CAASA Virtual Career Showcase 2022

Presented by: the Canadian Association of Alternative Strategies & Assets

CAASA
CANADIAN ASSOCIATION OF ALTERNATIVE STRATEGIES & ASSETS

Weekends: January 22nd, 23rd, & 29th

Open to all university students as well as recent grads from around the world.

CONNECTING ASPIRING STUDENTS & GRADS WITH EMPLOYERS

We designed this multi-day conference to allow employers with imminent/near-term roles to fill as well as longer-term branding and awareness needs to get in front of some of the best and brightest students and grads from finance & investing focused specialties as well as general/liberal arts programs. We hope this leads to some great connections between folks from all over the world (literally) and, in good time, instances of hiring and great experiences by all involved.

THANK YOU TO OUR PARTICIPATING UNIVERSITIES

Rotman School of Management, University of Toronto
York U
Schulich School of Business
University of Manitoba
Masters PHIMAC
Master of Management in Finance
Telfer
University of Waterloo
Université de Genève
MMF
Ivey Business School, Western University · Canada
DAS Dalhousie Accounting Society
McGill University
QUAAF Queen's University Alternative Assets Fund
Laurier Inspiring Lives.
Carleton University
UBC Sauder School of Business
SFU Beedie School of Business
Université de Sherbrooke
The University of Chicago Financial Mathematics Program
University of Calgary Haskayne School of Business
Masters of financial insurance
Statistical Sciences University of Toronto
The University of the West Indies
Laurier Graduate Student Investment Fund

WE HAVE THREE DAYS OF CONTENT + MORE FOR ALL INVOLVED!

All students are asked to provide detailed information on their academic progress and work experience as well as a cover letter. These will be provided to all sponsoring members who will reach out to schedule an interview or additional information session.

All sponsors will have a dedicated slot that interested (or just curious!) students and grads should use as their opportunity to learn about the company, what it does, and its culture - in addition to online and other research and inquiries. Students and grads are greatly encouraged to use this time to, as appropriate, pose questions to the employers to better understand their business and culture as well as the roles that they may have open at that time or in the future.

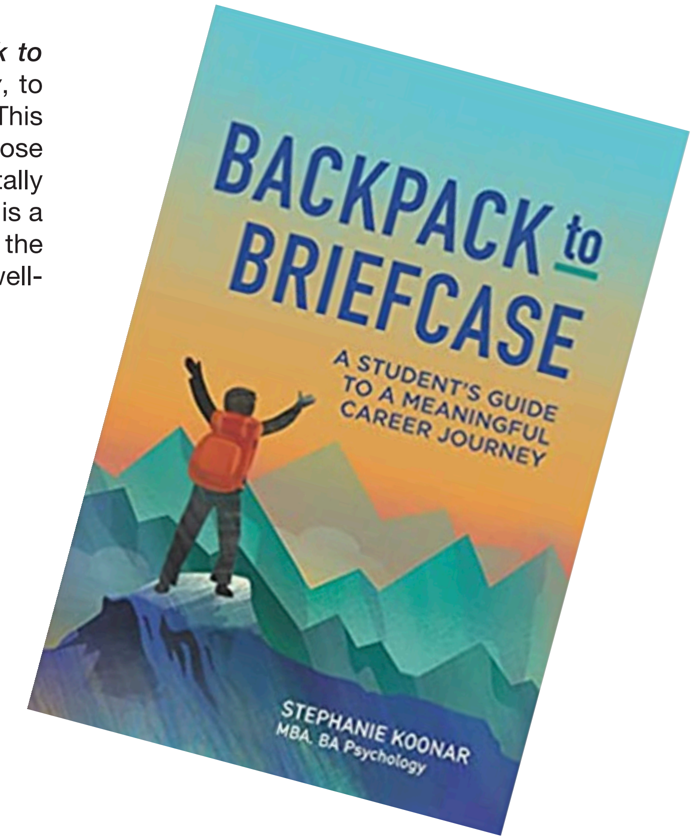
In addition to the info sessions, a selection of topics will be discussed by industry leaders (including one of our famous Career Panels!) designed to be informative and illuminating for students/grads and employers alike.

If participants have additional ideas or comments on the agenda and format, we are happy to hear it so we can look to incorporate it into our 2023 edition!

SPECIAL GIFT FOR EARLY REGISTRATIONS

We will send a copy of **Stephanie Koonar**'s book, ***Backpack to Briefcase: A student's guide to a meaningful career journey***, to the first 100 student registrations to the Career Showcase. This book is a fantastic foundation and resource guide to how those entering the workforce can prepare both academically and mentally to get into their chosen industry. The author, like CAASA staff, is a big fan of discovering one's strengths and using them to make the most of their situation - while working each day to be a more well-rounded and ready industry professional.

Stephanie is also our first keynote speaker on Day 1!



ABOUT CAASA

INCLUSIVE, ACTIVE, AND PAN-ALTERNATIVE

The Canadian Association of Alternative Strategies & Assets (CAASA) was created in response to industry requests for a national group to represent the Canadian alternative investment participants, including investors, asset managers, and service providers. CAASA is inclusive in that it welcomes participation from all companies active in the space as well as select individuals (those with investors) who might want to participate in committees and working groups - or simply attend member events - without their employer being a member of the association. CAASA is very active in both committees & groups and events: 45+ events, including this conference, have occurred or are planned in 2019. Pan-alternative, for CAASA, encompasses all alternative strategies and assets including: hedge funds / alternative trading strategies, private and public real estate (funds and direct), private lending, private equity, development & project finance, digital assets / crypto-assets, weather derivatives & cat bonds, and all aspects of diligence, trading, structuring, dealing, and monitoring alternatives in a stand-alone portfolio and as part of a larger investment strategy.

MEMBER BENEFITS

Investors join CAASA to be a part of a formal network of pension plans, foundations, endowments, sovereign wealth funds, and family offices to discuss ideas, strategies, and operational issues particular to their businesses - all within a closed group where managers and service providers may or may not be included, depending on the forum.

Managers see the association as a way to connect with peers, investors, and service providers to speak to fund structuring, sales & marketing, and regulatory issues. CAASA is not a capital introduction platform, but we do create forums where investors and managers can meet organically or via structured meeting sessions, such as at this conference, where participation by the investors is strictly opt-in.

Service providers participate in our events and working groups as well as assist in the production of thought leadership pieces which provide relevant information to both association members and the industry and investing public at large.

Founders receive exposure via our member directory, participating in our various Founders' Pitch Competitions, speaking on panels, taking part in podcasts, and being involved in a very active network.

NATIONAL AND GLOBAL

CAASA believes that the Canadian alternatives industry has a great deal to offer Canadians and the global community. The *Canadian Model of Pension Management* is well-known for its large alternatives focus, managed in-house in many cases with substantial allocations to external managers as well. Canadian investment managers operate in a robust regulatory regime (of hedge fund managers) that is becoming the norm across the globe and a stable banking back-drop that provides solace for investors as well as opportunities for managers. Talent in investment management (approximately 10% of all CFA charterholders reside in Canada) as well as newer areas such as digital assets and robo-advisory services are a differentiator. Of course, Canadian investors and managers are keen to learn of best practices in operations and portfolio management from their global peers.

SATURDAY, JANUARY 22ND

10:00 AM

Proven Steps to Land Your Dream Job

Stephanie Koonar, PeerSpectives Consulting and **Louann McCurdy**, PeerSpectives Consulting

Whether you are clear on your career direction or still considering your options, many students are not sure of the steps to begin their meaningful career. Having taught more than 4,000 students, Stephanie Koonar, Author of Amazon Best Seller, "Backpack to Briefcase, A Student's Guide to a Meaningful Career Journey" has seen the steps that successful students have taken to launch into their dream career. Come hear from Career Coaches Stephanie and Louann how to get started with the Proven Steps to Land Your Dream Job.

10:30 AM

Advice from Recent Grads

Taylor Ostoich, Spartan Fund Management

Sarah Caplice, Westcourt Capital Corp.

Why reinvent the wheel? This panel will give topical and timely advice to those just leaving the confines of school and on their way to make it in the industry. Each of them has a unique path, just like the audience, and used their particular skills and prescience to find their first and successive roles. A perfect primer for imminent and recent grads!

11:00 AM

Corporate Culture Chat: Fulcra Asset Management

Matt Shandro

Being part of a team is an important part of any job and investment management relies especially on having a dedicated team of quality individuals who strive to learn each day and bring that experience to the portfolio. Come see how Matt invests and what he looks for in team members.

NOON

Corporate Culture Chat: Group RMC

Mike Mangione

Managers invest in many assets and via many strategies and structures. Group RMC has a unique way for fellow family office investors to access real estate opportunities - a way that is tailored to their and their clients' specific needs and risk tolerances. If you see yourself in this space, register for this information-packed session!

SATURDAY, JANUARY 22ND

1:00 PM

Corporate Culture Chat: Cacoeli Corp

Jedidiah Liu

Real estate is one of the first alternative investments and one that continues to provide investors and general partners alike a great deal of opportunity to create value for their respective entities. Join Jedidiah to learn how you can be a part of a growing company in the space!

2:00 PM

Corporate Culture Chat: Metis

Elena Sinelnikova

We provide the infrastructure for creating and managing decentralized companies on blockchain fast, cheap and easy. Metis.io has a goal to help startups and mature organizations to move their business "on-chain" with just the click of a button. Many would like to do so, but very few can afford expensive blockchain developers, so Metis.io is here to help. We provide a decentralized platform where anyone can create a company on the blockchain, invite people to join, hire new employees or freelancers, and pay them salaries via blockchain. With Metis, anything is possible.

3:00 PM

Corporate Culture Chat: CIBC Mellon

Michael Graham

CIBC Mellon offers a great environment to begin and grow your career. Join our session to find out more about our organization and various learning opportunities, and common paths for those looking to establish careers in the investment industry. Many seasoned professionals in financial services start out in the operations area and we offer a number of entry level positions in areas like fund accounting. Hear from the perspectives of two professionals who have grown their careers on the operations side, and from an HR recruitment representative, for practical insights on entry level opportunities for future leaders.

4:00 PM

End of Day 1

SUNDAY, JANUARY 23RD

10:00 AM

Career Panel: Who knows where you will go!

Thorsten Koop, OMERS
Elena Sinelnikova, Metis *(also has a session Jan 22 at 2-3PM EST)*
Michael Wasserman, Maverix Private Equity

This is your opportunity to learn the unique, non-linear, path-dependent career paths of diverse leaders in the industry and get their advice on how to break in to the work world and make a career out of it. They will point out the learnings they have had on their journeys, what they look for in terms of colleagues and staff, and areas of growth that could hold the key to many a young career. Bring your questions and enjoy!

11:00 AM

Corporate Culture Chat: SGGG Fund Services

Andy Smith

Many a career has started in fund administration - it serves the hallowed purpose of ensuring that fund unit prices and the ownership stakes in those units is accurate and reflects the latest data. Learning real-world skills and processes can set new grads on a journey to roles at fund management companies or progressive posts in the growing area of fund administration. Join Andy for this talk on how to do more.

NOON

Corporate Culture Chat: Invico Capital Corporation

Christi Hayes

Want to work with a growing company, in a growing industry, and with a team that values individual initiative and teamwork? Join the Invico team for this look into their values, products, and mission in the burgeoning area of private lending.

SUNDAY, JANUARY 23RD

1:00 PM

Corporate Culture Chat: Waratah Capital Advisors

Ron Lloyd, Michael Orlando

Waratah Capital Advisors is a Toronto-based boutique alternative investment manager managing more than CAD$3.3 billion in AUM with an impressive 10+ year history running several hedge fund and private equity strategies. Join us to hear from Partner, and Senior Advisor, Ron Lloyd, who brings more than 30 years of leadership and industry experience, on what it really takes to be successful in financial services and how "chasing titles and money" is not the recipe for success. Attendees will also gain valuable insight from Michael Orlando, a recent graduate and member of the Waratah team who will speak on his experience at the firm, and how Waratah's approach and understanding of the 'investment triangle' has been key to paving an enriching career path for him as an individual.

2:00 PM

Corporate Culture Chat: Peakhill Capital

Harley Gold, Dana Capland

Across Canada, private lending has become an integral component across all real estate asset yields due to the high and stable yield it provides. Join us to learn more about Peakhill Capital, our private lending business, where we will walk you through a high-level overview of our organization, and what sets us apart from other lenders in the market. Learn more about our equity platform, Peakhill Equity Partners, that invests in ground-up and value-add real estate projects across the United States and Canada. We look forward to sharing more about our culture and day-to-day, roles & responsibilities, and our company goals going forward into 2022.

3:00 PM

Corporate Culture Chat: HarbourVest Partners

Daniel Conti

Private equity is notorious for being difficult to break into - especially for new grads who have yet to get their feet wet in the industry. Join Daniel as he explains the traits and characteristics (as well as the skills and technical knowhow) that he and his colleagues look for in a new hire. Any time with him is a real treat!

4:00 PM

End of Day 2

10:00 AM

Focus on Masters Career Services

Josie Valotta, University of Toronto, Masters of Mathematical Finance

This session provides an overview for employers of career services offered by a leader in the field. The University of Toronto's MMF program has not only one of the first (possibly the first) Masters of Mathematical Finance (est 1998) curriculums in the world, but also many innovative ways to get students further educated, trained, and socialized into the roles that the will soon walk into and earn This is a great intro for those that have used these sorts of programs as well as a refresher for those that have in the past.

10:30 AM

Designations: CAIA and the FDP

Keith Black, FDP Institute (affiliated with the CAIA Association)

Dr. Black headed curriculum at the (Chartered Alternative Investment Analyst) CAIA Association for 11 years and was one of the first holders of the designation. On top of his experience as an assistant professor, options trader, hedge fund industry writer (he wrote the book on it!), and alternative investment consulting, he found (like so many) that the CAIA designation is a perfect pairing for those entering into or making their name in alts. Now, heading the (Financial Data Professional) FDP Institute, he is taking on bridging the (alternative) data and alternative investment industry. Learn how these two designations can amp up your resume and knowledge.

11:00 AM

Corporate Culture Chat: Avenue Living Asset Management

Yavor Nikov, Travis O'Connell-Vacon

Avenue Living Group manages over $3 billion in assets, including multi-family residential, self-storage, and agricultural farmland in Canada and the United States. Our unique platform includes professionals with diverse expertise, including finance, business analysis, legal services, marketing, property management and customer service. Join Yavor Nikov, Director of Sales – Quebec Region and Travis O'Connell-Vacon, Director, People and Culture Operations to learn about what it's like to work with this dynamic company, how our team fosters career growth, and potential openings for top talent with a range of skills.

avenueliving
asset management

NOON

Corporate Culture Chat: Trez Capital

Kathy Kozak

For those of you interested in kicking off your career in the real estate and finance industries, consider taking the time to join Kathy, who will give you a broad look at the background, vision and operations of our growing Trez team. Opportunities at Trez for those new to their careers could include sales, finance, and real estate financial analysis, including credit risk and underwriting.

TREZ CAPITAL

1:00 PM

Corporate Culture Chat: Picton Mahoney Asset Management

Celeste Warren

Alternatives are less 'alternative' than ever before, with a growing number of investors seeking portfolio options that can deliver returns less correlated to the broader markets (such as liquid alts funds), progressive investment managers, like Picton Mahoney Asset Management, have created products using tried-and-true strategies like arbitrage and long-short credit to fulfill this need. See where your skill can fit in this dynamic and growing company at our culture chat.

PICTON MAHONEY
Asset Management

2:00 PM

Career Planning & Recruiters

Martin Fox, Robert Walters

Once in the workforce, most new entrants get to work at learning their trade and the smart ones keep their head up and think about their overall career growth and what skills, experiences, training, and connections that they need to keep current, advance to the next level, and make their mark on the industry. This may be advancement and moves at their initiative or a call from a recruiter (either in-house corporate ones or third-party). This session will explain what recruiters do, how they are paid (and by whom), and how to make the best of their services.

2:30 PM

Focus on Co-op

Donna Shin, University of Waterloo

This session provides an overview for employers of co-op and career services offered by a leader in the field. University of Waterloo's co-op program is the largest in the world (and one that CAASA staff have made use of for 10 years) that provides not just job placement (and a very steamlined one, at that!) but also a comprehensive suite of courses and sessions designed to ready students for the world of work. This is a great intro for those that have used these sorts of programs as well as a refresher for those that have in the past.

3:00 PM

End of Day 3 - Thank you!

PEAKHILL CAPITAL

Welcome to Peakhill.

About Peakhill Capital

Peakhill Capital is a commercial real estate asset manager investing in debt and equity on behalf of its own account, LP funds, and institutional investors. Peakhill is backed by entrepreneurial capital providers who are invested alongside our partners. Our team of 20 has a combined experience of financing and investing in over $20 billion in real estate transactions over the last 20 years. Our team is our strongest asset, and we are committed to providing sustainable solutions to our shareholders and clients, and do so as a team through collaboration, transparency, and integrity.

Why work with Peakhill

- We provide a dynamic learning environment that facilitates professional development and career growth
- Opportunity to work directly with senior management, who are well established in the industry
- A high-performing, values-based collaborative culture
- Challenging and engaging work
- Competitive compensation and benefits package
- Propelled by ambition, we're growing quickly, and show no signs of slowing down. We've doubled the size of our team over the past 12 months

www.peakhillcapital.com 10 King Street E, Suite 401, Toronto ON M5C 1C3

MENTORSHIP & SCHOLARSHIPS

TIME-TESTED MODEL USED TO READY THE NEXT GENERATION OF LEADERS

A special thanks to **Ron Cheshire** and **HarbourVest Partners**' **Daniel Conti** for working with us on this important program to bring together those who would like to take their personal growth and career to the next level with those who have been there. The key to this program is its focus on matching mentors and mentees as well as possible, bringing both of their strengths to the forefront during orientation, and allowing them, as professionals, to decide their schedule and how they will interact over the one year of contact.

Eligibility:
Matching Committee: individuals employed CAASA members or with individual membership; should have 10+ years of experience in the industry
Mentees: individuals employed CAASA members or with individual membership; 4 - 6 years of industry experience
Mentors: individuals employed CAASA members or with individual membership or non-members; 10+ years of industry experience

Premise:
Mentorship, as opposed to coaching and other forms of guidance, is best performed between two well-matched individuals who have some level of experience (and maturity, as well as knowing what they want from the relationship) and participate in a comprehensive orientation that allows them to become an effective unit as quickly as possible.

Process:
In January and February 2022 CAASA will solicit applications for two parties: mentees and the Matching Group. Mentees will provide background on themselves as well as permission from their employer to participate in the program.

The Matching Group consists of 6-8 individuals who meet in March and April to match mentees with mentors. The Matching Group is not required to take on a mentee (but can if they like); rather, they review the profiles and suggest mentors and meet with the prospective mentors to explain the program and, as applicable, get them on board. *The Matching Group commitment is just 2 months.*

The Class of 2021 begins on June 1, 2022, ending on May 31, 2023. All pairs will be part of a half-day orientation where they will learn about their roles and tasks, the program overall, and each others' strengths and talents. A follow-up session will occur in September. Each pair may schedule their meetings/chats as they like and they may be in-person or over the phone.

The main purpose of the program, for many mentees, is to learn key skills and perspectives that can help them in their jobs and careers over the next few years. Many have great technical skills, and to gain a promotion involving managing people, take on a more client-facing role in the company, or just do their current job better it sometimes takes some outside help from someone who has been there.

This will be our third cohort and we are super-proud of the work of our Matching Committee in putting together the pairs, our mentors for their time, and mentees for their initiative to take part in this program!


Farialle Pacha

CAIA SCHOLARSHIP

Members are also encouraged to follow the lead of **Farialle Pacha** and **Peter Figura** who were our 2019 & 2020 **CAIA Scholars**. They had a comp pass to the exam for what is objectively the best course for alternatives - the **Chartered Alternative Investment Analyst**.

We open the submission window early in the year and announce the winner on about May 1. See our site for more!


Peter Figura

NOTES

SPEAKER BIOGRAPHIES

Thank you to our many speakers, presenters, and those who added their themes and topics, panel and non-industry speaker ideas, and other invaluable input!

SPEAKER BIOGRAPHIES

Dr. Keith Black
Managing Director - Program Director
FDP Institute (affiliated with the CAIA Association)

Keith Black has more than thirty years of financial market experience, serving approximately half of that time as an academic and half as a trader and consultant to institutional investors. He currently serves as Managing Director, Program Director FDP Charter at the FDP Institute.

During his most recent role as Managing Director, Content Strategy at CAIA was responsible for Curriculum development. Previous to that Keith was a valued team member at Ennis Knupp + Associates, Keith advised foundations, endowments and pension funds on their asset allocation and manager selection strategies in hedge funds, commodities, and managed futures. Other experiences include commodities derivatives trading, stock options research and Cboe floor trading, and building quantitative stock selection models for mutual funds and hedge funds. Dr. Black previously served as an assistant professor and senior lecturer at the Illinois Institute of Technology.

Keith has contributed to the CFA Digest, and has published in The Journal of Wealth Management, The Journal of Trading, The Journal of Investing, and The Journal of Alternative Investments, among others. He is the author of the book “Managing a Hedge Fund,” as well as co-author of the second, third, and fourth editions of the CAIA Level I and Level II curriculum. Dr. Black was named to the Institutional Investor magazine’s list of “Rising Stars of Hedge Funds” in 2010.

Dr. Black earned a BA from Whittier College, an MBA from Carnegie Mellon University, and a PhD from the Illinois Institute of Technology. He has earned the Chartered Financial Analyst (CFA) designation and was a member of the inaugural class of both CAIA and FDP members.

Sarah Caplice
Associate Principal, Client Services
Westcourt Capital Corporation

Sarah supports Westcourt Capital Corporation’s Portfolio Managers in both the construction and monitoring of diversified investment portfolios designed to achieve the specific goals of Westcourt’s clients. She also assists the Portfolio Managers in the preparation and communication of investment presentations and client proposals, and with ongoing client service. Sarah is registered as a Dealing Representative.

Prior to joining Westcourt, Sarah held a summer internship where she was an investment analyst at Pandion Investments Ltd., a private family investment company. At Pandion, Sarah worked closely with management and contributed to the firm’s investment strategy by assisting in identifying future opportunities and target markets. She also assisted in monitoring a variety of asset classes and in evaluating and screening potential investment products. Sarah was also a fall intern analyst at BMO Capital Markets in the Global Fixed Income, Currencies & Commodities group, where she quoted foreign exchange pricing to the bank’s branches and direct-dealing clients.

Sarah graduated in 2017 from Dartmouth College with a Bachelor of Arts degree, majoring in Government, and was a member of the Women’s Varsity Rowing Team. She also holds the Chartered Investment Manager (CIM) designation.

SPEAKER BIOGRAPHIES

Daniel Conti
Principal
HarbourVest Partners

Open Role

Daniel Conti joined HarbourVest's investor relations team in 2018 and focuses on coordinating, monitoring, and enhancing relationships with new and existing Canadian investors and consultants.

Dan joined the Firm from CI Institutional Asset Management, where he was most recently a vice president responsible for institutional business development and client service for consultants, pension plans, foundations, family and multi-family offices as well as banks and insurance company platforms. Prior to this, he was an investment counsellor for CI's Private Counsel division. His prior experience includes roles for a single family office and a multi-family office in Eastern Canada.

Dan received a Bachelor of Commerce degree in Finance and Economics from École des Hautes Études Commerciales de Montreal. He holds the Chartered Financial Analyst and Certified Financial Planning designations. Dan currently serves as co-director of the CFA Montreal Mentoring Program.

Martin Fox
Managing Director - Canada
Robert Walters

Martin is a native Torontonian that spent 10 years recruiting accounting professionals for opportunities across Ireland, the UK and the Middle East for the Robert Walters group before relocating home in 2016 to establish their Toronto office. He has successfully managed the careers of hundreds of accounting professionals, many of whom now operate at Controller/CFO level and repeatedly come back to Robert Walters to recruit top calibre professionals for their teams.

Harley Gold
Managing Director
Peakhill Capital

Open Role

Peakhill Capital is a commercial real estate lender and equity provider to leading real estate developers and investors. Peakhill Capital was founded by Harley Gold, Partner and Managing Director. Harley brings extensive experience in financing on all asset types including multi-family, senior housing, retail, industrial, hospitality, and land development, and has closed in excess of $15 billion in loans over the past 15 years in Canada and the US. His client base includes developers, REITs, pension funds, and high net worth individuals.

Harley's focus has traditionally been on delivering strong returns with opportunistic investment strategies through utilizing competitive and flexible financing. Prior to founding Peakhill Capital, Harley was the Vice-President of Business Development at CMLS Financial. Harley graduated from the University of Toronto and holds an MBA from Canisius.

SPEAKER BIOGRAPHIES

Michael Graham
Executive Director, Relationship Management (Quebec)
CIBC Mellon

Open Role

Michael Graham is Executive Director, Relationship Management (Quebec). Michael is responsible for leading the relationship management team in Quebec, overseeing the existing client relationships and partnering with our business development team to grow CIBC Mellon's regional footprint. He also develops and manages initiatives that enhance client partnerships.

Prior to joining CIBC Mellon in 2013, Michael worked in progressively senior roles at a large, diversified financial institution in Canada, most recently as a relationship manager for domestic and international institutional investors.

In addition to his 20+ years of experience in the financial services industry, Michael is a CFA charterholder and earned his bachelor of commerce degree from Concordia University, with a major in finance at the John Molson School of Business.

Christi Hayes
Chief Compliance Officer
Invico Capital Corporation

Open Role

As the Chief Compliance Officer at Invico Capital Corporation, Christi Hayes brings over 15 years of experience in investment banking and corporate finance — and her organizational prowess — to seamlessly oversee the closings for all Invico managed funds and the day-to-day operations of the entire organization. Before joining Invico, Christi served as a Syndication and Accounting Analyst at FirstEnergy Capital Corporation, where she worked closely with the corporate finance team on public and private financings, including brokered and non-brokered offerings and grey market trades.Beyond her role at Invico, Christi is also a member of the Calgary Corporate Challenge Governance Committee, a non-profit providing community-focused team building events, and advises the Board on matters pertaining to strategic planning, governance, and human resource matters. She graduated from the University of Calgary with a Bachelor of Arts in Archeology and a double minor in history and anthropology.

Stephanie Koonar
Co-Founder
PeerSpectives Consulting

Stephanie Koonar is a community connector that believes in the strength of teams and personal development, with experience collaborating with international teams from Dublin, Milan, Guadalajara, New York, London in both profit and non-profit organizations. An award-winning instructor with 20 years experience in Higher Education, Certified Career Educator, coaching people to unlock their potential to be their best. Mentor of the Year. MBA, BA Psych. Gallup-Certified Strengths Coach.

SPEAKER BIOGRAPHIES

Kathy Kozak
Vice President, Strategy and Corporate Finance
Trez Capital

Open Role

Kathy joined Trez Capital in 2017 and is responsible for strategy and portfolio financing for the Trez Capital investment funds. She brings over 20 years of financial services expertise to the role. Prior to joining Trez, she worked at GCM Grosvenor with various roles in due diligence, treasury, accounting, financial reporting and tax. She began her career in public accounting at Arthur Andersen.

Kathy graduated with honors from the University of Iowa with a Bachelor of Business Administration degree, and with distinction from DePaul Kellstadt Graduate School with a Master of Business Administration. She is a Certified Public Accountant in the United States, Chartered Professional Accountant, Chartered Accountant in Canada, Chartered Financial Analyst, and Certified Fraud Examiner.

Jedidiah Liu
Chief Executive Officer
Cacoeli Asset Management

Open Role

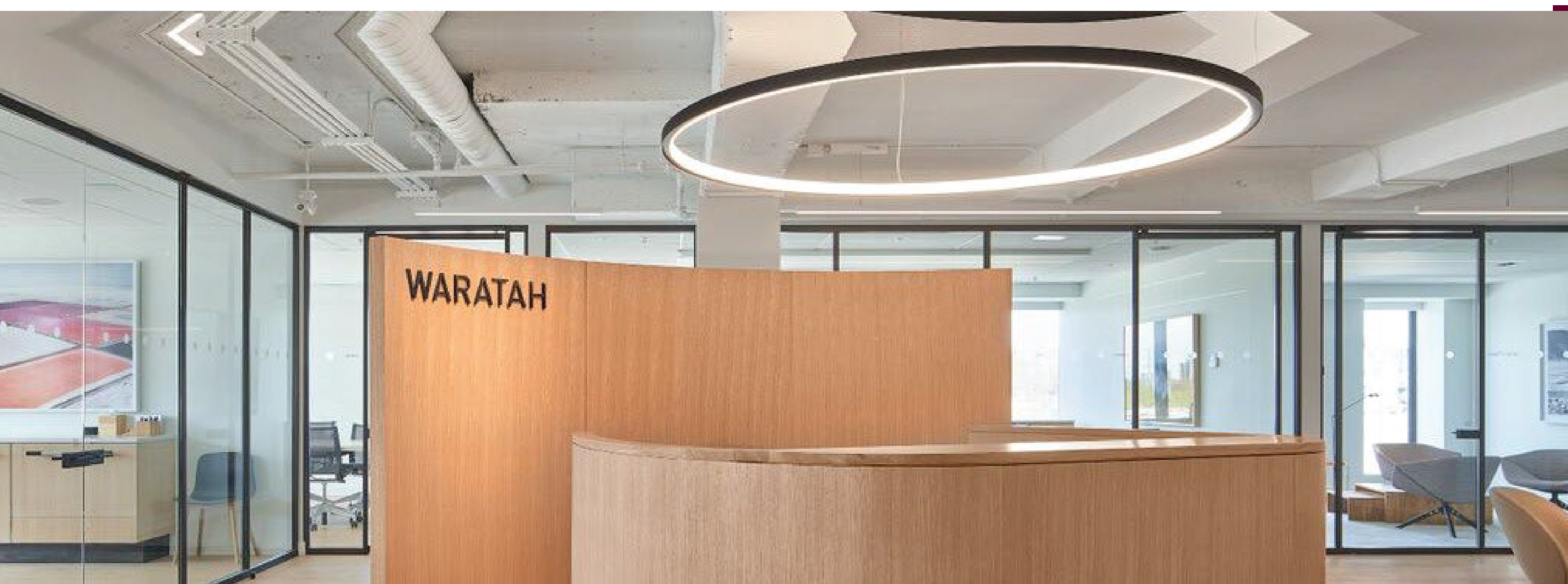
Jedidiah has been an experienced real estate investor in the residential rental market since 2003. She started investing in the single-family residential market with tremendous success. Very shortly, she entered the multifamily residential market, owning and managing small and medium rental complexes. Professionally trained as a CPA, CA in Canada, Jedidiah possesses strong analytical and relationship management skills that are critical in evaluating appropriate real estate opportunities and ensuring the success of each real estate investment.

Ron Lloyd
Partner & Senior Advisor
Waratah Capital Advisors

Open Role

Ron joined Waratah Capital Advisors in 2015. He brings over 30 years of leadership in pension consulting and investment management to Waratah. Prior to joining Waratah, Ron was President of Hewitt Associates Canada (now known as AON Hewitt) and held senior executive positions at various Canadian asset management firms.

Ron graduated from Queen's University with an Honours Bachelor of Commerce degree and a Masters of Industrial Relations degree. Ron has also completed executive courses at the Harvard Business School and University of Toronto, in addition to the Fundamentals of Alternative Investment Certificate Program by the CAIA Association. He received his CIM designation and is registered as a Dealing Representative.



WARATAH CAPITAL ADVISORS: CAPITALIZING ON OPPORTUNITIES

A company with major impact:

- ✓ Diversified investment offering across multiple asset classes
- ✓ Investment universe includes Environmental, Social, Governance factors
- ✓ Client base includes high net worth, institutional, retail advisory and offshore
- ✓ Fast-paced atmosphere and open architecture to foster collaboration
- ✓ Opportunity for career growth and development from our senior leadership
- ✓ Support for expanding education

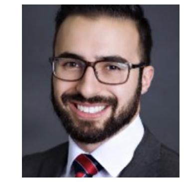
WARATAH

Learn more at www.waratahadvisors.com | 1133 Yonge Street, 5th FL Toronto, ON | Contact: careers@waratahcap.com

SPEAKER BIOGRAPHIES

Mike Mangione
Director, Family Offices & Advisory Relations
Group RMC

Open Role



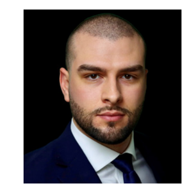
Mike is the Director of Family Offices and Advisor Relations at Group RMC. He is responsible for establishing, developing and maintaining business relationships with Family Offices and Investment Advisors. He is also responsible for marketing the business in Canada and in the US. Prior, he held various positions in finance at prominent Insurance companies Standard Life and Manulife (John Hancock). Mike graduated with a Bachelor of Commerce with a concentration in accounting from the John Molson School of Business. He holds the CPA, CMA designation.

Louann McCurdy
Co-Founder
PeerSpectives Consulting

Louann McCurdy helps people bring forth the best in themselves, their relationships, and their career. She is an ICF-Certified Coach, specializing in CliftonStrengths. Louann has lived in 5 countries (Canada, USA, Singapore, France, India), and has worked as an Engineer, I/T Professional, coach and facilitator. B.Sc. (Engineering), B.Ed. (Adult Ed.). Gallup-Certified Strengths Coach.

Yavor Nikov
Director, Sales - Quebec Region
Avenue Living Asset Management

Open Role



Mr. Nikov holds a bachelor's degree in accountancy from Concordia University in the John Molson School of Business. In addition, Mr. Nikov has a Financial Planner Diploma from the Institut québécois de planification financière (IQPF). Over the past years, Mr. Nikov has held positions with companies from both the public and private sectors. He has been in financial sales for his entire career, working in Montreal, Quebec.

Michael Orlando
Analyst
Waratah Capital Advisors

Open Role

Michael joined Waratah Capital Advisors in June 2021. As an Analyst, Michael primarily works with Lithium Royalty Corp and the ESG team. Prior to joining Waratah full-time, Michael completed three co-op placements with Waratah on the risk team, client team, and operations and finance team. Michael holds an BASc Degree in Nanotechnology Engineering from the University of Waterloo, graduating with distinction.

SPEAKER BIOGRAPHIES

Taylor Ostoich
Associate
Spartan Fund Management

Taylor Ostoich is an Associate at Spartan Fund Management which is an alternative investment management firm based in Toronto specializing in niche strategies with over $1.5 billion in assets under management. At Spartan, Taylor works on operational matters along with being part of the investment team that focuses on SPAC arbitrage. Prior to joining Spartan, she worked for three years as a Trading Assistant at RP Investment Advisors which is a fixed income manager focused on corporate bonds and interest rate management. She spent two summer internships at Scotiabank on the trading floor. She holds a Bachelor of Commerce from Queen's University, completed CFA Level I, and is a CPA Candidate.

Open Role

Matt Shandro
President & Portfolio Manager
Fulcra Asset Management

Matt has more than 25 years of investment industry experience. He started his career as an equity sell side analyst before moving to the buy side and credit investing. Matt has worked as a portfolio manager at one of the largest mutual fund companies (CI Investments) and one of the wealthiest individuals in Canada (Jim Pattison). Experience in all aspects of fixed income investing (investment grade, private loans, high yield, distressed / bankruptcy, convertibles, and preferreds) combined with an entrepreneurial spirit culminated in the establishment of Fulcra and a differentiated approach to investing in credit markets.

Donna Shin
Account Manager, Co-op Program
University of Waterloo

Donna Shin works with Co-operative & Experiential Education at the University of Waterloo. In the role of Account Manager, Donna manages relationships with various organizations including financial institutions, public accounting firms, tech companies and more. Prior to joining the University of Waterloo, she worked as a human resources consultant with the CBC. Donna holds a Bachelor of Arts degree in Psychology from York University and Human Resources Management certificate.

For more information about the University of Waterloo co-op program, check out: https://uwaterloo.ca/hire/

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SPEAKER BIOGRAPHIES

Elena Sinelnikova
Chief Executive Officer
Metis

Open Role

Elena Sinelnikova is a former Government of Canada solutions architect turned entrepreneur and co-founded several startups. Metis.io: a scalability and low-code solution for DeFi, NFT and dApps on Ethereum, and CryptoChicks.ca – educational hub and accelerator for women in blockchain in 56 countries.

Andy Smith
Chief People & Communications Officer
SGGG Fund Services

Open Role

Andy Smith brings a wealth of experience in unitholder recordkeeping and transfer agency services dating back to 2000.

Andy's career began at RBC Global Services providing administrative support to some of Canada's largest mutual funds. He then moved to BNY Mellon Alternative Investment Services (Bermuda) where he spent seven years and became a vice-president. While at BNY Mellon, his department oversaw transfer agency services for offshore hedge funds with $125 billion in assets under administration. At BNY Mellon, Andy became an expert on alternative product offerings, series-based accounting, master-feeder structures and offshore regulatory compliance.

After returning to Canada in 2010, Andy joined SGGG-Fund Services Inc. In his roles here, Andy was focused on investor reporting, client communications, and meeting the needs of evolving industry requirements including FATCA, CRM2 and more. Today, Andy puts more focus on building relationships and knowledge across departments, with clients, and other stakeholders. He will continue the Human Resources initiatives he has started and will align some of our HR practices to deliver a consistently positive experience for SGGG-FSI's employees.

Andy holds a Bachelor of Arts from the University of Toronto and a post-graduate diploma in public relations from Ryerson University.

Josie Valotta
Program Coordinator for the Master of Mathematical Finance Program
University of Toronto

Josie Valotta is the Program Coordinator for the Master of Mathematical Finance Program at the University of Toronto. Josie has managed both research and professional programs in various faculties and departments at UofT. Josie has extensive experience in academic administration; credential evaluation; financial reporting and governance.

Josie has a degree in Art History from the University of Toronto.

SPEAKER BIOGRAPHIES

Celeste Warren
Head of Talent & Culture
Picton Mahoney Asset Management

Open Role

Celeste Warren is the Head of Talent & Culture at Picton Mahoney Asset Management. For more than 20 years, Celeste has been partnering with highly dynamic and growth-oriented organizations to build their leadership teams, workplace wellness programs, and culture and engagement initiatives. She has a reputation for consistently cultivating best workplace environments with a core focus on enhancing the health and well-being of the leaders and employees that she works with. Celeste is a committed leader herself and the results of her efforts have led to significant increases in employee engagement and company morale for the firms she has partnered with, including consistently becoming recognized as one of Canada's "Top Employers" and "Great Places to Work".

Celeste is a Certified Coach Practitioner (CCP), Health and Wellness Specialist (HWS) and graduate from the Strategic Human Resources Management Program (SHRMP) with the University of Toronto, Rotman School of Management. Celeste holds a Bachelor's degree in Business and Psychology from the University of Waterloo, in addition to a post-graduate diploma in Human Resources Management.

Michael Wasserman
Managing Partner
Maverix Private Equity

Open Role

Michael is a Managing Partner at Maverix Private Equity, where his focus is on all aspects of the investment process, along with firm operations and strategy. Michael leads the firm's healthcare investment practice.

Prior to joining Maverix, Michael spent 17 years at H.I.G. Capital Management, a leading global private equity investment firm with more than $44 billion of equity capital under management. Michael remains a Senior Advisor with H.I.G. Most recently, Michael was a Managing Director of H.I.G. BioHealth Partners, the firm's dedicated healthcare investment fund, where Michael sourced, executed and managed a diverse investment portfolio of healthcare business across stages, sectors and geographies, including HyperBranch Medical (acquired: Stryker Corporation), NeuWave Medical (acquired: Johnson & Johnson) and VertiFlex Medical (acquired: Boston Scientific) and others. Michael works closely with management teams and portfolio companies in key areas such as R&D, product development, market access, business development, follow on equity and debt financing and achieving liquidity through M&A or IPO.

CAASA MEMBER COMPANIES

Investors & Allocators:
Abshe Holdings
Aksia
Alberta Teachers' Retirement Fund
Albourne Partners
Alternative Capital Group
Aligned Capital Partners
Amana Global Partners
Anchor Pacific Investment Management
Atlas One Digital Securities
BCI
Bell Kearns & Associates
bfinance
Blue Bridge Wealth Management
BlueSky Investment Counsel
BMO Nesbitt Burns
Bodhi Research Group
Canaccord Genuity Wealth Management
Canada Overseas
Casselman and Company
CEOS Family Office
CIBC Private Wealth Management
Cidel
Echelon Wealth Partners Inc.
Eckler Ltd.
Enoch Wealth Inc
Fipke Group
Focus Asset Management
Fort Greene Capital
Gryphon Investment Advisors Bahamas
Guardian Partners Inc.
Harbourfront Wealth Management
HarbourVest Partners
Healthcare of Ontario Pension Plan
Heirloom Investment Management
Horizon Capital Holdings
IMCO
Koloshuk Farrugia Corp.
Mandeville Private Client
Master Plan Management
Mirabaud Asset Management
Morgan Stanley Wealth Management
National Bank Financial
Nicola Wealth
Northfront Financial
Northland Wealth Management
Numus Financial
Ontario Municipal Employees' Retirement System
Ontario Teachers' Pension Plan
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OPTrust
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PHL Capital
Prime Quadrant
Raintree Wealth Management
Raymond James Ltd.
RBC Dominion Securities
Richardson Wealth
Richardson Wealth Private Family Office
Richter Family Office
SmartBe Investments Inc.
Stonehage Fleming
Tacita Capital
Timberline Equities
Ullman Wealth
Vibrato Capital
Wealth Stewards
Westcourt Capital Corp.
Yameba Capital
Zen Capital & Mergers

Asset Managers:
3iQ Corp
Accelerate Financial Technologies
Actis
Adaptive ETFs
ADI Capital Partners
AGF Investments
AGinvest Farmland Properties Canada
AgriRoots Capital Management Inc.

Asset Managers:
Algonquin Capital
Algoz
Alignvest Student Housing
Allianz Global Investors
Alquity Investment Management
Antrim Investments
Applied Real Intelligence (A.R.I.)
AQR Capital Management
Arcis Capital Partners
Ascendi Capital
Aspect Capital
Auspice Capital Advisors
Avenue Living Asset Management
Axia Real Assets LP
Axonic Capital
Bedford Park Capital
Bridgeport Asset Management
Brightspark Ventures
Cacoeli Asset Management
Cameron Stephens
Carlisle Management Company S.C.A.
Celernus Asset Management
Centurion Asset Management
CI Global Asset Management
CIBC Asset Management
Clearfield Capital
Clifton Blake Asset Management
Clocktower Group
CMCC Global
CMLS Asset Management
CoinFund Management LLC
Community Fund
Connor, Clark & Lunn Funds
Converium Capital
Cortland Credit Group Inc.
Corton Capital
CPI Capital
Crabel Capital Management
Crystalline Management Inc.
Cult Wines
DaVinci Capital Partners
Desjardins Global Asset Management
DGC Capital
Diagram Ventures
Donville Kent Asset Management
Driehaus Capital Management
DSC Quantitative Group, LLC
Durum Capital
ehp Funds
Emso Asset Management
Equiton Capital
Espresso Capital
Evolve ETFs
Evovest
Fiera Capital
FirePower Capital
Firm Capital
Forstrong Global Asset Management
FORT LP
Framework Venture Partners
Franklin Templeton
Fulcra Asset Management
Galaxy Fund Management
Gentai Capital Corporation
Global X
Graham Capital
Group RMC
Guardian Capital
Harrison Street Asset Management
Heard Capital, LLC
Highline BETA
Highwood Value Partners
Honest Capital
Horizons ETFs
Hudson Valley Properties Group
iCapital Network
ICM Asset Management
Innovobot
Invesco
Investcorp Investment Advisors LLC
Investment Partners Fund
Invictus Capital
Invico Capital Corp.
JM Fund Management Inc.

Asset Managers:
Kilgour Williams Capital
KULA Investments, LLC
Lawrence Park Asset Management
Lazard Asset Management
LFIS Capital
LionGuard Capital Management Inc.
Loyal VC
Lumira Ventures
Lyxor Asset Management
Mackenzie Investments
Make Space
Man Group
Marret Asset Management
Maverix Private Equity
Maxam Capital Management
MGG Investment Group
MKP Capital
Morex Capital
Morgan Stanley Investment Management
Munro Partners
Music Royalties Inc
Napier Park Global Capital
Nephila Advisors LLP
Next Edge Capital
Orchard Global Asset Management
Peakhill Capital
PenderFund Capital Management
Picton Mahoney Asset Management
Pilot House Capital
PlusPlus Capital Management
Private Debt Partners
Quain Investments LLC
Raiven Capital
Reciprocal Ventures
ReSolve Asset Management
Rise Properties Trust
Robson Capital Management
RPIA
Sagard Holdings
Securian Asset Management
Slate Securities
Spartan Fund Management
Starlight Capital
Stewart Asset Management
StoreWest Developments
Third Point
Trans Canada Capital Inc.
Trez Capital
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TSVC
Unigestion
Unison Investment Management
Varick Capital Partners LP
Vesta Wealth Partners
Vivid Capital Management
Walkerville Capital Inc.
WaveFront Global Asset Management
Waratah Capital Advisors
Waypoint Investment Partners
Wealhouse Capital Management
Wellington Management
Westbridge Capital
Western Wealth Capital
YTM Capital Asset Management

Start-Up Founders:
Consilium Crypto
Innovfin
Just Boardrooms
Koios Intelligence
Konfidis
Leyline Altruism
Liquid Avatar Technologies Inc.
Meetami Innovations Inc
Metis
Moselle
Pascal WealthTech
Rakr
Rondeivu
The S-Factor Co.
SR-ai
Terentia
WealthAgile
Willow

Service Providers:
360 Trading Networks, Inc.
Altrust Investment Solutions
Apex Fund Services
Arbutus Partners
Athena International Management
AUM Law
Battea Class Action Services
Bitbuy Technologies Inc.
Boosted.ai
Brane Capital
Campbell Lutyens
Canaccord Genuity Direct
Capital Governance Partners LLC
Carne Group
Castle Hall Diligence
Caystone Solutions Ltd.
CIBC Mellon
Coach House Partners
Dart Family Office
DealMaker
DealSquare
DeFi Safety
Deutsche Börse Group
Federation of Mutual Fund Dealers
Fidelity Clearing Canada
Figment
FIS
Fundata
Fundserv
GDA Capital
Government of Canada
Hill + Knowlton Strategies Canada
Hut 8 Mining
ICICI Bank Canada
Independent Trading Group (ITG)
Innocap Investment Management
Juniper Square
Keystone Fund Solutions
KPMG
McMillan LLP
Meraki Global Advisors
NEO Exchange
Norton Rose Fulbright
Options IT
Penrose Partners
Preqin
Prometa Fund Services
Robert Walters PLC
SIDE Securities Industry Data Exchange
Sera Global Advisors
SGGG Fund Services
Sigma Sandbox
Silicon Valley Bank
SS&C Technologies
Stroock & Stroock & Lavan LLP
TD Prime Services
Tokens.com
TMX Group
Williams Trading

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