Wealth Managers' Forum 2022

Presented by: The Canadian Association of Alternative Strategies & Assets

CAASA
CANADIAN ASSOCIATION OF
ALTERNATIVE STRATEGIES & ASSETS

Tuesday, February 15th to Thursday, February 17th
Plus Additional Meeting Day: Friday, February 18th

YOUR SOURCE FOR ALL THINGS ALTERNATIVE

Welcome to our conference focused on the requirements and preferences of retail, HNW, and UHNW Investment Advisors and allocators. We specially designed these three days to speak to the topics that are top of mind for you, while allowing ample opportunities to meet your peers, investment managers, and others in either one-on-one meetings or small group discussions (Table Talks) throughout the days. We also have our CAASA CE Centre at your disposal which will have 60+ of our webinars and podcasts from 2021 ported over as well as a course from each of our conference sponsors, and a selection of our panels and presentations.

We hope you get a great deal from this forum and look forward to any feedback you might have!

THANK YOU TO OUR SPONSORS

SigmaSandbox — Empowering & informing Advisors and Investors

Figment

UNIGESTION

ORCHARD GLOBAL ASSET MANAGEMENT

INVICO

BRIDGEPORT ASSET MANAGEMENT INC.

Liquid Avatar™ TECHNOLOGIES INC.

ABOUT CAASA

INCLUSIVE, ACTIVE, AND PAN-ALTERNATIVE

The Canadian Association of Alternative Strategies & Assets (CAASA) was created in response to industry requests for a national group to represent the Canadian alternative investment participants, including investors, asset managers, and service providers. CAASA is inclusive in that it welcomes participation from all companies active in the space as well as select individuals (those with investors) who might want to participate in committees and working groups - or simply attend member events - without their employer being a member of the association. CAASA is very active in both committees & groups and events: 33 events in 2018 and at least 50 planned in 2019 (15 in the first 2 months alone). Pan-alternative, for CAASA, encompasses all alternative strategies and assets including: hedge funds / alternative trading strategies, private and public real estate (funds and direct), private lending, private equity, development & project finance, digital assets / cryptoassets, weather derivatives & cat bonds, and all aspects of diligence, trading, structuring, dealing, and monitoring alternatives in a stand-alone portfolio and as part of a larger investment strategy.

MEMBER BENEFITS

Investors join CAASA to be a part of a formal network of pension plans, foundations, endowments, sovereign wealth funds, and family offices to discuss ideas, strategies, and operational issues particular to their businesses - all within a closed group where managers and service providers may or may not be included, depending on the forum.

Managers see the association as a way to connect with peers, investors, and service providers to speak to fund structuring, sales & marketing, and regulatory issues. CAASA is not a capital introduction platform, but we do create forums where investors and managers can meet organically or via structured meeting sessions where participation by the investors is strictly opt-in.

Service providers participate in our events and working groups as well as assist in the production of thought leadership pieces which provide relevant information to both association members and the industry and investing public at large.

Founders participate in our Founders' Pitch Competitions as well as other initiatives created to propel their fledgeling businesses forward.

NATIONAL AND GLOBAL

CAASA believes that the Canadian alternatives industry has a great deal to offer Canadians and the global community. The *Canadian Model of Pension Management* is well-known for its large alternatives focus, managed in-house in many cases with substantial allocations to external managers as well. Canadian investment managers operate in a robust regulatory regime (of hedge fund managers) that is becoming the norm across the globe and a stable banking back-drop that provides solace for investors as well as opportunities for managers. Talent in investment management (approximately 10% of all CFA charterholders reside in Canada) as well as newer areas such as digital assets and robo-advisory services are a differentiator. Of course, Canadian investors and managers are keen to learn of best practices in operations and portfolio management from their global peers.

WHY JOIN?

As mentioned, we have attracted 330+ members over the last 4 years or so and the reasons are plentiful and varied. Whether an investor, manager, or service provider, some seek a group of like-minded individuals in the alts space to connect with on an on-going and (semi-)structured basis; others have a more commercial interest in that they are intent upon being aware of the newest trends in the industry and (providing they have one) presenting their knowledge to an audience of peers; and others have made their mark (or are on their way to doing so) and really just want to give back to a group of people that has done a great deal for them.

Whatever your motivation, if you're not in membership yet we'd love to hear from you!

THE KYP NEXUS

STAY UPDATED, AS REQUIRED BY LAW

We are always seeking ways to facilitate industry participants to engage with each other and our new service **The KYP Nexus** is our latest offering that links asset managers with investment advisors.

Legislation in effect on **January 1, 2022** requires significant changes in an investment fund's terms to be communicated to investment advisors - and it also requires investment advisors (and their IIROC employers) to ensure that they are current on these changes. This communication could be in the form of a press release or other broadly distributed service, but the definition of 'significant' can be interpreted as a change in mandate, portfolio manager or the portfolio management team, fees attributable to the fund (including management and incentive), or even possibly the appointment of auditor or other service providers - these more nuanced changes might not warrant the expense of a full-blown press release, however. Also, we would be hard-pressed to assume that any advisor might have a process to keep track of all these changes.

ENTER THE NEXUS

Our solution, almost one year in the making, provides a one-stop solution for all Investment Advisors, their IIROC broker-dealers, and asset managers across Canada. It provides one point of contact for these parties to communicate significant changes and keeps a historical record as well.

The KYP Nexus is open to all market participants in Canada - whether a CAASA member or not, however members receive certain benefits in addition to the basic package. The coding and upkeep of the system is financed by our operating budget as a service to the industry.

EASY REGISTRATION

Investment Advisors can add their name to the list easily via the CAASA site and we guarantee that their information will not be distributed outside of our CAASA database. Once registered, IAs will receive a monthly update on significant changes from all asset manager/issuer participants on the platform which they or their staff can review and potentially integrate in their investment process.

IIROC Dealers can register a point of contact in their IA advisory or compliance teams to receive these monthly updates and send along to their IAs or have available in their intranet. Dealers can also provide IA contact information (simply email would suffice, but may include full name) in a bulk file to be uploaded to the CAASA database.

Asset Managers can register to the platform as well, providing a key contact who will update their information at least every month (can be done as it happens also). Basic services allow a notation that a significant change has occurred at the asset manager (which the IA can contact for more details). CAASA members can also include a description of the change, a link to their site to provide more information (such as a pdf or webpage), and contact information that can be used to get receive additional insight.

All of these services are offered without additional cost to all IAs, IIROC dealers, and asset managers.

JOIN NOW

The KYP Nexus is available now and actively adding IA, IIROC, and asset manager contacts. It's the law that this information is put into the hands of advisors in a timely manner and we are happy to provide this conduit of communication.

All market participants are welcome to contact us for more information about this initiative, membership, or anything else. We love feedback!

KICK-OFF KEYNOTES

THURSDAY, FEBRUARY 3RD   ALL TIMES EST

3:00 PM	***Virtual YVR - Views From the Bond/Credit Markets*** We'll just pretend that we're at the storied Vancouver Club as we hear from these experienced fixed income managers talk to how they see markets unfolding over the rest of the year. We'll be back there for the CAASA Alternative Perspectives conference in June! **Philip Mesman,** Picton Mahoney Asset Management, and **Liam O'Sullivan,** RPIA
4:00 PM	***Virtual YYC - Real Estate Investing in Your Own Backyard*** We were looking to meet at Saltlik, but that will have to wait (FYI, CAASA will be at the Stampede in '22, just in case anyone is making travel plans). Both Scott and Jason are tried-and-true Calgarians and spoken before at our events - this may be the first time they are together on our stage, though! This will be a super discussion on opportunities in Prairie (and other) real estate. **Scott Starratt,** Canaccord Genuity Wealth Management, and **Jason Jogia,** Avenue Living Asset Management
5:00 PM	End of Day 1

THURSDAY, FEBRUARY 10TH

3:00 PM	***Virtual YUL - The Francis Sabourin Hour: WMF Edition*** We had a regal venue in mind for this event, but thanks to COVID we're back to virtual. As many know, Francis hosted seven of his popular webinars with us in 2021 (and one more already in January). Get ready for a super discussion! **Francis Sabourin,** Richardson Wealth, and **Michael Sager,** CIBC Asset Management
4:00 PM	***Virtual YYZ - Access to Private Equity*** Private Equity has been an elusive asset class for retail investors - whether it's because of the typically closed-end structures, long lock-ups/total illiquidity, staggered cash-flows, and pricing difficulties make approving these products more difficult than more traditional investments or public market-based ones like hedge funds and liquid alts. This session will delve into how retail advisors and their clients can gain access to private equity in all its forms: funds, co-investments, and secondaries. **Tom Johnston,** iCapital Network, and **Alexandre Falin,** Unigestion
5:00 PM	End of Day 1

TUESDAY, FEBRUARY 15TH

8:00 AM	*Tête-à-tête meetings (5 x 20-minute meetings)*
9:40 AM	***Platform Introduction***
10:00 AM	***NowTalk – The State of Alternatives*** This NowTalk features a research how that's world-renown for the depth and breadth of its reports and surveys covering all areas of the alternatives landscape across the globe. Advisors will be well-served to tune in to this presentation to get up to speed on what is happening in the space and where opportunities might present themselves over the next while. **Speaker TBD at press time**

DAY 1 AGENDA

ALL TIMES EST   TUESDAY, FEBRUARY 15TH (CONTINUED)

10:30 AM	***To Short, or Not To Short?*** The universe of investment options in Canadian equity funds is dominated by long-only strategies that employ various techniques to value and trade stocks, and use shorting to deliver value to their investors. Does stock-picking suffice to produce excellent portfolios, and – if so – what are the best techniques to use? This panel will delve into how a selection of managers might short (or not), why they do it (or not), and how those who do short express their views (e.g., cash borrow or various derivatives strategies) and manage the unique risks that shorting can pose. **Ryan Marr,** Waypoint Investment Partners, **Philip Smith,** Investment Partners Fund**, Andrey Omelchak,** LionGuard Capital Management Inc., and **Jordan Zinberg,** Bedford Park Capital Corporation
11:30 AM	*Mini-break*
11:45 AM	***Liquid Alts Lunch 2022*** This is it! Now in its third year, our Liquid Alts Lunch is a stalwart of any conference focused on the retail channel. Celebrating 3+ years since promulgation, the Modernization of the Canadian Investment Management Industry (31-103) original comment letter from March 2013 has brought about not only $20+ billion in AUM, but a sea-change in how advisors and investors view risk and alternatives overall. **James Burron,** CAASA, **Travis Dowle,** Maxam Capital Management, **Andrew Torres,** CI Global Asset Management, and **Tim Elliott,** Connor Clark & Lunn Funds Inc.
12:45 PM	*Lunch Break*
1:00 PM	***NowTalk – The Lyon's Share: A look at emerging managers*** This NowTalk features a pioneer in the fund marketing and placement business - especially now with his role of introducing new and emerging asset managers to advisors. In this chat he speaks to the emerging manager scene in Canada features a real estate manager that is growing its portfolio via returns, new investors, and additional allocations from current ones. **Grahame Lyons,** Arbutus Partners, and **Mark Irwin,** DaVinci Capital Partners
1:30 PM	***CAASA Chat: The View on Credit & Equity Markets*** Our first keynote features two scions of the Canadian securities and asset management industry: David Picton of Picton Mahoney Asset Management and Richard Pilosof of RPIA. This is a real treat and opportunity to get a longitudinal look at the industry, spanning decades, from these veterans who are in the trenches and can speak to all areas of their respective specialties. This is not to be missed! **James Burron,** CAASA, **David Picton,** Picton Mahoney Asset Management, and **Richard Pilosof,** RPIA
2:30 PM	*Mini-break*
2:40 PM	***Table Talks*** *(3 x 20-minute meetings)* ***SigmaSandbox*** ***Unigestion*** ***Liquid Avatar Technologies*** ***Invico Capital*** - A Cost-Benefit Discussion of Liquidity in Alternative Investments
3:40 PM	*Tête-à-tête meetings (7 x 20-minute meetings)*
6:00 PM	End of Day 1

Read more at caasa.ca - or contact us at +1 (647) 953-0737 or caroline@caasa.ca

MANY FORMS OF CONTENT

TÊTE-À-TÊTE MEETINGS

This is your opportunity to schedule one-on-one meetings with any conference delegate. All matching MUST be completed by end of day on Monday, February 12th to ensure the scheduling software has time to confirm the meetings and each one is served up to you at the right time.

You can schedule as few or as many (up to 56, 20-minute meetings over the 2 days): book-ending Day 1, 2, and 3 (morning only) and all day on Day 4. Over the years we received feedback that these are possibly the best part of our conferences as it gives folks an opportunity to connect away from the scheduled panels and meal times and really drill down into their respective interests. The software automatically suggests times that are mutually convenient for both parties.

Anyone can book a meeting with any other delegate. Please decline meetings that you might not be interested in or able to commit too - then the other party can invite another to chat. And if you are interested, you're free to connect via our messenger or ask for their email (we DO NOT release delegate contact information, so you'll have to ask for it or send yours along to them and await a response).

All meetings will be scheduled via the CAASA All-in-One Virtual Platform. Meetings must be completed by end of day on Monday, February 12th to ensure entry into the virtual room.

TABLE TALKS

We do not sell paid-for speaking spots, preferring delegates to choose when they might like to hear from sponsors. Table Talks are 20-minute sessions where anywhere from 2 to 30 delegates can get a briefing on a topic and participate in an interactive discussion. As with all of our events, we refrain from pay-to-play and draw all speakers from membership and prefer audiences to opt-in to more niche discussions, as will be delivered at the Table Talks.

Attendees can choose up to three sessions out of each of the those offered on February 9th, 10th, and 11th. **Titles/topics of each session will be published on the CAASA All-in-One Virtual Platform, also where attendees will register for their desired sessions. This must be completed by noon on Monday, February 12th to ensure entry into the virtual room, although one can enter rooms on-demand on the day if there is space.**

CAASA CE CENTRE - COURSES ON ALL THINGS ALTERNATIVE

CAASA CE Centre

Discover. Develop. Master.

We believe in making alternatives as accessible as possible and developed our CE Centre with that in mind! Launched in early 2021, we partnered with **Learnedly** to create a platform for IIROC Investment Advisors (and many others, as described below) to gain unbiased knowledge across all alternative strategies and asset classes. Of course, true to our mantra of access, **registration on and use of this platform by advisors is 100% free and without any additional charge, ever. And, of course, we will never sell your information to anyone.**

To date, we have released more than 60 courses including sessions from our *Wealth Managers' Forum 2021* and *Digital Assets Global Exchange 2021* and (soon) discussions from our *Private Equity & Venture Capital Assembly 2021.* We also transferred many of our webinars (all of them to be added by end of January 2022) on topics such as: India, China, Colombia, growth stocks, alt-alts, real estate, private lending & mortgage funds, quant investing, the effects of COVID on certain markets, KYP & compliance, cryptoassets/currencies, ESG, the metaverse, inflation, foreign exchange, and more!

We offer CE credits available from the **Financial Planning Institute** and the **Mutual Fund Dealers Association**. We are adding eligibility for both **CFA** and **CPA** members and given that our courses are content-rich, provide real insights vs. marketing pitches, and the skill-testing questions are written by an experienced individual in that field we believe our courses are appropriate for these and other designations in the financial and investment field

DAY 2 AGENDA

WEDNESDAY, FEBRUARY 16TH ALL TIMES EST

8:00 AM	*Tête-à-tête meetings (6 x 20-minute meetings)*
10:00 AM	***NowTalk – Biotech: Great Things*** This NowTalk looks into the exciting (and very much more in the news!) topic of biotech from a seasoned trader in the field. Eden Rahim's mutual fund offers long-only and long-short versions which can exploit the great deal of in-depth information that he has amassed on these companies and their innovations. **Eden Rahim,** Next Edge Capital
10:30 AM	***Translating One's Strategy to Liquid Alts*** When the rules were being drafted for Liquid Alts, some postulated that they were too restrictive to allow many strategies but these four examples show that it is very possible to produce a liquid alts version (exactly the same or modified) of many tried-and-true trading strategies. Come hear their stories and learn how liquid alts can expand the realm of possibilities for investors. **Craig Machel,** Richardson Wealth, **Julian Klymochko,** Accelerate Financial Technologies, **Justin Jacobsen,** PenderFund Capital Management, and **David Burbach,** YTM Capital Asset Management
11:30 AM	*Mini-break*
11:45 AM	***Adding Crypto to Your Book*** Cryptoassets and cryptocurrencies have gone from esoteric ideas embraced by a few in the fringes of (economic) society to a full-blown multi-trillion-dollar asset class in just the last 5-10 years. Clients are asking about them more frequently and there are both unregulated and regulated options for them. This panel will discuss some of the ways that IAs can incorporate exposure to these assets in their books and how to position them with clients alongside more traditional assets. **Kerem Kolcuoglu,** Penrose Partners, **Raj Lala,** Evolve ETFs, **Sue Ennis,** Hut 8 Mining, and **Hashim Mitha,** MeetAmi Innovations
12:45 PM	*Lunch Break*
1:00 PM	***NowTalk – Navigating ESG Data*** This now talk delves into data and how portfolio managers, analyst, and investment advisors can better understand how a portfolio might be ESG compliant both initially and in the future. Both speakers have a great deal of experience working with data and creating output that is easily digestible and tailored to investors and advisers. Join us for this informative talk about a growingly important subject. **Jocelyn Courcelles,** Fundata and **Bonnie Lyn de Bartok,** The S-Factor Co.
1:30 PM	***Definite Diversifiers (alt-alts)*** Diversification can be difficult to discover as well as fleeting, but this line-up of speakers has alternative strategies and assets that are unique and can provide true diversification for investor portfolios. **Dr. Keith Black,** Financial Data Professional (FDP) Institute, **John Fisher,** Bridgeport Asset Management, **Robb Nelson,** AgriRoots Capital Management, and **Tim Gallagher,** Music Royalties Inc.
2:30 PM	*Mini-break*
2:15 PM	***Table Talks*** *(3 x 20-minute meetings)* ***Figment*** - Generating Stable Returns on Digital Assets and Fueling Innovation ***Orchard Global Asset Management*** - #1: Infrastructure for a wider investor base; #2: Tail Risks; #3: Introduction to litigation finance ***Bridgeport Asset Management***
3:40 PM	*Tête-à-tête meetings (7 x 20-minute meetings)*
6:00 PM	End of Day 2

DAY 3 AGENDA

THURSDAY, FEBRUARY 17TH ALL TIMES EST

8:00 AM	*Tête-à-tête meetings (6 x 20-minute meetings)*
9:50 AM	***NowTalk – Neo Ideas: Platform Traded Funds*** Access to new issues in the offering memorandum space can be a confusing and daunting task for both IAs and clients. Sourcing deals, performing diligence, and completing the required paperwork are just three areas that platforms such as NEO Connect and DealSquare ameliorate and simplify. This NowTalk will discuss how IAs can get their clients access to cutting-edge transactions that can truly diversify their holdings (and their book) as well as provide a differentiator for their practice. **Peter-Paul Van Hoeken,** DealSquare, and **Erik Sloane,** Neo Exchange
10:30 AM	***Real Estate Round-Up*** Real Estate is perhaps the oldest investment and one that continues to provide value for investors over various markets and business cycles. This panel will highlight leaders in the field and provide insight into how IAs and their clients can best make use of its particular benefits and challenges. **Sandy Poklar,** Firm Capital, **Sanjil Shah,** Alignvest Student Housing, **Dennis Mitchell,** Starlight Capital, and **Prakash David,** Triovest
11:30 AM	*Mini-Break*
11:45 AM	***Insight ETFs: WMF Edition*** ETFs were invented in Canada and we continue to offer an amazing array of one-asset, index, portfolio, and thematic options for investors of all types. This panel includes some of the innovators in the space and will provide IAs with a view of the landscape and how they can be used in various client portfolios. **Robert Duncan,** Forstrong Global Asset Management, **Michael Cooke,** Mackenzie Investments, **Warner Wen,** Global X ETFs, and **Bobby Eng,** Franklin Templeton
12:45 PM	*Mini-break*
1:00 PM	***NowTalk – Alts, Data, and Alternative Data*** This NowTalk looks into how IAs and other advisors can get up the curve on alternative investments, data-driven investing, and the new-new world of alternative data. Our speakers will introduce three ways to gain valuable knowledge and skills in these areas and some advice as to which ones might be best for you - depending on your business plan and preferences. **Dr. Keith Black,** FDP Institute, **John Bowman,** CAIA Association
1:30 PM	***Focus on Private Lending*** Private Lending (aka private debt, aka private yield, aka private credit) is one of the fastest growing asset classes in the world, providing important diversification benefits to investor portfolios and IA books as it distributes credit to typically underserved or unbanked areas of the market. This panel has speakers representing a selection of the industry and will be a real eye-opener for those who are in the market or looking at allocating to this area. **Travis Forman,** Harbourfront Wealth Management, **Alkarim Jivraj,** Espresso Capital, **Allison M. Taylor,** Invico Capital Corporation, and **James Kelly,** Cortland Credit, **Katie Bonar,** Cameron Stephens
2:30 PM	*Mini-break*
2:40 PM	*Tête-à-tête meetings (10 x 20-minute meetings)*
6:00 PM	End of Day 3

DAY 4 AGENDA & CE CENTRE

FRIDAY, FEBRUARY 18TH

8:00 AM	*Tête-à-tête meetings (27 x 20-minute meetings)*
5:00 PM	End of Day 4 Program

PRIVACY & SECURITY

Typically, we do not release the names of individuals attending CAASA events - including to sponsors and speakers at events - although we may disclose the employers of attendees, except for those working at single family offices which are redacted. For this gathering, of course, all delegates have access to the individuals' names and employers for the purpose of scheduling meetings and booking seats at Table Talks. However, **we never release the email addresses or phone numbers of attendees**; asking instead that folks reach out via the mobile app / web portal or other means such as corporate websites or services such as LinkedIn. We can pass on contact requests to another party, without any guarantee of a response.

To ensure the security of information and views expressed during the summit panels and other sessions, we use a scheduling platform that integrates pre-recorded and live video so that all attendees can easily connect during the tête-à-tête sessions, Table Talks, and plenary times. Since attendees will be identified as their login name for each session, **if we see duplicate names or believe another person is using a delegate's login, we will terminate transmission and investigate** - *and ask attendees to let us know if they see something suspicious.*

All tête-à-têtes and Table Talks will not be recordable via the site - however all panels and 'main stage' sessions will be available. Shortly after the conference, we will upload all from this latter class into the **CAASA CE Centre** as individual courses that can be used to satisfy one's continuing education requirements. We currently have Financial Planning Institute and Mutual Fund Dealer Association (MFDA) accreditations and will be adding CFA and CPA ones shortly as well. We have 60+ courses on the platform already and will add 60+ more each year as we continue with our mission to educate all on the benefits and challenges of allocating to alternative investments.

CANADIAN ASSOCIATION OF ALTERNATIVE STRATEGIES & ASSETS | CAASA CE Centre

Discover. Develop. Master.

Powered by learnedly.

OUR DATAROOM

ALL THE DATA REQUIRED TO MEET YOUR (INVESTMENT) MATCH

At the suggestion of one of our single family office members, we partnered with **SigmaSandbox** to create a dataroom to provide a more robust experience for managers and investors by getting performance and other information into the hands of prospective investors. We believe this free offering, exclusive to CAASA members, can be used in advance of the conference, during it, and afterward as much as one might like.

FOR INVESTORS

Simply create a login and you can search the database, choose any number of funds, introduce them into a portfolio approximating yours (more than 2,500 ETFs and 115 indices to choose from) to see how they might have affected its returns, and/or download tear-sheets of the fund to get portfolio and other data on it, the manager, and whom to contact to get more information.

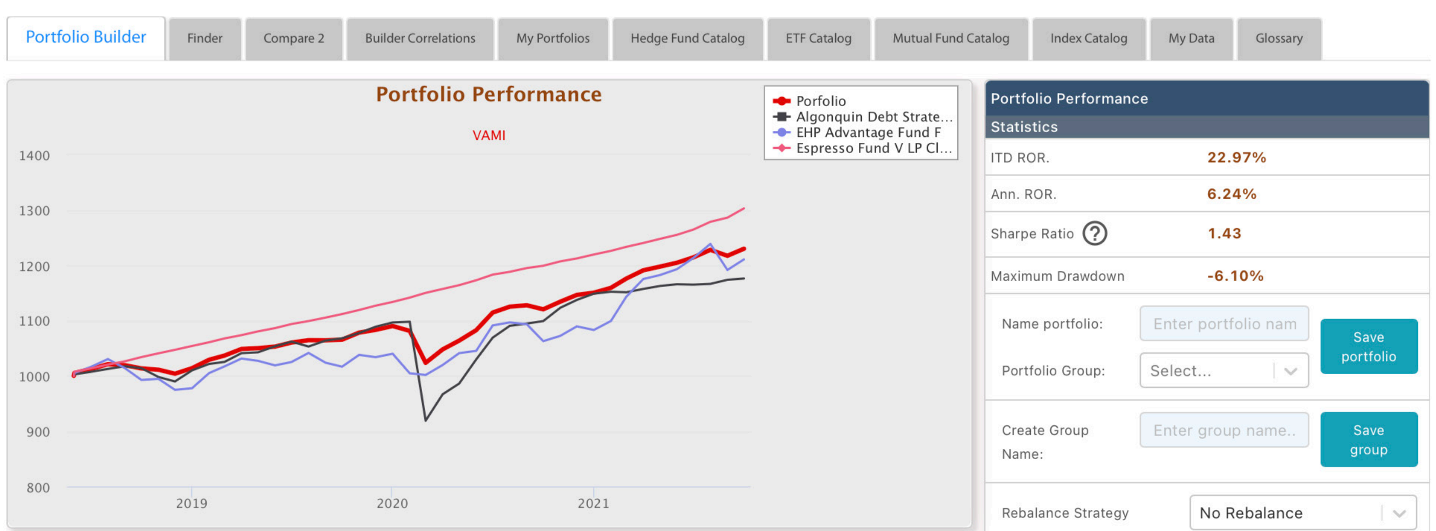
You can also produce pro forma portfolios (like the one below) to see how adding certain funds could have affected returns. The weighting of each fund can be easily adjusted - leading to all performance metrics being updated immediately.

To simplify your search, we have a special **CAASA Catalogue** that can be used to seek our members' tear-sheets and return profiles. This list is constantly growing as more of our manager members see the value in making their data available to select investors like yourself.

FOR MANAGERS

Uploading your performance and other information gets you on the radar of delegates at the conference and, as **SigmaSandbox** and CAASA will be making this available to more than 1,000 endowments, other significant investors, and wealth managers across North America and around the world with our ongoing events and those held in concert with other associations and the Government of Canada. It is simple and easy to do so and sets your fund apart with the opportunity to have many investors see how well you manage funds entrusted with you.

It is very easy to do the initial data population as well as monthly/periodic updates to the platform. Basically, SigmaSandbox does all of the heavy lifting and has created a very streamlined process requiring minimal additional effort on the managers' part.



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CANADIAN ASSOCIATION OF ALTERNATIVE STRATEGIES & ASSETS

3IQ Global Cryptoasset Fund A

Contact Information
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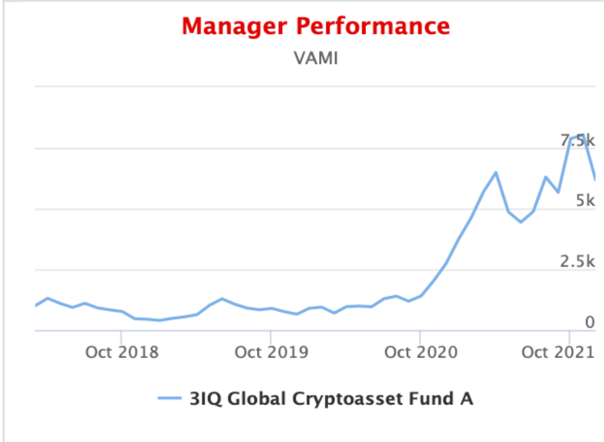
Fund Details

The 3iQ Global Cryptoasset Fund ("GCF") is established as a mutual fund trust tel:1%20(514)%20775-0010 e to provide investors with exposure to a portfolio of three leading cryptoassets, namely, bitcoin, ether and litecoin, with an opportunity for long term capital appreciation. Rebalancing of the Fund and the historical composite returns are subject to quarterly and "trigger" rebalancing. The triggers are defined in the offering memorandum, with upper limits set at 75% for bitcoin, 50% for ether, 50% for litecoin.

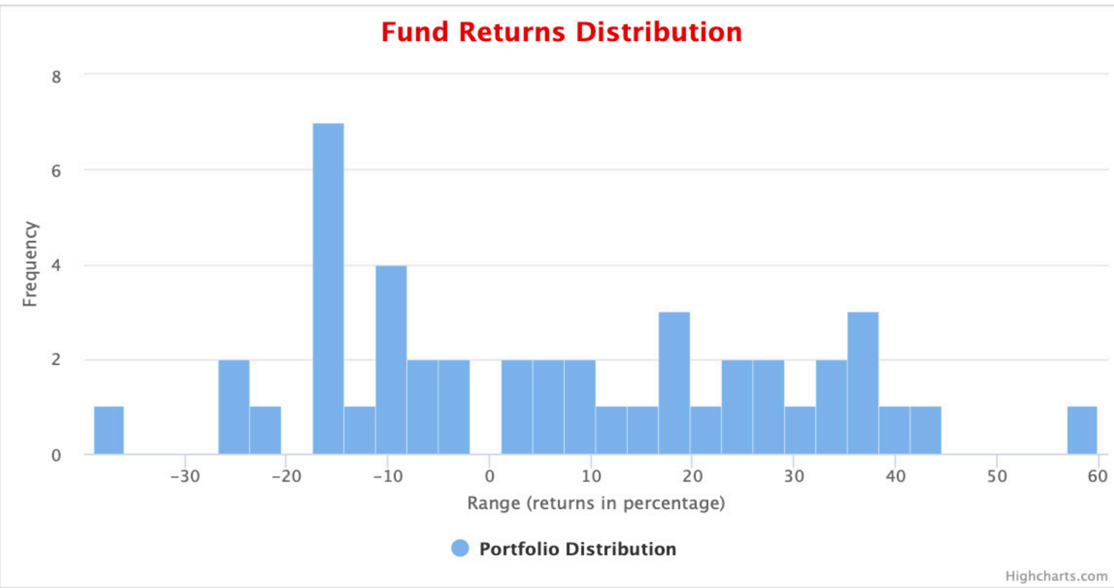
Statistics & Ratios Summary

	Fund	Benchmark
Ann. RoR	62.57 %	N/A
Sharpe Ratio (0%)	0.99	N/A
Volatility	79.33 %	N/A
Average Monthly Return	6.55 %	N/A
Highest Monthly Return	60.00 %	N/A
Lowest Monthly Return	-39.00 %	N/A
Maximum Drawdown	-69.49 %	N/A
% Positive Months	55.56 %	N/A
% Negative Months	44.44 %	N/A
Average Monthly Gain	23.72 %	N/A
Average Monthly Loss	-14.92 %	N/A
1Y Return	N/A	N/A
1Y Volatility	N/A	N/A



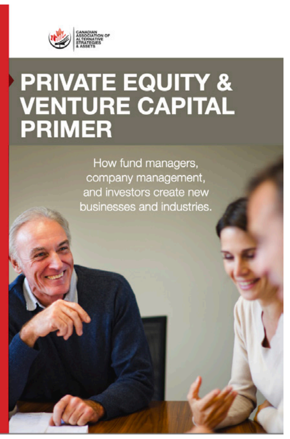
Monthly Performance

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	YTD	YTD Benchmark
2021	36.44%	23.27%	23.43%	14.00%	-25.00%	-8.76%	10.00%	29.00%	-10.00%	39.00%	2.00%	-23.00%	**125.79%**	0.00%
2020	37.41%	5.28%	-25.85%	37.85%	2.39%	-2.92%	34.40%	8.11%	-14.78%	18.21%	44.04%	35.10%	**318.67%**	0.00%
2019	-11.00%	21.00%	13.00%	17.00%	60.00%	26.00%	-17.00%	-15.00%	-8.00%	7.00%	-15.00'	-14.00%	**45.72%**	0.00%
2018	N/A	N/A	N/A	31.00%	-16.00%	-15.00%	18.00%	-17.00%	-9.00%	-8.00%	-39.00%	-4.00%	**-55.09%**	0.00%



RECENT PUBLICATIONS

COVERING ALL THE BASES



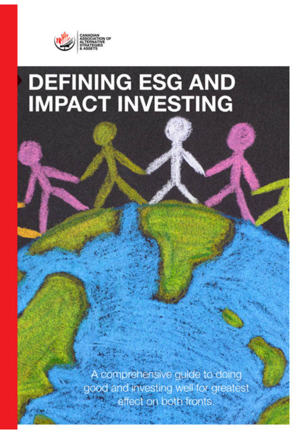
We are constantly in search of ways to make alternatives more accessible to investors and our series of papers and primers (found on the *Publications* area of our website) are no exception.

Prior publications include *The Case for Real Estate Investing, Canada's New Liquid Alternatives,* and *Investing in Real Estate and Private Lending*.

We released our *Private Equity & Venture Capital Primer* in April of 2021 thanks to the contributions of our members: **FirePower Capital, Innovobot, Investcorp, Mackenzie Investments, Raiven Capital,** and **Unigestion**. A truly diverse range of views and companies to highlight many areas of the industry.

In May 2021 we released *The Evolution of Quant* spoke to how that world has adapted to various market and regulatory challenges over the last few years. Thank you to our participating members: **Aspect Capital, Deutsche Börse Group, FORT LP, LFIS, ReSolve Asset Management, SmartBe Wealth,** and **WaveFront Global Asset Management.**

We released our *Blockchain and Crypto-currencies Explained* paper in September - with much thanks to our contributing members **3iQ Digital Asset Management, CI Global Asset Management, CMCC Global, CoinFund, Consilium Crypto, Fidelity Clearing Canada, Galaxy Digital Asset Management,** and **Horizons ETFs.**



Quickly following in September was our paper *Defining ESG and Impact Investing* thanks to the input of **Evolve ETFs, Nephila Climate, OPTrust, SS&C,** and **Waratah Capital Advisors.**

Finally, in January 2022 we released our *Democratized Alternatives: Liquid Alternatives in the Canadian Market* thanks to the support and contribution from **Maxam Capital Management, ehp Funds, CIBC Mellon,** and **Next Edge Capital.**

Thank you again to all of our members for these, our other past publications, and those that we will produce in 2022 and onward. It's always great to work with you!

Any member that has a topic idea is encouraged to contact the CAASA office and we will do our best to make it happen!

PAYING FORWARD & GIVING BACK

TIME-TESTED MODEL USED TO READY THE NEXT GENERATION OF LEADERS

A special thanks to **Ron Cheshire** and **HarbourVest Partners**' **Daniel Conti** for working with us on this important program to bring together those who would like to take their personal growth and career to the next level with those who have been there. The key to this program is its focus on matching mentors and mentees as well as possible, bringing both of their strengths to the forefront during orientation, and allowing them, as professionals, to decide their schedule and how they will interact over the one year of contact.

Eligibility:
Matching Committee: individuals employed CAASA members or with individual membership; should have 10+ years of experience in the industry
Mentees: individuals employed CAASA members or with individual membership; 4 - 6 years of industry experience
Mentors: individuals employed CAASA members or with individual membership or non-members; 10+ years of industry experience

Premise:
Mentorship, as opposed to coaching and other forms of guidance, is best performed between two well-matched individuals who have some level of experience (and maturity, as well as knowing what they want from the relationship) and participate in a comprehensive orientation that allows them to become an effective unit as quickly as possible.

Process:
In January and February 2022 CAASA will solicit applications for two parties: mentees and the Matching Group. Mentees will provide background on themselves as well as permission from their employer to participate in the program.

The Matching Group consists of 6-8 individuals who meet in March and April to match mentees with mentors. The Matching Group is not required to take on a mentee (but can if they like); rather, they review the profiles and suggest mentors and meet with the prospective mentors to explain the program and, as applicable, get them on board. *The Matching Group commitment is just 2 months.*

The Class of 2021 begins on June 1, 2022, ending on May 31, 2023. All pairs will be part of a half-day orientation where they will learn about their roles and tasks, the program overall, and each others' strengths and talents. A follow-up session will occur in September. Each pair may schedule their meetings/chats as they like and they may be in-person or over the phone.

The main purpose of the program, for many mentees, is to learn key skills and perspectives that can help them in their jobs and careers over the next few years. Many have great technical skills, and to gain a promotion involving managing people, take on a more client-facing role in the company, or just do their current job better it sometimes takes some outside help from someone who has been there.

This will be our third cohort and we are super-proud of the work of our Matching Committee in putting together the pairs, our mentors for their time, and mentees for their initiative to take part in this program!

CAIA SCHOLARSHIP


Farialle Pacha

Members are also encouraged to follow the lead of **Farialle Pacha** and **Peter Figura** who were our 2019 & 2020 **CAIA Scholars**. They had a comp pass to the exam for what is objectively the best course for alternatives - the **Chartered Alternative Investment Analyst**.

We open the submission window early in the year and announce the winner on about May 1. See our site for more!


Peter Figura

NOTES

SPEAKER BIOGRAPHIES

Thank you to our many speakers, presenters, and those who added their themes and topics, panel and non-industry speaker ideas, and other invaluable input!

CAASA CHAT BIOGRAPHIES

DAVID PICTON
PRESIDENT AND LEAD PORTFOLIO MANAGER
PICTON MAHONEY ASSET MANAGEMENT

David is a founding partner of Picton Mahoney Asset Management, President, and Lead Portfolio Manager, responsible for our Canadian equity market neutral, long short, and long-only strategies.

David has been a prominent presence in the Canadian investment industry for the past several decades. Highlights of his career so far include launching Synergy Asset Management in 1997 and working as its lead Canadian momentum portfolio manager (some of those mandates he continues to manage to this day), and spending eight years as Head of Quantitative Research at RBC Dominion Securities, where he was one of the top-ranked analysts in his sector.

David holds an Honours Bachelor of Commerce degree from the University of British Columbia.

RICHARD PILOSOF
PRINCIPAL, CHIEF EXECUTIVE OFFICER
RPIA

Richard Pilosof is a founding partner and the Chief Executive Officer of RPIA, one of the largest alternative asset management firms in Canada.

Prior to founding RPIA, Richard spent over 25 years with RBC Capital Markets where he served as Managing Director and Head of Global Debt Markets. Richard was also responsible for developing and building RBC's international capital markets platform, now with significant operations in London, Hong Kong, Sydney, and New York.

Richard is an active community volunteer, serving as a Director on the Mt. Sinai Hospital Board and as Co-Chair for the Sinai Health Foundation, where he was also the Campaign Chair and a member of the Philanthropy Executive Council, the Stewardship Council, and the Gift Acceptance Committee. He is currently a board member of the Ontario Junior Hockey League Foundation and President of the Pickering Panthers Junior A Hockey Club.

Richard holds a BA in Finance from Coastal Carolina University.

KICK-OFF KEYNOTES (YUL)

FRANCIS SABOURIN
FINANCIAL PLANNER & PORTFOLIO MANAGER
RICHARDSON WEALTH

Financial Planner and Portfolio Manager, Francis Sabourin, Director, Wealth Management at Richardson Wealth, was awarded the Distinguished Advisor Award (prix Conseiller émérite) for the year 2016 by the publication Finance et Investissement, highlighting the good performance of his portfolios, both equal to or higher than the benchmarks, while minimizing the risks, thereby focusing on good capital protection. The Advisor of the Year Award is designed to recognize an advisor with at least 20 years of experience, who has marked the financial industry with a unique and remarkable practice. Francis celebrated 25 years of practice on October 5, 2017.

Among the most highly prized awards of excellence in the Canadian financial world, the Wealth Professional Awards are designed to recognize leaders in the wealth management industry and honour their contributions and outstanding achievements in Canada's financial industry.

Against this backdrop, in addition to taking home the top award of Global Advisor of the Year in 2016, 2017 and 2019, Francis took home the award for the Discretionary Portfolio Manager of the Year for 2018. In 2020, Francis won the Silver Award for Advisor of the Year – Alternative Investments

MICHAEL SAGER
VICE-PRESIDENT – MULTI-ASSET & CURRENCY MANAGEMENT
CIBC ASSET MANAGEMENT

Michael Sager is a member of the CIBC Client Portfolio Manager team. Dr Sager partners with all CIBC distribution channels to deliver targeted thought leadership, education, and investment advice and perspective to clients and consultants.

Prior to joining CIBC Asset Management in 2018, Dr. Sager was an Asset Allocation Consultant at Alignvest Investment Management. Previously, he was a Senior Portfolio Manager at the Canadian Pension Plan Investment Board, a Fixed Income Currency Strategist at Wellington Management, Head of Currency Research at JP Morgan Asset Management and Putnam Investments, and an economist at the European Central Bank and the Bank of England.

Dr. Sager earned a Ph.D. in Economics from the University of Warwick and a Master of Science degree (with distinction) in Economics from the University of London.

KICK-OFF KEYNOTES (YYC)

SCOTT STARRATT
INVESTMENT ADVISOR & PORTFOLIO MANAGER
CANACCORD GENUITY WEALTH MANAGEMENT

Scott Starratt is a Certified Financial Planner and a Chartered Investment Manager. He graduated with a Bachelor of Commerce from the University of Alberta in 1993 majoring in Finance, Game Theory and Chemistry and draws on more than 29 years of experience in the financial services industry.

Scott's role is to lead the team and perform astute due diligence, manage portfolios and select top-tier alternative and hedged investments for our clients' downside protection. Scott gets to know company management teams on an up-close-and-personal basis to be able to assess funds based on more than figures on a balance sheet: he also considers each managers' ethics, track records and integrity under pressure.

JASON JOGIA
CHIEF INVESTMENT OFFICER
AVENUE LIVING ASSET MANAGEMENT

Mr. Jogia serves as the Chief Investment Officer of Avenue Living, as well as Chief Executive Officer of our Opportunity Trust. He has over 15 years of experience in real estate capital markets and has originated over $10 billion in real estate loans and $500 million in equity. Prior to Avenue Living, he led the management of a $1.5 billion real estate debt portfolio at a major Canadian bank. He has extensive experience in real estate investment analysis and capital structure on various real estate asset classes.

Mr. Jogia's insight and expertise in creating structures to attract capital, accessing capital markets, and alternative investments has helped Avenue Living parlay a focus on investing in the everyday to surpass $2.5 billion in assets under management. As CIO, he takes his fiduciary responsibility to the stakeholders earnestly. His focus remains on creating cadences that safeguard our investors, allowing them to comfortably invest in overlooked markets.

Mr. Jogia serves an instructor at the University of Calgary specializing in Real Estate Finance. He also serves as a board member for the Calgary Public Library Foundation. He holds a Master of Business Administration from the University of Calgary, and a Master of Corporate Finance from SDA Bocconi in Milan, Italy. In 2020, Mr. Jogia was featured Avenue Magazine's "Top 40 Under 40" for his achievements in "transforming what real estate investment can look like." An avid traveler, Mr. Jogia has visited over 38 countries and enjoys exploring different cultures and landscapes.

KICK-OFF KEYNOTES (YYZ)

TOM JOHNSTON
CANADIAN MARKET HEAD
iCAPITAL NETWORK

Tom Johnston heads the iCapital Network activities in Canada, having been active in the alternatives and broader asset management industry in the country for more than 25 years. He is a substantial presence at many industry events and has been a champion of the sector for much of his career.

Prior to his role at iCapital Network, he lead the institutional sales efforts at CI Global Asset Management, after a 15-year tenure at UBS Asset Management (Canada). Tom started his career at the Royal Trust company and has served as a member of the board of directors and executive committee with the Portfolio Managers Association of Canada (PMAC).

He has a Bachelor of Arts from The University of Western Ontario and a Bachelor of Laws from the University of Windsor.

PAUL KWON
SENIOR VICE PRESIDENT, PRIVATE EQUITY EMERGING MANAGER TEAM
UNIGESTION ASSET MANAGEMENT

Paul Kwon, Senior Vice President, is a member of the Private Equity Emerging Managers team. He joined Unigestion in September 2017.

He began his career in Private Equity as a Vice President at 747 Capital in New York. In his role, he was responsible for sourcing lower middle-market investment opportunities, performing analytical analysis, and preparing internal portfolio reports. Before joining 747 Capital, Paul was deployed overseas for four years in the United States Marine Corps.

Paul holds a Bachelor's Degree in Economics from Columbia University, USA.

KICK-OFF KEYNOTES (YVR)

PHILIP MESMAN
HEAD OF FIXED INCOME
PICTON MAHONEY ASSET MANAGEMENT

Philip Mesman is a partner and lead Portfolio Manager of our firm's Income Strategies. Phil's previous investment experience includes working through the ranks at Scotiabank as a Commercial Lender; Merrill Lynch as a Proprietary Analyst and Trader; Greywolf Capital as a Credit Analyst; and Harris Investments as Managing Director and Portfolio Manager.

As a 20+ year veteran in the investment industry and second generation credit specialist, Phil brings extensive experience across all facets of income investing.

Phil graduated from the University of Western Ontario with a degree in Economics and is a CFA charterholder.

LIAM O'SULLIVAN
PRINCIPAL, CO-HEAD OF CLIENT PORTFOLIO MANAGEMENT
RPIA

As Head of Client Portfolio Management, Liam leads the team responsible for developing client relationships and developing new investment solutions. Prior to this role, Liam spent several years managing corporate bond portfolios for the firm, with a focus on the Canadian corporate bond market.

Prior to joining RPIA in 2010, Liam held the position of Chief Risk Officer at Northwest Investment Management in the UK. He started his career in finance working on the Credit Trading desk at CIBC world Markets in London. Liam has a Masters in Politics, Philosophy and Economics from New College, Oxford and a Masters in Economics from the University of British Columbia. Liam is a CFA charterholder.

SPEAKER BIOGRAPHIES

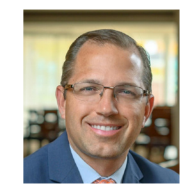
Keith Black
Managing Director – Program Director
FDP Institute

Keith Black has more than thirty years of financial market experience, serving approximately half of that time as an academic and half as a trader and consultant to institutional investors. He currently serves as Managing Director, Program Director FDP Charter at the FDP Institute.

During his most recent role as Managing Director, Content Strategy at CAIA was responsible for Curriculum development. Previous to that Keith was a valued team member at Ennis Knupp + Associates, Keith advised foundations, endowments and pension funds on their asset allocation and manager selection strategies in hedge funds, commodities, and managed futures. Other experiences include commodities derivatives trading, stock options research and Cboe floor trading, and building quantitative stock selection models for mutual funds and hedge funds. Dr. Black previously served as an assistant professor and senior lecturer at the Illinois Institute of Technology.

Keith has contributed to the CFA Digest, and has published in The Journal of Wealth Management, The Journal of Trading, The Journal of Investing, and The Journal of Alternative Investments, among others. He is the author of the book "Managing a Hedge Fund," as well as co-author of the second, third, and fourth editions of the CAIA Level I and Level II curriculum. Dr. Black was named to the Institutional Investor magazine's list of "Rising Stars of Hedge Funds" in 2010.

Dr. Black earned a BA from Whittier College, an MBA from Carnegie Mellon University, and a PhD from the Illinois Institute of Technology. He has earned the Chartered Financial Analyst (CFA) designation and was a member of the inaugural class of both CAIA and FDP members.



John Bowman
Executive Vice President
CAIA Association

John serves as Executive Vice President for the CAIA Association, overseeing the industry leading CAIA charter, thought leadership and content development, and CAIA's Asia Pacific strategy. John has devoted over 20 years to the asset management industry to recover the narrative of the value that the investment profession bring to society. He is a staunch public advocate for market integrity, long-termism, investor outcomes, diversity, human dignity and educational standards, as necessary ingredients to building a sustainable and healthy profession.

John previously served as Managing Director for the Americas for CFA Institute, a region comprised of 40+ countries from Canada, the U.S., Central America, South America and the Caribbean. Bowman joined CFA Institute in 2004 after holding several industry positions. He served as a portfolio manager at Mellon Growth Advisors (MGA), where he was responsible for portfolio construction and stock selection for the MGA International Growth and MGA Global Growth strategies. Bowman also served as a portfolio manager for the International Growth Opportunities Strategy at State Street Global Advisors (SSgA) in its Global Fundamental Strategies Group.

John is a prolific writer and commentator, frequently appearing in industry and business publications such as the Wall Street Journal, The New York Times, Pension and Investments, Financial Advisor, The Independent, Wealthmanagement.com and CNBC.

Bowman earned a BS in Business Administration from Mary Washington College and is a CFA charterholder.

SPEAKER BIOGRAPHIES

Katie Bonar
Vice President, Investment Management and Strategy
Cameron Stephens

Katie Bonar is the Vice President, Investment Management and Strategy at Cameron Stephens and joined the company in 2012. Katie raises capital for and manages Cameron Stephens' two key retail investment vehicles, Cameron Stephens Mortgage Investment Corporation and Cameron Stephens Mortgage Trust, and is directly responsible for the 250+ investors and $100 million+ in assets under management in these two funds. She also leads many strategic initiatives to grow and diversify the business. Katie has a Bachelor of Business Administration (BBA) from the Schulich School of Business at York University and has her Chartered Financial Analyst (CFA) designation.

David Burbach
Managing Director & Founding Partner
YTM Capital Asset Management

David spent the first 17 years of his career as in-house legal counsel. He started at Fidelity Investments and then moved to Mackenzie Investments to lead its legal department. David developed strong risk management skills by successfully executing on a wide range of complex legal, compliance, and investing projects. He has provided counsel on investment management and product creation relating to mutual funds, institutional pools, separate accounts, hedge funds, closed-end funds, derivatives, insurance products, pensions, offshore hedge funds, and listed securities in many regions including Canada, the U.S., Europe, Asia, Bermuda, and the Caribbean. This background served David well when he changed gears and joined YTM Capital in 2013. His focus is providing investors and their advisors strong risk adjusted returns with downside protection and the best of service.

David holds the Chartered Alternative Investment Analyst and Chartered Investment Manager designations and his schooling includes Faculty of Law, University of Toronto, Bachelor of Laws; Ivey School of Business, Western University, Honours Business Administration; and Rotterdam School of Business, Erasmus University, MBI Exchange

James Burron
Co-Founder & Partner
CAASA

James co-founded CAASA in response to industry support for a Canadian alternatives association to serve all aspects including: hedge / alternative strategies; private lending; private real estate; private equity; plus emerging areas where Canada is a leader such as digital assets / blockchain and robo-advisors.

Prior to CAASA, James was the Chief Operating Officer of AIMA Canada where his team of three worked with 12 committees to produce 50-60 events per annum across Canada, organized 100+ committee meetings, and increase member numbers over his 7-year tenue from 66 to 164 corporate entities.

James currently sits on the Canadian Investment Funds Standards Committee (CIFSC), which categorizes mutual funds and alternative mutual funds (aka liquid alternatives) for the retail space in Canada. He is also called upon by membership and industry groups to speak to risk ratings and portfolio placement of all types of alternative investments.

James also has experience in research and writing for the CAIA Association (holding the designation since 2006) as well as serving on CAIA's exam council and as a well as other duties. He had roles in institutional sales and FoHF structuring in Seoul, South Korea, as a Product Manager at ICICI Wealth Management, and as an Investment Advisor at RBC Dominion Securities. James graduated from Simon Fraser University with a BBA (Finance).

SPEAKER BIOGRAPHIES

Michael Cooke
SVP, Head of Exchange Traded Funds
Mackenzie Investments

Michael Cooke was appointed Senior Vice President and Head of Exchange Traded Funds for Mackenzie Investments in April 2015. He leads the Mackenzie ETF team and oversees all aspects of the Mackenzie ETF strategy through cross-functional engagement with internal and external partners.

Michael has more than 22 years of experience in the investment management industry across institutional and retail markets. He has held progressively senior positions at several leading global asset management and capital markets firms. His key areas of expertise include global ETF business strategy and derivative product structuring/marketing. Michael earned his BA (Honours) in Political Studies from Queen's University in Kingston, Ontario.

Jocelyn Courcelles
Vice President of Client Relations and Business Development
Fundata

Jocelyn Courcelles serves as the Vice President of Client Relations and Business Development for Fundata Canada. Fundata distributes fund information and other financial data to the financial market including over 80,000 financial advisors, planners, managers and institutions, back offices, data vendors and millions of retail investors. Fundata's expertise and deep relationships in the industry has resulted in its platform being preferred by the industry.

Jocelyn is responsible for supporting both the onboarding process of investment fund managers to the Fundata platform but also ensuring that the distribution of the fund's information is hitting the appropriate network. Jocelyn and his team work closely with each and every client to ensure the delivery of reliable, accurate and fully customizable data feeds to all corners of the financial marketplace.

Jocelyn joined Fundata eight years ago. He holds an MBA and has almost a full decade of experience prior to Fundata working with both a major Canadian Bank and a large Investment Fund provider.

Prakash David
Chief Investment Officer
Triovest

rakash is the Chief Investment Officer of Triovest. In this capacity he heads up the firms' capital raising, investments, development, and asset management businesses. Prakash has over 20 years of diverse real estate experience, both in Canada and internationally. Prior to joining Triovest in 2017, Prakash worked for Lendlease in Singapore, heading up investment management, development, and leasing of a $3B master-planned office and residential precinct in partnership with Abu Dhabi Investment Authority. Prior to this, Prakash headed up a retail expansion strategy in the MENA region for Majid Al Futtaim, the retail leader in the Middle East. He also built the investment and development platform for the City of Toronto, led a Walmart-anchored retail expansion strategy throughout Southwestern Ontario with SmartCentres, and practised municipal law in Toronto. He holds an MBA, LLB, and BA (Politics), and sits on the executive of the ICSC as Government Relations Chair.

SPEAKER BIOGRAPHIES

Travis Dowle
President
Maxam Capital Management

Travis Dowle is the President of Maxam Capital Management Ltd., an alternative investment management firm. Travis began his career in 1996 with MK Wong & Associates, which was later acquired by HSBC Asset Management. Travis left HSBC in 2007 to lead public market investments for a family office and private investment group, before he founded Maxam in 2009. Travis is a graduate of the University of Western Ontario and holds the Chartered Financial Analyst (CFA) designation.

Rob Duncan
Senior Vice President – Institutional Strategy & Portfolio Manager
Forstrong Global Asset Management

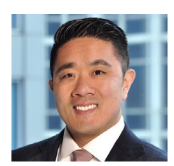
Robert is responsible for advancing sub-advisory relationships with pensions, foundations, endowments, family offices and broker dealer platforms. He is also responsible for managing Forstrong's institutional client base.

Prior to joining Forstrong in 2018, he gained invaluable experience as Vice President at BlackRock leading their iShares institutional business in Canada. Robert has a Bachelor of Economics from Concordia University and holds the Chartered Financial Analyst® designation.

In his spare time, he likes to spend weekends at the cottage with his wife and 2 sons. He also enjoys pretending to play hockey and golf while avoiding the chiropractor as much as possible.

Tim Elliott
President & Co-founder
Connor, Clark and Lunn Funds Inc.

Tim Elliott is President of Connor, Clark and Lunn Funds Inc., a business he founded within the CC&L Financial Group to deliver unique, institutional quality investments to individual investors through funds, alternative investments and separately managed accounts. The CC&L Financial Group is one of Canada's largest independently-owned asset managers, responsible for approximately $70 billion in assets, across a broad range of traditional and alternative investments, including public and private market asset classes, for institutional and individual investors. Tim holds a BA in Economics from Dalhousie University and holds the CFA designation.



Bobby Eng
Senior Vice President, Head of Platform and Institutional ETF Distribution
Franklin Templeton

Bobby is a Senior Vice President at Franklin Templeton Investments and Head of Platform and Institutional ETF Distribution. Prior to this role, Bobby was Vice President and Head of SPDR ETFs Canada responsible for the overall distribution of SPDR ETFs to institutional investors throughout Canada including pension plans, endowments, foundations, insurance companies, investment consultants, asset managers and banks. Bobby was also Senior Vice President and Head of Sales at First Trust Portfolios Canada and also spent 10 -years at Blackrock Asset Management, most recently as Director & Vice President, Head of National Accounts responsible for asset managers and brokerage senior executive relationships.

Bobby holds a Bachelor's degree in Finance from Concordia University and holds the Certified Investment Management Analyst (CIMA) designation from the Investment Management Consultant Association (IMCA).

SPEAKER BIOGRAPHIES

Sue Ennis
VP of Corporate Development and Head of Investor Relations
Hut 8 Mining

In today's new financial paradigm, Sue Ennis, Hut 8 Mining's VP of Corporate Development and Investor Relations, is an investor whisperer.

Since 2016, she has steered underappreciated companies into multi-billion market cap opportunities and told some of Canada's greatest emerging tech and innovation stories. Throughout her career as a capital markets advisor, Sue has focused primarily on blockchain, fintech incubation, startups, territory expansion and large enterprise with a focus on sales optimization.

The shape of today's investor landscape has changed, and with social media as the new decentralized hedge fund, investors need a blockchain maverick and futurist in their corner. Sue understands the need to tap into online communities and analysts to drive awareness in the right places. Her results speak for themselves. In 2021 alone, Sue grew online community engagement for Hut 8 Mining by more than 500 per cent to an industry-leading position.

Working with CEOs to build some of Canada's greatest young tech companies, Sue is a storyteller who can simplify complex concepts into digestible, actionable and investible ones. As a growing leader in the digital space, she is a sought after industry voice who has championed technological innovation as a media spokesperson and keynote speaker across the globe.

Before joining Hut 8, Sue worked on global retail and institutional business development with some of the largest asset managers in North America, most recently serving as SVP of Shyft Network helping lead the company's ambitious vision to establish a scalable, blockchain-based data sharing protocol.

True to her risk-taking reputation in the crypto space, Sue is never one to evade a challenge or an opportunity for adventure having run ultra-marathons in Greenland and the Middle East, worked on a shark research boat in South Africa and completed a jungle survival course in the Amazon.

John Fisher
President & Chief Investment Officer
Bridgeport Asset Management

John is President and Chief Investment Officer of Bridgeport Asset Management, a Toronto based investment management firm that offers alternative asset strategies focused on private credit, private equity and commercial litigation finance. Through Bridgeport's Balmoral Wood Litigation finance division, John is a co-founder of the Balmoral Wood Commercial Advocate Fund which provides investors with diversified exposure to hundreds of commercial case investments around the globe using a multi-strategy approach. Prior to starting Bridgeport in 2007, John was a Managing Director at Clairvest Group, a Toronto-based private equity firm, and worked in corporate finance, business valuation and audit at PricewaterhouseCoopers.

SPEAKER BIOGRAPHIES

Travis Forman
Investment Advisor & SVP
Harbourfront Wealth

In 2016, Travis and his team joined Harbourfront Wealth Management leaving behind a 17 year career with a competing firm. As Senior Vice President with Harbourfront he wears two hats. Not only does Travis run successful wealth management practice. He's also instrumental in architecting and managing the Rockridge Private Debt Pool, Forsyth Private Real Estate Portfolios and Laurier Private Real Estate Pool for Willoughby Asset Management. Canada's first retail multi provider portfolio solutions focusing on private debt, private real estate & private equity.

Tim Gallagher
Chairman & CEO
Music Royalties Inc.

After the successful creation and exit of a gold royalty company, Tim was researching other types of long term "royalty cash flows" and discovered that intellectual property rights or copyrights are protected for the Life of the Author plus 70 years. In March 2018, he founded the company and made the first investment in Music Royalties. He is also the Founder, Chairman & CEO of Green Royalties Inc. and the former Chairman & CEO of Metalla Royalty & Streaming Ltd 2009-2017. He is a former Founder and Director of several TSX Venture Exchange listed CPCs including Biorem Inc, Soltoro Ltd, Schneider Power Inc. and Xtierra Inc. Tim has invested in, financed, managed and assisted in the growth of numerous companies in the royalty, resource, clean tech and technology sectors primarily by taking them public since 1997.

Mark Irwin
Managing Director & Senior Portfolio Manager
DaVinci Capital Partners

Mark Irwin is a founding principal at DaVinci Capital Partners, as well as being a Managing Director and Senior Portfolio Manager at the firm. He has 28 years of experience performing research and financial analysis, and providing strategic guidance on structuring portfolios.

Justin Jacobsen
Portfolio Manager
PenderFund Capital Management

Justin's investment approach is centered around his quick response to market dynamics, taking advantage of opportunities and reacting to increased risk. The key is intelligent security selection across capital structures and markets, supported by active investing, as experienced and applied through multiple market cycles. He has demonstrated the patience and discipline to hold a high quality but out-of-favour security, while constantly re-evaluating his investment thesis in response to new information. Justin is focused on uncovering the best risk-adjusted opportunities in every market cycle and dynamically adjusts portfolio positioning for market environments to protect and grow capital. He utilizes strategies such as distressed credit investing, event-driven trading, capital structure arbitrage, and actively works with management teams to help shape positive outcomes for the securities held.

Justin most recently worked as a Senior Portfolio Manager at one of Canada's largest pension fund managers. During his four-year tenure, he was responsible for managing a high-yield focused credit strategy, generating returns for the underlying pension funds. Justin has also spent 11 years at a highly regarded Canadian investment firm. He worked in progressive roles as a member of the firm's fixed income team. His investment research contributed to the Absolute Return and High Yield Bond strategies at the firm.

Justin graduated with a BA in Honours History from Western University and an MBA in Finance from Washington State University. He earned his Chartered Financial Analyst designation in 2012.

SPEAKER BIOGRAPHIES

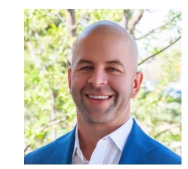
Alkarin Jivraj
Chief Executive Officer
Espresso Capital

Alkarim Jivraj has been involved in technology investment banking, venture capital, and venture debt for more than 20 years, during which time he has advised or invested in over 200 companies. Prior to joining Espresso, he was the Founder and Managing Partner of Intrepid Business Acceleration Fund, a venture capital fund, and Managing Director of the advisory firm Intrepid Equity Finance. Alkarim started his finance career at Yorkton Securities, a boutique investment banking firm, eventually leading its information technology investment banking practice and co-managing two investment funds.

James Kelly
Partner & Head of Business Development
Cortland Credit

James has more than 30 years of experience operating in the fixed income space. Prior to Cortland, he was Senior Vice President, Institutional Sales at AEGON Capital Management. Previously, he was at RBC Capital Markets for 22 years and was Managing Director, Head of Fixed Income Sales.

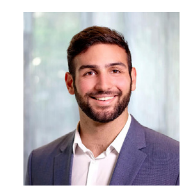
James is responsible for expanding Cortland's institutional investor base as well as overseeing the sales and marketing strategy of Cortland's product range.



Julian Klymochko
CEO and Chief Investment Officer
Accelerate Financial Technologies

Julian is the CEO and Chief Investment Officer of Accelerate. Prior to founding Accelerate in February 2018, he was the Chief Investment Officer of Ross Smith Asset Management where he managed a number of award-winning alternative investment strategies for nearly a decade. He started his career as an Analyst at BMO Capital Markets. Currently, Julian is the Chairman of Bitcoin Well and a Director of the CFA Society Calgary.

Julian attended the University of Manitoba where he graduated with a Bachelors of Science (Engineering) and a Bachelors of Commerce (Finance), both with distinction. Julian is a Chartered Financial Analyst (CFA) charterholder. Julian has been featured in some of the world's top financial and business media including Bloomberg, CNBC, The Wall Street Journal, BNN, Business Insider and The Globe and Mail. He is the author of the book Reminiscences of a Hedge Fund Operator and host of the Absolute Return Podcast.



Kerem Kolcuoglu
Managing Partner
Penrose Partners

Kerem is an MBA and the Managing Partner of Penrose Partners, a blockchain-focused consulting firm advising startups, enterprises, governments and investors on navigating the blockchain and digital assets space.

Kerem is an advisor to the Canadian Technology Accelerators and to the Premier's Office of FinTech for the Bermuda Government. He is also a Founding Board Member of the Bermuda Innovation & Technology Association (BITA) and a Founding Partner of the i3 Innovation Incubator in Bermuda.

Previously at PricewaterhouseCoopers and at MLG Blockchain, he has consulted multinational enterprises, governments and educational institutions on understanding and adopting blockchain technology. Bridging his experience in multiple industries, he has foreseen change and advised clients on navigating digital transformation in an age of disruption.

Raj Lala

President & Chief Executive Officer
Evolve ETFs

Mr. Lala is President and Chief Executive Officer of Evolve ETFs. Evolve is one of Canada's fastest growing ETF providers and a leader in disruptive innovation. Mr. Lala has been a featured guest on numerous media outlets such as BNN Bloomberg, Financial Post, 680 News, John Oakley Show and Moolala Podcast with Bruce Sellery. Mr. Lala is the host of "The Innovators Behind Disruption" podcast, available through Spotify, Apple Podcasts, and Google Play. As part of the Wealth Professional Hot List he has been named as one of the top 50 professionals who have had the greatest impact on the Canadian investment landscape for three consecutive years (2018, 2019, 2020). Mr. Lala is also a distinguished speaker who has been featured in some of Canada's top publications, including: CNN Money, Yahoo Finance, Maclean's, The Globe and Mail, Reuters, Toronto Star, Investment Executive, The Motley Fool, and Advisor's Edge.

Grahame Lyons

President & Founder
Arbutus Partners

An investment fund industry pioneer in the growth and origination of many large well known investment funds over the past 25 years in financial services. Early adopter of major trends in mutual funds, ETFs and alternative investments. Leading role in the growth and origination of many large well known investment funds.

Senior and executive roles at Fidelity Investments Canada Ltd, Strategic Value Corp, Loring Ward Investment Counsel, Barclays Global investors Canada Ltd. and Claymore Investments.

Obtained a Bachelor of Administrative and Commercial Studies from the University of Western Ontario as well as passing the Canadian Securities Course and Investment Funds Institute of Canada Course early in his career.

Craig Machel

Director, Wealth Management and Portfolio Manager, Investment Advisor
Richardson Wealth

Craig encourages his clients to think differently about investing in order to ensure a positive impact in his clients' financial circumstances. He works beyond traditional portfolio management in the equity and bond markets alone to include conservative and predictable alternative assets, offering more effective diversification and a benchmark that offers protection and peace of mind regardless of market conditions. Craig is a frequent commentator on alternative asset allocations for various media outlets, and a panelist and speaker at industry events.

Ryan Marr

Partner & Chief Investment Officer
Waypoint Investment Partners

Ryan is Partner & Chief Investment Officer focusing on the All Weather Strategy at Waypoint Investment Partners. Prior to joining Waypoint, Ryan spent 10 years employed by Gluskin Sheff + Associates – a Canadian wealth manager focused on high net worth clients across Canada. Prior to departing, Ryan held the position of Vice-President & Portfolio manager where he managed and co-managed portfolios investing in North American equities across a variety of strategies including equity long only, equity long / short and non-resource equities.

Ryan sits on the advisory board for a number of technology focused venture funds in Canada. Ryan holds a degree in Economics & Financial Management from Wilfrid Laurier University and has earned the Chartered Investment Manager designation. In addition, Ryan is a licensed derivatives and options trader with experience deploying risk management strategies for institutional investment mandates and segregated client accounts.

SPEAKER BIOGRAPHIES

Dennis Mitchell

Chief Executive Officer & Chief Investment Officer
Starlight Capital

Dennis Mitchell joined Starlight Capital in March 2018 as Chief Executive Officer and Chief Investment Officer. Dennis has over 20 years of experience in the investment industry and has held executive positions with Sprott Asset Management, serving as Senior Vice-President and Senior Portfolio Manager, and Sentry Investments, serving as Executive Vice-President and Chief Investment Officer. Mr. Mitchell received the Brendan Wood International Canadian TopGun Award in 2009, 2010, and 2011 and the Brendan Wood International 2012 Canadian TopGun Team Leader Award. He holds the Chartered Financial Analyst designation and earned a Master of Business Administration from the Schulich School of Business at York University in 2002 and an Honors Bachelor of Business Administration degree from Wilfrid Laurier University in 1998.

Hashim Mitha

Chief Executive Officer and Co-Founder
MeetAmi Innovaations

Hashim is the Chief Executive Officer and Co-Founder of MeetAmi ("MeetAmi") Innovations Inc., a Vancouver-based Fintech company that has built AmiPRO™, the first Digital Asset investing platform in Canada. AmiPRO takes wealth management firms from learning to liquidity with the software tools for trade execution and account management, an ecosystem of vendors, and learning to navigate the world of Digital Assets. The soon to launch Digital Asset Shelf™, a curated listing of Digital Asset and tokenized investment opportunities can be accessed through the AmiPRO platform. MeetAmi empowers advisors to confidently invest in Digital Assets while navigating the Digital Asset world.

Hashim is a bold visionary who is leading the expert team at MeetAmi to launch the first-ever Digital Asset investing platform in Canada, for wealth management advisors and firms. His authentic, strong leadership enables him to build a culture within organizations that lays a foundation for success. With AmiPRO, he is creating a platform of education, portfolio design tools, trade execution, and a reporting and compliance workflow engine that empowers advisors to invest in Digital Assets while meeting the regulatory requirements for proficiency, disclosure, suitability, and risk.

Throughout his career, Hashim has always had a passion for driving the adoption of new technologies and building effective teams to commercialize innovations. He knows how to restructure organizations for growth opportunities. In 2017 he led the turn-around of a premier Canadian provider of voice, data and media services which received the TSX Venture Top 50 Award as one of the strongest companies on the TSX Venture Exchange. Prior to that, he consolidated corporate learning organizations and created one of the largest education companies in the United Kingdom.

In 2017, Hashim started his journey into the world of Blockchain and Bitcoin learning about Cryptocurrencies, mining operations, liquidity pools, exchanges, custodial providers, investment challenges as well as understanding the regulatory landscape. In 2019, he established MeetAmi with his co-founder, Sarah Morton, to create a technology platform which gives advisors and firms the necessary education and tools to meet their clients' growing demand for Digital Assets.

Hashim's vision for the AmiPRO platform is to enable advisors to transact in Digital Assets on behalf of their clients, with a simple to use interface that provides tools and resources to comfortably navigate the world of Digital Assets. Investor demographics are changing and AmiPRO is poised to help firms meet the changing needs and interests of their clients. The AmiPRO interface, combined with how content is provided, will set new standards in Fintech and Regtech and helps to de-risk the process for investing in Digital Assets.

He holds a Bachelor of Applied Sciences in Mechanical Engineering from the University of British Columbia.

Robb Nelson

Chief Executive Officer, Co-Founder & Director
AgriRoots Capital Management

With over 35 years of successful business and entrepreneurial experience, including 25 years in the Private Lending space specializing in agriculture, residential development, and residential homes, Robb is a practicing mortgage professional, co-founder, and co-owner of AgriRoots Capital Management Inc. – the leading alternative lender in Canada's agricultural sector. In addition, he is the founding Principal, Broker of Record, and CEO of FamilyLending.ca Inc. – a mortgage brokerage providing residential, commercial, and agricultural mortgages – founded in 2001.

As a leading expert in agricultural lending, finance, investment, and mortgages, Robb offers deep insight and expertise to agricultural borrowers and investors alike, with the objective of keeping farming families on family farms across Canada.

A goal-driven, collaborative, and insightful professional, Robb focuses on identifying opportunities, building financial solutions, and providing high-quality client service.

Andrey Omelchak

President, Chief Executive Officer and Chief Investment Officer
LionGuard Capital Management Inc.

Andrey Omelchak is the President, Chief Executive Officer and Chief Investment Officer of LionGuard Capital Management Inc., the Company he founded in April 2014. As President & CEO, Andrey sets the Company's corporate direction, makes key personnel hiring decisions and ensures adherence to the highest ethical and professional standards in all dealings. As Chief Investment Officer, Andrey oversees the investment strategy of the Funds managed by the Company.

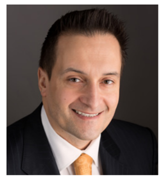
His role of Chief Investment Officer includes asset allocation decisions, stock selection decisions, and a rigorous risk management process. Andrey ensures that all research personnel adheres to core investment philosophy and effectively contributes towards strengthening Company's research expertise. Mr. Omelchak also oversees interactions with LionGuard's sophisticated client base, which includes institutional investors, family offices, fund of funds and select investment advisers.

Before LionGuard, Andrey worked as Portfolio Manager, Canadian Equities, at Montrusco Bolton Investments, where he oversaw Canadian Small Capitalization Equity Fund, Canadian Medium Capitalization Equity Fund, and other mandates, with combined assets under management of over C$1.35 billion. He also designed new strategies, which were successfully marketed to the Company's institutional clients. Andrey left Montrusco to start LionGuard Capital at the end of March 2014. Prior to that, Andrey worked in the sell-side research department at Dundee Securities (currently Eight Capital). Andrey strongly believes that an excellent understanding of the inner workings of the sell-side makes him a better fund manager. Before Dundee, Andrey worked in research, trading and risk management roles at a Montreal-based Bellator Fund Management. Initially hired as a risk manager, Andrey subsequently moved to research and trading roles within the company.

Mr. Omelchak graduated with distinction from Concordia University, in Montreal, where he completed both his B.Comm, and M.Sc. (Finance) degrees. His graduate thesis was published in The Journal of Portfolio Management and The Journal of Derivatives & Hedge Funds, as well as presented at several academic conferences. Andrey also obtained Financial Risk Manager (FRM) designation from Global Association of Risk Professionals, Chartered Investment Manager (CIM) designation from Canadian Securities Institute and Chartered Financial Analyst (CFA) designation from CFA Institute.
Andrey is a frequent guest and commentator on Bloomberg BNN (including on Market Call), AIMA panels, CAASA panels, Reuters, Financial Post, Les Affaires and other media companies and associations.

SPEAKER BIOGRAPHIES

Sandy Poklar



Chief Operating Officer and Managing Director
Firm Capital

Sandy Poklar is currently the Chief Operating Officer and Managing Director, Capital Markets & Strategic Developments for Firm Capital Corporation, EVP Finance for Firm Capital Mortgage Investment Corporation (FC : TSX), Chief Financial Officer and a Trustee for Firm Capital Property Trust (FCD.UN : TSXV) and President & CEO of Firm Capital Apartment REIT (FCA.U/FCA.UN : TSXV). Sandy is also a Trustee for True North Commercial REIT (TNT.UN . Prior to joining Firm Capital, Sandy was employed at Macquarie Capital and TD Securities where he was a Vice President in their Real Estate Investment Banking Groups. Sandy is a CPA, CA in Canada, a CPA(US) licensed in the State of Illinois and has his ICD.D designation.

Eden Rahim

Portfolio Manager
Next Edge Capital

Eden Rahim is the Portfolio Manager for the Next Edge Biotech and Life Sciences Opportunities Fund. Eden's broad experience includes over twenty-five years as a Portfolio and Hedge Fund Money Manager, Options Strategist, Derivatives & Biotech Analyst.

Eden possesses a top quartile 5-year 5-Star growth fund Portfolio Manager track record on over $1 billion in assets across 4 mandates at RBC Global Asset Management, in addition, Eden has delivered a +26% compounded annual return across a biotechnology mandate between 1995-2003. His experience also includes overseeing 14 Covered Call ETFs (over $0.7 Billion AUM) in Canada, the US & Australia while at Horizons Exchange Traded Funds.

Eden is a regular guest speaker on the biotech industry on Bloomberg TV, BNN, as well as an author and contributor to many industry sources and major press articles in the US, and Canada. He also contributes as a speaker at numerous healthcare conferences.

Michael Sager

Vice-President – MULTI-Asset & Currency Management
CIBC Asset Management

Michael Sager is a member of the CIBC Client Portfolio Manager team. Dr. Sager partners with all CIBC distribution channels to deliver targeted thought leadership, education, and investment advice and perspective to clients and consultants.

Prior to joining CIBC Asset Management in 2018, Dr. Sager was an Asset Allocation Consultant at Alignvest Investment Management. Previously, he was a Senior Portfolio Manager at the Canadian Pension Plan Investment Board, a Fixed Income Currency Strategist at Wellington Management, Head of Currency Research at JP Morgan Asset Management and Putnam Investments, and an economist at the European Central Bank and the Bank of England.

Dr. Sager earned a Ph.D. in Economics from the University of Warwick and a Master of Science degree (with distinction)

SPEAKER BIOGRAPHIES

SPEAKER BIOGRAPHIES

Sanjil Shah
Managing Partner
Alignvest Student Housing

Sanjil has been with Alignvest since the firm's inception in 2011. In addition to his responsibilities at Alignvest Management Corporation, and at Alignvest Student Housing, he is also the President and Dealing Representative of Alignvest Capital Management Inc., a registered Exempt Market Dealer. Prior to its sale, Sanjil was a Member of the Board of Directors of Edgewood Health Network.

Before joining Alignvest, Sanjil was the Chief Operating Officer of StorageNow Holdings, a real estate business that developed or acquired, and operated, 11 self-storage properties in Ontario, Saskatchewan and Alberta. Prior to joining StorageNow, Sanjil was a Senior Manager at KPMG LLP, a global professional services firm, where he specialized in providing audit and advisory services to publicly listed technology companies in Canada and the United States. Sanjil has also been an instructor at York University's Schulich School of Business, the University of Toronto and with the Chartered Accountants of Ontario's School of Accountancy.

Sanjil holds a Bachelor of Arts from the University of Toronto, and is a CPA, CA.

Erik Sloane
Founder, Chief Investment Officer & Lead Portfolio Manager
Neo Exchange

Erik Sloane is the Chief Revenue Officer responsible for driving growth by working closely with capital-raising companies, asset managers, sell-side firms, buy-side firms and other industry stakeholders. With NEO since inception, Erik helped define and implement the foundational NEO stock exchange and fund distribution platforms and has held several senior roles including Head of Funds & Trading and Head of Product Management. Erik also chaired several industry advisory groups instrumental in guiding NEO's approach to meeting the needs of an evolving industry and currently sits on the Canadian ETF Association (CETFA) Operations Committee.

Prior to joining NEO, Erik was with the Alpha Exchange where he led product management, after helping to oversee the delivery of the exchange's core technology platform. Erik started his career at a global business and technology consultancy firm. Erik holds a Bachelor of Arts (Honours) in Economics from Queen's University.

Philip Smith
Portfolio Manager & Chief Compliance Officer
Investment Partners Fund

Philip has been active in global financial markets for almost 30 years. He spent over a decade with J.P.Morgan & Co., structuring and trading risk management products across a variety of asset classes. He subsequently managed macro and commodities strategies as an asset manager and fund-of-funds manager. Philip was also a founding investor in BetaPro Management, which eventually became Horizons Exchange-Traded Funds and grew to over $5 billion in assets under management. He earned a Bachelor of Economics from Mount Allison University in 1989 and a graduate degree from the Georgetown University School of Foreign Service, in Washington, DC, in 1991.

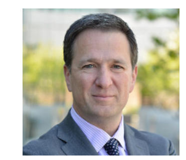
Allison M. Taylor
Chief Executive Officer and Portfolio Manager
Invico Capital Corporation

Allison Taylor is the Chief Executive Officer and co-founder of Invico Capital Corporation. Allison is a registered Portfolio Manager, a graduate of the Haskayne School of Business with a MBA in Finance and a graduate of the University of Western Ontario with an Honors Bachelor of Science in Actuarial Science and Statistics.

Allison brings more than 20 years of experience in corporate finance in both the private equity and financial advisory services within the energy sector. Allison has been actively involved in the assessment of investments, ongoing regulatory compliance and accounting on behalf of the Invico managed investment offerings. Allison started her career at Mercer Management and Towers Perrin in pension consulting doing actuarial valuations for large company pension plans. She then moved into investment banking at RBC Dominion Securities doing mergers and acquisitions.

She advanced her career by moving to Ernst & Young Corporate Finance Inc. doing merger and acquisitions and working with unique situations and niche opportunities to help private companies structure capital raising. It was these experiences that highlighted the opportunity to start Invico Capital with co-founder Jason Brooks.

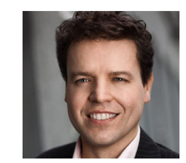
Allison is currently a member of the Investment Committee at the University of Calgary. She was also the proud recipient of the WP Female Executive of the Year Award, at the 2019 Women in Wealth Management Awards.



Andrew Torres
Senior Vice-President and Senior Portfolio Manager
CI Global Asset Management

Andrew is a 25 year veteran of global fixed income markets, having managed multi-billion dollar bond portfolios in London, New York, and Toronto. Andrew is a former Vice-Chair and Global Head of Credit Products at TD Securities, where he held a variety of senior trading roles over a 15-year career.

Prior to founding Lawrence Park Asset Management in 2011, Andrew was a partner and portfolio manager at Aladdin Capital Management in London, England.



Peter-Paul Van Hoeken
Founder and Chief Executive Officer
DealSquare

Peter-Paul has more than 15 years of experience in finance, investment management and business consultancy. He's held multiple senior management positions with global banks in the areas of corporate strategy, commercial and investment banking. Upon relocation to Canada in 2010, Peter-Paul worked as a consultant for several early stage and growth companies. In that period he experienced the challenges that young companies face in attracting capital and realized how the venture financing space was essentially not using any of today's available technology. In 2014 Peter-Paul founded FrontFundr to address this challenge and take on the opportunity to create the New Capital Market. Today FrontFundr is Canada's leading investment crowdfunding platform. Peter-Paul is also Managing Director of DealSquare, launched in October 2019 in partnership with NEO. DealSquare is Canada's first centralized dealer platform for private placements. He is a member of the Ontario Securities Commission – Fintech Advisory Committee and also serves on the advisory committees of the Private Capital Markets Association in Canada (PCMA) and National Crowdfunding Association Canada (NCFA). Peter-Paul holds a Master of Science in business economics and finance from Erasmus University Rotterdam, in the Netherlands.

SPEAKER BIOGRAPHIES

Warren Wen
Research Director, Canada
Global X ETFs

Having joined Global X in 2019, Warner is based in Toronto with a mandate to support the firm's Canadian clients and partners. His primary responsibilities involve communicating information about Global X's ETFs and research to a wide range of Canadian investors and senior decision makers, including financial advisers, portfolio managers, major financial institution head offices, and institutional investors.

Prior to joining Global X, Warner was Senior ETF Product Manager at Mackenzie Investments. He also worked in MSCI's Toronto office and Scotiabank earlier in his career.

Warner holds a Master's of Finance (MF) degree from York University's Schulich School of Business and an MBA from Rollins College's Crummer Graduate School of Business in Florida.

Jordan Zinberg
President and Chief Executive Officer
Bedford Park Capital Corporation

Jordan Zinberg is the President and CEO of Bedford Park Capital Corporation. Mr. Zinberg has over 15 years of investment industry experience including portfolio management and trading, and has served as a director of both private and public companies. Before founding Bedford Park Capital, Mr. Zinberg was a Managing Director and Portfolio Manager at a prominent Toronto-based investment management firm. Prior to that role, Mr. Zinberg spent 7 years at one of Canada's largest investment dealers. Mr. Zinberg holds an MBA from the Schulich School of Business as well as several industry licenses and certifications. He also holds the Chartered Investment Manager designation and is a fellow of the Canadian Securities Institute.

MEMBER DIRECTORY

The diversity, drive, experience, and resources of our members is what makes CAASA happen. The CAASA staff literally work all day to keep up with their ideas for advocacy and service offering initiatives; events of all sizes, on all topics, in many cities across Canada and elsewhere; and creating an environment where they can support each other and the industry at large.

Thank you!

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Our Family Office - *Multi Family Office*
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Member Since 2019

Pandion Investments Limited - *Family Office*
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Early Joiner

Prime Quadrant - *Family Office Advisor*
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Member Since 2018

Raintree Wealth Management
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Raymond James Ltd. (Individuals) - *IIROC Broker Dealer*
John Boomsma, *Financial Advisor & Portfolio Manager*
Darren Coleman, *Senior Vice President & Portfolio Manager*
Emma Querengesser, *AVP, Alternative Investments | Structured Solutions and Alternative Investments*
Member Since 2018

RBC Dominion Securities (Individuals) - *IIROC Broker Dealer*
Mark Allen, *Senior Portfolio Manager*
Ted Karon, *Portfolio Manager*
John Duke, *Senior Portfolio Manager*
Brendan Rogers, *Senior Investment & Wealth Advisor*
Jamison McAuley, *Portfolio Manager*
Member Since 2018

Richardson Wealth - *IIROC Broker Dealer*
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Member Since 2018

Richardson Wealth Private Family Office - *Family Office Services*
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Member Since 2018

Richter Family Office - *Multi Family Office*
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Member Since 2020

SmartBe Investments Inc. - *Portfolio diversification strategies*
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Member Since 2020

MEMBER DIRECTORY

INVESTORS & ALLOCATORS

Stonehage Fleming - *Family Office*
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Tacita Capital Inc. (Individual) - *Multi-family Office*
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Member Since 2021

Timberline Equities - *Single Family Office*
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Ullman Wealth Management - *Multi Family Office*
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Member Since 2018

Vibrato Capital (Individual) - *Single Family Office*
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Member Since 2019

Wealth Stewards - *Wealth Manager*
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Member Since 2021

Westcourt Capital Corp. - *Multi Family Office*
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Member Since 2021

Yameba Capital - *Single Family Office*
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Member Since 2022

Zen Capital & Mergers Ltd. - *Single Family Office*
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Member Since 2019

A number of CAASA members in each category prefer to be non-public and are not recorded in this document.

ASSET MANAGERS

Early Joiner

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Member Since 2018

Accelerate Financial Technologies - *Innovative ETFs*
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Member Since 2021

Actis - *Growth Markets Infrastructure and Real Estate*
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Member Since 2020

Adaptive ETF - *Global Tactical Portfolio Solutions*
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Member Since 2021

ADI Capital Partners - *Real Estate Fund Manager*
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Member Since 2018

AGF Investments, Inc. - *Fundamental, Quantitative & Alternative Strategies*
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Member Since 2019

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Member Since 2020

Agriroots Capital Management Inc. - *Agri-lending*
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Member Since 2021

Early Joiner

Algonquin Capital - *Credit Long-Short Fund Manager*
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Member Since 2018

Algoz - *Crypto Market-Making & Trading*
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Raanana, Israel
Member Since 2021

Early Joiner

Alignvest Student Housing - *Private REIT*
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Member Since 2018

Allianz Global Investments- *Alternative Fund Manager*
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Member Since 2020

ASSET MANAGERS

Alquity Investment Management - *ESG and Impact Investing*
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Member Since 2020

Antrim Investments - *Mortgage Investment Corporation*
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Member Since 2020

Applied Real Intelligence (A.R.I.) - *Venture Debt*
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Member Since 2021

AQR Capital Management - *Quantitative Investment Manager*
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Member Since 2020

Arcis Capital Partners - *Technology Growth Equity*
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Member Since 2020

Ascendi Capital - *Real Estate Fund Manager*
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Member Since 2021

Aspect Capital - *Systematic Asset Manager*
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Member Since 2019

Auspice Capital Advisors - *Quantitative Investment Specialist*
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Member Since 2020

Avenue Living Asset Management - *Private Real Estate*
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Member Since 2019

Axia Real Assets LP - *Real Estate*
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Member Since 2021

Axonic Capital - *Credit Long-Short*
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Member Since 2019

Bedford Park Capital - *High Conviction Manager*
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Member Since 2021

ASSET MANAGERS

Bridgeport Asset Management - *Alternative Asset Fund Manager*
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Brightspark - *Venture Capital*
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Cacoeli Asset Management - *Multi-Res & Affordable Housing Real Estate*
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Cameron Stephens - *Private Lending*
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Member Since 2021

Carlisle Management Company S.C.A. - *Life Settlements*
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Member Since 2019

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Member Since 2019

CI Global Asset Management - *Traditional and Alternative Investments*
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Clearfield Capital Management - *Special Situations Fund Manager*
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Clifton Blake Asset Management - *Real Estate Asset Manager*
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Clocktower Group - *Alternative Asset Manager*
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MEMBER DIRECTORY

ASSET MANAGERS

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CMLS Asset Management - *Real Estate Debt and Equity Manager*
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Member Since 2019

CoinFund Management LLC - *Early-Stage, Fundamental Crypto Strategies*
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Community Fund - *Multistrategy, TMT & Real Estate, U.S. & Israel*
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Connor, Clark & Lunn Funds, Inc. - *L/S Equity, L/S Income & EMN*
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Converium Capital - *Multi-Strategy Opportunistic Hedge Fund*
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Cortland Credit Group Inc. - *Private Lending*
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CPI Capital - *U.S. Multi-Residential Real Estate*
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Early Joiner

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Cult Wines - *a fine wine investment firm*
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ASSET MANAGERS

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Desjardins Global Asset Management - *Traditional & Liquid Alts Funds*
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Diagram Venture - *Venture Builder*
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Donville Kent Asset Management - *Alternative Fund Manager*
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Driehaus Capital Management - *Life Sciences Strategy*
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DSC Quantitative Group, LLC - *Access to PE & VC Indices*
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Durum Capital - *Diversified Asset Manager with Real Estate Investment Funds*
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ehp Funds - *Hedge & Liquid Alts Funds*
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Emso Asset Management - *EM Sovereign & Corporate Credit*
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Member Since 2020

Equiton Capital - *Real Estate*
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Espresso Capital - *Technology-Focused Private Debt Fund Manager*
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ASSET MANAGERS

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Evovest - *Machine Learning Portfolio Manager*
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Forstrong Global Asset Management - *Global Macro*
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FORT LP - *Quantitative Systematic Fund Manager*
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Framework Venture Partners - *Venture Capital*
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Franklin Templeton - *Traditional and Alternative Funds and Strategies*
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Gentai Capital Corporation - *Mortgage Investment Corporation*
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MEMBER DIRECTORY

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Global X - *Thematic, Alternative Income, and International ETFs*
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Graham Capital - *Multi-Strategy, Quantitative & Discretionary Macro Strategies*
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Group RMC - *Real Estate Co-Investing*
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Member Since 2019

Guardian Capital - Alternative & Traditional Strategies
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Harrison Street - *Demographics-Driven Real Estate & Infrastructure Investing*
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Heard Capital, LLC - *Long-Only & Equity Long-Short*
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Member Since 2020

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Highwood Value Partners - *PE Approach to International Public Equities*
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Member Since 2020

Honest Capital - *Concentrated, long-term, long-biased manager*
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Member Since 2021

Horizons ETFs - *Active. Benchmark. Tactical.*
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Member Since 2019

Hudson Valley Property Group - *Affordable Housing Preservation Funds*
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iCapital Network - *Alternative Investment Platform*
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MEMBER DIRECTORY

ASSET MANAGERS

ICM Asset Management - *N.A. Real Estate, Music Royalties*
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Member Since 2019

Innovobot - *Venture Capital & Innovation Ecosystem*
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Member Since 2019

Invesco - *Traditional and Alternative Investments*
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Investcorp Investment Advisors - *Real Estate, Credit, & Absolute Return*
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Investment Partners Fund Inc - *Alternative Fund*
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Invictus Capital - *Digital Asset Manager*
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Invico Capital Corporation - *Private Lending Fund Manager*
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JM Fund Management - *Long-Short Equity Fund Manager*
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Kilgour Williams Capital - *Private Credit Fund Manager*
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KULA Investments, LLC - *Quantitative Asset Manager*
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Lazard Asset Management - *Alternatives Fund Manager*
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LFIS Capital - *Alternatives Fund Manager*
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MEMBER DIRECTORY

ASSET MANAGERS

Early Joiner

LionGuard Capital Management - *Alternative & Traditional Manager*
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Loyal VC LP - *Venture Capital*
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Lumira Ventures - *Venture Capital*
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Member Since 2019

Lyxor Asset Management, Inc. - *Alternatives Manager*
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Member Since 2018

Mackenzie Investments - *Traditional and Alternative Investment Strategies*
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Member Since 2018

Make Space Capital Partners - *Self Storage*
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Man Group - *Asset Management*
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Marret Asset Management - *Asset Management*
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Member Since 2018

Maverix Private Equity - *Growth Private Equity*
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Maxam Capital Management Ltd. - *Value, Catalysts & Arbitrage (Liquid Alt)*
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Member Since 2019

MGG Investment Group - *Private Lending*
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MKP Capital Management - *Discretionary Global Macro*
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Member Since 2020

Read more at caasa.ca - or contact us at +1 (647) 953-0737 or caroline@caasa.ca

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ASSET MANAGERS

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Member Since 2019

Morgan Stanley Investment Management
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Munro Partners - *Global Long-Short Equity*
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Member Since 2018

Music Royalties Inc. - *Monthly Dividend Paying Music Royalty Company*
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Global Partner

Napier Park Global Capital - *Alternative Asset Manager*
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Nephila Capital - *Catastrophe Bonds & Weather Derviatives*
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Member Since 2020

Next Edge Capital - *Platform - Private Lending, Biotech, Metals & Commodities*
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Member Since 2018

Orchard Global - *Public Fixed Income & Private Lending*
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Member Since 2019

PHL Capital - *Private Lending*
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Member Since 2022

Picton Mahoney Asset Management - *Alternative Fund Manager*
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MEMBER DIRECTORY

ASSET MANAGERS

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Member Since 2018

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