CAASA Alternative Perspectives 2022

Presented by: the Canadian Association of Alternative Strategies & Assets

CAASA
CANADIAN ASSOCIATION OF ALTERNATIVE STRATEGIES & ASSETS

Monday, June 13th, Tuesday, June 14th & Wednesday, June 15th

Program sponsor:

Amundi
ASSET MANAGEMENT

ABOUT CAASA

INCLUSIVE, ACTIVE, AND PAN-ALTERNATIVE

The Canadian Association of Alternative Strategies & Assets (CAASA) was created in response to industry requests for a national group to represent the Canadian alternative investment participants, including investors, asset managers, and service providers. CAASA is inclusive in that it welcomes participation from all companies active in the space as well as select individuals (those with investors) who might want to participate in committees and working groups - or simply attend member events - without their employer being a member of the association. CAASA is very active in both committees & groups and events: 65 webinars in 2021 and at least 70 planned in 2022 + 4-6 full-scale conferences eachyear. Pan-alternative, for CAASA, encompasses all alternative strategies and assets including: hedge funds / alternative trading strategies, private and public real estate (funds and direct), private lending, private equity, development & project finance, digital assets / cryptoassets, weather derivatives & cat bonds, and all aspects of diligence, trading, structuring, dealing, and monitoring alternatives in a stand-alone portfolio and as part of a larger investment strategy.

MEMBER BENEFITS

Investors join CAASA to be a part of a formal network of pension plans, foundations, endowments, sovereign wealth funds, and family offices to discuss ideas, strategies, and operational issues particular to their businesses - all within a closed group where managers and service providers may or may not be included, depending on the forum.

Managers see the association as a way to connect with peers, investors, and service providers to speak to fund structuring, sales & marketing, and regulatory issues. CAASA is not a capital introduction platform, but we do create forums where investors and managers can meet organically or via structured meeting sessions where participation by the investors is strictly opt-in.

Service providers participate in our events and working groups as well as assist in the production of thought leadership pieces which provide relevant information to both association members and the industry and investing public at large.

Founders participate in our Founders' Pitch Competitions as well as other initiatives created to propel their fledgeling businesses forward.

NATIONAL AND GLOBAL

CAASA believes that the Canadian alternatives industry has a great deal to offer Canadians and the global community. The *Canadian Model of Pension Management* is well-known for its large alternatives focus, managed in-house in many cases with substantial allocations to external managers as well. Canadian investment managers operate in a robust regulatory regime (of hedge fund managers) that is becoming the norm across the globe and a stable banking back-drop that provides solace for investors as well as opportunities for managers. Talent in investment management (approximately 10% of all CFA charterholders reside in Canada) as well as newer areas such as digital assets and robo-advisory services are a differentiator. Of course, Canadian investors and managers are keen to learn of best practices in operations and portfolio management from their global peers.

WHY JOIN?

As mentioned, we have attracted 330+ members over the last 4 years or so and the reasons are plentiful and varied. Whether an investor, manager, or service provider, some seek a group of like-minded individuals in the alts space to connect with on an on-going and (semi-)structured basis; others have a more commercial interest in that they are intent upon being aware of the newest trends in the industry and (providing they have one) presenting their knowledge to an audience of peers; and others have made their mark (or are on their way to doing so) and really just want to give back to a group of people that has done a great deal for them.

Whatever your motivation, if you're not in membership yet we'd love to hear from you!

OUR WORLD RUNS ON ALTERNATIVE PERSPECTIVES

Knowing where the world is headed is half the battle - the other part is being able to pull together one's capabilities and preparing and executing one's plan while adapting to constant change. This conference is designed to equip attendees with all of these areas: learning the perspectives of peers and leaders in the industry and how they are creating proactive paths forward as well as contingencies should - as they will - circumstances change. Alternatives, of course, are a big part of this given their convexity, non-linearity, and path-dependent outcomes: all characteristics that can assist asset owners to a more fulsome response to their internal requirements and constraints as well as an ever-changing investment and impact environment. All content is subject to Chatham House Rule.

THANK YOU TO OUR SPONSORS

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Amundi ASSET MANAGEMENT

ICM Asset Management

AXONIC

ARBUTUS PARTNERS

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Steepe & Co

FORMATS FOR THE DAYS

A PLETHORA OF WAYS TO LEARN AND INTERACT

TÊTE-À-TÊTE MEETINGS

This is your opportunity to schedule one-on-one meetings with any conference delegate. All matching should be completed by noon on Monday, June 13th to ensure the scheduling software has time to confirm the meetings and each one is served up to you at the right time.

You can schedule as few or as many as you like. Over the years we received feedback that these are possibly the best part of our conferences as it gives folks an opportunity to connect away from the scheduled panels and meal times and really drill down into their respective interests. The software automatically suggests times that are mutually convenient for both parties.

Anyone can book a meeting with any other delegate. Please decline meetings that you might not be interested in or able to commit too - then the other party can invite another to chat. And if you are interested, you're free to connect via our messenger or email (we do not release delegate emails or other contact information, so you'll have to ask for it or send yours along to them and await a response).

All meetings will be scheduled via our conference app and web portal. Meetings should be completed by noon on Monday, June 13th to both parties accept the meeting. Delegates are free to have ad hoc chats as well, of course, during the course of any of the days at the venue.

TABLE TALKS & START-UP ROUND UP

We do not sell paid-for speaking spots, preferring delegates to choose when they might like to hear from sponsors. Table Talks are 25-minute sessions where anywhere from 2 to 12 delegates can get a briefing on a topic and participate in an interactive discussion. As with all of our events, we refrain from pay-to-play and draw all speakers from membership and prefer audiences to opt-in to more niche discussions, as will be delivered at the Table Talks.

Attendees can choose up to two sessions out of those offered on Day 1 & 2. **Titles/topics of each session will be published on our conference app and web portal, also where attendees will register for their desired sessions. This should be completed by noon on Monday, June 13th to ensure a table is not fully-booked, or delegates can simply sit at any of the open tables at those times.**

SUMMIT POLICIES

PRIVACY & SECURITY

We do not release the names of individuals attending CAASA events - including to sponsors and speakers at events - although we may disclose the employers of attendees, except for those working at single family offices, which are redacted. For this gathering, of course, all delegates have access to the individuals' names and employers for the purpose of scheduling meetings and booking seats at Table Talks. However, **we never release the email addresses or phone numbers of attendees**; asking instead that folks reach out via the mobile app / web portal or other means such as corporate websites or services such as LinkedIn. We can pass on contact requests to another party, without any guarantee of a response.

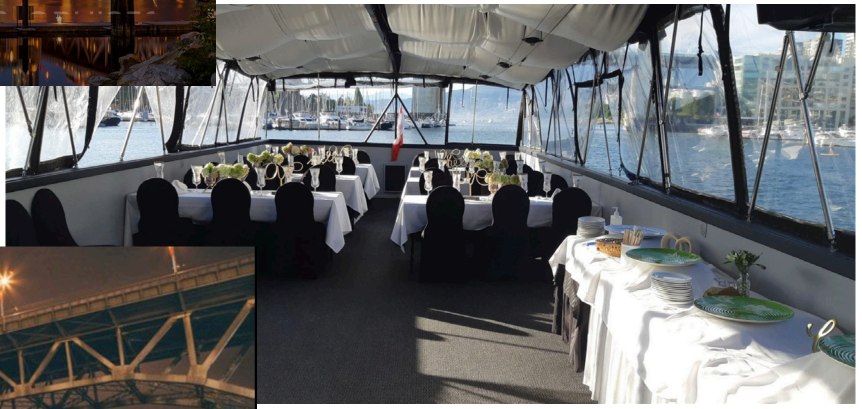
If you receive an email or communication from any party offering the registration list or any contact information of any delegate, please let us know. You can rest assured that we did not release this information to anyone.

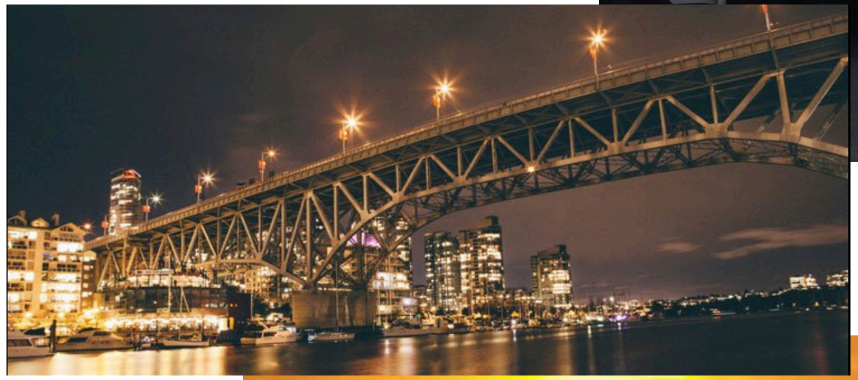
All of our sessions are strictly Chatham House Rule and we ask all delegates to refrain from using screenshots and other archival methods as well as not tweeting or using other social media concerning any of the speakers, topics, or information communicated. Any communication should be broad and general and have absolutely no attribution of any notions to any speaker.

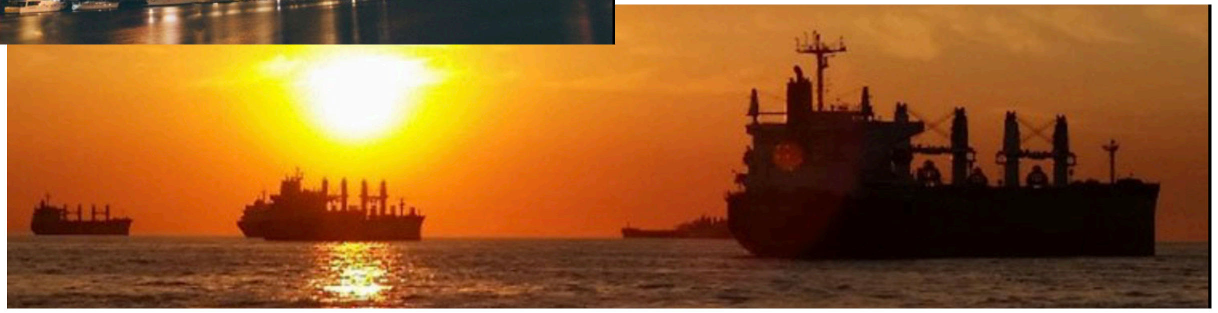
BOAT DAY AGENDA

MONDAY, JUNE 13th

4:30 PM	***Excursion at the CAASA Hospitality Suite*** *Those eligible for the boat cruise are welcome to join us for a night of wine tasting and aperos. A perfect end to the start of our conference!* *Speakers, Sponsors, and Investors meet at the Westin Bayshore lobby for transport to the dock*
6:30 PM	***Evening Boat Cruise - Join us for this rain-free experience*** *We are 99% sure that we will enjoy perfect weather during our 3-hour tour of the salty environs surrounding Vancouver. Since there is a real limit to the number of persons on the vessel, please ensure that you are confirmed aboard. We will be sending confirmation emails to everyone and feel free to contact us as well.*
11:00 PM	***Aprés Excursion at the CAASA Hospitality Suite*** *Those eligible for the boat cruise are welcome to join us for a night of wine tasting and aperos. A perfect end to the start of our conference!*
MIDNIGHT	*Likely end of the drinks*







DAY 1 AGENDA

TUESDAY, JUNE 14th

8:30 AM	***Registration & Breakfast*** *Welcome to all! Pick up your badge and bag o'swag and enjoy a hearty breakfast before the speaking begins*
9:00 PM	***Breakfast Keynote – Getting Off Zero*** **John D'Agostino,** Coinbase *Anyone who is anyone is looking at cryptocurrencies and many are making active investments in the space (or adjacent ones such as crypto-venture). For many investors, this continues to seem like 'a bridge too far' as they are required to complete due diligence and act in a prudent manner with regard to their beneficiaries and investors/clients. The question is: where are the diligence and prudence lines? When should the do something, and how much is something?*
9:30 AM	***Let's Talk Climate*** **Hyewon Kong,** Imco **Jason Landau,** Waratah Capital Advisors **Matt Goldklang,** Man Numeric **Phil Pothier,** Albourne Partners *It's fitting that our first full-sized panel is on climate. This is an area of paramount importance for the world at large, which of course includes all investors and their managers. Changes to climate around the world will continue to have profound effects on weather, food production and use of land, the amount of land (for those areas on the coast), and overall habitability of the planet. This panel will look into how managers and investors are taking this topic seriously, having devised ways to ameliorate its effects in ways that can provide returns as well.*
10:15 AM	*Morning Coffee Break*
10:30 AM	*Tête-à-tête meetings (4 x 15-minute 1:1 meetings)*
11:30 AM	***Prime Time for Private Lending*** **Les Marton,** bfinance **Zack Ellison,** A.R.I. - Applied Real Intelligence **David Mucciardi,** Peakhill Capital **Christian Skogen,** Trez Capital *Private lending used to be (or at least perhaps seem like) a few individuals in back-rooms hammering out deals to finance real estate, growth capital, and factoring transactions - the 'real deal' was at the banks where loans were originated and syndicated, it appeared. Now, with changes in banking laws and capital requirements as well as investor sentiment, private lending has come to the fore as a suitable solution for both investors and borrowers alike. Not without its own pitfalls (they are still dealing in un-rated instruments and not underwriting does not involve regulation to a large degree), private lending is a huge business and one that cannot be ignored by those with capital to commit.*

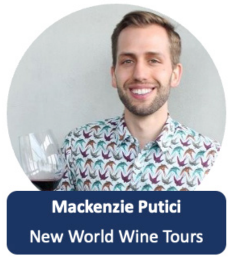
DAY 1 AGENDA

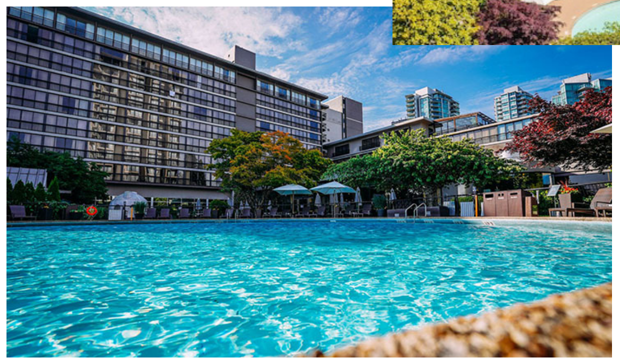
TUESDAY, JUNE 14th

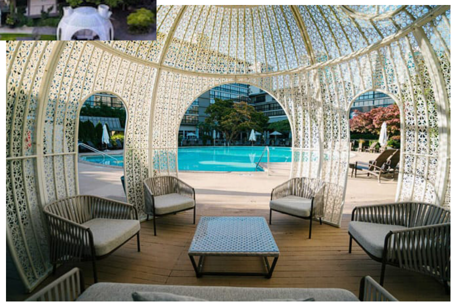
12:15 PM	***Lunch & Keynote – Speaker TBC*** *We believe that content and networking is key to any conference and provide a mix of great speakers with planned an impromptu meeting times throughout each day. This lunchtime speaker will engage and entertain - then folks can get to more meetings!*
1:30 PM	***Hosted table talks:*** **Coinbase** – *Topic TBD* **Avenue Living Asset Management** – *Navigating Inflation and Interest Rates with Real Estate* **Amundi Asset Management** – *Your Introduction to Amundi* **ICM Asset Management** – *Music Royalty Investing – Alternative Income Made Accessible – The Why and The How* **Axonic Capital** – *Topic TBD* **Arbutus Partners** – *Topic TBD* **Enoch Wealth** – *Topic TBD* **Steepe & Co.** – *U.S. Private Credit Funds: High Yield & Low Volatility* *Choose from 2 x 25-minute roundtable discussions*
2:30 PM	*Afternoon Coffee Break*
2:45 PM	***The Growth of Impact Investing*** **Jordan Villarreal,** Preqin *For many years, doing good has been seen as a way to not make money. One would set aside a portion (as a tithe) that would be invested in soup-kitchen sorts of projects in order to fulfill a corporate or family mandate. We know now that impact investing need not be without return and, in fact, could lead to decent profits for those who put their capital to good use. This talk will brief the crowd on how investors are making the most of this trend and creating a new life-changing industry in its wake.*
3:15 PM	***Quant Chat*** **Barton Wallace,** Caisse de dépôt et placement du Québec **Jordan Brooks,** AQR Capital Management **Deniz Cicek,** Axonic Capital Management **Wassim Sakka,** Amundi Asset Management **Mee Warren,** Kula Investments *Quant has been a part of investing since it began, if one thinks that a genius pouring over financial reports to find trends and undiscovered gems is 'quant' investing. In the 1960s and 70s, computing power started to make dissection of data more efficient, but it was the Dark Ages compared to what could be done at the turn of the century. Now, with such notions of alternative data, super-computers, and a plethora of new ways to use the latter to test hypothesis and uncover trends in the former, are we at peak data? Join us for an in-depth discussion on how data and its use in investing continues to innovate and create value for investors.*

DAY 1 AGENDA

TUESDAY, JUNE 14th

4:00 PM	***Royal Rumble - Equity vs. Credit*** **Nancy Bertrand,** Citi Private Bank **Andrew Labbad,** Wealhouse Asset Management *(Team Credit)* **Kunal Soni,** Morgan Stanley Investment Management *(Team Credit)* **Oren Shiran,** Lazard Asset Management *(Team Equities)* **Travis Dowle,** Maxam Capital Management *(Team Equities)* *With so many alternatives just within the broad areas of equity and credit – and the headwinds that seem to be hitting both areas – it made sense to spar them off against each other (with a seasoned moderator/referee) to give our audience a look into how these asset managers look at their sliver of the markets and apply their strategies to a challenging and constantly evolving environment.*
5:00 PM	***Poolside Reception*** **Mackenzie Putici,** New World Wine Tours  *Once again, Mackenzie will introduce another signature cocktail. Always a crowd-pleaser, his knowledge of all types of wines and other drinks is encyclopedic. This will be fun!*
7:00 PM	***Aprés Gathering Back at the CAASA Hospitality Suite*** *Many delegates take the opportunity to organize dinners at some of the fantastic restaurants (best city for sushi!) in Vancouver. For those who mgiht like a more casual meeting time, we offer our hospitality suite to meet, chat, and perhaps find a bite to eat nearby.*
8:00 PM	*Likely end of the drinks - End of Day 1*





DAY 2 AGENDA

WEDNESDAY, JUNE 15th

8:30 AM	*Breakfast & Welcome to Day 2!*
9:00 AM	***Allocating to Venture*** **Michael Nicks,** Pepperdine University Endowment **Justin Yagerman,** Invesco Private Capital **Eugene Zhang,** TSVC **Bob Morgan,** 50 South Capital Advisors *Like all asset classes, venture goes through ups and downs and is currently (thanks in large part to COVID, ironically) returning unbelievable returns for some investors - it all depends on vintage! - but is it in its salad days or is this the end of a period of inexplicably expensive exits and valuations that will lead to a (severe) hangover for all who take the plunge today. This panel will discuss that and give the audience a view of the landscape of venture, since the innovations in crypto, gaming, and other industries has changed the players and the plays over the last few years.*
9:45 AM	***Real Return in Real Assets*** **Song Lim,** Enoch Wealth **Matt O'Hara,** Unison Investment Management **Mark Breakspear,** Western Wealth Capital **John Courtliff,** ICM Asset Management *Real property has long been seen as an inflation hedge and current memory (at least for the last 50 years) has found real estate beside a perpetually bull-market bond market as interest rates have fallen from highs to near-term lows. As well, bond and stock markets have been negatively correlated (more or less) in crisis situations (flight to quality; bank rate reductions during recessions) but these two artefacts of the markets may not hold as rates start/continue to rise. How does this affect cap rates and will real estate continue to be the inflation hedge that it has been? And which areas are best to exploit this? Find out all with this panel.*
10:30 AM	*Morning Coffee Break*
3:00 PM	*Tête-à-tête meetings (4 x 15-minute 1:1 meetings)*

DAY 2 AGENDA

WEDNESDAY, JUNE 15th

11:15 AM	***Start-Up Roundup*** *A new twist to this year's conference, we are featuring eleven start-ups who will present their business plans to a seasoned venture capitalist and the rest of the table. Feel free to choose the ideas that you're interested in and enjoy hearing their pitch and the queries and feedback from the allocator/investor at the table. A complete list of matches will be provided closer to the day.* ***Founders:*** **Nithin Mummaneri,** Infinity Loop **Sergei Beliaev,** Continuum.Social **Bonnie-Lyn de Bartok,** The S-Factor Co. **Alik Sokolov,** SR-AI **Lakhveer Jajj,** Moselle **Tim Burgess,** WealthAgile **John Asher,** Konfidis **Mukul Pal,** AlphaBlock **Howard Chang,** JustBoardrooms **Kapil Dev Ramgirwar,** Rosseau Group **Ian Gramaise & Frank Chindamo,** Virtual Film School **Neville Joanes,** Willow ***Investors/Allocators:*** **Yasir Khushi,** ARMS Group (UAE) **Wendy Diamond,** LDP Ventures (NYC) **Daniel Stow,** Zen Capital & Mergers (Vancouver) **Ian Whytock,** Tidal Ventures (Halifax) **Brandon Laughren,** The Laughren Group (NYC) **Song Lim,** Enoch Wealth (Vancouver) **Sean Davatgar,** Dava Group (Los Angeles) **Tec Han,** Vibrato Capital (Portland, OR) **Harris Lambouris,** Laertes Health (UAE) **Michael Kosic,** Loyal VC (Toronto) **Drew ("DC") Colaiezzi,** McPike Global Family Office (The Bahamas)
12:15 PM	***Lunch & Keynote (Keynote begins at 12:30)*** **Josh Kestler,** Innocap *Fresh from the merger of Innocap and HedgeMark, this talk will speak to the many ways that both groups - and now the combined entity - provide a range of services to their clients. Accompanying speaker to be determined.*
1:00 PM	*End of general sessions*
1:00 PM	*Invite-only sessions exclusively for Investors (Pensions & Single Family Offices)*
3:00 PM	*End of Day 2 for All*

FIRESIDE PAIRING - JUNE 8

JONATHAN DORFMAN

Jon Dorfman is a Senior Managing Partner and CIO of Napier Park Global Capital ("Napier Park"). Napier Park separated from Citigroup's alternative investment arm, Citi Capital Advisors ("CCA"), in February 2013. Jon was previously Co-CEO and CIO of CCA. He was a co-founder of Carlton Hill Global Capital ("CHGC"), a specialized asset management firm that was acquired by Citi in 2007.

Prior to establishing CHGC in 2005, Jon spent 20 years at Morgan Stanley in the Fixed Income Division. He worked in Tokyo and London for 17 years and served as a Managing Director for 8 years. While at Morgan Stanley, Jon held numerous senior management positions including Co-Head of the Global Investment Grade Credit Group and Head of Global Credit Derivative and Asset Swap Trading Group.

Among the innovators and early risk takers in the credit default swap, credit index (Tracers and CDX) and tranched risk credit markets, Jon served as an ISDA committee member responsible for the first standardized credit default swap contract.

Jon earned a BSE degree, magna cum laude, from the Wharton School of Business at the University of Pennsylvania.

NAPIERPARK GLOBAL CAPITAL

FIRESIDE PAIRING - JUNE 9

WEI XIE

Wei Xie is a Director in the Capital Markets Group at OPTrust, one of Canada's largest pension funds with assets of $20 billion and investment professionals in Toronto, London and Sydney.

Wei leads the management of the external public markets program that covers a broad spectrum of liquid strategies, which includes equities, credit and hedge funds. Wei also spearheads the investment innovation efforts at OPTrust by co-chairing the Incubation Portfolio Investment Committee, with a particular focus on Crypto and the application of Machine Learning / Artificial Intelligence.

Wei held various progressively senior positions at OPTrust. Prior to this, Wei was the Chief-of-Staff to the Chief Investment Officer, when he supported and advised the CIO in his day-to-day management of OPTrust's Investment Division. Prior to that, he served as an Investment Strategist for the Global Investment Strategy Group, and an Associate Portfolio Manager within the Private Markets Group.

Wei earned a Bachelor of Business Administration degree from Wilfrid Laurier University in Waterloo, Canada.

OPTrust

FIRESIDE PAIRING - JUNE 9

MARTIN LUECK

Mr. Lueck co-founded Aspect in September 1997. As Research Director, Mr. Lueck oversees the Research team responsible for generating and analysing fundamental research hypotheses for development of all Aspect's investment programmes. Mr Lueck is also a member of Aspect's Investment Committee.

Prior to founding Aspect, Mr. Lueck was with Adam, Harding and Lueck Limited (AHL), which he co-founded in February 1987 with Michael Adam and David Harding. Man Group plc (a leading global provider of alternative investment products and solutions) completed the purchase of AHL in 1994 and Mr. Lueck left in 1996. At AHL, Mr. Lueck was instrumental in developing AHL's trading systems and approach to research as well as the proprietary software language that provided the platform for all of AHL's product engineering and implementation.

From May 1996 through August 1997, Mr. Lueck was on gardening leave from AHL during which time he helped establish his wife's publishing business Barefoot Books Inc.

Mr. Lueck was a Director of Research at Brockham Securities Limited, a London based commodity trading advisor, from October 1984 to February 1987 and an executive in the Japanese Equity Sales department of Nomura International, a provider of financial services for individual, institutional, corporate, and government clients, from January to October 1984.

Mr. Lueck serves on the Board of the National Futures Association. He holds an M.A. in Physics from Oxford University and currently serves as Chair of the Oxford Physics Development Board.

aspect capital

FIRESIDE PAIRING - JUNE 10

DAVID " TIGER" WILLIAMS

Tiger is the Founder and Managing Member of Williams Trading. Prior to founding Williams Trading, Tiger was an Associate Director at Tiger Management where he was responsible for trading the domestic equity portfolio from 1993 through 1997.

Tiger began his career on Wall Street in 1987 as a member of the Principal Training Program at E. F. Hutton. In 1989, he joined First Boston as an Associate and Generalist Equity Sales Trader.

In 1990, Tiger joined Needham and Co. as a Vice President and in 1991 moved to Donaldson Lufkin and Jenrette, also as a Vice President.

Tiger received his Bachelor of Arts degree in Economics and Political Science at Yale University in 1984 and his Masters in Business Administration from the Stern School of Business at New York University in 1989.

WILLIAMS TRADING

FIRESIDE PAIRING - JUNE 10

CLIFF ASNESS

Cliff is a Founder, Managing Principal and Chief Investment Officer at AQR Capital Management. He is an active researcher and has authored articles on a variety of financial topics for many publications, including The Journal of Portfolio Management, Financial Analysts Journal, The Journal of Finance and The Journal of Financial Economics.

He has received five Bernstein Fabozzi/Jacobs Levy Awards from The Journal of Portfolio Management, in 2002, 2004, 2005, 2014 and 2015. Financial Analysts Journal has twice awarded him the Graham and Dodd Award for the year's best paper, as well as a Graham and Dodd Excellence Award, the award for the best perspectives piece, and the Graham and Dodd Readers' Choice Award. In 2006, CFA Institute presented Cliff with the James R. Vertin Award, which is periodically given to individuals who have produced a body of research notable for its relevance and enduring value to investment professionals.

Prior to co-founding AQR Capital Management, he was a Managing Director and Director of Quantitative Research for the Asset Management Division of Goldman, Sachs & Co. He is on the editorial board of The Journal of Portfolio Management, the governing board of the Courant Institute of Mathematical Finance at NYU, the board of directors of the Q-Group, the board of the International Rescue Committee and the board of trustees of The National WWII Museum.

Cliff received a B.S. in economics from the Wharton School and a B.S. in engineering from the Moore School of Electrical Engineering at the University of Pennsylvania, graduating summa cum laude in both. He received an M.B.A. with high honors and a Ph.D. in finance from the University of Chicago, where he was Eugene Fama's student and teaching assistant for two years (so he still feels guilty when trying to beat the market).

AQR

TABLE TALKS - JUNE 8

NAPIER PARK
GLOBAL CAPITAL

NORTH PEAK CAPITAL

LYXOR
Asset Management
SOCIETE GENERALE GROUP

TABLE TALKS - JUNE 9

CIBC
CIBC Asset Management

Juniper Square

OUR DATAROOM

ALL THE DATA REQUIRED TO MEET YOUR (INVESTMENT) MATCH

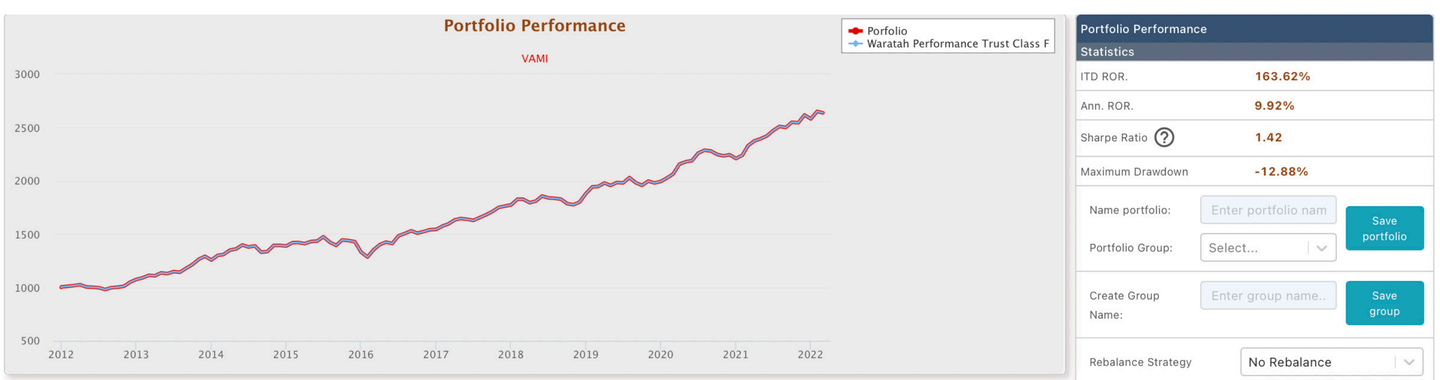
At the suggestion of one of our single family office members, we partnered with **SigmaSandbox** to create a dataroom to provide a more robust experience for managers and investors by getting performance and other information into the hands of prospective investors. We believe this free offering, exclusive to CAASA members, can be used in advance of the conference, during it, and afterward as much as one might like.

FOR INVESTORS

Simply create a login and you can search the database, choose any number of funds, introduce them into a portfolio approximating yours (more than 2,500 ETFs and 115 indices to choose from) to see how they might have affected its returns, and/or download tear-sheets of the fund to get portfolio and other data on it, the manager, and whom to contact to get more information. You can also use this to research managers who have invited you to meet or, if you like, extend your own invitations for a tête-à-tête (more on these on subsequent pages).

FOR MANAGERS

Uploading your performance and other information gets you on the radar of delegates at the conference and, as **SigmaSandbox** and CAASA will be making this available to more than 1,000 endowments, other significant investors, and wealth managers across North America and around the world with our ongoing events and those held in concert with other associations and the Government of Canada. It is simple and easy to do so and sets your fund apart with the opportunity to have many investors see how well you manage funds entrusted with you.



SigmaSandbox

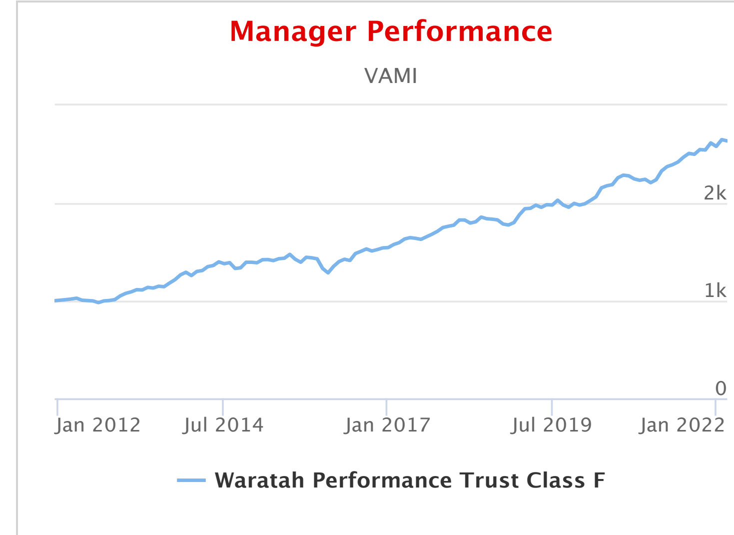
Waratah Performance Trust Class F

Contact Information
Waratah Advisors

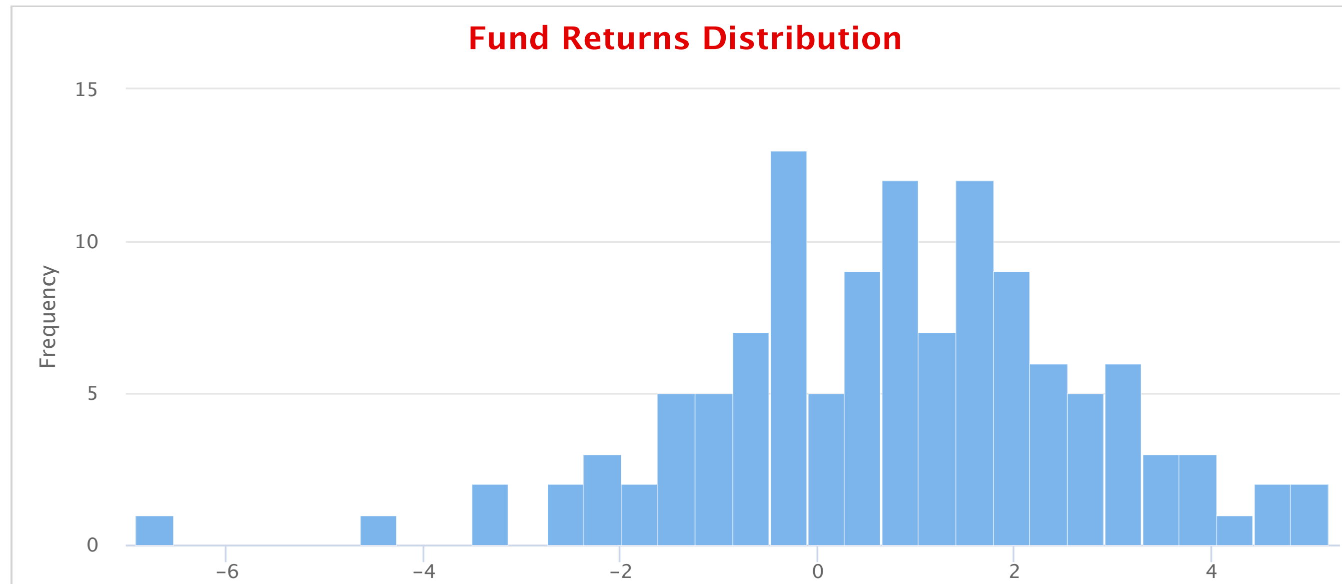
Fund Details
Waratah Performance uses research driven stock selection, dynamic portfolio construction and active factor management to produce double digit compounded returns with lower volatility and drawdowns than North American equity markets.

Statistics & Ratios Summary

	Fund	Benchmark
Ann. RoR	9.92 %	N/A
Sharpe Ratio (0%)	1.42	N/A
Volatility	6.85 %	N/A
Average Monthly Return	0.81 %	N/A
Highest Monthly Return	5.19 %	N/A
Lowest Monthly Return	-6.91 %	N/A
Maximum Drawdown	-12.88 %	N/A
% Positive Months	65.85 %	N/A
% Negative Months	34.15 %	N/A
Average Monthly Gain	1.90 %	N/A
Average Monthly Loss	-1.29 %	N/A
1Y Return	13.19 %	N/A
1Y Volatility	4.78 %	N/A



About the manager:
Waratah Performance is a directional long/short North American equities strategy. The fund targets double digit compounded returns with lower volatility and drawdowns than the North American equity markets.



HIGHLIGHTED MEMBERSHIP CATEGORIES

GLOBAL PARTNERS

As we continue to innovate in an effort to bring more value to members than ever before, we added an additional exposure category for select members: Global Partner.

These members, including **CEOS Family Office** and **Napier Park Global Capital**, are featured prominently at three of our signature conferences.

As is our tradition, we treat all members equally for our speaking opportunities (191 in 2019 and 470 in 2020) and Global Partners are afforded the same propensity to speak on and moderate panels and participate in podcasts.

Any CAASA member this is interested in becoming a Global Partner should contact the CAASA office.

(START-UP) FOUNDER

We have had a great deal of interest from start-up founders to be involved with our events and initiatives over the last few months - especially as we are active in the family office / angel network and venture capital funds.

To this end, we have created a Founder category to cater to this type of member. We will also feature Founder members on our panels and podcasts and organize special events for them to connect with funding sources and source best practices and great ideas from peers and others.

The intent is to work with true start-ups and give them access to great content and connections and CAASA reserves the right to require certain companies that might be better categorized as managers or service providers - especially if they might be considered mature or with substantial backing - to be so categorized and pay the relevant fee.

NOTES

SPEAKER BIOGRAPHIES

Thank you to our many speakers, presenters, and those who added their themes and topics, panel and non-industry speaker ideas, and other invaluable input!

John Asher
President
Konfidis Inc.

Start-up Round-up Founder

John Asher is the President of Konfidis Inc. with accountability for strategic direction, partnerships and operations. John brings over 20 years of financial services experience in various senior executive positions. Most recently, John held the role of Senior Vice President and Chief Financial Officer for RSA Canada, a top 5 national property and casualty insurance company, responsible for the operational success regional investments, pension plans, corporate development and partnerships, actuarial, finance and legal.

Previously, John held senior positions at The Co-operators, a national property and casualty insurance, life insurance and wealth management company as Vice President, Corporate Finance; Vice President Finance, Business Development and Corporate Actuarial including Chairman of The Co-operators Life Insurance Company Pension Committee. John's mandate included the development of new products and services leading to homeowner fintech innovation, sustainable alternative investment policies and sustainable wealth management products.

Sergei Beliaev
Founder
Continuum.Social

Start-up Round-up Founder

Seasoned C-level executive. 30 years leading change across multiple industries. Deep understanding of enterprise and consumer facing technology. Highly entrepreneurial. Led adoption of enterprise blockchain with DLTLabs across multiple global markets. Served as the CIO at Walmart Canada delivering frictionless omni-channel customer experience and efficient operations for eCommerce, bricks-&-mortar and digital.

Nancy Bertrand
Private Banker
Citi Private Bank

Nancy joined Citi Private Bank in 2006 and has over 20 years of capital markets experience. She advises ultra high net-worth families, foundations and single family offices on wealth preservation and creation, with a particular focus on alternative and international investments.

Previously, Nancy practiced U.S. securities law at the global law firm, Shearman & Sterling LLP specializing in cross-border corporate finance and mergers and acquisitions.

Nancy sits on the board of the Golf Association of Ontario. She is a Past President of the Toronto CFA Society and the former Chair of the Governance and Nominations Committee. She was a member of the CFA Institute's Capital Markets Policy Committee and a member of CFA Institute's Global Corporate Governance Task Force. She received her Chartered Financial Analyst designation in 2002.

Nancy sat on the cabinet of the Royal Ontario Museum's Young Patrons' Circle. Nancy graduated with an Honours in Business Administration (Dean's List) from the Richard Ivey School of Business of the University of Western Ontario and a Bachelor of Laws (great distinction) from McGill University. She has been called to the Bars of the State of New York, the Commonwealth of Massachusetts and the Province of Ontario.

She speaks Mandarin, Cantonese and French. She is married and raising a teenage daughter.

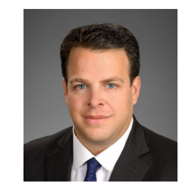
SPEAKER BIOGRAPHIES

Mark Breakspear
VP, Fund Operations & Distribution
Western Wealth Capital

Mark's career has spanned 16 years with senior level roles ranging from Big 6 Banks to boutique wealth management and insurance firms. He has led projects that include compliance, sales strategies and enterprise-wide technology for thousands of registered investment professionals nationally. He was most recently SVP and Chief Compliance Officer for a Vancouver Exempt Market Dealership/Asset Management Corporation where he provided oversight for the operations, sales, and regulatory audits at the firm.

Mark is currently focused on Western Wealth Capital's mutual fund trust, institutional funds, the associated capital raising efforts. Mark's experience in the IIROC, MFDA, and EMD regulatory environments as well as his senior sales management experience set him up well to navigate the balance required to bring the company into new channels.

Jordon Brooks
Principal
AQR Capital Management



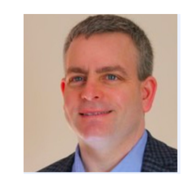
Jordan Brooks is a Principal at AQR Capital Management, where he is the Co-Head of the Macro Strategies Group. In this role, he oversees equity index, fixed income, currency, and risk parity research, and is a portfolio manager for the firm's risk parity, global macro, and multi-strategy portfolios. Jordan is also a Lecturer in Management at Yale University and an Adjunct Professor of Finance at New York University. He has published numerous articles on fixed income, global macro, and the intersection of asset pricing and macroeconomics.

Prior to joining AQR, Jordan was a teaching fellow in the economics department at New York University, and a dissertation intern in the division of monetary affairs at the Federal Reserve Board of Governors and in the capital markets group at the Federal Reserve Bank of New York.

Jordan earned a B.A. in economics and mathematics from Boston College, and an M.A. and Ph.D., both in economics, from New York University.

Tim Burgess
Co-Founder & CEO
WealthAgile

Start-up Round-up Founder



20 years on Bay Street, Institutional Sales and Portfolio Management. Recently ran the Spartan onTREND Fund, built fully systematic momentum strategy.

Howard Chang
Founder & CEO
Just Boardrooms Inc.

Start-up Round-up Founder

Howard Chang has a long and successful track record as a startup entrepreneur and business builder. From his first venture at the tender age of 20 (which would become a multi-million-dollar enterprise before his 25th birthday) to his most recent start up www.justboardrooms.com Howard has always kept his focus on the problem, not the solution. By falling in love with the problem, Howard has been able to see market challenges and opportunities ahead of others and even help change category norms. His sister company www.theturnlab.com is an example of Howard and his team creating a new model in the marketing and technology space to better serve the big challenges leaders are facing today. The Turn Lab is the incubator and main funder of Just Boardrooms and another example of the kinds of synergies Howard uses to create success for all stakeholders.

Howard is also an active philanthropist and impact investor. He founded a purpose driven cycling club called Les Domestiques in 2009 whose 100 members have collectively raised over $150 million for various causes from health to social equity. His core businesses run successfully on a triple bottom line of People Planet and Prosperity while also operating 100% carbon neutral. The Turn Lab is a certified B Corp (like icons Patagonia and Ben and Jerry's) and Just Boardrooms is in the process of certification because Howard firmly believes that business can be a force for good.

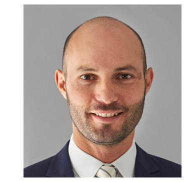
Frank Chindamo
Founder & CEO
Virtual Film School

Start-up Round-up Founder

Prof. Frank Chindamo, Founder/CEO,: Frank is now running the company and teaching in the Metaverse at www.VirtualFilmSchool.com. He holds multiple award wins for both filmmaking and teaching. 30+ filmmaking awards at http://www.funlittlemovies.com/awards.html. Started in entertainment on TV's SNL (Saturday Night Live) and the original Ghostbusters movie. Wrote and produced films for HBO, Showtime, PBS, CBS, ABC, Comedy Central, etc. Crusader for equal opportunity for media makers globally. Created the social media video courses at USC, UCLA, Emerson, Pepperdine and Chapman U, where he was "Adjunct Prof. of the Year" in 2016. BFA, New York University. MFA, and Columbia University.

SPEAKER BIOGRAPHIES

Deniz Cicek
Lead Portfolio Manager
Axonic Capital

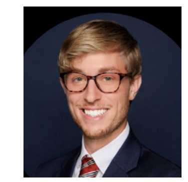


Deniz Cicek joined Axonic in 2017. He is the Lead Portfolio Manager of Axonic Systematic Arbitrage Fund responsible for portfolio management, research and trading activities. Between 2010 and 2017, he was employed at Barclays Bank PLC where he started in the Quantitative Analytics Department as a desk quant.

In 2012 he moved internally to one of the propriety trading desks where he traded interest rate derivatives systematically. During his last year at Barclays he was part of the Quantitative Investment Strategies group, responsible for building and trading systematic strategies and alternative beta indices for institutional clients. He holds a BS in Industrial Engineering with a Minor in Mathematics from Sabanci University in Istanbul and was in the PhD program in Decision, Risk & Operations Department at Columbia Business School.

Drew Colaiezzi
Private Investments
McPike Global Family Office

Start-up Round-up Allocator



Drew Colaiezzi is a private equity/venture capital specialist & investment manager with a background in capital markets focused on securitizations, specialty credit products, fixed income asset-back warehouses, financing consultancy, investment strategies & management, investment relationship structures, and early-stage venture.

After working in NYC and Miami for various private equity firms, he moved internationally and currently sits on the investment committee for MGFO, a single-family office based out of the Bahamas with a large venture portfolio containing exposure to various industries with a strong focus on early stage opportunities in financials, tech, fintech, life sciences, the carbon economy, venture funds, co investment opportunities, and web3/crypto based assets.

John Courtliff
CEO – Partner & Portfolio Manager
ICM Asset Management

John Courtliff is Chief Executive Officer and a Partner of ICM Asset Management and a Portfolio Manager of ICM Investment Management Inc.

Mr. Courtliff joined ICM in 2011 and became CEO in 2022. Mr. Courtliff has over 15 years of investment industry experience, beginning his career in investment banking before transitioning to real estate asset and investment management. His experience includes structuring complex domestic and cross-border transactions and investment vehicles, underwriting and closing investment opportunities in the U.S., Mexico and Canada, and portfolio/fund management.

Mr. Courtliff received a Bachelor of Commerce in Finance from the University of Calgary as an Academic All-Canadian, including studies at the Vienna University of Economics and Business, Wirtschafts Universitat Wien and holds the Chartered Financial Analyst designation. In addition to English, Mr. Courtliff speaks French and conversational Spanish.

John D'Agostino
Head of Strategic Partnership
Coinbase

John oversees strategic partnerships for the business bringing deep experience with sophisticated private investment vehicles characterized by complex strategies and asset classes, notably derivatives, structured credit, direct lending, high frequency/quant, and similar strategies.

Prior to joining Coinbase, John was a Managing Director and part of the Governance leadership group at DMS Governance, serving on the Board of Directors of several of the world's largest asset managers. Previously, he was a Managing Director of Alkeon Capital, focused on fundamental investment research across industry sectors, global compliance, and regulatory management. John also worked with KPMG Consulting, where he was part of the firm's global leadership for the Finance and Hedge Fund consulting groups, and led advisory on the Hong Kong Stock Exchange acquisition of London Metals Exchange.

He began his career as Vice President and Head of Strategy with the New York Mercantile Exchange, where he helped transition the floor to electronic trading and was part of the leadership team building out the core systems to accommodate co-location, quant, and HFT volumes. He also led the effort to develop the first Middle East energy derivatives exchange in partnership with the Dubai Government.

John is the subject of two NY Times bestselling business books (Rigged and the Start-Up Of You). John has lectured at INSEAD University, guest lectures at MIT Sloan Business School, and is a lecturer at Columbia University. In 2019, he was named Chair of the UK Consulate Financial Services Working Group. John co-founded and leads the AIMA Digital Asset Working Group. In 2022 he was named Fellow of the AIF Financial Innovation Institute. He lives in New York City with his wife and two daughters.

Start-up Round-up Allocator

Sean Davatgar
Chairman & Chief Investment Officer
Dava Capital

Dava capitalizes on investment opportunities across the global capital markets & industries on I.P, providing alternative finance through a PE structure to emerging or growth stage companies.

Majority Investments
Health Care: Cancer R&D institute, Hospitals management company, NicQ System
Technology: Biofuel company, Fuel Cell Batteries, Wi-Fi, Toy Charities, 3D Digital Hologram for Retail Media, Lithium-ion Battery, IOT Energy Controller
Construction: Solar contractor, Elder care housing, Prison developer, County office
Government: Air Force network security, Marines IT, Navy Satellite Tech, Logistics
Energy: Oil & Gas E&S, Refinery, Co-Gen, CNG Engine, Windfarm, Li-on Storage
Media: Producer(CBS, NBC, CNN, ESPN), Commercials, Retail Pharma Ads

JV Partner Fortress Investment Group 2014 – 2020
Senior Business Adviser Houlihan Smith & Company 2007 – 2009
Equity Desk Chimera Securities 2008 – 2009
Associate Banker Xnergy LLC 2006 – 2007
CEO & Founder Elite Medical Supply 2004 – 2005
CEO & Founder Mobile Accessories 1999 – 2004

SPEAKER BIOGRAPHIES

Start-up Round-up Founder

Bonnie-Lyn de Bartok
Founder & CEO
The S-Factor Co.

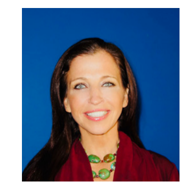
Bonnie-Lyn is the Founder and CEO of The S Factor Co., a MacCormick Inc. company. She brings over two decades of Social Impact, International Business, Finance and Technology background to the leadership of MacCormick Inc. and The S Factor Co.

Bonnie Lyn has won several entrepreneurial and technology awards, led the creation of both firms, expanding globally and has worked across 57 countries, and has led the creation of several proprietary products including the MSPI™ and the S Factor™ Solutions.

Her company has developed and is bringing to market, a proprietary algorithm and index that measures corporate social impact against, international standards, public sentiment and financial performance. She is a widely acknowledged expert and much sought after speaker on social impact and risk issues.

A graduate from Saint Mary's University, in International Development Studies (Development Economics) and International Politics, Marketing, Finance and Micro-computer Technology.

Start-up Round-up Allocator



Wendy Diamond
CEO & Founder
Women's Entrepreneurship Day Organization

(WEDO) / #ChooseWOMEN, a non-governmental volunteer organization and global initiative celebrated in 144 countries, and universities/ colleges worldwide with the mission to economically empower WOMEN in business to alleviate poverty.

She is the founder of LDP Ventures (SFO) investing in social impact disruptive technologies and funds making a positive imprint on this world including: Perceptive Capital, Bidversity, OpenGrants, Light Line Medical, Sensorium, EFF Ventures, ASALP, Pocket Network, Producers Market, CoinFund, Basepaws, OP Crypto, Crescent Capital, Valt Fund, Gaingels, Waggit, QuantumRock Technologies, Tooth and Tailz, LOOK Lateral Art, IPWE, Trust Circle, Blocktower Capital, Infinigon Group, SAFE Health, Farma Trust, Breathometer, IGP Energy, Snakt and many more!

Wendy has authored ten widely celebrated books, garnered three Guinness World Records, and has appeared in media outlets including CNBC, Oprah, NBC's Today Show, Bloomberg, The New York Times and Forbes. She has also been a featured keynote speaker at the United Nations, Davos, and Harvard University.

Wendy sits on the Boards of Ellis Island Honors Society, Global Alliance For a Sustainable Planet (GASP), Girls Club Capital, Global Women in Blockchain, Humane Society of New York, and Grey Muzzle Foundation.

Prior to WEDO, after learning 12 million animals were euthanized annually, Wendy founded Animal Fair Media, Inc the premiere pet lifestyle media platform bridging celebrity and pop culture to support animal rescue/ welfare.

SPEAKER BIOGRAPHIES

Travis Dowle
President
Maxam Capital Management

Travis Dowle is the President of Maxam Capital Management Ltd., an alternative investment management firm. Travis began his career in 1996 with MK Wong & Associates, which was later acquired by HSBC Asset Management. Travis left HSBC in 2007 to lead public market investments for a family office and private investment group, before he founded Maxam in 2009. Travis is a graduate of the University of Western Ontario and holds the Chartered Financial Analyst (CFA) designation.

Zack Ellison
Managing General Partner
A.R.I. Applied Real Intelligence

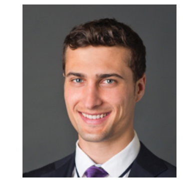
Zack Ellison, CFA, CAIA, is the Founder, Managing General Partner, and Chief Investment Officer of Applied Real Intelligence ("A.R.I."). A.R.I. is a Los Angeles-based venture debt investment manager focused on providing financing solutions to innovative, high-growth, VC-backed companies in recession-resistant sectors and underserved regions. A.R.I. has dual missions of: (1) democratizing the availability of capital for all types of founders, including women and minorities; (2) providing the fund's investors with unique access to "innovation" as an asset class, superior risk-adjusted returns, security of capital, and strong portfolio diversification benefits. Mr. Ellison leads A.R.I.'s investment activities, including sourcing, due diligence, structuring, execution, and portfolio management.

Previously, Mr. Ellison was Director of U.S. Public Fixed Income at Sun Life Financial, where he was responsible for corporate credit investing. Prior to Sun Life Financial, he was a corporate bond and credit default swap trader at Deutsche Bank. During the Global Financial Crisis, he was a banker focused on leveraged loans within the media and telecom sectors at Scotiabank.

Mr. Ellison is a frequent speaker at financial industry conferences, where he has presented his views on how companies and the financial markets need to innovate, adapt, and evolve to optimize risk and return. He has been a featured speaker at events hosted by CFA, CAIA, AIMA, Risk Magazine, Euromoney, Bloomberg, TABB Forum, 100 Women in Hedge Funds, Women in Fund Finance, WBR's Fixed Income Leaders Summit, and Private Equity Wire, among others.

Mr. Ellison holds an MBA from The University of Chicago Booth School of Business and an MS in Risk Management from New York University's Stern School of Business. He has earned the Chartered Financial Analyst (CFA) and Chartered Alternative Investment Analyst (CAIA) designations and currently serves as a Board Member of the CFA Society of Los Angeles, a Board Member of the Southern California Chapter of the CAIA Association, and the West Coast Regional Director of the Hedge Fund Association. Additionally, he is the Chair of the CIO Advisory Council and Chair of the University Relations Committee with CFA Society Los Angeles. He sits on various leadership sub-committees of Tech Coast Angels (TCA), the largest angel investing group in the United States.

SPEAKER BIOGRAPHIES



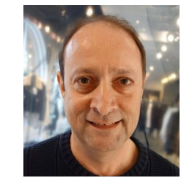
Matt Goldklang
Climate Scientist
Man Numeric

Matt joined Man Numeric in 2021. Prior to Man Numeric, he worked in climate risk analytics at Rhodium Group, where he gained experience working with climate impact modeling.

Matt received a bachelor's degree in geology and geophysics and a certificate in energy studies from Yale University. He later received a master's degree in climate change from the University of Copenhagen, where he worked on climate change impacts and machine learning research.

Ian Garmaise
Canadian Marketing & Sales Representative
Virtual Film School

Start-up Round-up Founder



Ian has a broad tech background, and has extensive Edtech experience, in teaching, technical, marketing and recruiting roles. Career-long involvement with startups, as founder, employee and consultant. Strong interest in supporting the educational needs of the Canadian Indigenous Community.

Tec Han
Chief Investment Officer
Vibrato Capital

Start-up Round-up Allocator

Tec is the Chief Investment Officer of Vibrato Capital LLC, a single family private investment office that oversees both tax-exempt and taxable portfolios. Prior to Vibrato Capital, Tec was a senior analyst for Clark Enterprises, the National Railroad Retirement Investment Trust, and Cambridge Associates. Mr. Han graduated from Vassar College with a B.A. in Economics and earned his M.B.A from the Johnson School of Management at Cornell University and the Smith School of Business at Queen's University through the combined EMBA Americas Program.

Lakhveer Jajj
Founder & CEO
Moselle

Start-up Round-up Founder

With a decade of experience in the startup tech community, Lakhveer is the Founder and CEO of Moselle.

After graduating Computer Science at Ryerson University, Lakhveer founded tech startups Sportlete and Sunview Labs. He joined CareGuide as a foundational member of the team, and eventually led the HeartPayroll product as Director of Engineering. Following CareGuide, Lakhveer joined Highline Beta and built up various corporate co-creations, including Relay, a reinsurance startup.

With ample technical and product experience behind him and a strong aptitude for problem solving, Lakhveer is an expert at building technology that can quickly scale and last.

He supports the next generation of technical leaders and entrepreneurs through mentoring at NEXT.

Neville Joanes
Managing Partner
Willow

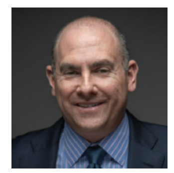
Start-up Round-up Founder

Neville joined the executive team at Willow from CI Direct Investing, where he served as president. He is well known for cofounding WealthBar, one of Canada's first robo-advisors, where he played a crucial role in their success and ultimate acquisition by CI Investments in 2019.

Neville applied his knowledge and experience of ETFs, capital markets and portfolio construction to manage a platform of diversified portfolios, which delivered a positive performance track record to benefit thousands of Canadians. He is also a CFA charterholder.

Neville has already changed the way Canadians work with their advisor, and now he wants to change the way they invest in real estate.

Joshua Kestler
President
Innocap



Josh is currently the Head of HedgeMark and will become President of Innocap upon closing of the transaction between these two entities later this summer. As President, Josh will be responsible for DMA platform operations and onboarding, product development, business development, marketing, client coverage and Innocap for change. He will lead all client, product and service-related activities. As the Head of HedgeMark, Josh has been responsible for overall management of the HedgeMark business. He was responsible for strategically developing the HedgeMark DMA platform and helped build it from an idea into the largest DMA platform in the industry. Josh has more than 21 years of experience in the hedge fund industry and 18 years of managed account experience. Prior to joining HedgeMark, Josh spent 8 years at Deutsche Bank serving in various senior roles including Head of Managed Account Platform Operations for Deutsche Bank's X-Markets Hedge Fund Platform in the U.S. and Chief Administrative Officer of DB Advisors Hedge Fund Group. He began his career as an associate in the Investment Management Group of New York law firm, Schulte Roth & Zabel LLP. Josh has authored numerous articles on the subject of dedicated managed accounts and has been a featured speaker at many managed account industry events. He is the Co-Founder and Co-President of Trial Blazers for Kids and a board member of Cannonball Kids cancer, both of which are foundations focused on pediatric cancer research. Josh received a J.D., cum laude, from the University of Pennsylvania Law School and a BA, summa cum laude, from Rutgers College.

Yasir Khushi
Chief Financial Officer
ARMS Group

Start-up Round-up Allocator

Yasir Khushi is the Chief Financial Officer at Arms Group, a Dubai based Emirati single family office with a diversified portfolio of assets across various industres including energy & environment, engineering & manufacturing, services & trading, real estate, landscaping and auctioneering. Reporting directly to the Chairman, he is very active in managing the firm and its investment strategy.

Yasir received an MBA from London Business School in UK and holds a CPA qualification from CPA Canada.

SPEAKER BIOGRAPHIES

Hyewon Kong
Vice President, Responsible Investing
IMCO

Hyewon Kong is a vice president and Head of Responsible Investing at the Investment Management Corporation of Ontario (IMCO), which manages over $70bn of Ontario public-sector pension funds, where she leads environmental, social and governance (ESG) and sustainable investing strategies across all asset classes. With over 20 years of experience in both corporate and investment management in Asia, Europe and North America, Hyewon has been an ambassador of sustainable investing as an experienced portfolio manager and a subject matter expert on ESG.

Hyewon serves on the Board of Directors of the University of British Columbia (UBC) Investment Management Trust and on the Environmental Finance Advisory Committee at the University of Toronto. She also lectures on environmental finance and sustainable and impact investment courses. She co-founded and chairs Canadian Responsible Investment Working Group and has been named as Canada's 2020 Clean 50 leaders for creating broad collaborations in the Canadian financial industry to drive a sustainability agenda.

Michael Kosic
Founding Partner
Loyal VC

Start-up Round-up Allocator

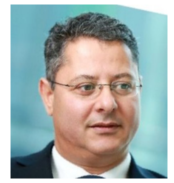
Michael is one of the two managing directors of Loyal VC LP, Loyal VC operates a Tech and Impact fund with 225+ investments across 50+ countries. The fund is designed to minimize systemic bias inherent in the investment process, unlocking greater returns. The fund has delivered strong returns since its launch in 2018, thanks to its collaborative, staged investment process, its network of 650+ advisors, and its global relationships with the Founder Institute, the world's largest pre-seed accelerator, and INSEAD, rated the #1 non-US business school for unicorn founders by Pitchbook. The fund's portfolio is diverse across a number of dimensions: >30% of the portfolio have a woman CEO, >25% are from emerging markets, and around 90% address one or more of the UN's Sustainable Development Goals (SDGs).

Michael is a lifelong entrepreneur who has successfully led the conception, ramp-up, and growth of both independent start-ups and internal divisions of existing companies in the internet, mobile, retail banking, and high-tech space in North America and abroad. Before starting Loyal, Michael was an active Angel investor and startup mentor for multiple entrepreneurs and did his "Corporate Tour of Duty" at CIBC as a Senior Director. He is a professional Industrial Engineer and an INSEAD MBA.

Andrew Labbad
Portfolio Manager
Wealhouse Asset Management

Andrew James Labbad, CFA is the portfolio manager of Amplus Credit Income Fund. Mr. Labbad joins Wealhouse after almost a decade at TD Securities, where he was a Director of Credit Trading. A native of Montreal, Mr. Labbad is fluent in both French and English. Mr. Labbad received his Master of Business Administration from John Molson School of Business at Concordia University and Bachelor of Engineering from McGill University.

SPEAKER BIOGRAPHIES



Harris Lambouris
Founding Partner CEO
Laertes Health

Founding Partner and CEO of Laertes Health LLP, a private investment company aiming to develop healthcare projects in the MENA region. Sets standards of excellence and alignment of the company's vision and strategy. Collaborates with family offices to develop the business, creating opportunities and maintaining professional excellence.

He is also a partner with hhm-consult, an advisory practice. As a trusted partner of choice continuously looks for innovations and improvements. Builds senior level networks and relationships with clients and partners. Engages and advises startup companies and green field projects helping them achieve their goals and objectives during the business cycle.

He has many years of international experience in management consulting/strategy. He combines the financial rigor of a Big 4 firm with the analytical skills and people leadership experience. Led healthcare strategy and directed projects during their planning, design and development stage. Served as a member of Deloitte (LSHC) Team for almost 10 years in MENA region. During his tenure he led the operational and commercial advisory and led large scale pre & post transaction projects.

Education:
Corporate Financial Strategy at INSEAD Business School, France
MSc in Management Research - Cardiff, UK
MBA in Finance Adelphi University, New York
BA in Accounting and Economics, (Queens) City University, New York

Jason Landau
Portfolio Manager
Waratah Capital Advisors

Jason joined Waratah Capital Advisors in 2010. Jason is a shareholder as well a member of the firm's Executive Committee. He manages all energy sector investing at Waratah, is the lead Portfolio Manager on the Waratah Alternative ESG fund and leads the investment team.

Prior to Waratah, Jason worked at TD Securities as an Associate in Investment Banking and Equity Capital Markets. During his time at TD, Jason was involved in domestic mergers and acquisitions, as well as the structuring and pricing of equity securities, convertible debentures, and preferred shares. Jason received an Honours Business Administration degree from the Richard Ivey School of Business at the University of Western Ontario.

SPEAKER BIOGRAPHIES

Brandon Laughren
Co-Founder & CEO
The Laughren Group

Brandon K. Laughren, Managing Director, Co-Founder and CIO of the Laughren Group, a single family office with investments private equity, private debt, venture capital and real estate. In addition, he serves as a mentor for two startup accelerators. Prior to co-founding the Laughren Group, Mr. Laughren founded and managed Stone Laughren LLC, a private equity and venture capital advisory firm. Mr. Laughren has acted as a transaction advisor and an investor for 30+ years. He has been involved in M&A transactions totaling more than $50 billion in value and debt and equity raises in excess of $10 billion.

Song Ann Lim
Head of Investments, Chief Compliance Officer
Enoch Wealth

An entrepreneurial investment professional with extensive expertise and business experience honed over 2 decades, Song oversees Enoch Wealth's strategic and investment matters. His experience spans across various specialist and management roles within the Investment Banking divisions of Singaporean Banks, dealing in equities, fixed-income, foreign exchange, futures, options and other derivatives and structured products. After his banking days, Song was the founder and leading partner of a boutique investment firm focusing on Pre-IPO/RTO investing/listing, capital markets advisory and M&A. On the side, he is an avid reader and a classical music fanatic who enjoys co-managing his family's investments and advising businesses.

Les Marton
Managing Director, Client Consulting
bfinance Canada

Les is the Managing Director and Lead Client Consultant in bfinance's Canadian institutional investment consultancy practice.

He has worked in financial services for more than 35 years, with more than two decades of experience in asset management. He has extensive experience on the buy-side, having managed the Scotia Alternative Asset Fund from 2001-2003, launched the Scotiabank Canadian Hedge Fund Index and was Head of Operational Due Diligence for Scotia Capital's alternative assets business. Les has also worked extensively with institutional allocators in a range of advisory roles throughout his long and varied career. Prior to joining bfinance in 2017 he held senior roles at Scotiabank including Managing Director, Head of Hedge Fund Consulting & Capital Introduction and Head of the Global Alternative Asset Group. He began his career at Royal Bank of Canada and RBC Capital Markets.

Les has been a frequent speaker at pension investment conferences on a wide range of topics. He has eclectic tastes in literature and music, is an avid sports enthusiast as well as a keen (though unaccomplished) golfer. In the dim mists of time he received an MBA from York University and a Bachelor of Arts in Philosophy and English from the University of Toronto, Trinity College.

SPEAKER BIOGRAPHIES

Robert Morgan
Managing Director
50 South Capital Advisors

Robert Morgan is the Managing Director of 50 South Capital Advisors, the wholly owned subsidiary of Northern Trust focused on alternatives investments He has management responsibility for the firm and is Chair of the Investment Committee. He had previously been Director of Private Equity at Northern Trust, a position he held since co-founding the Private Equity funds group in 2000 and an area in which he remains heavily involved.

Prior to joining Northern Trust, he worked as a Director at Frye Louis Capital Advisors, LLC (“ a Chicago based private equity investment manager, and was responsible for all of the operations of FLCA, including the management of a private equity fund of funds. Prior to joining FLCA, Bob worked for Heller Financial, Inc a middle market commercial finance company which was later acquired by General Electric. Bob was a Senior Vice President at Heller and was responsible for its private equity programs. Within Heller, Bob held several roles, including positions in the Corporate Finance Group, Corporate Credit and Heller Equity Capital Corporation, Heller’s captive private equity fund. While at Heller, Bob also oversaw a direct equity co-investment program.

Prior to attending business school, Bob worked for a commercial bank in North Carolina. He has invested in hundreds of private equity funds covering the buyout, venture capital, credit, distressed debt, real estate and international markets. Bob sits on the board of the Illinois Venture Capital Association and several fund advisory boards. He received his BA in Economics from Wake Forest University and an MBA from Emory University.

David Mucciardi
Vice President, Institutional Markets
Peakhill Capital

David Mucciardi is Vice President, Institutional Markets at Peakhill Capital. Peakhill Capital is a real estate investment manager and commercial mortgage lender, having originated over $1.2 billion in Canadian commercial mortgages in 2021. David brings over 15 years of investment and relationship management experience and helps run the Peakhill Income Opportunity LP portfolio, Peakhill’s flagship lending vehicle suited for institutional and family office investors.

Prior to joining Peakhill, he served as Vice President, Business Development and Investor Relations at a private farmland manager, helping grow the firm’s capital invested in private Canadian farmland to over $350 million. He also has experience counselling high-net worth investors at a large Canadian financial institution.

David has a Bachelor of Commerce degree in Finance from Concordia University and is fluent in English and French. He is a CIM (Chartered Investment Manager) as well as CMT (Chartered Market Technician) charterholder.

SPEAKER BIOGRAPHIES

Nithin Mummaneri
Co-Founder & CEO
Infinity Loop

Start-up Round-up Founder

Nithin Mummaneni is a finance professional with a passion for procurement. He is the Lead Program Manager on Intelligent Automation and AI at Compass. Most recently, Nithin served as a Senior Consultant for various Private Equity firms and their portfolio companies saving over $100M in procurement negotiations within 3 years. Nithin holds a Bachelors of Commerce (Honors) in Finance with a Minor in Economics from Carleton University.

Michael Nicks
Deputy CIO
Pepperdine University Endowment

Michael Nicks is the Director of Investments for Pepperdine University’s Endowment. He is responsible for the endowment’s hedge fund investments, including the portable alpha strategy and a diversifying portfolio of market-independent investments. In addition, he is responsible for modelling, quantitative analysis and special investment projects for the overall portfolio. In 2015, he was named one of Trusted Insight’s Top 30 University Endowment Investors in Hedge Funds. He began managing this portfolio in 2005, and has been with the University since 2003.

In addition to his investment duties, Mr. Nicks has taught investments at Pepperdine, served on the curriculum committee and as a senior grader for the Chartered Alternative Investments Analyst (CAIA) designation, and is a current member of the Alternative Investment Management Association (AIMA) Investor Steering Committee. Mr. Nicks earned his B.S. and M.B.A. (Finance) from Pepperdine’s Graziadio School of Business, and holds both the CAIA and CFA charters.

Matthew O’Hara
Head of Portfolio Management and Research
Unison Investment Management

Matt is responsible for all investments at Unison Investment Management and its associated research. Matt’s most recent engagement has been to run the LifePath series of funds at BlackRock. LifePath is a multi-asset (target date) series of funds with global reach and over $300B in assets. The funds were gold rated by MorningStar and carried morningstar star ratings ranging from 3 to 5 (the highest rating). In addition, they were buy-rated by all major consultants. Matthew also spoke frequently on the subject of retirement savings and retirement spending.

He worked at BGI/BLK starting in 2003 when he graduated as valedictorian from the Masters in Financial Engineering program at UC Berkeley’s Haas School of Business to February 2021. In addition to running the LifePath series of funds, Matt developed the CoRI retirement indexes and the associated analytics and interface surrounding them known as iRetire. Previous to his work in multi-asset portfolio management and retirement innovation Matt worked in the quantitative fixed income group at BGI. His work included researching, assisting in the launch of and running of BGI’s flagship quantitative credit long/short fund. He has also researched and launched funds in the government bond and securitized credit spaces. He has also consulted in the single family residential FinTech space over the years.

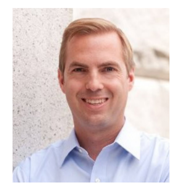
He has also served on the board of the CFA Society of San Francisco and served as that board’s president.

Mukul Pal
Founder & CEO
AlphaBlock

Start-up Round-up Founder

Mukul has spent over 20 years in global financial markets, in investment management capacities, working from 2000-2004 for the Bombay Stock Exchange, HDFC, and various financial institutions in India, from 2005-2010 consulting European asset managers and securities divisions of financial institutions like Société Générale, Raiffeisen, Uniqua Insurance, Bucharest Stock Exchange, Bank of Transilvania and starting 2011 building Active Investing solutions for various wealth managers in North America.

He has published extensively, filed several patents, holds an MBA in Finance, a master's in applied econometrics and statistics, the CMT and CAIA designations, and has been awarded a top fintech award from MIT in 2016. In his current role, he runs AlphaBlock, a fintech company that in its first application is revolutionizing asset management by offering alpha at zero transaction costs and zero management fees to institutional and individual investors.



Phil Pothier
Partner and Senior Investment Due Diligence Analyst
Albourne Partners

Phil Pothier joined Albourne in August 2011 and is an Partner and Senior Investment Due Diligence Analyst on the Real Assets team based out of the Toronto office. Phil focuses on commodity, oil & gas, energy transition, and infrastructure strategies across both liquid and illiquid alternative investments, providing research to a wide range of clients including family offices, foundations, endowments, and public/private pension plans. Prior to Albourne, Phil previously held roles at Moody's Analytics AXIS, Keel Capital, and John Hancock/Manulife.

He has 21 years of investment and financial services experience and holds an undergraduate degree in economics and mathematics from Dalhousie University. Phil is a CFA Charterholder and an Associate of the Society of Actuaries.

Kapil Dev Ramgirwar
Co-Founder & CFO
Rosseau Group

Start-up Round-up Founder

Kapil is the co-founder and CFO at Rosseau Group, a virtual currency mining, high performance computing and energy services company. Rosseau is an engineering-led start-up focussed on optimising energy and compute markets.

Prior to Rosseau, Kapil worked at big 4 professional services firms (PwC, EY and KPMG) with extensive experience in serving institutional alternative assets management clients in North America and Europe. Most recently he was senior manager at KPMG Toronto in their financial services assurance practice. He was also a key member in leading and developing crypto assurance practice for KPMG.

Kapil also served as a contributing member of CPA Canada's crypto assurance working group. He is a CPA, CA and a CFA charterholder.

Wassim Sakka
Head of CTA and Global Macro
Amundi Asset Management

Wassim Sakka is Head of CTA and Global Macro Research at Lyxor Asset Management Inc., an independent subsidiary of Amundi. He was previously at Lyxor Asset Management S.A.S. in the same current role since 2013. Prior to this position, he worked within Lyxor's structured products department focusing on ETFs. Mr. Sakka joined Lyxor Asset Management S.A.S. in 2008 as a Hedge Fund Analyst specializing in CTA and Global Macro strategies. Mr. Sakka relocated to New York in 2017 covering CTA, Global Macro, Risk Premia and Fixed Income strategies. Before joining Lyxor, he worked at Sophis as a Trading and Risk Software Analyst.

Mr. Sakka holds an Engineering degree in Computer Science and Applied Mathematics from ENSEEIHT and Polytechnique Montreal. He also holds a Master's in Asset Management from Dauphine University.

Oren Shiran
Managing Director, Portfolio Manager/Analyst
Lazard Asset Management

Oren Shiran is a Portfolio Manager/Analyst on the Lazard Systematic Equity team. Oren began working in the investment field in 2005. Prior to joining Lazard in 2019, Oren was a co-founder and managing partner with Baylight Capital. Prior to Baylight, Oren was a founding principal and portfolio manager at QM Capital, a firm founded in 2005 that developed quantitative trading strategies.

Prior to QM, Oren was a PhD candidate in Computational Mathematics at Stanford University. Oren has a Master's Degree in Computational Mathematics from Stanford University and a Bachelor's Degree in Electrical Engineering & Computer Science from University of California, Berkeley.

Christian Skogen
Chief Risk Officer
Trez Capital

As the Chief Risk Officer, Christian is accountable for the management and oversight of all credit and investment risk exposures undertaken by Trez Capital on behalf of its sponsored investment programs. Christian's oversight and leadership ensures our organization builds and maintains strong, sustainable, and balanced investment portfolios that optimize risk adjusted returns.

Christian has 20 years of diverse commercial lending experience, with in-depth knowledge in credit structuring, risk and portfolio management. He has spent the last 15 years at Bank of Montreal (BMO) in a variety of progressively senior commercial banking and credit risk management roles, including Head of Credit Structuring and Portfolio Management, Commercial Banking where he had credit risk oversight and defined the risk appetite for an $80-billion commercial portfolio, including $20-billion in commercial real estate. Other notable posts at BMO include: Head of Syndications, Head of Commercial Credit Western Canada and National Director for Special Account Management Unit.

Christian holds an Honors B.A. in Economics from the University of Toronto, and an MBA from the Richard Ivey School of Business

Alik Sokolov
Co-Founder & CEO
SR-AI

Start-up Round-up Founder

Alik's professional background is in consulting, as a machine learning technical lead with Deloitte's Omnia AI group, and venture capital as a research associate in one of Peter Thiel's funds. Alik is also a seasoned AI project leader and educator in the machine learning field, having taught and developed the machine learning course at the University of Toronto Master's of Mathematical Finance program, as well as many workshops and classes around the world. Alik is also a PhD candidate at the University of Toronto, studying applications of machine learning in quantitative finance and he has several publications at the intersection of quantitative finance, AI, and responsible investing.

Kunal Soni
Managing Director
Morgan Stanley Investment Management

Kunal Soni is a Managing Director of Morgan Stanley and a member of the Private Credit Platform of Morgan Stanley Investment Management (MSIM). Mr. Soni joined Morgan Stanley in 2019 and is a member of the Private Credit Investment Committee.

Prior to Morgan Stanley, Mr. Soni was Head of the Western Region for the Direct Lending Platform of The Carlyle Group from 2015 to 2019. Prior to Carlyle, Mr. Soni was a senior investment professional in the asset management industry focusing on credit since 2007. From 2005 to 2007, Mr. Soni was a Principal at Bison Capital Asset Management focusing on growth investments in middle market companies.

Prior to Bison, Mr. Soni worked at J.P. Morgan and KPMG LLP.

Mr. Soni graduated from Emory University in 1998.

Daniel Stow
President
Zen Capital & Mergers

Start-up Round-up Allocator

Daniel Stow is the Chief Investment Officer at Zen Capital & Mergers. His primary job functions include looking for new investments, and opportunities while managing the balance of the portfolio. Zen Capital & Merger's mission is to seek investments that meet their return requirements in conjunction with looking for quality management and integrity. As the Chief Investment Officer, Daniel is responsible for monitoring, managing, and developing investments in alternative assets.

Daniel proactively keeps up to date with market development and regulation changes. He has confidence in dealing with board members, committees, and external fund managers. As a consequence of his 7 years' experience with the company, Daniel has a seasoned perspective on how to manage an international portfolio with investments in both public and private companies. He has a Bachelor of Business Administration Honours with Distinction in International Business and Finance. In addition, Daniel also holds the CSC accreditation from the Canadian Securities Institute.

When he is not keeping busy at Zen Capital & Mergers he enjoys getting outside and enjoying the beautiful nature British Columbia has to offer.

Jordan Villarreal
Senior Research Associate, Canadian Private Markets
Preqin

Jordan oversees the Canadian fund manager dataset for Preqin. Preqin is a leader in industry data and insights within the alternative assets space. Jordan's experience includes working with Canada's market leaders to gather key data and intelligence. He joined Preqin in 2020 and helped spearhead the creation of the dedicated Canadian research team. Jordan studied at the University of Western Ontario and holds a bachelor's degree in Economics from Indiana University.

Barton Wallace
Portfolio Manager
Caisse de dépôt et placement du Québec

Barton Wallace joined CDPQ in 2019, he acts as head of portfolio construction and engineering within the quantitative equity team. His focus is on strategy design, portfolio construction and alpha prediction. Prior to joining CDPQ, he was managing systematic portfolios in the alternative risk premia space, managed futures and tail risk protection. He holds a doctorate in nuclear physics from Laval University, where he developed modern statistical techniques for low-signal high-noise spectrum analysis.

Mee Warren
Chief Portfolio Strategist
Kula Investments

Mee Warren recently joined Kula Investments, LLC as Chief Portfolio Strategist. Kula is an emerging manager that recently launched Kula Equities Market Neutral Fund with a data driven systematic investment approach. While her role is multifaceted, she is focused on all aspects of the investment process – from portfolio construction, model evaluation, and trade execution. She is excited to do what she loves and with a team that is talented as well as dedicated.

Prior to joining Kula, Mee was President of Bodhi Research Group. She worked with clients such as large pension funds and single-family offices on portfolio diagnostics, best practices in trade execution, and portfolio manager evaluations in the alternative investment space.

Mee started her career at Two Sigma Investments, LLC, joining as its 30th employee in 2003. During her 15 years with Two Sigma, the firm grew from $100 million to over $55 billion in AUM. Mee served several roles at Two Sigma culminating in her position as Global Equity Regional Manager where she was involved with portfolio construction, trade execution, and developing investment strategies. Prior to this role, she managed the US and Americas Equities Trading desk, and traded global equities, commodities, currencies, and derivatives instruments

Mee graduated with a Bachelor of Science degree from the University of Minnesota's Carlson School of Management. Mee is a member of the National Organization of Investment Professionals (NOIP), CFA Society of New York, 100 Women in Finance, and Women in ETFs. She is also on several non-profit boards.

SPEAKER BIOGRAPHIES

Ian Whytock
Co-founder and Managing Partner
Tidal Venture Partners

Start-up Round-up Allocator

Ian is Tidal's Managing Partner and based in Halifax, Nova Scotia. Tidal is a pre-seed to seed venture fund that focuses on investing in the emerging ecosystem of Atlantic Canada. Prior to co-founding Tidal, Ian was a strategy consultant at a boutique management consultancy. His practice focus was on advising early-stage companies on their go-to-market, scale-up and business development strategies. He is a graduate of the VC Lab programme, the world's leading VC fund manager accelerator, and the first graduate from Canada.

Ian completed his BA in Philosophy at the University of King's College, and went to do his graduate work at Stellenbosch University in South Africa, where his research focused on emerging market economy transitions.

Justin Yagerman
Executive Director – Head of Business Development
Invesco Private Capital

Justin Yagerman is an Executive Director for Invesco Private Capital and Head of Business Development for the group. In this role, he leads a variety of global functions, including product development and structuring, client and market strategy, business development, investor relations, and fundraising across the private capital business. His focus is on the growth of Invesco's venture capital, emerging manager, and other private capital activities.

Mr. Yagerman joined Invesco in 2018. Prior to joining the firm, he held the position of senior vice president of investor relations and business strategy at Aegean Marine Petroleum Network Inc., now owned by Mercuria. Before that, he was an executive director and lead client portfolio manager at J.P. Morgan Asset Management, in charge of strategy and business development for transportation and maritime investing. Prior to that, Mr. Yagerman held the position of senior equity research analyst covering the transportation and shipping industries at several firms, including Bear Stearns & Co. Inc., Wachovia Securities (now Wells Fargo), and most recently, Deutsche Bank Securities Inc. Mr. Yagerman has been recognized for his research by Institutional Investor magazine, as well as StarMine, and has made television appearances on both CNBC and Bloomberg. He has been in the industry since 2001.

Mr. Yagerman earned a bachelor's degree in government from Cornell University and holds the Series 7, 63, 66, 86, and 87 registrations.

SPEAKER BIOGRAPHIES

Eugene Zhang
Founding Partner
TSVC

Start-up Round-up Allocator

Eugene Zhang is a Founding Partner of TSVC, a WMBE early-stage venture capital firm founded in 2010. Since inception, TSVC has invested in 188 startups across primarily deep tech, fintech and health tech sectors with 6 unicorns ($1 billion+ valuation), including, Zoom, Carta, Ginkgo Bioworks, Quanergy, Plus and Iterable. Eugene's investment focus is on emerging technologies and FinTech as he continues keeping his work on gender diverse teams close to heart for coming cohorts.

He has led investments in over 70 startups including ZOOM, Quanergy, Lex Machina, Trusper, TrustGo, Carta, Ginkgo Bioworks, Iterable, Gaatu, EquityZen, 17Zuoye and GigaDevice while serving on multiple boards including Gaatu and Tsinghua Entrepreneur & Executive Club (TEEC).

SPEAKER BIOGRAPHIES

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MEMBER DIRECTORY

The diversity, drive, experience, and resources of our members is what makes CAASA happen. The CAASA staff literally work all day to keep up with their ideas for advocacy and service offering initiatives; events of all sizes, on all topics, in many cities across Canada and elsewhere; and creating an environment where they can support each other and the industry at large.

Thank you!

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Member Since 2021

Tacita Capital Inc. (Individual) - *Multi-family Office*
Edwin Wong, *Senior Portfolio Manager*
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Member Since 2021

Timberline Equities - *Single Family Office*
Adam Halbert, *Principal*
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Member Since 2020

Ullman Wealth Management - *Multi Family Office*
Lawrence Ullman, *Chief Executive Officer*
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Member Since 2018

Vibrato Capital - *Single Family Office*
Tec Han, *Chief Investment Officer*
Portland, Oregon
Member Since 2019

Wealth Stewards - *Wealth Manager*
Bob Simpson, *Vice President, Portfolio Strategy*
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Member Since 2021

Westcourt Capital Corp. - *Multi Family Office*
Robert Janson, *Co-CEO & Chief Investment Officer*
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Member Since 2021

Yameba Capital - *Single Family Office*
Danny Freedman, *Vice Chair*
Member Since 2022

Zen Capital & Mergers Ltd. - *Single Family Office*
Daniel Stow, *Chief Investment Officer*
Vancouver, BC daniel.stow@zen-capital.ca (604) 603-4433
Member Since 2019

A number of CAASA members in each category prefer to be non-public and are not recorded in this document.

MEMBER DIRECTORY

ASSET MANAGERS

Early Joiner

3iQ Digital Asset Management - *Digital Asset Manager*
Frederick Pye, *Chairman & CEO*
Toronto, Ontario fpye@3iq.ca (416) 639-2130
Member Since 2018

Accelerate Financial Technologies - *Innovative ETFs*
Michael Lee Hing, *Chief Operating Officer*
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Member Since 2021

Actis - *Growth Markets Sustainable Infrastructure and Real Estate*
Maureen O'Toole, *Head of Investor Development, Americas*
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Member Since 2020

Adaptive ETF - *Global Tactical Portfolio Solutions*
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Member Since 2021

ADI Capital Partners - *Real Estate Fund Manager*
Ryan Gonsalves, *Chief Operating Officer*
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Member Since 2018

AGF Investments, Inc. - *Fundamental, Quantitative & Alternative Strategies*
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Member Since 2019

AGinvest Farmland Properties Canada
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Member Since 2020

Agriroots Capital Management Inc. - *Agri-lending*
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Member Since 2021

Early Joiner

Algonquin Capital - *Credit Long-Short Fund Manager*
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Member Since 2018

Early Joiner

Alignvest Student Housing - *Private REIT*
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Member Since 2018

Allianz Global Investments- *Alternative Fund Manager*
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Member Since 2020

Alquity Investment Management - *ESG and Impact Investing*
Renee Arnold, *Executive Director*
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Member Since 2020

MEMBER DIRECTORY

ASSET MANAGERS

Signature Member

Amundi Asset Management - *Alternatives Manager*
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Member Since 2018

Antrim Investments - *Mortgage Investment Corporation*
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Member Since 2020

Applied Real Intelligence (A.R.I.) - *Venture Debt*
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Member Since 2021

AQR Capital Management - *Quantitative Investment Manager*
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Member Since 2020

Arcis Capital Partners - *Technology Growth Equity*
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Member Since 2020

Arxnovum Investments Inc. - *Cryptocurrency Funds*
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Member Since 2022

Ascendi Capital - *Real Estate Fund Manager*
Adeola Oladimeji, *Managing Partner*
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Member Since 2021

Aspect Capital - *Systematic Asset Manager*
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Member Since 2019

Signature Member

Avenue Living Asset Management - *Private Real Estate*
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Member Since 2019

Axia Real Assets LP - *Real Estate*
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Member Since 2021

Axonic Capital - *Credit Long-Short*
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Member Since 2019

Bedford Park Capital - *High Conviction Manager*
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Member Since 2021

MEMBER DIRECTORY

ASSET MANAGERS

BMO Asset Management - *Fund Manager*
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Bridgeport Asset Management - *Alternative Asset Fund Manager*
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Member Since 2021

Brightspark - *Venture Capital*
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Member Since 2019

Brompton Funds - *Alternative Funds*
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Member Since 2022

Cacoeli Asset Management - *Opportunistic Real Estate Investments*
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Member Since 2021

Calvert Home Mortgage Investment Corporation - *MIC*
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Member Since 2022

Cameron Stephens - *Private Lending*
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Member Since 2021

Canadian Urban Limited - *Commercial RE, Asset & Property Management*
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Member Since 2022

Carlisle Management Company S.C.A. - *Life Settlements*
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Member Since 2020

Celernus Investment Partners Inc. - *Real Estate and Private Lending*
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Member Since 2019

Centurion Asset Management Inc. - *Real Estate and Private Lending*
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CI Global Asset Management - *Traditional and Alternative Investments*
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Member Since 2018

MEMBER DIRECTORY

ASSET MANAGERS

CIBC Asset Management - *Traditional and Alternative Investments*
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Member Since 2019

Clearfield Capital Management - *Special Situations Fund Manager*
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Member Since 2021

Clifton Blake Asset Management - *Real Estate Asset Manager*
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Member Since 2021

Clocktower Group - *Alternative Asset Manager*
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Member Since 2021

CMCC Global - *Asia Focused Blockchain Venture Capital*
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Member Since 2020

CMLS Asset Management - *Real Estate Debt and Equity Manager*
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Member Since 2019

CoinFund Management LLC - *Early-Stage, Fundamental Crypto Strategies*
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Member Since 2020

Connor, Clark & Lunn Funds, Inc. - *L/S Equity, L/S Income & EMN*
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Member Since 2019

Converium Capital - *Multi-Strategy Opportunistic Hedge Fund*
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Member Since 2021

Corton Capital Inc. - *Forestry Funds*
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Member Since 2019

Cortland Credit Group Inc. - *Private Lending*
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Crabel Capital Management - *Quantitative Asset Manager*
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Member Since 2021

MEMBER DIRECTORY

ASSET MANAGERS

Early Joiner

Crystalline Management Inc - *Multi-Strategy Arbitrage*
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Member Since 2018

Cult Wines - *a fine wine investment firm*
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Member Since 2021

DaVinci Capital Partners - *Alternative Investments Funds*
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Desjardins Global Asset Management - *Traditional & Liquid Alts Funds*
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Diagram Venture - *Venture Builder*
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Donville Kent Asset Management - *Alternative Fund Manager*
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Member Since 2020

Driehaus Capital Management - *Life Sciences Strategy*
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Member Since 2020

Durum Capital - *Diversified Asset Manager with Real Estate Investment Funds*
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Member Since 2021

ehp Funds - *Hedge & Liquid Alts Funds*
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Member Since 2019

Emso Asset Management - *EM public & private credit specialists*
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Member Since 2020

Equiton Capital - *Real Estate*
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Member Since 2020

Espresso Capital - *Technology-Focused Private Debt Fund Manager*
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Member Since 2018

MEMBER DIRECTORY

ASSET MANAGERS

Evolve ETFs - *Innovative ETF Solutions*
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Member Since 2021

Evovest - *Machine Learning Portfolio Manager*
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Member Since 2020

Fidelity Investments Canada ULC - *Traditional & Alternative Funds*
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Member Since 2022

Fiera Capital Corp. - *Fiera Private Alternative Investments*
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Member Since 2018

FirePower Capital - *Private Debt, Private Equity, M&A Advisory*
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Member Since 2019

Firm Capital Corporation
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Member Since 2021

Forstrong Global Asset Management - *Global Macro*
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Member Since 2019

FORT LP - *Quantitative Systematic Fund Manager*
Jean Olivier Caron, *Executive Director, Business Development*
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Member Since 2018

Framework Venture Partners - *Venture Capital*
Peter Misek, *Managing Partner*
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Franklin Templeton - *Traditional and Alternative Funds and Strategies*
Julie Caron, *SVP, Business Development - Institutional Investment Services*
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Member Since 2019

Fulcra Asset Management Inc - *Credit Opportunities Fund*
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Member Since 2018

Galaxy Fund Management - *Digital Asset Investing*
Paul Cappelli, *Portfolio Manager*
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Member Since 2021

MEMBER DIRECTORY

ASSET MANAGERS

Gentai Capital Corporation - *Mortgage Investment Corporation*
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Member Since 2020

Global X - *Thematic, Alternative Income, and International ETFs*
Warner Wen, *Director of Canadian Institutional Coverage*
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Member Since 2021

Graham Capital - *Multi-Strategy, Quantitative & Discretionary Macro Strategies*
Jennifer Ancker Whelen, *Chief Client Officer*
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Member Since 2020

Group RMC - *Real Estate Co-Investing*
Anthony Guarnieri, *Vice-President,Family Offices*
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Member Since 2019

Hamilton Lane Canada - *Private Equity*
Mike Woollatt, *Managing Director, Head of Canada*
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Member Since 2022

HarbourVest Partners - *Private Equity Fund of Funds*
Daniel Conti, *Principal*
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Member Since 2019

Harrison Street - *Demographics-Driven Real Estate & Infrastructure Investing*
Jonathan Turnbull, *Managing Director*
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Member Since 2021

Heard Capital, LLC - *Long-Only & Equity Long-Short*
Priya Kaftan, *Head of Investor Relations & Product Strategy*
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Member Since 2020

Highline Beta - *Venture Capital*
Marcus Daniels, *Founding Partner*
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Member Since 2020

Highwood Value Partners - *PE Approach to International Public Equities*
Desmond Kingsford, *Managing Partner*
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Member Since 2020

Honest Capital - *Concentrated, long-term, long-biased manager*
Shawn Badlani, *Chief Investment Officer*
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Member Since 2021

Horizons ETFs - *Active. Benchmark. Tactical.*
Jaime Purvis, *Executive Vice President*
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Member Since 2019

MEMBER DIRECTORY

ASSET MANAGERS

iCapital Network - *Alternative Investment Platform*
Tom Johnston, *Canadian Market Leader*
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Member Since 2020

ICM Asset Management - *N.A. Real Estate, Music Royalties*
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Member Since 2019

Invesco - *Traditional and Alternative Investments*
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Member Since 2021

Investment Partners Fund Inc - *Alternative Fund*
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Member Since 2020

Invictus Capital - *Digital Asset Manager*
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Member Since 2021

Invico Capital Corporation - *Private Lending Fund Manager*
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Member Since 2019

JM Fund Management - *Long-Short Equity Fund Manager*
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Member Since 2018

Kilgour Williams Capital - *Private Credit Fund Manager*
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Member Since 2019

KULA Investments, LLC - *Quantitative Asset Manager*
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Member Since 2021

Lazard Asset Management - *Alternatives Fund Manager*
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Member Since 2020

Early Joiner
LionGuard Capital Management - *Alternative & Traditional Manager*
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Member Since 2018

Loyal VC LP - *Venture Capital*
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Member Since 2021

MEMBER DIRECTORY

ASSET MANAGERS

Mackenzie Investments - *Traditional and Alternative Investment Strategies*
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Member Since 2018

Make Space Capital Partners - *Self Storage*
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Member Since 2022

Man Group - *Asset Management*
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Member Since 2019

Marret Asset Management - *Asset Management*
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Member Since 2018

Maverix Private Equity - *Growth Private Equity*
Investor Relations
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Member Since 2021

Maxam Capital Management Ltd. - *Value, Catalysts & Arbitrage (Liquid Alt)*
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Member Since 2019

MGG Investment Group - *Private Lending*
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Member Since 2020

MKP Capital Management - *Discretionary Global Macro*
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Member Since 2020

Morex Capital - *Private Lending - Mortgage Investment Corp.*
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Member Since 2019

Morgan Stanley Investment Management
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Member Since 2019

Munro Partners - *Global Long-Short Equity*
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Member Since 2018

Music Royalties Inc. - *Monthly Dividend Paying Music Royalty Company*
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Member Since 2018

MEMBER DIRECTORY

ASSET MANAGERS

Napier Park Global Capital - *Alternative Asset Manager*
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Member Since 2019

Next Edge Capital - *Platform - Private Lending, Biotech, Metals & Commodities*
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Member Since 2018

Northern Trust Asset Management - *Alternative Asset Manager*
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Orchard Global - *Public Fixed Income & Private Lending*
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Member Since 2022

OZ Capital - *Public Fixed Income & Private Lending*
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Peakhill Capital - *Real Estate Debt & Co-GP Equity*
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Member Since 2020

PenderFund Capital Management Ltd. - *Public/Private Asset Manager*
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Member Since 2019

PHL Capital Corp. - *Mortgage Investment Corporation*
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Member Since 2022

Picton Mahoney Asset Management - *Alternative Fund Manager*
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Member Since 2020

Pilot House Capital - *Long-Short Equity Fund Manager*
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Member Since 2018

PlusPlus Capital Management - *Managed Futures / CTA*
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Member Since 2021

Private Debt Partners - *Private Debt*
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Member Since 2020

MEMBER DIRECTORY

ASSET MANAGERS

QKR Capital Management LLC - *Systematic Trading Manager*
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Member Since 2022

Quantica Capital - *Systematic Asset Manager*
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Raiven Capital - *Venture Capital*
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Member Since 2019

ReSolve Asset Management - *Systematic Global Macro Manager*
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Member Since 2019

Rise Properties Trust - *Private Canadian Apartment REIT*
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Member Since 2021

W.A. Robinson Asset Management Ltd.
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Member Since 2022

Robson Capital Management - *Platform - Various Strategies*
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Member Since 2018

RPIA - *Global Fixed Income Experts*
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Member Since 2021

Sagard Holdings
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Member Since 2018

Securian Asset Management
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Slate Securities - *Public & Private Real Estate Investment Management*
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Member Since 2018

Spartan Fund Management - *Alternative Asset Manager - Various Strategies*
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Member Since 2018

MEMBER DIRECTORY

ASSET MANAGERS

Stack Capital - *Venture Capital via Exchange-Listed Company*
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Member Since 2022

Starlight Capital - *Traditional and Alternative Strategies*
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Steepe Capital - *Real Estate*
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Stewart Asset Management - *Concentrated U.S. Equity Growth Strategy*
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Member Since 2021

StoreWest Developments - *Real Estate, Self-Storage, Car Wash*
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Third Point - *Multi-Strat, Corp/Struc Credit, Venture Capital & Activism*
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Tidal Venture Partners - *Atlantic Canada Venture*
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Trans-Canada Capital - *Alternative and Innovative Strategies*
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Triovest - *Real Estate*
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True Exposure Investments - *Alternative Funds*
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TSVC - *Early Stage Venture Capital*
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ASSET MANAGERS

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Vault Credit - *Private Credit*
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Vesta Wealth Partners - *O-CIO and Alternatives Fund Manager*
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WaveFront Global Asset Management - *Alternative Funds*
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Waratah Capital Advisors - *Long-Short Equity and ESG Funds*
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START-UP FOUNDERS

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Continuum.Social - *Social platform powered by NFT and Web 3.0 tech*
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Infinity Loop Technologies Inc - *Smart Procurement Platform*
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Innovfin - *Blockchain Education, Strategy, & Implementation*
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Just Boardrooms - *Meeting Space Marketplace*
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Liquid Avatar® Technologies Inc. - *Creating Value from Online Identity*
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Metis - *Decentralized Platform for Web3 Business*
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Quantropi - *Quantum encryption*
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Virtual Film School - *Filmmaking Training in the Metaverse*
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TMX Group - *Equity, Equity Derivatives, Fixed Income*
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Williams Trading - *Outsourced Trading Services*
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NOTES

CAASA ANNUAL CONFERENCE





TUESDAY, NOVEMBER 1, WEDNESDAY, NOVEMBER 2 & THURSDAY, NOVEMBER 3, 2022

OMNI HOTEL MONTRÉAL, QUÉBEC, CANADA











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MAXIMUM VENUE CAPACITY: 250

NOTES

NOTES

For more information on CAASA membership, initiatives, and events, please contact:

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