

The Canadian Association of Alternative Strategies & Assets - CAASA

November 15, 2021

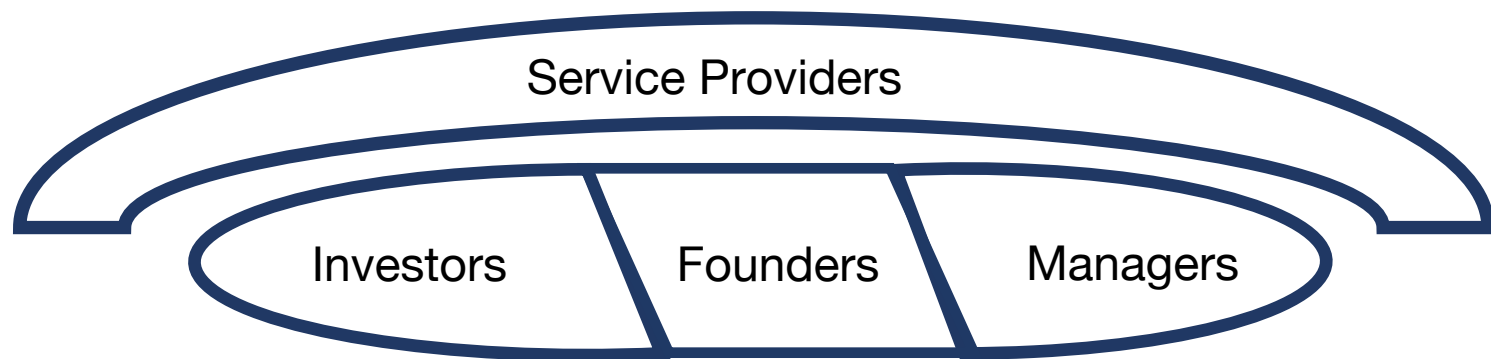


About CAASA



CAASA's mission

The Canadian Association of Alternative Strategies & Assets was formed to bring together alternative investment managers and investors, along with service providers, in a setting conducive to information sharing, discussion and networking, and collaborative initiatives.



About CAASA



Inclusiveness

CAASA represents a broad cross-section of public and private market participants whose offerings are distributed to true retail, Accredited Investor retail, family offices, endowments, foundations, public and private pension plans, and sovereign wealth funds.

Public markets include hedge / alternative strategy asset managers such as managed futures / CTAs, long-short equity, equity market neutral, credit and fixed income funds with varying exposures and arbitrage strategies, multi-strategy, special situations, and others that deal in predominantly public / readily priced / quoted markets.

Private markets include private lending (from factoring and revolvers to longer-term financing), direct and fund-structured real estate and development investments, private equity (including PE debt and PE real estate), alt alts such as weather derivatives, and other areas that may not be readily priced / valued.

About CAASA



Member benefits

For Investors – A network of pension plans, foundations, endowments, sovereign wealth funds, and family offices to network with on a formal and casual basis as well as resources for analyzing managers and services providers.

For Managers – Peer connection in areas such as fund structuring, sales & marketing, and regulatory issues. Access to investors (opt-in for investors) as well as exposure through panels and conferences targeted to particular groups.

For Service Providers – Relevant working groups, event participation / sponsorship, industry thought leadership, and interaction with a wide cross-section of manager and investors.

For Founders - A diverse network of peers and investors (SFOs and VC shops) that can power one's plans from start-up and beyond.

For All – Through our partnerships with the the Professional Centre and the CAIA Association, CAASA Classroom sessions and discounted space as well as access to CAIA's Alternative Investment Analyst Review and discounts on both courses.

About CAASA



From Local to Global – and back again

CAASA's activity is in all areas of Canada, from larger asset management hub, to those with major / a concentration of investors, to others where service providers congregate. Examples include: Toronto, Montréal, Québec, Halifax, Ottawa, Vancouver, Victoria, Calgary, Edmonton, and Winnipeg. It is important for a truly national organization to be engaged in all aspects and regions – and being present in these areas leads to important market intelligence and the ability to tailor CAASA's offering as well as provide insights to its members and stakeholders.

CAASA strongly believes that Canada is a leader in many areas of investment management and can learn from other countries' experts as well and providing domestic and foreign / overseas opportunities where global professionals and organizations can meet and exchange best practices & policies and work together on current issues and trends.

CAASA organizes major conferences in Canada and other events and forums elsewhere.

About CAASA



Governance and Structure

CAASA was created and is controlled by the membership and local staff for the benefit of members and the Canadian alternatives industry at large.

The association's Member Advisory Panel (MAP) works with its staff in formulating and adapting overall strategy, new and continuing initiatives, event and conference themes and formats, and creation of various Member Initiative Groups (MIGs) that focus on particular aspects and/or geographical areas in the Canadian alternatives industry.

CAASA staff are tasked with attending each meeting of the MAP and MIGs, providing logistical, thematic, format, and other support relating to the execution of its activities.

All meetings and activities are governed by the principles of inclusiveness, collaboration, fairness, and elucidation.

CAASA's Activities



CAASA's activities

CAASA serves and represents the alternatives industry in Canada by engaging in member-lead local and national initiatives in advocacy; investor, manager, and industry best practices & policies; committees and working groups dedicated to broad mandates as well as those uniquely Canadian and in areas of national or regional expertise; and production of thought leadership events, publications, and initiatives affecting the Canadian and global alternative investment landscape.

CAASA's Activities



CAASA CE Centre

Developed as part of our continued support of the Canadian alternative investment industry and in partnership with Learnedly, a leading supplier of licensing and accreditation courses and services, we designed the CAASA CE Centre to provide a simple way for Investment Advisors and other professionals to earn the continuing education credits required by their licensing bodies.

Our curated offering includes CAASA podcasts and webinars, as well as selected conference programming and that of our members, resulting in a curated, relevant, and interesting variety of topics – all from the alternative investment arena such as: liquid alternatives, credit & fixed income funds, private equity, private lending, real estate, derivatives, Know Your Product (Compliance Credit), ETFs, crypto-currencies, quantitative trading, portfolio construction, the landscape of alternatives, PE replication, and many more topics. We expect to have 100 courses (**32S** at present) by mid-2021.

CAASA's Activities



Virtual Dataroom - Funds

Together with SigmaSandbox, we are proud to offer an enhanced experience to our Virtual Dataroom in which members can post their company bios and contact information as well as upload monthly performance statistics. A top-sheet can be generated and sent to clients and prospects and investors are invited to search the database of managers (with CAASA members prominently displayed) and perform what-if scenarios based upon their portfolio holdings and proxies.

This benefit is free for all CAASA members and features prominent display of members.

Virtual Dataroom - Start-Ups

CAASA offers discounted access to a data room (knightley.co) dedicated to pre-seed, seed, and pre-Series A Founder members. This database is scanned by investors in the U.S., Canada, and elsewhere and access to one's data can be managed in stages as one likes.

CAASA's Activities



Areas of activity

Diversity and Engagement – Alternative asset management often involves the creation, implementation, and monitoring of new and sophisticated trades, strategies, and asset classes. Being open to the best minds available allows the industry to innovate as well as take advantage of opportunities. Career panels, stock/idea pitch competitions, and other events aimed at students and particular groups will enhance our industry's place in career paths.

Prudent Processes & Policies – Providing input from leaders in operational and compliance roles at institutional investors, investment funds, and service providers and consultants, members and the public will receive 3P publications, panels and roundtables, and other programming to enable them to better comply with regulatory changes and investor requirements and preferences.

CAASA's Publications



Conference programs

Our **Family Office Summit**, **CAASA Annual Conference**, and **Wealth Managers' Forum** all include a program detailing the events speakers and schedule as well as a complete member directory including contact information (as applicable).

Annual Review

Around January 7 each year we publish our **Annual Review** with a comprehensive listing of all CAASA members in each category as well as a full listing of all of our activities of the previous year.

CAASA Partnerships



The Professional Centre

This serviced office floor is home to the CAASA offices and is available for our events and meetings.

Members looking for flexible, new, and affordable space in the core get an extra discount.

CAIA Association

We partner with many associations, but CAIA is close to our hearts as it is analogous to CAASA (pan-alternative) in the educational realm. All CAASA members have access to the Alternative Analyst Investment Review, CAIA's quarterly digital research digest and enjoy 10% off the CAIA charter exams (excluding the registration fee, about 200 hours of study x 2 levels) and USD200 off CAIA's fundamentals course (approximately 20 hours of study).

Back Office



Fees and Accounting

Healthy finances allow any organization to run at peak efficiency for all involved. As a non-profit organization, CAASA does not pay income tax, but does remit payroll, sales / HST, and other taxes as required by law. Staff have experience in these processes, which will be overseen by the Member Advisory Panel. An annual audit will be performed, the results and management discussion available to the membership.

CAASA's annual fee schedule was established to be as fair and equitable as possible for all members. Future iterations of the fee schedule will be determined by staff and the MAP and, in some instances, by or with input from broader membership.

Manager AUM will be calculated on alternative AUM including hedge, real estate, private lending, and private equity.

Fees



Fee Schedule (v2020.3)

Asset Managers (in CAD millions)

<\$50	\$50-\$100	\$100-500	\$500-\$1,000	>\$1,000	Long-only
\$1,250	\$2,100	\$3,150	\$4,200	\$5,250	\$1,250

Other Members

Prime Brokers, Legal, Admin & Audit	Other Consultants, Exchanges	Wealth Managers & Dealers (50+ reps)	Family Offices, Institutional Investors, & Wealth Managers & Dealers (<50 reps)
\$5,250	\$2,100	\$1,050	\$525

Employees of Family Offices, Institutional Investors, Investment Dealers, and Wealth Managers, as approved by CAASA, may register individuals as members for \$160 + applicable taxes per year.

Founders of Start-Ups may join as a corporate unit for \$200 per year, which includes a USD 50 discount to the knightly.co start-up platform. If in a competitive category of endeavour, Founders will be required to upgrade to Consultant or as applicable once out of start-up phase, as determined by CAASA. Founders category not available to Asset Managers.

Fees will be processed as listed plus applicable taxes.



Member Directory – Investors & Allocators (Corporate)

ABSHE Holding (SFO)
Aksia LLC
Alberta Teachers' Retirement Fund
Albourne Partners
Alternative Capital Group (MFO)
Aligned Capital Partners
Amana Global Partners (MFO)
Anchor Pacific Investment Management (MFO)
Atlas One
BCI
bfinance (MFO)
Blue Bridge Wealth Management (MFO)
Bluesky Investment Counsel
Bodhi Research Group
Casselman and Company (SFO)
CEOS Family Office (MFO)
Cidel (MFO)
Echelon Wealth Partners Inc.
Eckler Ltd.
Focus Asset Management (MFO)
Fort Greene Capital (SFO)
Forthlane Partners (MFO)
Guardian Partners Inc
HarbourVest Partners
Healthcare of Ontario Pension Plan
Heirloom Investment Management (MFO)
Koloshuk Farrugia Corp. (SFO)

Mandeville Private Client
Morgan Stanley Wealth Management
Mercer
Northland Wealth Management (MFO)
Northstar Trading
Numus Financial
Ontario Teachers' Pension Plan
OPTrust
Our Family Office (MFO)
Pandion Investments Limited
Prime Quadrant (MFO)
Raintree Wealth Management
Richardson Wealth
Richter Family Office
SmartBe Wealth
Stonehage Fleming
Ullman Wealth (MFO)
Wealth Stewards
Westcourt Capital Group (MFO)
Zen Capital & Mergers (SFO)

Some members are not listed publicly.



Member Directory – Investors & Allocators (Individuals)

Mark Allen (RBC DS)

Ted Karon (RBC DS)

John Boomsma (Raymond James)

Shimmy Brandes (Master Plan Management, SFO)

Darren Coleman (Raymond James)

Jean Courcelles (Mirabaud Canada)

Gino Di Censo (Bell Kearns Consulting)

John Duke (RBD DS)

Vincent Fernandez (Canada Overseas, SFO)

Travis Forman (Harbourfront Wealth)

Enzo Gabrielli (Horizon Capital Holdings, SFO)

Jason Granger (Fipke Group)

Tec Han (Vibrato Capital, SFO)

Andrew Reitknecht (National Bank Financial)

Qin (Jeff) Chu (Enoch Wealth)

Shamez Kassam (Northfront Financial, MFO)

Naveed Mohammed (BMO Nesbitt Burns)

Thorsten Koop (OMERS)

John MacIsaac (RBC DS)

Jamison Mcauley (RBC DS)

Emma Querengesser (Raymond James)

Chris Rapcewicz (Helmsley Trust)

Brendan Rogers (RBC DS)

Ryan Sheriff (Open Access)

Scott Starrett (Canaccord Genuity)

Kenneth Tam (OMERS)

Pamela Yoon (RBC DS)

Bob Simpson (Wealth Stewards)

Yi (Helen) Zhang (Nicola Wealth)

Edwin Wong (Tacita Capital)

Some members are not listed publicly.



Member Directory - Managers

3iQ Digital Asset Management
Actis
Adaptive ETFs
ADI Capital
AGF Investments
AGinvest Properties Canada
Agriroots
Algonquin Capital
Algoz
Alignvest Investment Management
Allianz Global Investors
Alquity Investment Management
Antrim Investment
Applied Real Intelligence (A.R.I.)
AQR
Arcis Capital Partners
Ascendi Capital
Aspect Capital
Auspice Capital Advisors
Avenue Living Asset Management
Aviva Investors
Axia Real Assets LP
Axonic Capital
Bridgeport Asset Management
Brightspark Ventures
Cameron Stephens

Carlisle Management Company
Celernus Investment Partners
Centurion Asset Management
CI Global Asset Management
CIBC Asset Management
Claret Asset Management
Clearfield Capital
Clifton Blake
Clocktower Group
CMCC Global
CMLS Asset Management
Community Fund
Conner, Clark & Lunn Funds
Converium Capital
Cortland Credit Group Inc.
Corton Capital
Crabel Capital Management
Crystalline Management Inc.
CPI Capital
Cult Wines
Dana Point Capital
Desjardins Global Asset Management
DGC Capital
Diagram Venture
Donville Kent Asset Management
Driehaus Capital Management
DSC Quantitative Group
Durum Capital
ehp Funds

Emso Asset Management
Equiton Capital
Espresso Capital
Evolve ETFs
Evovest Capital
Fiera Capital
Firepower Capital
Firm Capital
Forstrong Global Asset Management
Hosking Partners
FORT LP
Framework Ventures
Franklin Templeton Investments
Fulcra Asset Management
Galaxy Digital Capital Management
Gentai Capital
Graham Capital
Group RMC
Harrison Street Asset Management
Heard Capital
Highline Beta
Highwood Value Partners
Horizons ETFs
Hudson Valley Property Group
iCapital Network
ICM Asset Management



Member Directory - Managers

Innovobot
Invesco
Investcorp
Investment Partners Fund
Invictus Capital
Invico Capital
JM Fund Management Inc.
Kayne Anderson Capital Advisors
Kilgour Williams Capital
Kula Investments
Lawrence Park Asset
Management
Lazard Asset Management
LFIS
LionGuard Capital Management
Lumira Ventures
Mackenzie Investments
Man Group
Marret Asset Management
Mavrix Private Equity
Maxam Capital Advisors
MGG Investment Group
MKP Capital
Montrusco Bolton Investments
Morex Capital
Morgan Stanley Investment
Management

Munro Partners
Music Royalties Inc.
Napier Park Global Capital
Nephila Advisors LLC
Next Edge Capital
NorthHaven Capital Corp.
North Peak Capital
Peakhill Capital
PenderFund Capital Management
Picton Mahoney Asset Management
Pilot House Capital
PlusPlus Capital Management
Private Debt Partners
Quain Investments LLC
Qwest Investment Fund Management
Raiven Capital
Reciprocal Ventures
ReSolve Asset Management
Robson Capital Management
RPIA
Sagard Holdings
Securian Asset Management
Sigma Analysis & Management
Slate Securities
Spartan Fund Management

Starlight Capital
StoreWest Developments
Third Point
Trans Canada Capital
Trez Capital
Triovest
TSVC
TVC Private Real Estate Trust
Unigestion
Unison
Vesta Wealth Partners
Vivid Capital Management
Walkerville Capital Inc.
WaveFront Global Asset
Management
Waratah Capital Advisors
Waypoint Investment Partners
Wealhouse Capital Management
Wellington Investments
Westbridge Capital
WestCap Partners
Western Wealth Capital
YTM Capital Asset Management



Member Directory - Service Providers

360T
AAREA
Altrust Investment Solutions
Apex Fund Services
Arbutus Partners
Athena International Management
AUM Law
Battea Class Action Services
Bitbuy
BMO Financial Group
Boosted.ai
Brane Capital
Campbell Lutyens
Canaccord Genuity Direct
Carne Group
Castle Hall Diligence
Caystone Solutions
CIBC Mellon
Coach House Partners
Dart Family Office
DealMaker
DealSquare
Deutsche Börse Group

Federation of Mutual Fund Dealers
Fidelity Clearing Canada
Figment
FIS
Fundata
Fundserv
Government of Canada
HedgeMark
Hill + Knowlton Strategies
Hut 8 Mining
ICICI Bank Canada
Independent Trading Group
Innocap
Juniper Square
KPMG
Linedata
LongNorth Capital Group
Lyxor Asset Management
Mako Financial Technologies
McMillan LLP
Meraki Global Advisors
NEO Stock Exchange

Norton Rose Fulbright
Options IT
Penrose Partners
Preqin
Prometa Fund Services
Robert Walters PLC
SIDE – Securities Industry
Data Exchange
Sera Global Advisory
SGGG Fund Services
SigmaSandbox
Silicon Valley Bank
SS&C
Stroock & Stroock & Lavan
LLP
TD Prime Services
TMX Group
Wells Fargo Prime Services
Williams Trading

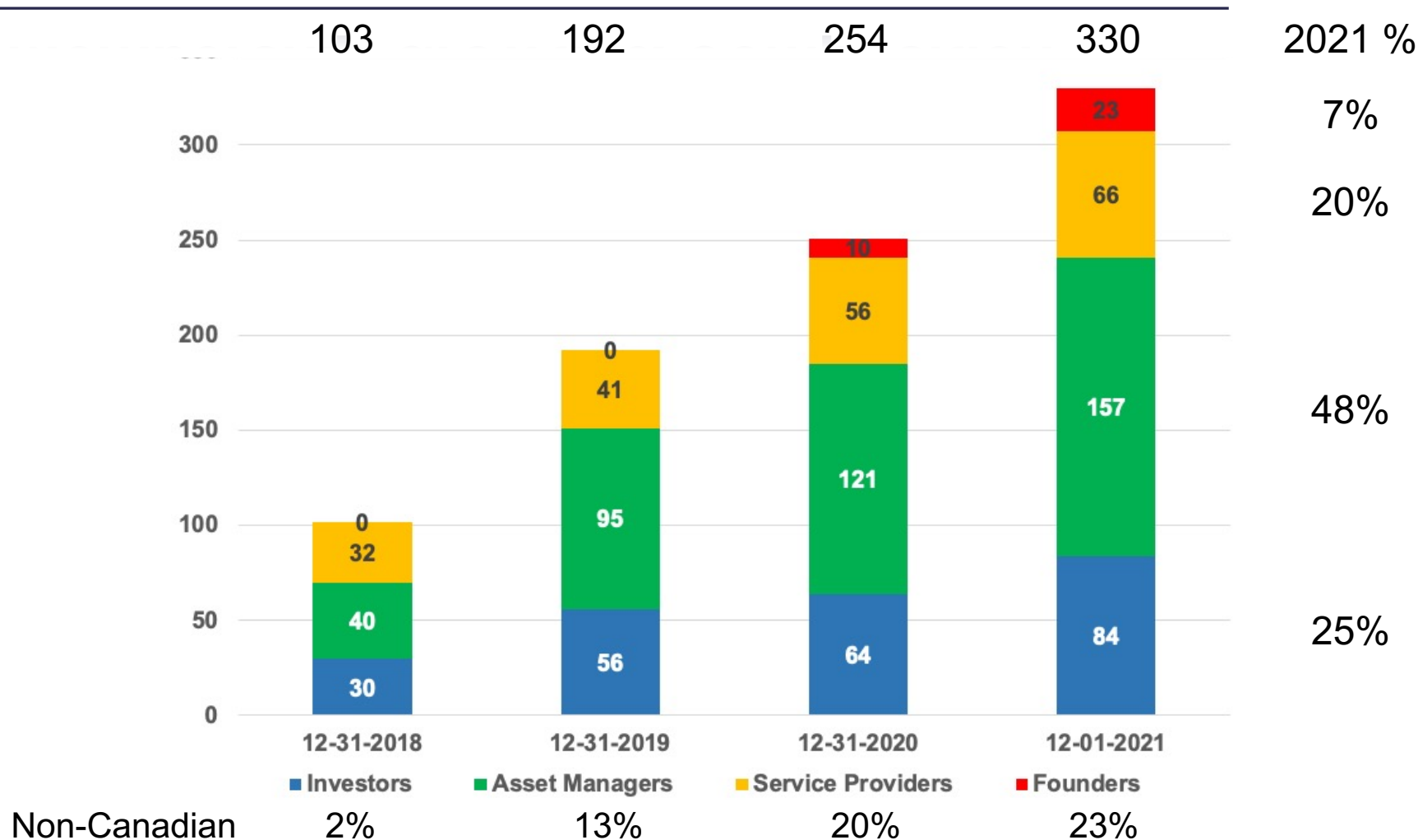
Member Directory - Start-Up Founders



Axle Ai
Consillium Crypto
CITIZN
Darwin Labs
InnovoFin
Just Boardrooms – theturnlab
Koios Intelligence
Konfidis
Leyline Altruism
Liquid Avatar Technologies Inc.
Meetami Innovation Inc
Metis
Moselle
Netlok
Pascal WealthTech
Rakr
Rondeivu
SR-ai
Terentia
WealthAgile
Willow



Membership Growth & Composition





Career Panels & Stock Pitch Challenge

Career Panels

Panel of 3-5 industry professionals including portfolio managers, operating officers, prime brokers, fund administrators, family office and institutional investor personnel from investment and operational due diligence, as well as other service providers.

Stock Pitch Challenges

Panels of 3-7 portfolio managers critique student stock (or strategy) pitches. Prizing typically winning team(s) have a night out with 10-20 industry professionals (portfolio managers, investors, and service providers).

Keeping Tabs on Industry Niches



CANADIAN CRYPTO-FUND UPDATE

A complete listing of all crypto-asset funds in the Canadian marketplace

CAASA members listed:

3iQ Digital Asset Management | CI GLOBAL ASSET MANAGEMENT | Evolve ETFs

GALAXY DIGITAL | HORIZONS EXCHANGE TRADED FUNDS

CANADIAN LIQUID ALTS UPDATE

A complete listing of all liquid alternative funds in the Canadian marketplace

CAASA members listed:

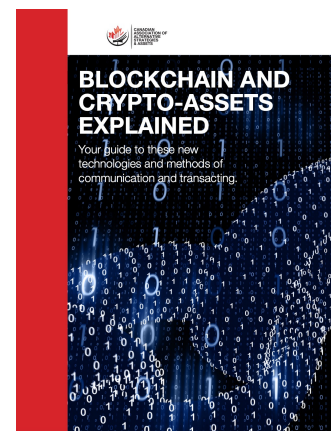
AGF | ALGONQUIN | CI GLOBAL ASSET MANAGEMENT | MAXAM CAPITAL MANAGEMENT

CONNOR, CLARK & LUNN FUNDS | Evolve ETFs | CIBC CIBC Asset Management | PICTON MAHONEY Asset Management

ehp FUNDS | Desjardins Wealth Management | MACKENZIE Investments

HORIZONS EXCHANGE TRADED FUNDS | YTM CAPITAL | WAYPOINT INVESTMENT PARTNERS | WARATAH

A Plethora of Thought Leadership Pieces



Annual Retrospectives Available Online



EoY membership: 103



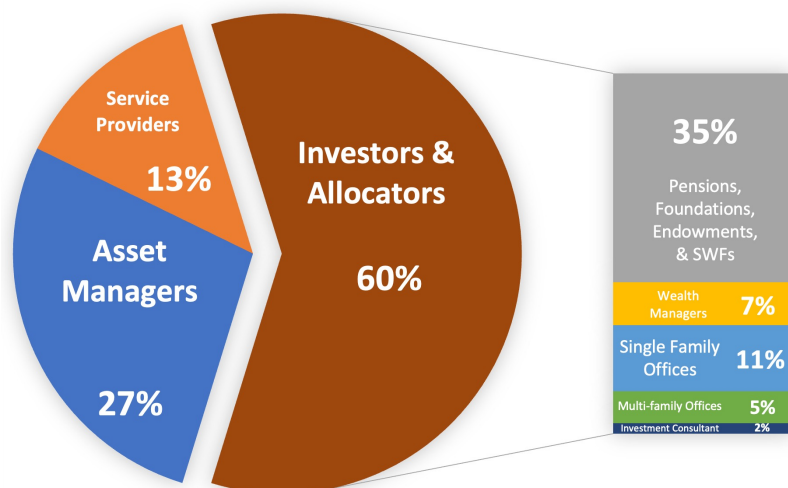
EoY membership: 192



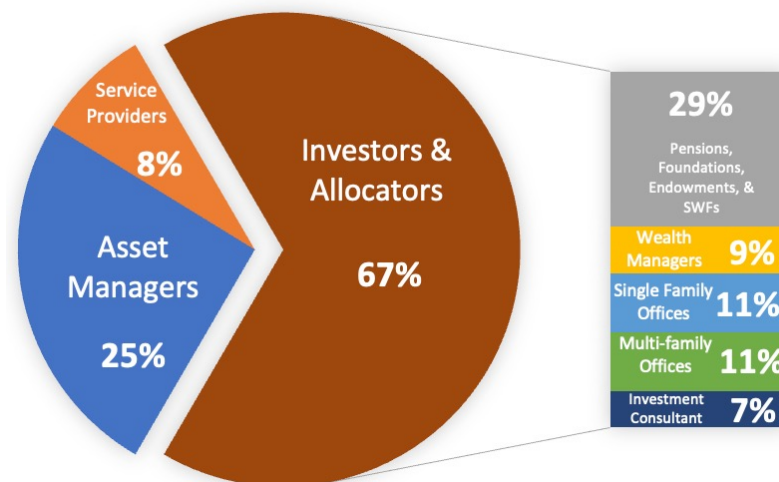
EoY membership: 254

Quality Conference Audiences

CAASA Annual Conference 2020 Attendees (n=278)



CAASA Alternative Perspectives 2021 Attendees (n=217)





2022 Calvacade of Conferences

Q1

Career Showcase (Jan 18-21)
Conference receptions in YVR, YYC, YUL, YYZ in first 2 weeks
Wealth Managers' Forum, online (Feb 15-19)

Q2

Family Office Summit, Toronto (May 3-5)
CAASA Alternative Perspectives, Vancouver (June 13-15)

Q3

Digital Assets Global Exchange, Toronto (Sept 26-27)
Private Equity & Venture Capital Assembly, Toronto (Sept 28-29)

Q4

CAASA Annual Conference, Montreal (Nov 1-3)



2021 Calendar of Events (all webinars)

Jan

CAASA Mentorship Breakfast Session (Jan 16)

University of Waterloo Masters of Quantitative Finance Career Panel (Jan 21)

University of Chicago Department of Mathematics Career Panel (Jan 27)

Feb

University of Ottawa Telfer School of Business Career Panel (Feb 2)

Wealth Managers' Forum (Feb 9-12)

Liquidity Event (Wine & Keynote) (Feb 11)

Dr. Fisman, Epidemiologist S2E1 (Feb 18)

University of Waterloo Co-op Program Overview (Feb 24)

March

Investing in China – Public Markets & ESG (March 3)

Concordia University – Kenneth Woods PMP Career Panel (March 9)

The Francis Sabourin Show (March 10)

Fireside Chat with Keith Abell – Connecting China (March 17)

University of Toronto Masters of Financial Insurance Career Panel (March 23)

Dr. Fisman, Epidemiologist S2E1 (March 24)

Black North – CAASA: Dream Big Career Panel (March 31)



2021 Calendar of Events (all webinars)

April

Three Facets of China (April 7)
The Francis Sabourin Hour (April 14)
Selling into the U.S. (April 15)
SPACs vs IPOs with CFA Society Winnipeg (April 21)
Dr. Fisman, Epidemiologist S2E3 (April 21)

May

Family Office Summit (May 4-7)
CAASA de Mayo (May 5)
COO Chat – Outsourcing (May 11)
The Francis Sabourin Hour (May 12)
COVID Opportunities with TMX Group (May 12)
University of Toronto – Rotman Career Panel (May 12)
FX Panel (May 18)
Queen's University Speaker Series – Blockchain & Crypto (May 19)
Insurance Linked Securities (May 20)
Dr. Fisman, Epidemiologist S2E4 (May 26)



2021 Calendar of Events (all webinars)

June

CAASA Alternative Perspectives (June 8-11)

Mentorship Program Orientation (June 11)

Insights: Inflation (June 15)

The Francis Sabourin Hour – Cristalline Management (June 16)

University of Toronto MMF Program Career Panel (June 16)

Real Estate 4-Corners (June 22)

Insights: African Investment Opportunities (June 23)

Dr. Fisman, Epidemiologist S2E5 (June 23)

CAASA AGM (June 23)

Insights: The Canadian Private Lending Industry (June 24)



2021 Calendar of Events (all webinars)

July

Real Estate: Four Corners 2021 Edition (July 7)
Insights: Columbia – In-Bound (July 6)
Insights: Private Lending (July 8)
Investing in a Clean Energy Future (July 13)
Alt-Alts: Portfolio Panaceas? (July 22)
Canadian Opportunities for Colombian Investors (July 27)
Starting and Alternative Fund: Starting Your Desk (July 28)
Dr. Fisman, Epidemiologist S2E6 (July 28)
Insights: Multi-Strategy & Special Situations Funds (July 29)

August

Colombian Opportunities for Canadian Investors (Aug 10)
Real Estate: Four Corners Revisited (Aug 11)
Inflation Fighters (Aug 12)
Insights: ETFs (Aug 17)
Four Corners: Real Estate Survey (Aug 24)



2021 Calendar of Events (all webinars)

September

Digital Assets Global Exchange (Sept 14-17)
Private Equity & Venture Capital Assembly (Sept 28-30)

October

Four Corners: Fall 2021 (Oct 5)
Four Corners: Fall 2021 Redux (Oct 12)
Outstanding Ideas: First Edition (Oct 12)
Colombian Crypto: An Economy in Transition (Oct 13)
Insights: Private Lending & Credit Funds (Oct 19)
Dr. Fisman, Epidemiologist S2E7 (Oct 19)
Real Estate: What's Around the Four Corners (Oct 26)
Access to Alternatives (Oct 27)
Crypto Trading & Custody (Oct 28)

2021 Calendar of Events (all webinars)



Nov & Dec

CAASA Annual Conference (Nov 16-19)
Insight: Quants (Nov 30)
Four Corners: Holiday Edition (Dec 1)
Insights: Private Lending (Dec 1)
Alt-Alts (Dec 2)
Holiday Gathering (*possibly in person!*) (Dec 7)
Access to Alternatives...more. (Dec 9)

...many more to be added



2020 Calendar of Events

January

McGill Career Panel (Jan 14)

CAASA Mentorship Breakfast Session (Jan 16)

Duke of Edinburgh Award Ski Day Launch Event (Jan 30)

February

Founding Day Drinks - Montréal (Feb 3)

Founding Day Drinks - Toronto (Feb 5)

Smith Master of Finance Corporate Networking Night (Feb 26)

March

Ski With the Duke Charity Ski Day - Blue Mountain (March 5)

Introduction to the Dubai International Financial Centre (March 20 - webinar)

How to Work From Home (March 24 - webinar)



2020 Calendar of Events - all webinars

April

Liquid Alternatives - The Impact on Canadian Markets (April 2)
It's (Not) The Money: Staying Competitive with Comp and Perks (April 2)
ESG in a COVID-19 World (April 7)
Private Equity vs. Private Lending (April 14)
Dr. David Fisman, Epidemiologist (April 15)
Simon Fraser University Career Panel in Alternatives (April 20)
Marketing Your Liquid Alternative Fund (April 21)
Mind The Gap: How Today's World Requires more than Technical Skills (April 29)
How to Boost Your Immune System (April 30)

May

How to Sell in a Social Distancing World (May 5)
How to do Operational Due Diligence on a Fund Under Quarantine (May 7)
Concordia University Career Panel in Alternatives (May 12)
VC Arbitrage: Multiples like the Valley and Comp Like the GTA (May 12)
University of Alberta Career Panel in Alternatives (May 13)
Discerning Deception from a Distance (May 14)



2020 Calendar of Events - all webinars

May (con't)

Beyond Basics: ETFs Explained (May 19)
York University Career Panel in Alternatives (May 23)
Wilfrid Laurier University Career Panel in Alternatives (May 25)
Dr. David Fisman, Epidemiologist - Session 2 (May 28)
CAASA-vino: Italian Reds Delivered to Your Door (May 28)

June

Giving Credit Where Credit is Due (June 2)
Shorts for the Long Run (June 4)
Mentorship Program Orientation (June 5)
Global Macro: Did it Provide Crisis Alpha to Investors (June 9)
Starting An Alt Fund Series - Establishing Your FundCo (June 10)
Starting An Alt Fund Series - Onshore Fund Structuring & Tax (June 11)
Beyond Basics: Private Lending (June 16)
10th Annual UofT MMF Career Panel in Alternatives (June 16)
Liquid Alts Update: Exemptive Relief (June 17)
Starting An Alt Fund Series - Offshore Fund Structuring & Tax (June 18)



2020 Calendar of Events - all webinars

June (con't)

Ivey / Western University Career Panel in Alternatives (June 22)
Beyond Basics: Real Assets (June 23)
COVID-proof Strategies (June 24)
Real Estate From Four Corners (HKG, YVR, YYZ, LHR) (June 25)
Attracting, On-boarding, & Retaining Clients (June 25)
CAASA-vino: World of Pinot Delivered to Your Door (June 25)
CAASA Annual General Meeting (June 30)

July

Queen's University Alternative Asset Fund Career Panel in Alternatives (July 7)
Dr. David Fisman, Epidemiologist - Session 3 (July 16)

August

Family Office Summit (Aug 5-7)
Dr. David Fisman, Epidemiologist - Session 4 (Aug 20)



2020 Calendar of Events - all webinars

October

Know Your Product Panel (Oct 6)
Selling into the E.U. - with the Institute of Portfolio Alternatives (Oct 7)
Selling into the U.S. - with the Institute of Portfolio Alternatives (Oct 7)
Selling into Canada - with the Institute of Portfolio Alternatives (Oct 7)
Dr. David Fisman, Epidemiologist - Session 5 (Oct 8)
Private Equity & Venture Capital Assembly (Oct 14-15)
Getting on the (IIROC) Shelf (Oct 15)
University of Geneva Career Panel (Oct 15)
Hand-in-Hand: Real Estate & Private Lending (Oct 15)
Real Estate Panel with CFA Society Winnipeg (Oct 27)
Alternative Investments @MindPath conference (Oct 28)

November

CAASA Annual Conference (Nov 3-5)
Cross Border M&A - with the Institute of Portfolio Alternatives (Nov 17)
Transformation of Healthcare (Nov 17)
Making for The Exits: Selling your Fund Management Co. (Nov 17)



2020 Calendar of Events - all webinars

November (con't)

The Liquid Alts Market in Canada @CI Investments (Nov 17)

Beyond Basics: Real Estate (Nov 24)

Incubators & Innovators (Nov 24)

Risk Premia Investing is Dead / Long Live Risk Premia! (Nov 25)

Diversity & Inclusion - with the Institute for Portfolio Alternatives (Nov 25)

Alternative Views of Lending (Nov 26)

Beyond Basics: Quant Investing (Nov 26)

December

ESG & Impact Investing - with the Institute of Portfolio Alternatives (Dec 2)

Government of Canada New York City Consulate Event (Dec 9-10)

Unlocking Indian & Chinese Markets (Dec 15)



2019 Calendar of Events

January

Starting a Fund Series: *It's Actually Starting a Business* (Jan 22)

Starting a Fund Series: *Onshore Fund Structures & Tax* (Jan 23)

CAASA Classroom: Beyond Pitchbooks by Goldman Communications (Jan 24)

Starting a Fund Series: *Offshore Fund Structures & Tax* (Jan 31)

February

McGill Career Panel (Feb 4)

Starting a Fund Series: *Operations, BCP, and Related Issues* (Feb 5)

Starting a Fund Series: Other Services & Operations Alpha (Feb 6)

Founding Day Drinks - Montréal (Feb 11)

Founding Day Drinks - Toronto (Feb 13)

Starting a Fund Series: *Segment Marketing & Capital Raising* (Feb 19)

Starting a Fund Series: *Attracting & Retaining Talent* (Feb 20)

Wilfrid Laurier University – Avenue 75 Stock Pitch Challenge (Feb 21)

CAASA Classroom: AML New Rules & Ready for FINTRAC by The AML Shop
(Feb 21)



2019 Calendar of Events

March - April

CAASA Classroom - Blockchain Workshop with MLG Blockchain (March 28)
The Case for Nimble Managers feat. Randy Cohen (April 11)
CAASA Classroom - Listing Your Fund with TSX Group (April 25)

May

Blockchain Primer (May 1)
Liquid Alts Lunch (May 1)
Family Office Dinner & Discussion (May 1)
Family Office Summit (May 2)
What of the 40? (May 15)
CAASA / Government of Canada Collision Conference PE & VC Reception (Invite only, May 22)
Queen's University Careers in Alternatives Panel (May 24)



2019 Calendar of Events

June

Deep Diligence - Reading Managers (June 3)

Toronto Summer Social (National Club Rooftop, June 3)

Mentorship Training Breakfast (June 4)

Where to Turn for Return (Montréal, June 18)

Digital Asset Group - Fred Pye Summary of OSC Testimony on the BitCoin Trust
(June 25)

Lord Mayor of the City of London Reception (Invite only, June 25)

UofT MMF Career Panel (Toronto, June 26)

July

WLU 75 Avenue Investments Student Pitch Competition Jays Game (July 3)

Investor Group Call - Impact Investing (July 9)

Muskoka Brewery Beer Tasting (July 10)

Brightspark - Democratizing VC Investing in Canada (July 22)



2019 Calendar of Events

August

Canada vs the World: Comparison of HF Industries (August 14)

Méchoui Summer Social (Montréal, August 22)

September

Starting an Alts Fund - Choosing Service Providers (Sept 10)

FMFD/CAASA - Liquid Alts for MFDAs (Sept 11)

Starting an Alts Fund - Distribution: Technology Solutions (Sept 18)

Using Public Opinion Polls in Your Marketing Strategy w/ TPC (Sept 18)

Concordia Kenneth Woods PM - Career Panel (Montréal, Sept 24)



2019 Calendar of Events

October

Liquid Alts with CFA Winnipeg (Oct 1)

Pepperdine & a Peppering of Best Ideas (Vancouver, Oct 3)

Simon Fraser University Career Panel (Vancouver, Oct 4)

PE/VC Day- including Speed-dating and U.S./Canada Panels (Oct 16)

Starting an Alts Fund - Staffing & Talent (Oct 17)

Staffing Your Company w/ TPC (Oct 17)

Mentorship Program Info Session & Reception (Oct 23)

November

CAASA Annual Conference - Montréal (November 4-5)

Starting an Alts Fund - Surviving a Securities Regulator's Compliance Audit (Nov 14)

Starting an Alts Fund - Setting up Your Trading Desk (Nov 28)

2019 Conference Participation/Attendance



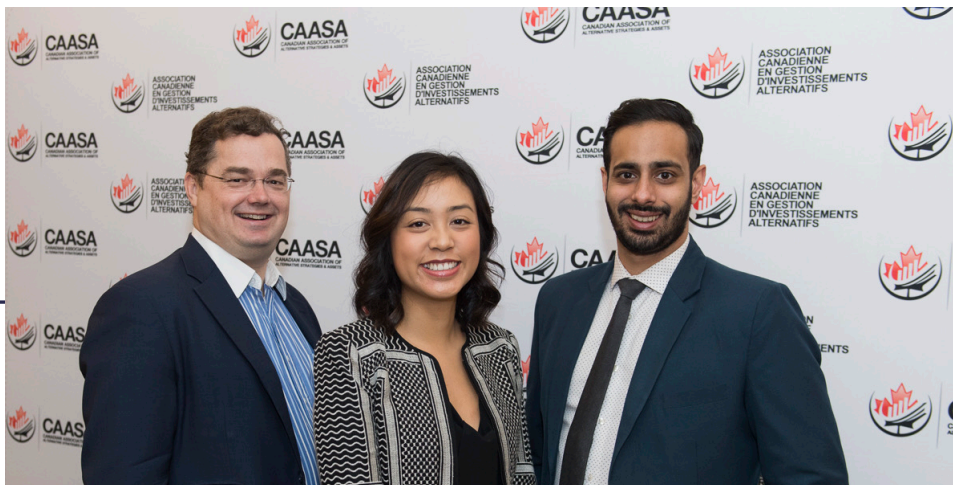
UofT MMF Symposium (Blue Mountain, Jan 10-13)
Alternative Outlook Forum (Vancouver, Feb 12)
Talking Hedge (San Francisco, March 6-7)
Federation of Mutual Fund Dealers Conference (Toronto, April 23)
Middle East Investment Summit (Dubai, April 23-24)
Blockchain Revolution Global Conference (Toronto, April 24-25)
Canadian Alternative Investment Forum (Toronto, April 25)
TMX Equities Conference (Toronto, May 8)
SALT Conference (Las Vegas, May 7-10)
Talking Hedge (Toronto, June 4-5)
Canadian Annual Derivatives Conference (Montréal, June 11)
KORIED's Future of Finance (Coral Gables, July 10-12)
AAAIM Regional Event (London, July 18)
AAAIM Regional Event (Chicago, July 24)
Futurist Conference (Toronto, Aug 13-14)



2019 Conference Participation/Attendance

AAAIM National Conference (New York, Sept 4-6)
SkyTop Impact Conference (Boston, Sept 17)
CFA Climate & ESG (New York, Sept 23)
Skytop Global Shareholder Activism (Toronto, Sept 26)
Markets Group ALTSTX (Dallas, Oct 15)
AAAIM Regional Event (San Francisco, Oct 10)
Canada-U.K. Fintech Mission (London, Oct 21)
Markets Group U.S. PE Fall Forum (San Francisco, Oct 29-30)
Markets Group ALTSMIA (Miami, Nov 7)
PRMIA Risk Forum (Montréal, Nov 11-12)
Markets Group Private Wealth East (New York, Nov 13)
AIM Summit (Dubai, Nov 18-19)
Talking Hedge (Austin, Nov 20-21)
SIX Post Trade Forum 2019 (London, Dec 5)

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