference was a super group of ETF pros including **Horizons ETFs, BMO Asset Management**, and many others!

AIM SUMMIT: DUBAI - NOVEMBER 21 & 22

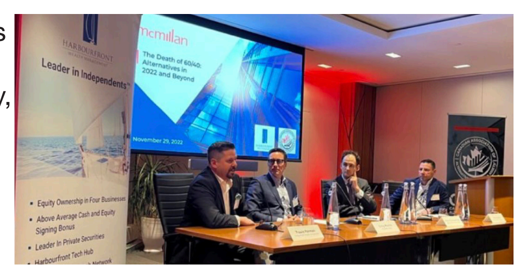
Always a treat to get to Dubai and attend Raha's AIM Summit. This year, she put **JB** to work on two panels: *Global venture capital: has the FOMO passed* (which we amended somewhat to FOGA: Fear of Gettting Annihilated), and *Navigating the unchartered territory of DeFi – Benefits, risks & challenges* (which was quite a propos as we saw FTX vapourize 11 days earlier).

Many fruitful meetings with old friends and new connections were had by all and looking forward to the 2023 editions in London and Dubai once again!

THE FRANCIS SABOURIN HOUR FT. ICAPITAL - NOVEMBER 22

Still chugging along, **Francis Sabourin (Richardson Wealth)** welcomed the incomparable **Tom Johnston (iCapital Network)** to his 'show'. Access to private investments has been difficult for retail investors for many years: risk ratings, completing required forms, and making the process easy for IAs, clients, and managers has proved a challenge. Thankfully, there are platforms from companies like iCapital that can link investors with deserving managers and funds.

THE DEATH OF 60/40: ALTERNATIVES IN 2022 AND BEYOND - NOVEMBER 29



The stalwart 60/40 (equities/bonds) portfolio has delivered over many periods over the last 40 years or so – as long as bond yields were declining and markets behaved 'normally'. The former has ended, as all have seen recently, and the latter has not proved true over the last few market cycles and crises.

Many investors and advisors have aligned their portfolios/books to include more alternatives: credit long/short, private lending, (private) real estate, hedge/alts strategies, liquid alternatives, and many other opportunities exist for various categories of investors – from true retail, accredited investors, family offices, etc. The key is: knowing how these options can work in a portfolio, their particular risks and rewards, and which market regimes work best for them.

Thank you to our panel: **Andy Mystic (Altrust Investment Solutions), Travis Forman (Harbourfront Wealth), Victor Kuntzevitsky (Wellington-Altus Private Counsel)**, and **Craig Machel (Richardson Wealth)**; and our event sponsors **Harbourfront Wealth** and **McMillan** (venue sponsor)

DECEMBER

SIX GROUP POST-TRADE FORUM: LONDON - DECEMBER 1

An annual trek to this mid/back-office conference, **JB** spent the week in London meeting with members and friends of CAASA as well as various government agencies. Always a productive time, but the weather was a bit soggy, providing the making of a cold (no COVID!).

MONTREAL HOLIDAY SOCIAL - DECEMBER 6

We thought it best to have holiday socials in at least two cities to cap off the year. Our Montreal on was at **Nacarat** at the **QE** and sold our with 90+ attendees. A veritable who's-who of the investment scene, we were super-happy with the turn-out and lively banter among all guests. Of course, it wouldn't be a Quebec event without great wine and food along with excellent service. Thanks to our Signature Members **Amundi Asset Management, Coinbase** and **Avenue Living Asset Management** as well as our event sponsors: **Citi Prime** and **FIAMtl.**

TORONTO HOLIDAY SOCIAL - DECEMBER 7

The very next night we were at **Bar St. Lo** (thanks **Shael Soberano** for the tip!) where another sold-out (120-person) crowd filled the room - but not too much - and provided a forum for local and out of town members to chat about 2022 and what they expect for 2023...along with some super wine and signature CAASA cocktails. Thanks to our Signature Members **Amundi Asset Management, Coinbase** and **Avenue Living Asset Management** as well as our event sponsors: **Hedgefacts** and **Steepe & Co.**

TD EVENT IN NEW YORK CITY - DECEMBER 8

JB had the pleasure of participating in a discussion with **Brad Simpson (TD Wealth)**, led by **Joshua Leonard (TD Securities)** in front of about 20 major U.S. hedge funds. We spoke on the alts landscape in Canada and since both of us started at DS (JB as an IA), some tips about how U.S. managers can best gather assets in Canada. Hint: be consistent in travelling to Canada and showing commitment to the market.

THE FRANCIS SABOURIN HOUR FT. WEALHOUSE CAPITAL - DECEMBER 8

This month we joined **Francis Sabourin (Richardson Wealth)** and his guest, **Andrew Labbad (Wealhouse Capital Management)**, as they discuss his Amplus Credit Fund which continues to hold investment in many companies that stand to benefit from elevated interest rates and strong commodity prices.

TOM BRIGANDI'S HOLIDAY GATHERING - DECEMBER 19

We ended the year at Tom Brigandi's Holiday Event in NYC and met **CAIA**'s **Bill Kelly** and many others (467 others, in fact) at this packed event. (That isn't Tom between us, but he is around there somewhere.)

Tom has added a summer/June event as well. If you'd like more information, just ask JB.

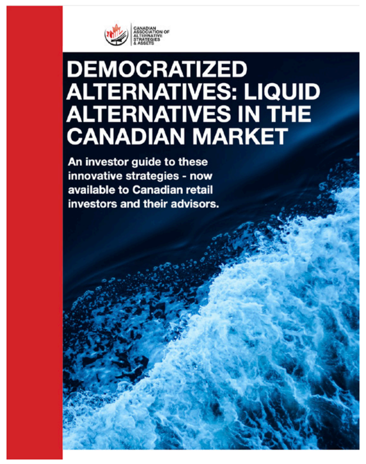
H2 JOINERS & PRIMERS

JOINERS IN THE LATTER HALF OF 2022

TD Wealth, Aeolus Capital Management, Morrison Financial, HIG Capital, New Holland Capital LLC, LGT Partners, University Pension Plan of Ontario, INP Capital, Coinsquare, Balance, Tetra Trust, Blue Fire AI, Blue Owl, Netsource Capital, Wellington Altus, Lockdocs, Ether Capital, Overbay Capital, Bayxis Capital Management, Sato Technologies, Okeanos Capital, Nebulai, Letko Brosseau, Murray & Associates LLP, Luxes Investments, 43 North Group, Creative Ventures, Trott Lombardo Consulting, Metori Capital Management, PaceZero Capital Partners Ltd., KiwiTech, ATIMCO, Linse Capital, Hedgefacts, Alignment Credit, Velvet ETFs, Addenda Capital, Nordis Capital, Join2Work, Ogier, Adrian Lee & Partners, Greenwich Quantitative Research, Apax Partners, Rainshine Global, State Street, Relevance Wealth Management, BG Wealth Group, Authentic Asset Management, Cranson Capital, Programd Capital, Tribe Capital, Firinne Capital and **KV Capital.**

A PLETHORA OF PAPERS

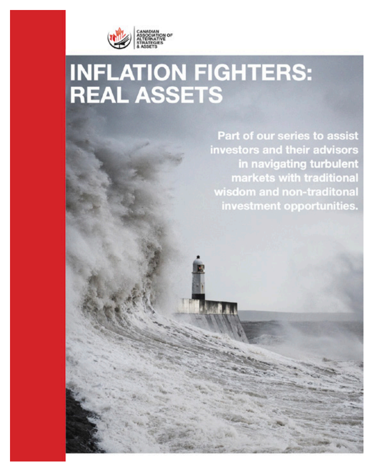
2022 was a busy year in CAASA Publications. Not only did we issue 12 editions of our *Liquid Alts Update* and *Canadian Cryptocurrency Fund Update*, we also published three primers:



The first was *Democratized Alternatives: Liquid Alternatives in the Canadian Market*. Providing a briefing on the new rules aka liquid alts legislation that allows retail-accessible mutual funds to employ leverage, shorting, and the use of derivatives, it also showed how these funds (rules promulgated at the beginning of 2019) can be used in virtually any portfolio.

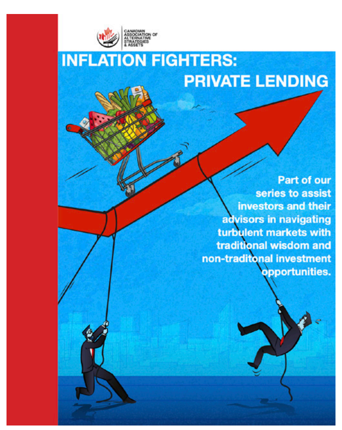
The CAASA team actually worked on the new rules and have presented on them and their effect on the industry on multiple occasions. CAASA members who would like their own chat should let CAASA staff know.

Thank you to our participating members: **Next Edge Capital, CIBC Mellon, Maxam Capital Management**, and **ehp Funds**.



We commenced a new series with this next paper. **Inflation Fighters: Real Assets** takes a topic from the headlines and proposes ways that investors can combat rising prices / reduced purchasing power with investment solutions that should keep their value and income-producing characteristics in many market scenarios.

Thank you to our participating members: **Actis, AGinvest Farmland Properties Canada, Alignvest Student Housing, Cacoeli, Forum Asset Management,** and **Harbourfront Wealth.**



Continuing the series, we produced **Inflation Fighters: Private Lending** which showed that these investment options can lend themselves well to rising rate/price level environments. Floating rate securities and ones with low tenor / term to maturity are a hallmark of the industry and are natural hedges to rising prices.

Thank you to our participating members: **Calvert Home Mortgage Investment Corporation, Morex Capital, Morrison Finanical,** and **Harbourfront Wealth.**

As we progress through 2023 and beyond, we will continue to add to our stable of primers and publications. Members are welcome to suggest topics and let us know of any feedback at any time.

2022 PODCASTS

MORE OPPORTUNITIES FOR MEMBERS TO SHINE

We release our podcasts (we slowed bit in 2022) on **Apple Podcasts** (http://bit.ly/CAASApodcastApple) and **Spotify** (http://bit.ly/CAASApodcastSpotify) and any member interested in pairing up and recording one has just to ask!

REAL ESTATE IN YOUR PORTFOLIO

In this episode we discuss real estate investing and due diligence that investors should perform as well as how investor demographics and their behaviour can have a huge effect their overall returns. Thank you to **Darren Coleman (Raymond James)** and **Sandy Poklar (Firm Capital)** for your time!

ALL ABOUT DECENTRALIZED FINANCE (DEFI)

In this episode we sit down with two veterans of the digital assets space, **Russell Starr (Valour)** and **Sean Stapley (Penrose Partners)**, and talk Defi and much more. These are areas that are growing in popularity and investors of all stripes are really digging into them, as we do during this podcast. We hope you like it!

MOVING INTO DIGITAL ASSETS

In this episode we speak with two in the crypto and Defi sub-sets of the digital assets industry. One from the traditional finance area, taking a crash course in this new space, with his company offering a platform for trading various instruments. The other is an old school commodities and financial trader who moved his expertise to the crypto-assets market and now runs a hedge fund that specializes in relative value, delta neutral trades which capture yield for their investors. Thank you to **Sebastian Davies (Aquanow)** and **Brian Tehako (Warwick Capital Management)**.

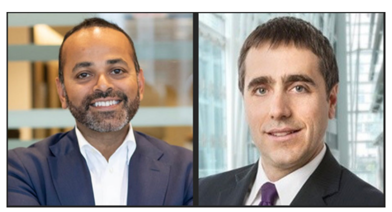
RECONNECTING ON REAL ESTATE

For this podcast we welcome back **Sandy Poklar (Firm Capital)** and **Darren Coleman (Raymond James)**. Both have been in the industry, and their respective roles, for three decades or so and they've been professionally connected for about 15 years, so you can be sure this conversation will be like two old friends chatting - because they are! We talk client experience, real estate, inflation and much more.

GIVING CREDIT ITS DUE

In this episode we speak with an OCIO - or outsourced chief investment officer - based in Vancouver, BC and a major Toronto-based fixed income manager active in both long only and hedge fund strategies. We go from top-level asset allocation to more granule topics like the corporate bond curve. Thank you to **Steve Adang (Anchor Pacific Investment Management** and **Ilias Lagopoulos (RPIA)**.

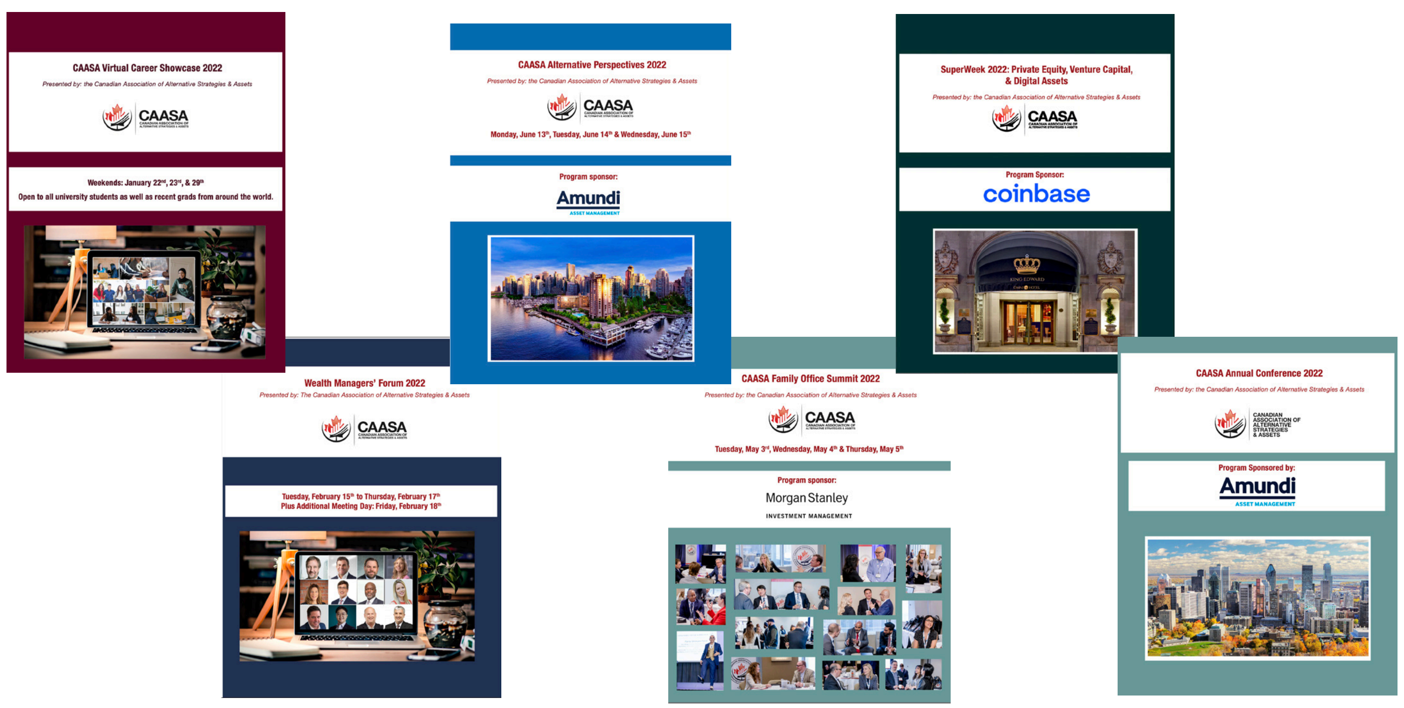
ETFs AND REAL ESTATE - BETTER TOGETHER



In this episode we are speaking with two CAASA members who joined forces to produce an innovative ETF product in the global real estate space - offering the benefits of this asset class to investors who like a little liquidity now and then. Great job **Raj Lala (Evolve ETFs)** and **Marc-André Flageole (Slate Securities)**.

OVERVIEW OF OPPORTUNITIES

NAME	DATE/LOCATION	PRICE	AUDIENCE
GLOBAL PARTNER PACKAGE	All year	$50,000	Everyone
SIGNATURE MEMBER PACKAGE	All year	$30,000	Everyone
FAMILY OFFICE CAYMAN EXPERIENCE	January/Grand Cayman	USD 10,000 sponsor; USD 3,000 to attend	Single Family Offices
CAREER SHOWCASE	January/Virtual	$1,000 to sponsor	High-achieving students
WEALTH MANAGERS' FORUM	Feb/YVR,YYC,YYZ,YUL	$2,500 sponsor/city, $1,000 to attend	Retail IAs and some FOs
FAMILY OFFICE SUMMIT	April/Toronto	$3,500 sponsor; $1,250-$2,750 to attend	SFOs, MFOs, & IAs
CAASA ALTERNATIVE PERSPECTIVES	June/Vancouver	$3,500 sponsor; $1,250-$2,750 to attend	Global investors
SUPERWEEK23	September/Toronto	$3,500 sponsor; $1,250-$2,750 to attend	Global investors
CAASA ANNUAL CONFERENCE	November/Montreal	$3,500 sponsor; $1,250-$2,750 to attend	Global investors
GOC MISSIONS	TBD/ex-Canada	$2,000 to sponsor; $500-$1,000 to attend	Global investors
SOCIALS	Feb, Summer, Dec/ Cross-country	$1,500 to sponsor; TBD to attend	Industy participants
PODCASTS	At least monthly	Free with membership	Everyone
CAASA PAPERS	About quarterly	$3,000	Everyone
MENTORSHIP PROGRAM	Jan-Feb	$1,000	Everyone



Read more at caasa.ca - or contact us at +1 (647) 953-0737 or caroline@caasa.ca

2023 EVENTS & INITIATIVES

Our mission is to support our members, the Canadian alternatives industry, and the broader global alternatives industry through a variety of programming, advocacy efforts, and working group initiatives such as member resources, thought leadership and educational publications, and effective gathering of groups with common interests.

MEMBERSHIP PACKAGES

GLOBAL PARTNER PACKAGE 2023

As we continue to innovate in an effort to bring more value to members than ever before, we added an additional exposure category for select members: Global Partner.

One member in 2023 will accrue these benefits:

- Prominence via sponsorship at any three of our six signature conferences: ***CAASA Annual Conference*, *Family Office Summit***, ***SuperWeek23***, ***Wealth Managers' Forum***, ***CAASA Alternative Perspectives*** (value: $10,500) as well as our ***Founding Day Drinks, Summer Socials,*** and ***Holiday Socials*** typically held near our anniversary on February 5 (aggregate value of up to $10,500)
- Inclusion of swag item in gift box for all six conferences (item provided and delivered by sponsor)
- Branding on our website (priceless)
- Booth at each of the chosen in-person conferences ($6,000 value)
- Nine passes for member employees to spread between their three chosen conferences (potential value of up to $11,250 - $24,750 for conference attendance alone)
- Inclusion on our Global Partners' banners to be displayed at all in-person conferences
- First call (in order of commitment date) on our additional exposure items (listed on page 7), which are offered without additional cost to all sponsors based on when they committed to sponsor a certain eventd(typically sold by conference organizers for $1,000 - $10,000 each)
- First call (in order of commitment date) on enhanced exposure items (listed on page 7) for the stated additional fees

As is our tradition, we treat all members equally for our speaking opportunities and Global Partners are afforded the same propensity to speak on and moderate panels and participate in podcasts.

Any CAASA member this is interested in becoming a Global Partner should contact the CAASA office - cost is $50,000 (no taxes) per calendar year (no proration) in addition to usual membership dues.

SIGNATURE MEMBER PACKAGE 2023

Our Signature Package is designed for members who are active (or about to be!) and desire a more all-inclusive billing and offering experience. These members receive:

- Prominence via sponsorship at any three of our six signature conferences: ***CAASA Annual Conference*, *Family Office Summit***, ***SuperWeek23***, ***Wealth Managers' Forum***, ***CAASA Alternative Perspectives*** (value: $10,500) as well as our ***Founding Day Drinks, Summer Socials,*** and ***Holiday Socials*** (aggregate value of up to $10,500)
- Nine passes for member employees to spread between their three chosen conferences (potential value of up to $11,250 - $24,750 for conference attendance alone)
- Name included on Signature Member banner to be placed at all major events

As is our tradition, we treat all members equally for our speaking opportunities and Signature Members are afforded the same propensity to speak on and moderate panels and participate in podcasts.

Any CAASA member this is interested in adding a Signature Package should contact the CAASA office - cost is $30,000 (no taxes) per calendar year (no proration) in addition to usual membership dues.

Exclusivity in terms of category or any other criteria is not offered for these packages.

Amundi ASSET MANAGEMENT

HEDGEFACTS

CIBC MELLON

PREQIN

2023 CONFERENCES

WE'RE ALWAYS OPEN

Every member knows that we do not sell our speaking opportunities, preferring to invite speakers to our panels and keynotes so that a unified theme across events and topics can be maintained and quality control fostered. We also strive to have diverse speakers both within and across all of our events throughout the year - with regard to typical diversity & inclusion parameters but also in terms of firm/AUM size, geography, type or category of member, asset class and strategy (as applicable), and tenure of membership with CAASA. While we always strive to have the perfect mix, we acknowledge that sometimes we could do better and welcome feedback from any audience member on speakers, themes, formats, and any other way that we can deliver better value to our members and the industry at large.

FAMILY OFFICE CAYMAN EXPERIENCE - JANUARY 10-12 - GRAND CAYMAN

- Sponsorship is USD 10,000 (includes one registration) and includes logo placement in the program and event page, ½-page ad in program (if confirmed prior to print date), Table Talk on one of the days. Does not influence speaking opportunities.
- Sponsors may opt for additional exposure such as: logo on front of printed program for $1,000; or sponsorship of the wine tasting for $1,000.
- Delegate passes USD 2,000 for additional sponsor passes or USD 3,000 for single passes. We cannot facilitate badge-sharing.
- All attendees need to be members of CAASA.
- Single Family Office personnel are comp but all others (including speakers) must have their own delegate pass. We only cover travel expenses of SFOs only. Those with commercial interests must cover their own travel.
- We do not provide an Excel listing and never share contact information (email/phone).

CAREER SHOWCASE - JANUARY 21, 22, 28, 29 - ONLINE

- Sponsorship is $1,000 (discounted to $500 for first 10 members) and limited to 20 sponsors
- We partnered with 20+ academic institutions from across Canada and including the United States, Jamaica, and Switzerland
- Programming is 1 hour of panels + 5 1-hour Corporate Culture Chats where members can introduce their company and strategy/asset class to the students
- Students will upload their CVs and cover letters, which will be available to all participating members

WEALTH MANAGERS' FORUM - FEBRUARY 15 (YVR), 16 (YYC), 21 (YUL), & 23 (YYZ)

- Sponsorship is $3,500 and includes logo placement in the program and event page, ½-page ad in program (if confirmed prior to print date), Table Talk on one of the days. Does not influence speaking opportunities.
- Sponsors may opt for additional exposure such as: logo on meeting app (seen by all participants in all instances of using the CAASA All-in-one Virtual Platform) for $3,000; logo on front of printed program for $1,000; or sponsorship of the wine tasting for $1,000.
- Delegate passes $1,000 + taxes per person. We cannot facilitate badge-sharing.
- All attendees need to be members of CAASA unless with an asset owner such as a pension plan, SFO, or foundation/endowment or an Investment Advisor with an IIROC/New SRO firm.
- Speakers are comp but all others (including handlers, other staff) must have their own delegate pass. We only cover travel expenses of asset owners such as SFOs and pensions. Those with commercial interests must cover their own travel.
- All delegates have access to the meeting app to schedule 1:1 meetings and message any other delegate. We do not provide an Excel listing and never share contact information (email/phone).

2023 CONFERENCES

FAMILY OFFICE SUMMIT - APRIL 19 & 20 - TORONTO

- Sponsorship is $3,000 and includes logo placement in the program and event page, ½-page ad in program (if confirmed prior to print date), Table Talk on one of the days. Does not influence speaking opportunities.
- Sponsors may opt for additional exposure such as: logo on meeting app (seen by all participants in all instances of using the CAASA Connect Platform) for $3,000; logo on front of printed program for $1,000; wifi for $2,000, or sponsorship of the wine tasting for $1,000.
- Delegate pricing can be found on our website.
- All attendees need to be members of CAASA unless with an asset owner such as a pension plan, SFO, or foundation/endowment. Individual memberships are available for IAs/investors at $160 for the year or $550 for dealers or MFOs with fewer than 50 registered reps or $1,100 for those with more than 50 reps. Other members may sponsor IAs or dealers as they like.
- Speakers are comp but all others (including handlers, other staff) must have their own delegate pass. We only cover travel expenses of asset owners such as SFOs and pensions. Those with commercial interests must cover their own travel.
- All delegates have access to the meeting app to schedule 1:1 meetings and message any other delegate. We do not provide an Excel listing and never share contact information (email/phone).

CAASA ALTERNATIVE PERSPECTIVES - JUNE 5-6 - VANCOUVER

- Sponsorship is $3,500 and includes logo placement in the program and event page, ½-page ad in program (if confirmed prior to print date), Table Talk on one of the days. Does not influence speaking opportunities.
- Sponsors may opt for additional exposure such as: logo on meeting app (seen by all participants in all instances of using the CAASA Connect Platform) for $3,000; logo on front of printed program for $1,000; wifi for $2,000, or sponsorship of the wine tasting for $1,000.
- Delegate pricing can be found on our website.
- All attendees need to be members of CAASA unless with an asset owner such as a pension plan, SFO, or foundation/endowment. Individual memberships are available for IAs/investors at $160 for the year or $550 for dealers or MFOs with fewer than 50 registered reps or $1,100 for those with more than 50 reps. Other members may sponsor IAs or dealers as they like.
- Speakers are comp but all others (including handlers, other staff) must have their own delegate pass. We only cover travel expenses of asset owners such as SFOs and pensions. Those with commercial interests must cover their own travel.
- All delegates have access to the meeting app to schedule 1:1 meetings and message any other delegate. We do not provide an Excel listing and never share contact information (email/phone).

SUPERWEEK 25 - SEPTEMBER - TORONTO

- Sponsorship is $3,500 and includes logo placement in the program and event page, ½-page ad in program (if confirmed prior to print date), Table Talk on one of the days. Does not influence speaking opportunities.
- Sponsors may opt for additional exposure such as: logo on meeting app (seen by all participants in all instances of using the CAASA Connect Platform) for $3,000; logo on front of printed program for $1,000; wifi for $2,000, or sponsorship of the wine tasting for $1,000.
- Delegate pricing can be found on our website.
- All attendees need to be members of CAASA unless with an asset owner such as a pension plan, SFO, or foundation/endowment. Individual memberships are available for IAs/investors at $160 for the year or $550 for dealers or MFOs with fewer than 50 registered reps or $1,100 for those with more than 50 reps. Other members may sponsor IAs or dealers as they like.
- Speakers are comp but all others (including handlers, other staff) must have their own delegate pass. We only cover travel expenses of asset owners such as SFOs and pensions. Those with commercial interests must cover their own travel.
- All delegates have access to the meeting app to schedule 1:1 meetings and message any other delegate. We do not provide an Excel listing and never share contact information (email/phone).

2023 CONFERENCES

CAASA ANNUAL CONFERENCE - NOVEMBER - MONTREAL

- Sponsorship is $3,500 and includes logo placement in the program and event page, ½-page ad in program (if confirmed prior to print date), Table Talk on one of the days. Sponsorship does not influence speaking opportunities.
- Sponsors may opt for additional exposure such as: logo on meeting app (seen by all participants in all instances of using the CAASA Connect Platform) for $3,000; logo on front of printed program for $1,000; wifi for $2,000, or sponsorship of the wine tasting for $1,000.
- Delegate pricing can be found on our website.
- All attendees need to be members of CAASA unless with an asset owner such as a pension plan, SFO, or foundation/endowment. Individual memberships are available for IAs/investors at $160 for the year or $550 for dealers or MFOs with fewer than 50 registered reps or $1,100 for those with more than 50 reps. Other members may sponsor IAs or dealers as they like.
- Speakers are comp but all others (including handlers, other staff) must have their own delegate pass. We only cover travel expenses of asset owners such as SFOs and pensions. Those with commercial interests must cover their own travel.
- All delegates have access to the meeting app to schedule 1:1 meetings and message any other delegate. We do not provide an Excel listing and never share contact information (email/phone).

OTHER CONFERENCES

Specifics to be determined. Examples include Global Affairs Canada online and in-person events in partnership with Government of Canada missions around the world.

- Sponsorship is $3,500 and includes logo placement in the program and event page, ½-page ad in program (if confirmed prior to print date), Table Talk. Sponsorship does not influence speaking opportunities.
- Sponsors may opt for additional exposure such as: logo on meeting app (seen by all participants in all instances of using the CAASA All-in-one Virtual Platform) for $2,000; or logo on front of printed program for $500.
- Individual tickets are: $500 for all.
- **Only Canadian Managers and Founders are eligible.** Non-Canadian VC/PE shops that are seeking start-ups to invest in may attend also.
- Speakers are comp but all others (including handlers, other staff) must have an individual ticket.
- All delegates have access to the meeting app to schedule 1:1 meetings and message any other delegate. We do not provide an Excel listing and never share contact information (email/phone).

Find out more in our 2023 Planning Document or give us a call/send us an email!

OUR DATAROOM

ALL THE DATA REQUIRED TO MEET YOUR (INVESTMENT) MATCH

At the suggestion of one of our single family office members, we partnered with **SigmaSandbox** to create a dataroom to provide a more robust experience for managers and investors by getting performance and other information into the hands of prospective investors. We believe this free offering, exclusive to CAASA members, can be used in advance of the conference, during it, and afterward as much as one might like.

FOR INVESTORS

Simply create a login and you can search the database, choose any number of funds, introduce them into a portfolio approximating yours (more than 2,500 ETFs and 115 indices to choose from) to see how they might have affected its returns, and/or download tear-sheets of the fund to get portfolio and other data on it, the manager, and whom to contact to get more information.

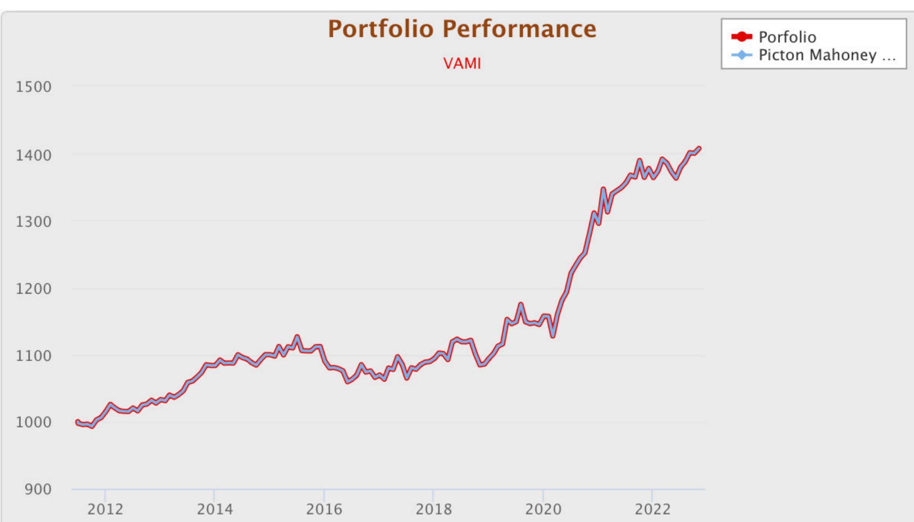
You can also produce pro forma portfolios (like the one below) to see how adding certain funds could have affected returns. The weighting of each fund can be easily adjusted - leading to all performance metrics being updated immediately.

To simplify your search, we have a special **CAASA Catalogue** that can be used to seek our members' tear-sheets and return profiles. This list is constantly growing as more of our manager members see the value in making their data available to select investors like yourself.

FOR MANAGERS

Uploading your performance and other information gets you on the radar of delegates at the conference and, as **SigmaSandbox** and CAASA will be making this available to more than 1,000 endowments, other significant investors, and wealth managers across North America and around the world with our ongoing events and those held in concert with other associations and the Government of Canada. It is simple and easy to do so and sets your fund apart with the opportunity to have many investors see how well you manage funds entrusted with you.

It is very easy to do the initial data population as well as monthly/periodic updates to the platform. Basically, SigmaSandbox does all of the heavy lifting and has created a very streamlined process requiring minimal additional effort on the managers' part.



Portfolio Performance

Statistics

ITD ROR.	40.74%
Ann. ROR.	3.04%
Volatility	3.74%
Maximum Drawdown	-5.95%

Name portfolio: Enter portfolio nam — Save portfolio

Portfolio Group: Select...

Create Group Name: Enter group name... — Save group

Rebalance Strategy: No Rebalance

Read more at caasa.ca - or contact us at +1 (647) 953-0737 or caroline@caasa.ca

OUR DATAROOM

SigmaSandbox

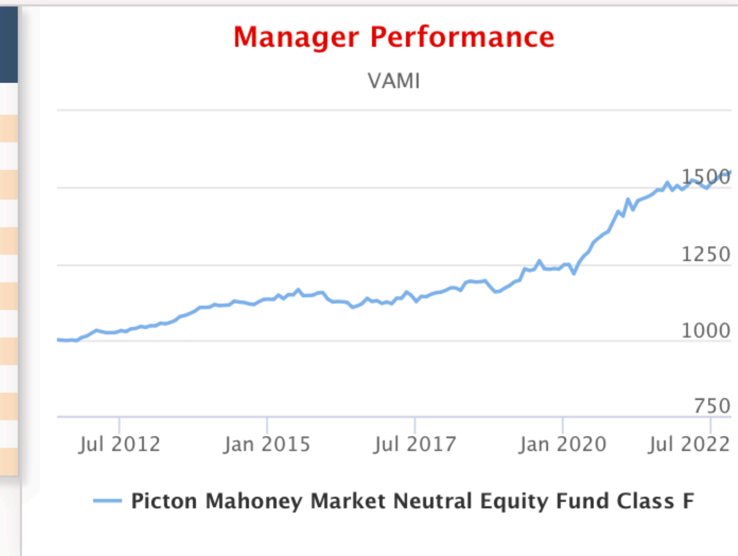
Picton Mahoney Market Neutral Equity Fund Class F

Contact Information
Picton Mahoney

Fund Details
Build a portfolio that is long the most attractive stocks and short the most unattractive stocks based on our multifactor ranking system. We will buy quality companies in the midst of fundamental positive change with reasonable valuations and sell short lower quality companies in the midst of negative fundamental change that have high valuations.

Statistics & Ratios Summary

	Fund	Benchmark
Ann. RoR	3.92 %	N/A
Sharpe Ratio	0.88	N/A
Volatility ?	3.68 %	N/A
Average Monthly Return	0.33 %	N/A
Highest Monthly Return	3.98 %	N/A
Lowest Monthly Return	-2.44 %	N/A
Maximum Drawdown	-5.03 %	N/A
% Positive Months	63.50 %	N/A
% Negative Months	36.50 %	N/A
Average Monthly Gain	0.90 %	N/A
Average Monthly Loss	-0.67 %	N/A
1Y Return	4.09 %	N/A
1Y Volatility	3.39 %	N/A



About the manager:
The Fund's objective is to provide consistent long-term capital appreciation with an attractive risk adjusted rate of return.

Monthly Performance

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	YTD	YTD Benchmark
2022	0.82%	1.28%	-0.36%	-0.81%	-0.61%	1.27%	0.71%	1.04%	-0.05%	0.60%	N/A	N/A	**3.93%**	0.00%
2021	3.98%	-2.42%	2.13%	0.43%	0.43%	0.59%	0.96%	-0.12%	1.75%	-1.71%	1.06%	-0.90%	**6.20%**	0.00%
2020	0.03%	-2.44%	2.96%	1.75%	1.12%	2.41%	1.03%	0.97%	0.64%	2.41%	2.43%	-1.11%	**12.75%**	0.00%
2019	0.75%	1.06%	0.35%	3.16%	-0.48%	0.34%	2.29%	-2.11%	-0.14%	0.19%	-0.14%	1.19%	**6.58%**	0.00%
2018	0.74%	-0.05%	-0.72%	2.23%	0.41%	-0.27%	0.07%	0.29%	-1.68%	-1.42%	0.21%	0.89%	**0.64%**	0.00%
2017	-0.49%	1.65%	-0.10%	1.84%	-0.96%	-1.76%	1.52%	-0.11%	0.73%	0.44%	0.15%	0.52%	**3.41%**	0.00%
2016	-0.83%	0.14%	-0.07%	-0.21%	-1.43%	0.42%	0.68%	1.55%	-0.92%	0.25%	-0.81%	0.42%	**-0.84%**	0.00%
2015	-0.12%	1.29%	-1.03%	1.19%	-0.10%	1.55%	-1.73%	0.04%	0.08%	0.66%	0.12%	-1.88%	**0.01%**	0.00%
2014	0.81%	-0.34%	0.12%	0.07%	1.16%	-0.30%	-0.10%	-0.41%	-0.23%	0.86%	0.68%	0.07%	**2.39%**	0.00%

Read more at caasa.ca - or contact us at +1 (647) 953-0737 or caroline@caasa.ca

MENTORSHIP & SCHOLARSHIPS

TIME-TESTED MODEL USED TO READY THE NEXT GENERATION OF LEADERS

A special thanks to **Ron Cheshire** and **HarbourVest Partners**' **Daniel Conti** for working with us on this important program to bring together those who would like to take their personal growth and career to the next level with those who have been there. The key to this program is its focus on matching mentors and mentees as well as possible, bringing both of their strengths to the forefront during orientation, and allowing them, as professionals, to decide their schedule and how they will interact over the one year of contact.

Eligibility:
Matching Committee: individuals employed CAASA members or with individual membership; should have 10+ years of experience in the industry
Mentees: individuals employed CAASA members or with individual membership; 4 - 6 years of industry experience
Mentors: individuals employed CAASA members or with individual membership or non-members; 10+ years of industry experience

Premise:
Mentorship, as opposed to coaching and other forms of guidance, is best performed between two well-matched individuals who have some level of experience (and maturity, as well as knowing what they want from the relationship) and participate in a comprehensive orientation that allows them to become an effective unit as quickly as possible.

Process:
In January and February CAASA solicits applications for two parties: mentees and the Matching Group. Mentees will provide background on themselves as well as permission from their employer to participate in the program.

The Matching Group consists of 6-8 individuals who meet in March and April to match mentees with mentors. The Matching Group is not required to take on a mentee (but can if they like); rather, they review the profiles and suggest mentors and meet with the prospective mentors to explain the program and, as applicable, get them on board. *The Matching Group commitment is just 2 months.*

The program begins on June 1, ending on May 31. All pairs will be part of a half-day orientation where they will learn about their roles and tasks, the program overall, and each others' strengths and talents. A follow-up session will occur in September. Each pair may schedule their meetings/chats as they like and they may be in-person or over the phone.

The main purpose of the program, for many mentees, is to learn key skills and perspectives that can help them in their jobs and careers over the next few years. Many have great technical skills, and to gain a promotion involving managing people, take on a more client-facing role in the company, or just do their current job better it sometimes takes some outside help from someone who has been there.

This will be our third cohort and we are super-proud of the work of our Matching Committee in putting together the pairs, our mentors for their time, and mentees for their initiative to take part in this program!


Farialle Pacha

CAIA SCHOLARSHIP

Members are also encouraged to follow the lead of **Farialle Pacha** and **Peter Figura** who were our 2019 & 2020 **CAIA Scholars**. They had a comp pass to the exam for what is objectively the best course for alternatives - the **Chartered Alternative Investment Analyst**.

We open the submission window early in the year and announce the winner on about May 1. See our site for more!


Peter Figura

MEMBER DIRECTORY

The diversity, drive, experience, and resources of our members is what makes CAASA happen. The CAASA staff literally work all day to keep up with their ideas for advocacy and service offering initiatives; events of all sizes, on all topics, in many cities across Canada and elsewhere; and creating an environment where they can support each other and the industry at large.

Thank you!

INVESTORS & ALLOCATORS

22459 Ventures LLP - *Single Family Office*
Kay Meyer, *Managing Partner*
London, UK kay.meyer@gmx.de +44 755 221 8822
Member Since 2022

Early Joiner
AIMCo - *Investment Manager*
Darryl Orom, *Portfolio Manager, Public Equities*
Edmonton, Alberta darryl.orom@aimco.ca (780) 782-1563
Member Since 2018

Aksia - *Alternatives Investment Consultant*
David Sheng, *Managing Director, Portfolio Advisory*
New York, NY david.sheng@aksia.com (212) 710-5732
Member Since 2020

Albourne Partners - *Alternative Investment Consulting*
Julia Pothier, *Client Relationship & Business Development Manager (Canada)*
Toronto, Ontario j.pothier@albourne.com
Member Since 2021

Alternative Capital Group - *Multi Family Office*
Pierre Luc Gariépy, *Vice President, Client Relations*
Montréal, Québec plgariepy@altcapgroup.ca (514) 250-0653
Member Since 2019

Aligned Capital Partners - *IIROC Broker Dealer*
www.alignedcapitalpartners.com
Member Since 2020

Amana Global Partners - *Multi Family Office*
Sajal Heda, *CEO & Founding Partner*
Dubai, UAE sajal@amanagp.com +971 4 818 7226
Member Since 2020

Anchor Pacific Investment Management - *Outsourced Chief Investment Office*
Steven Adang, *President & Chief Investment Officer*
Vancouver, BC steve@anchorpacificgroup.com (604) 336-9080
Member Since 2020

Atlas One Digital Securities - *Exempt Market Dealer*
George Nast, *Chief Executive Officer*
Vancouver, BC investor@atlasone.ca (888) 217-3892
Member Since 2021

BCI - *Investment Manager for British Columbia's Public Sector*
Dave Finstad, *Managing Director*
Victoria, BC
Member Since 2021

BDO Family Office - *Multi-family Office*
Jeff Noble, *Director, Business & Wealth Transition*
Toronto, Ontario jnoble@bdo.ca (905) 272-6247
Member Since 2022

bfinance - *Institutional Investment Consulting*
Les Marton, *Managing Director, Client Consulting*
Toronto, Ontario lmarton@bfinance.com (416) 560-7275
Member Since 2019

MEMBER DIRECTORY

INVESTORS & ALLOCATORS

Blue Bridge Wealth Management - *Multi Family Office*
Jean-Michel Charette, *Portfolio Manager | Head of Research*
Montréal, Québec jean-michel.charette@bluebridge.ca (514) 845-9165
Member Since 2019

BlueSky Investment Counsel - *Multi-Family Office*
Jean-Pierre Berger, *President & CEO*
Toronto, Ontario jberger@blueskyic.com
Member Since 2021

Early Joiner
Bodhi Research Group - *Alternative Investment Consulting*
Ranjan Bhaduri, *Founder & CEO*
Toronto, Ontario ranjan.bhaduri@bodhiresearchgroup.com (416) 716-0341
Member Since 2018

Canaccord Genuity Wealth Management - *IIROC Broker Dealer*
Scott Starratt, *Senior Portfolio Manager*
Member Since 2019

Canada Overseas Asset Management Limited (Indiv.) - *Single Family Office*
Vincent Fernandez, *Chief Investment Officer*
Toronto, Ontario vfernandez@canadaoverseas.com (416) 865-0266
Member Since 2018

Casselman & Co. Inc. (Individual) - *Single Family Office*
Brian Casselman, *Principal*
Toronto, Ontario brian@bbcasselman.com
Member Since 2018

CEOS Family Office - *Multi Family Office*
Patrick Murray, *CCO, Senior Partner, Portfolio Manager*
Montréal, Québec pmurray@ceosfamilyoffice.com
Member Since 2019

Cidel - *Wealth Management / Multi Family Office*
Matthew Dennis, *Vice President*
Toronto, Ontario mdennis@cidel.com (416) 925-2402
Member Since 2019

Citi Private Bank - *Family Office*
Nancy Bertrand, *Managing Director*
Toronto, Ontario & Montréal, Québec nancy.bertrand@citi.com
Member Since 2022

Echelon Wealth Partners Inc. - *IIROC Broker Dealer*
James Hunter, *Head of Wealth Management*
Toronto, Ontario jhunter@echelonpartners.com (416) 365-6484
Member Since 2019

Eckler Ltd. - *Investment Consulting to Institutional Investors & Family Offices*
Jason Campbell, *Principal*
Toronto, Ontario jcampbell@eckler.ca (416) 696-4949
Member Since 2019

Enoch Wealth Inc - *Exempt Market Dealer*
Nevin Xu, *Chief Executive Officer*
Vancouver, BC nevinxu@enochwm.com
Member Since 2021

MEMBER DIRECTORY

INVESTORS & ALLOCATORS

Fipke Group - *Single Family Office*
Jason Granger, *Chief Operating Officer*
Kelowna, BC jgranger@metalexventures.ca (250) 860-8599
Member Since 2020

Focus Asset Management - *Multi Family Office*
Jeff Hales, *Portfolio Manager - Public Equities & Alternative Investments*
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Member Since 2019

Gryphon Investment Advisors Bahamas Limited - *Multi Family Office*
Andre LaJeunesse
Albany, The Bahamas andre@giabhs.com (242) 807-5800
Member Since 2021

Guardian Partners Inc. - *Wealth Manager*
Andrew Nonis, *Director*
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Member Since 2019

Harbourfront Wealth Management - *IIROC Broker Dealer*
Travis Forman, *Portofolio Manager*
Surrey, BC & Kelowna, BC tforman@harbourfrontwealth.com (604) 560-8266
Member Since 2018

Hawkeye Wealth - *Wealth Manager*
Marc Goffaux, *Chief Compliance Officer*
Vancouver, BC marc@hawkeyewealth.com (604) 368-2980
Member Since 2022

Healthcare of Ontario Pension Plan - *Public Pension*
Robert Goobie, *AVP Collateral Management, Fixed Income & Derivatives*
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Member Since 2020

Heirloom Investment Management - *Investment Manager for Families and UHNWIs*
Geoff Dover, *Chief Investment Officer*
Toronto, Ontario geoff.dover@heirloominvesting.com (416) 275-2620
Member Since 2019

Horizon Capital Holdings (Individual) - *Single Family Office*
Enzo Gabrielli, *EVP and CFO*
Montréal, Québec egabrielli@horizoncap.ca (514) 982-3901
Member Since 2018

Hunter Holdings - *Single Family Office*
Steve Kangas, *Director*
St. Catharines, Ontario stevek@hunterholdings.ca (416) 540-8338
Member Since 2022

IMCO - *Pension*
Andrew Garrett, *Senior Principal*
Toronto, Ontario andrew.garrett@imcoinvest.com (416) 300-3248
Member Since 2021

Inverted Ventures Inc. - *Single Family Office*
Craig D'Cruze, *Chief Operating Officer*
Calgary, Alberta Craig@invertedventures.com (403) 561-4923
Member Since 2022

MEMBER DIRECTORY

INVESTORS & ALLOCATORS

KiwiTech. - *Single Family Office*
Neal Gupta, *CIO & Principal*
New York, NY neal@kiwitech.com (202) 431-4430
Member Since 2022

Koloshuk Farrugia Corp. - *Single Family Office*
Robert Koloshuk, *Director*
Toronto, Ontario rkoloshuk@wavefrontgam.com (416) 933-8283
Member Since 2019

The Janzen Family - *Single Family Office*
Mike Janzen
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Member Since 2022

Mandeville Private Client - *Wealth Manager*
Karen Steinmann, *Investment Analyst*
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Member Since 2021

Marret Private Wealth - *Wealth Manager*
Tony Sevsek, *President*
Toronto, Ontario tsevsek@marret.com (647) 200-6027
Member Since 2021

Master Plan Management (Individual) - *Single Family Office*
Shimmy Brandes, *Chief Financial Officer*
Toronto, Ontario
Member Since 2019

Mirabaud Asset Management (Individual) - *HNWI & Family Office*
Jean Coucelles, *Portfolio Manager*
Montréal, Québec jean.courcelles@mirabaud.ca (438) 989-0737
Member Since 2019

Morgan Stanley Wealth Management Canada Inc. - *Wealth Manager*
Craig Koenig, *Executive Director, Head of Product Sales & Distribution*
Toronto, Ontario craig.koenig@morganstanley.com (416) 943-8400
Member Since 2021

Nicola Wealth (Individual) - *Wealth Manager*
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Member Since 2021

Northland Wealth Management - *Multi Family Office*
Arthur Salzer, *Founder & CEO*
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Member Since 2018

Numus Financial Inc. - *Exempt Market Dealer*
Evan Dawe, *Corporate Development Associate*
Halifax, NS edawe@numusfinancial.com (902) 802-3188
Member Since 2021

OMERS Capital Markets (Individuals) - *Pension*
Thorsten Koop, *Director, Cross-Asset Strategies, Capital Markets*
Member Since 2019

INVESTORS & ALLOCATORS

Ontario Teachers' Pension Plan - *Pension Plan*
5650 Yonge Street
Toronto, Ontario (416) 228-5900
Member Since 2018

Open Access (Individual) - *Investment Platform*
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Member Since 2020

OPTrust - *Pension*
Brandon Gill New, *Director & Co-Head, Multi-Strategy Investing & Digital Assets*
Toronto, Ontario
Member Since 2020

Our Family Office - *Shared Family Office*
Neil Nisker, *Co-founder, Executive Chairman & CIO*
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Member Since 2019

Pandion Investments Limited - *Family Office*
Michael Doble, *Vice President*
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Member Since 2018

Early Joiner

Prime Quadrant - *Family Office Advisor*
Charlie Smith, *Head of Business Development*
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Member Since 2018

Rainshine Global
Harjit Singh
New York, NY
Member Since 2022

Raymond James Ltd. (Individuals) - *IIROC Broker Dealer*
John Boomsma, *Financial Advisor & Portfolio Manager*
Darren Coleman, *Senior Portfolio Manager*
Emma Querengesser, *VP, Head of Investment Products, Private Client Solutions*
Brianne Gardner, *Senior Financial Advisor & Senior Wealth Manager*
Member Since 2018

RBC Dominion Securities (Individuals) - *IIROC Broker Dealer*
Mark Allen, *Senior Portfolio Manager*
Ted Karon, *Portfolio Manager*
John Duke, *Senior Portfolio Manager and Wealth Advisor*
Brendan Rogers, *Senior Investment & Wealth Advisor*
Jamison McAuley, *Portfolio Manager*
Member Since 2018

Richardson Wealth - *IIROC Broker Dealer*
Romain Marguet, *VP, Head of Alternative Investments*
Toronto, Ontario
Member Since 2018

MEMBER DIRECTORY

INVESTORS & ALLOCATORS

Richter Family Office - *Multi Family Office*
Toronto, Ontario | Montréal, Québec
Member Since 2020

RRAOS Enterprises LLC - *Single Family Office*
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Member Since 2022

RRCPE GQ - *Pension Plan*
Pierre Belanger, *Directeur des Placements / CIO*
Montréal, Québec
Member Since 2022

Shoreline Asset & Wealth Management Consulting - *Consulting Services*
Shaun Dookhoo, *Associate Director, North America*
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Member Since 2022

Stonehage Fleming - *Family Office*
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Member Since 2021

Tacita Capital Inc. (Individual) - *Multi-family Office*
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Toronto, Ontario ewong@tacitacapital.com (416) 640-7368
Member Since 2021

TD Wealth - *Wealth Manager*
Alice Lim, *Senior Manager*
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Member Since 2022

Ullman Wealth Management - *Multi Family Office*
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Toronto, Ontario lawrence@ullmanwealth.com (416) 927-0000
Member Since 2018

University Pension Plan Ontario - *Pension Plan*
Christophe L'Ahelec, *Managing Director, Head of Public Markets*
Toronto, Ontario christophe.lahelec@universitypensionplan.ca
Member Since 2022

Vibrato Capital - *Single Family Office*
Tec Han, *Chief Investment Officer*
Portland, Oregon
Member Since 2019

Wealth Stewards - *Wealth Manager*
Bob Simpson, *Vice President, Portfolio Strategy*
Toronto, Ontario bsimpson@wealthstewards.ca (905) 502-0100
Member Since 2021

Westcourt Capital Corp. - *Multi Family Office*
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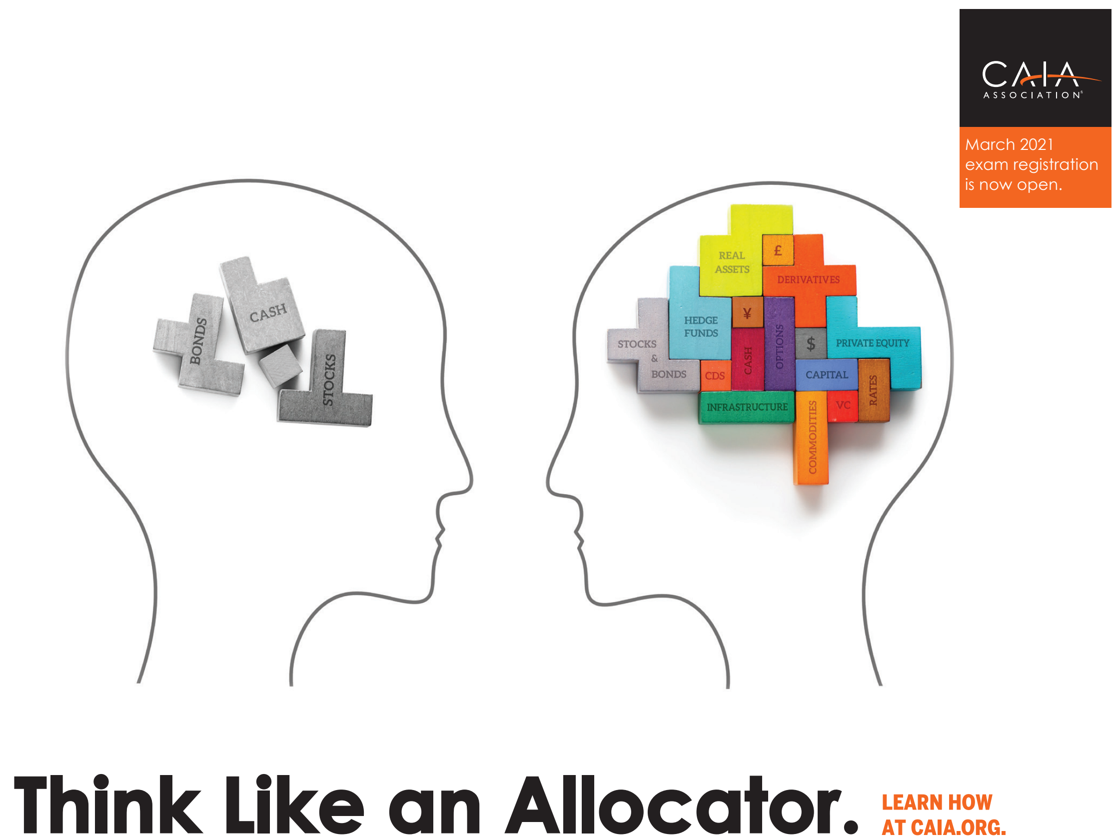
Member Since 2021

INVESTORS & ALLOCATORS

Yameba Capital - *Single Family Office*
Danny Freedman, *Vice Chair*
Member Since 2022

Zen Capital & Mergers Ltd. - *Single Family Office*
Daniel Stow, *Chief Investment Officer*
Vancouver, BC daniel.stow@zen-capital.ca (604) 603-4433
Member Since 2019

A number of CAASA members in each category prefer to be non-public and are not recorded in this document.



MEMBER DIRECTORY

ASSET MANAGERS

Early Joiner
3iQ Digital Asset Management - *Digital Asset Manager*
Frederick Pye, *Chairman & CEO*
Toronto, Ontario fpye@3iq.ca (416) 639-2130
Member Since 2018

Accelerate Financial Technologies - *Powering Diversification*
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Member Since 2021

Actis - *Growth Markets Sustainable Infrastructure and Real Estate*
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Member Since 2020

Adaptive ETF - *Global Tactical Portfolio Solutions*
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Member Since 2021

Addenda Capital - *Long-Only Asset Manager*
Yannick Simoneau, *Director, Private Wealth, Portfolio Manager*
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Member Since 2022

ADI Capital Partners - *Real Estate Fund Manager*
Ryan Gonsalves, *Chief Operating Officer*
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Member Since 2018

Adrian Lee & Partners - *Active Asset and Currency Management*
Philip Lawson, *Head of Portfolio Management*
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Member Since 2022

Aeolus Capital Management - *ILS*
Steven Wells, *Marketing & Client Services Manager*
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Member Since 2022

AGF Investments, Inc. - *Fundamental, Quantitative & Alternative Strategies*
Tyler Chapman, *Vice President, Institutional & Key Account Solutions*
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Member Since 2019

AGinvest Farmland Properties Canada
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Member Since 2020

Agriroots Capital Management Inc. - *Agri-lending*
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Member Since 2021

Early Joiner
Algonquin Capital - *Credit Long-Short Fund Manager*
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Member Since 2018

MEMBER DIRECTORY

ASSET MANAGERS

Alignment Credit - *Private Lending*
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Member Since 2022

Early Joiner
Alignvest Student Housing - *Private REIT*
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Member Since 2018

Allianz Global Investments- *Alternative Fund Manager*
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Member Since 2020

Alquity Investment Management - *ESG and Impact Investing*
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Member Since 2020

Signature Member
Amundi Asset Management - *Alternatives Manager*
Mark Tower, *Director*
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Member Since 2018

Antrim Investments - *Mortgage Investment Corporation*
Will Granleese, *Portfolio Manager*
Langley, BC will@antriminvestments.com (416) 898-5692
Member Since 2020

Apax Partners - *Private Equity*
New York, NY
Member Since 2022

Applied Real Intelligence (A.R.I.) - *Venture Debt*
Zack Ellison, *Managing General Partner*
Beverly Hills, CA zellison@arivc.com (310) 881-3893 ext. 100
Member Since 2021

AQR Capital Management - *Quantitative Investment Manager*
Marianne Love, *Managing Director, Business Development*
Greenwich, CT marianne.love@aqr.com (203) 742-6951
Member Since 2020

Arxnovum Investments Inc. - *Cryptocurrency Funds*
Chris Doll, *Managing Director Marketing*
Toronto, Ontario chris@arxnovum.com (416) 644-6217
Member Since 2022

Ascendi Capital - *Real Estate Fund Manager*
Adeola Oladimeji, *Managing Partner*
Vancouver, BC ade@ascendi-capital.com (604) 441-2932
Member Since 2021

Aspect Capital - *Systematic Asset Manager*
Emmett Fitzgerald, *Managing Director, Americas*
Stamford, CT emmett.fitzgerald@aspectcapital.com (203) 653-7803
Member Since 2019

MEMBER DIRECTORY

ASSET MANAGERS

ATIMCO - *Private Real Estate Development*
Pierre-Olivier Janelle, *Asset Management*
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Member Since 2022

Authentic Asset Management Inc. - *Alternative Strategy Funds*
Duncan Webster, *Chief Executive Officer*
Montréal, Québec
Member Since 2022

Signature Member
Avenue Living Asset Management - *Private Real Estate*
Jason Jogia, *Chief Investment Officer*
Calgary, Alberta jjogia@avenueliving.ca (403) 984-9363
Member Since 2019

Axia Real Assets LP - *Real Estate*
Joshua Varghese, *Partner*
Toronto, Ontario invest@axiarealassets.com (416) 364-1145
Member Since 2021

Axonic Capital - *Credit Long-Short*
Joel Maizel, *Managing Director*
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Member Since 2019

Bayxis Capital Management - *Quantitative Investment Manager*
Greg Prekupec, *Chief Operating Officer*
Toronto, Ontario greg@bayxis.com (647) 992-3696
Member Since 2021

Bedford Park Capital - *High Conviction Manager*
Jordan Zinberg, *President & CEO*
Toronto, Ontario jordan@bedfordparkcapital.com (416) 623-8230
Member Since 2021

Benefit Street Partners - *Credit-focused Alternative Asset Management*
Julianne Morgan, *VP, Business Development & Investor Relations*
New York, NY j.morgan@benefitstreetpartners.com (212) 588-6732
Member Since 2022

BG Wealth Group - *Real Estate*
Peter Pappas, Chief Compliance Officer
Toronto, Ontario ppappas@bgwealthgroup.com
Member Since 2022

Bison - *Digital Assets Investing*
Dhawal Shah, *Founding Partner*
Toronto, Ontario dhawal@bison.fund (587) 973-8011
Member Since 2022

Blue Owl - *Fund Manager*
Justin Monahan, *Vice President, Canada*
Toronto, Ontario justin.monahan@blueowl.com (604) 505-7501
Member Since 2022

BMO Asset Management - *Fund Manager*
Lillian Ferndriger, *Director, Alternatives Distribution*
Toronto, Ontario lillian.ferndriger@bmo.com (416) 505-4473
Member Since 2022

MEMBER DIRECTORY

ASSET MANAGERS

Bridgeport Asset Management - *Alternative Asset Fund Manager*
John Fisher, *President & Chief Investment Officer*
Toronto, Ontario johnf@bridgeportasset.com
Member Since 2021

Brightspark - *Venture Capital*
Alexandre Cabrejo-Jones, *Head of Investor Relations*
Montréal, Québec alex@brightspark.com (514) 220-2539
Member Since 2019

Brompton Funds - *Split Share funds & ETFs*
Chris Cullen, *Senior Vice President*
Toronto, Ontario cullen@bromptongroup.com (416) 642-9051
Member Since 2022

Cacoeli Asset Management - *Opportunistic Real Estate Investments*
Toronto, ON
Member Since 2021

Calvert Home Mortgage Investment Corporation - *MIC*
Jesse Bobrowski, *VP - Business Development*
Calgary, Alberta jesse@chmic.ca (403) 617-9931
Member Since 2022

Cameron Stephens - *Private Lending*
Katie Bonar, *VP, Investment Management and Strategy*
Toronto, Ontario kbonar@cameronstephens.com (416) 899-9701
Member Since 2021

Canadian Urban Limited - *Commercial RE, Asset & Property Management*
Stephan Davidge, *VP, Business Development*
Edmonton, Alberta
Member Since 2022

Carlisle Management Company S.C.A. - *Life Settlements*
Sasha Polishchuk, *Head of Business Development and Investor Relations*
Luxembourg sashapol@cmclux.com +352 691 353 014
Member Since 2020

Celernus Investment Partners Inc. - *Real Estate and Private Lending*
Kurt Hagerman, *Managing Partner*
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Member Since 2019

Centurion Asset Management Inc. - *Real Estate and Private Lending*
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Member Since 2019

CI Global Asset Management - *Traditional and Alternative Investments*
Jennifer Sinopoli, *Senior Vice-President, Head of Distribution, Central Canada*
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Member Since 2018

CIBC Asset Management - *Traditional and Alternative Investments*
Michael Sager, *Executive Director, Multi-Asset & Currency*
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Member Since 2019

MEMBER DIRECTORY

ASSET MANAGERS

Clifton Blake Asset Management - *Real Estate Asset Manager*
KC Daya, *President*
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Member Since 2021

Clocktower Group - *Alternative Asset Manager*
Wei Liu, *Partner*
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Member Since 2021

CMCC Global - *Asia Focused Blockchain Venture Capital*
Charlie Morris, *Managing Partner*
Toronto, Ontario charlie@cmcc.vc (416) 587-5988
Member Since 2020

CMLS Asset Management - *Real Estate Debt and Equity Manager*
Cynthia Maisonneuve, *Director, National Accounts and Investment Sales*
Toronto, Ontario cynthia.maisonneuve@cmls.ca (416) 846-2917
Member Since 2019

CoinFund Management LLC - *Early-Stage, Fundamental Crypto Strategies*
Pallavi Gondipalli, *Managing Partner, Head of Business Development & IR*
New York, NY pallavi@coinfund.io (917) 618-0920
Member Since 2020

Connor, Clark & Lunn Funds, Inc. - *L/S Equity, L/S Income & EMN*
Tim Elliott, *President*
Toronto, Ontario telliott@cclgroup.com (416) 643-7637
Member Since 2019

Converium Capital - *Multi-Strategy Opportunistic Hedge Fund*
Jacqueline Allen, *Head of Business Development & Investor Relations*
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Member Since 2021

Cortland Credit Group Inc. - *Private Lending*
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Member Since 2021

Corton Capital Inc. - *Forestry Funds*
David Jarvis, *President & CEO*
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Member Since 2019

Crabel Capital Management - *Quantitative Asset Manager*
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Member Since 2021

Cranson Capital - *Real Estate*
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Member Since 2022

Creative Ventures - *Venture Capital*
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Member Since 2022

MEMBER DIRECTORY

ASSET MANAGERS

Early Joiner

Crystalline Management Inc - *Multi-Strategy Arbitrage*
Bryan Nunnelley, *Chief Executive Officer*
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Member Since 2018

Cult Wines - *a fine wine investment firm*
Atul Tiwari, *CEO Cult Wines Americas*
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Member Since 2021

DaVinci Capital Partners - *Alternative Investments Funds*
Mark Irwin, *Managing Director & Senior Portfolio Manager*
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Member Since 2021

Desjardins Global Asset Management - *Traditional & Liquid Alts Funds*
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Member Since 2019

Diagram Venture - *Venture Builder*
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Member Since 2018

Donville Kent Asset Management - *Alternative Fund Manager*
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Member Since 2020

ehp Funds - *Hedge & Liquid Alts Funds*
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Member Since 2019

Emso Asset Management - *EM public & private credit specialists*
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Member Since 2020

Epiphany Group - *Real Estate*
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Member Since 2022

Equiton Capital - *Real Estate*
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Member Since 2020

Espresso Capital - *Technology-Focused Private Debt Fund Manager*
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Member Since 2018

Ether Capital Corporation - *Digital Asset Investing*
Ashley Stanhope, *Director of Communications*
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Member Since 2022

MEMBER DIRECTORY

ASSET MANAGERS

Evolve ETFs - *Innovative ETF Solutions*
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Member Since 2021

Evovest - *Machine Learning Portfolio Manager*
Benoit Robert, *Head of Business Development*
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Member Since 2020

Fidelity Investments Canada ULC - *Traditional & Alternative Funds*
Alex Gabrini, *Vice President, Family Office and Private Counsel*
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Member Since 2022

Fiera Capital Corp. - *Fiera Private Alternative Investments*
Theresa Shutt, *Senior Vice President, Fiera Private Debt*
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Member Since 2018

FirePower Capital - *Private Debt, Private Equity, M&A Advisory*
Ilan Jacobson, *Founding Partner & CEO*
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Member Since 2019

Firinne Capital SEZC - *Digital Assets Funds*
George Town, Grand Cayman
Member Since 2022

Firm Capital Corporation
Sandy Poklar, *Chief Operating Officer*
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Member Since 2021

Forstrong Global Asset Management - *Global Macro*
Rob Duncan, *Senior Vice President & Portfolio Manager*
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Member Since 2019

Forum Asset Management - *Private Real Estate & Private Equity*
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Member Since 2022

Framework Venture Partners - *Venture Capital*
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Member Since 2021

Franklin Templeton - *Traditional and Alternative Funds and Strategies*
Julie Caron, *SVP, Business Development - Institutional Investment Services*
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Member Since 2019

Fulcra Asset Management Inc - *Credit Opportunities Fund*
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Member Since 2018

ASSET MANAGERS

Galaxy Fund Management - *Digital Asset Investing*
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Member Since 2021

Gentai Capital Corporation - *Mortgage Investment Corporation*
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Member Since 2020

Global X - *Thematic, Alternative Income, and International ETFs*
Warner Wen, *Director of Canadian Institutional Coverage*
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Member Since 2021

Graham Capital - *Multi-Strategy, Quantitative & Discretionary Macro Strategies*
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Member Since 2020

Greenwich Quantitative Research LP - *Asia Pacific Quantitative EMN*
Gene Reilly, *Chief Investment Officer*
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Member Since 2022

Group RMC - *Real Estate Co-Investing*
Anthony Guarnieri, *Vice-President,Family Offices*
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Member Since 2019

Hamilton Lane Canada - *Private Equity*
Mike Woollatt, *Managing Director, Head of Canada*
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Member Since 2022

HarbourVest Partners - *Private Equity Fund of Funds*
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Member Since 2019

Harrison Street - *Demographics-Driven Real Estate & Infrastructure Investing*
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Member Since 2021

HGC Investment Management - *Event-Driven - SPAC & Merger Arbitrage*
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Member Since 2022

Highline Beta - *Venture Capital*
Marcus Daniels, *Founding Partner*
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Member Since 2020

Highwood Value Partners - *PE Approach to International Public Equities*
Desmond Kingsford, *Managing Partner*
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NOTES

NOTES

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