

# Wealth Managers' Forum 2022

*Presented by: The Canadian Association of Alternative Strategies & Assets*



**CAASA**  
CANADIAN ASSOCIATION OF  
ALTERNATIVE STRATEGIES & ASSETS

**Tuesday, February 15<sup>th</sup> to Thursday, February 17<sup>th</sup>  
Plus Additional Meeting Day: Friday, February 18<sup>th</sup>**





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**YOUR SOURCE FOR ALL THINGS ALTERNATIVE**

Welcome to our conference focused on the requirements and preferences of retail, HNW, and UHNW Investment Advisors and allocators. We specially designed these three days to speak to the topics that are top of mind for you, while allowing ample opportunities to meet your peers, investment managers, and others in either one-on-one meetings or small group discussions (Table Talks) throughout the days. We also have our CAASA CE Centre at your disposal which will have 60+ of our webinars and podcasts from 2021 ported over as well as a course from each of our conference sponsors, and a selection of our panels and presentations.

We hope you get a great deal from this forum and look forward to any feedback you might have!

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Allison Taylor, MBA  
CEO & Portfolio Manager

Jason Brooks, CFA  
President & Portfolio Manager

# ABOUT CAASA

## INCLUSIVE, ACTIVE, AND PAN-ALTERNATIVE

The Canadian Association of Alternative Strategies & Assets (CAASA) was created in response to industry requests for a national group to represent the Canadian alternative investment participants, including investors, asset managers, and service providers. CAASA is **inclusive** in that it welcomes participation from all companies active in the space as well as select individuals (those with investors) who might want to participate in committees and working groups - or simply attend member events - without their employer being a member of the association. CAASA is very **active** in both committees & groups and events: 33 events in 2018 and at least 50 planned in 2019 (15 in the first 2 months alone). **Pan-alternative**, for CAASA, encompasses all alternative strategies and assets including: hedge funds / alternative trading strategies, private and public real estate (funds and direct), private lending, private equity, development & project finance, digital assets / cryptoassets, weather derivatives & cat bonds, and all aspects of diligence, trading, structuring, dealing, and monitoring alternatives in a stand-alone portfolio and as part of a larger investment strategy.

## MEMBER BENEFITS

**Investors** join CAASA to be a part of a formal network of pension plans, foundations, endowments, sovereign wealth funds, and family offices to discuss ideas, strategies, and operational issues particular to their businesses - all within a closed group where managers and service providers may or may not be included, depending on the forum.

**Managers** see the association as a way to connect with peers, investors, and service providers to speak to fund structuring, sales & marketing, and regulatory issues. CAASA is not a capital introduction platform, but we do create forums where investors and managers can meet organically or via structured meeting sessions where participation by the investors is strictly opt-in.

**Service providers** participate in our events and working groups as well as assist in the production of thought leadership pieces which provide relevant information to both association members and the industry and investing public at large.

**Founders** participate in our Founders' Pitch Competitions as well as other initiatives created to propel their fledgeling businesses forward.

## NATIONAL AND GLOBAL

CAASA believes that the Canadian alternatives industry has a great deal to offer Canadians and the global community. The *Canadian Model of Pension Management* is well-known for its large alternatives focus, managed in-house in many cases with substantial allocations to external managers as well. Canadian investment managers operate in a robust regulatory regime (of hedge fund managers) that is becoming the norm across the globe and a stable banking back-drop that provides solace for investors as well as opportunities for managers. Talent in investment management (approximately 10% of all CFA charterholders reside in Canada) as well as newer areas such as digital assets and robo-advisory services are a differentiator. Of course, Canadian investors and managers are keen to learn of best practices in operations and portfolio management from their global peers.

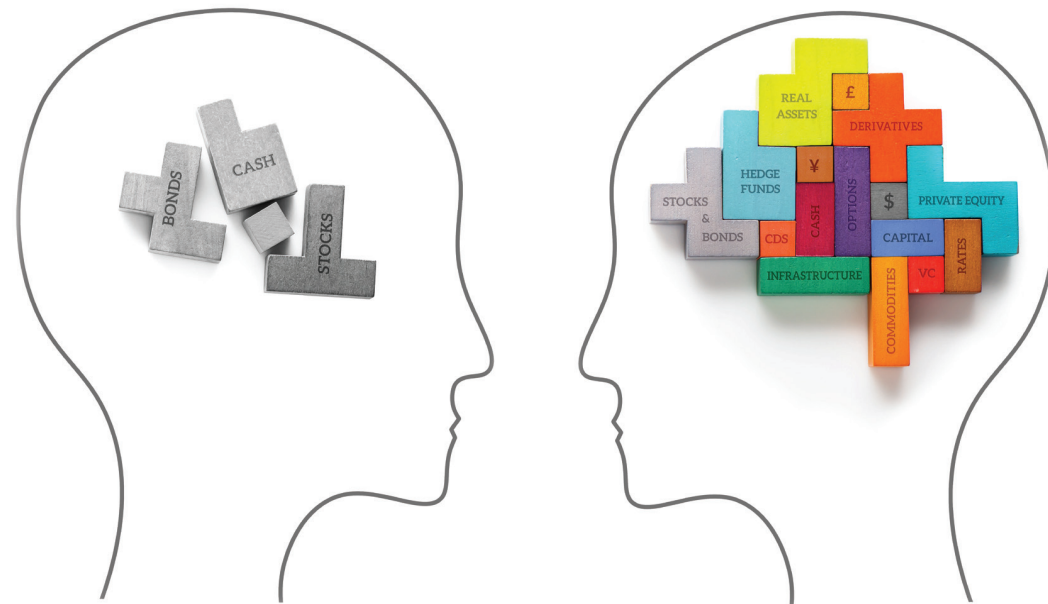
## WHY JOIN?

As mentioned, we have attracted 330+ members over the last 4 years or so and the reasons are plentiful and varied. Whether an investor, manager, or service provider, some seek a group of like-minded individuals in the alts space to connect with on an on-going and (semi-)structured basis; others have a more commercial interest in that they are intent upon being aware of the newest trends in the industry and (providing they have one) presenting their knowledge to an audience of peers; and others have made their mark (or are on their way to doing so) and really just want to give back to a group of people that has done a great deal for them.

Whatever your motivation, if you're not in membership yet we'd love to hear from you!



# THE KYP NEXUS



**Think Like an Allocator.** [LEARN HOW AT CAIA.ORG.](https://www.caia.org)



## STAY UPDATED, AS REQUIRED BY LAW

We are always seeking ways to facilitate industry participants to engage with each other and our new service **The KYP Nexus** is our latest offering that links asset managers with investment advisors.

Legislation in effect on **January 1, 2022** requires significant changes in an investment fund's terms to be communicated to investment advisors - and it also requires investment advisors (and their IIROC employers) to ensure that they are current on these changes. This communication could be in the form of a press release or other broadly distributed service, but the definition of 'significant' can be interpreted as a change in mandate, portfolio manager or the portfolio management team, fees attributable to the fund (including management and incentive), or even possibly the appointment of auditor or other service providers - these more nuanced changes might not warrant the expense of a full-blown press release, however. Also, we would be hard-pressed to assume that any advisor might have a process to keep track of all these changes.

## ENTER THE NEXUS

Our solution, almost one year in the making, provides a one-stop solution for all Investment Advisors, their IIROC broker-dealers, and asset managers across Canada. It provides one point of contact for these parties to communicate significant changes and keeps a historical record as well.

**The KYP Nexus is open to all market participants in Canada** - whether a CAASA member or not, however members receive certain benefits in addition to the basic package. The coding and upkeep of the system is financed by our operating budget as a service to the industry.

## EASY REGISTRATION

**Investment Advisors** can add their name to the list easily via the CAASA site and we guarantee that their information will not be distributed outside of our CAASA database. Once registered, IAs will receive a monthly update on significant changes from all asset manager/issuer participants on the platform which they or their staff can review and potentially integrate in their investment process.

**IIROC Dealers** can register a point of contact in their IA advisory or compliance teams to receive these monthly updates and send along to their IAs or have available in their intranet. Dealers can also provide IA contact information (simply email would suffice, but may include full name) in a bulk file to be uploaded to the CAASA database.

**Asset Managers** can register to the platform as well, providing a key contact who will update their information at least every month (can be done as it happens also). Basic services allow a notation that a significant change has occurred at the asset manager (which the IA can contact for more details). CAASA members can also include a description of the change, a link to their site to provide more information (such as a pdf or webpage), and contact information that can be used to get receive additional insight.

All of these services are offered without additional cost to all IAs, IIROC dealers, and asset managers.

## JOIN NOW

**The KYP Nexus is available now and actively adding IA, IIROC, and asset manager contacts.** It's the law that this information is put into the hands of advisors in a timely manner and we are happy to provide this conduit of communication.

All market participants are welcome to contact us for more information about this initiative, membership, or anything else. We love feedback!



# KICK-OFF KEYNOTES

THURSDAY, FEBRUARY 3<sup>RD</sup>

ALL TIMES EST

2:00 PM	<p><b>Virtual YVR - Views From the Bond/Credit Markets</b> We'll just pretend that we're at the storied Vancouver Club as we hear from these experienced fixed income managers talk to how they see markets unfolding over the rest of the year. We'll be back there for the CAASA Alternative Perspectives conference in June! <b>Philip Mesman</b>, Picton Mahoney Asset Management, and <b>Liam O'Sullivan</b>, RPIA</p>
4:00 PM	<p><b>Virtual YYC - Real Estate Investing in Your Own Backyard</b> We were looking to meet at Saltlik, but that will have to wait (FYI, CAASA will be at the Stampede in '22, just in case anyone is making travel plans). Both Scott and Jason are tried-and-true Calgarians and spoken before at our events - this may be the first time they are together on our stage, though! This will be a super discussion on opportunities in Prairie (and other) real estate. <b>Scott Starratt</b>, Canaccord Genuity Wealth Management, and <b>Jason Jogia</b>, Avenue Living Asset Management</p>
5:00 PM	End of Part 1 of Kick-off Keynotes

THURSDAY, FEBRUARY 10<sup>TH</sup>

2:00 PM	<p><b>Virtual YUL - The Francis Sabourin Hour: WMF Edition</b> We had a regal venue in mind for this event, but thanks to COVID we're back to virtual. As many know, Francis hosted seven of his popular webinars with us in 2021 (and one more already in January). Get ready for a super discussion! <b>Francis Sabourin</b>, Richardson Wealth, and <b>Michael Sager</b>, CIBC Asset Management</p>
4:00 PM	<p><b>Virtual YYZ - Access to Private Equity</b> Private Equity has been an elusive asset class for retail investors - whether it's because of the typically closed-end structures, long lock-ups/total illiquidity, staggered cash-flows, and pricing difficulties make approving these products more difficult than more traditional investments or public market-based ones like hedge funds and liquid alts. This session will delve into how retail advisors and their clients can gain access to private equity in all its forms: funds, co-investments, and secondaries. <b>Tom Johnston</b>, iCapital Network, and <b>Paul Kwon</b>, Unigestion Asset Management</p>
5:00 PM	End of Part 2 of Kick-off Keynotes

TUESDAY, FEBRUARY 15<sup>TH</sup>

8:00 AM	Tête-à-tête meetings (5 x 20-minute meetings)
9:40 AM	Platform Introduction
10:00 AM	<p><b>NowTalk - The State of Alternatives</b> This NowTalk features a research how that's world-renown for the depth and breadth of its reports and surveys covering all areas of the alternatives landscape across the globe. Advisors will be well-served to tune in to this presentation to get up to speed on what is happening in the space and where opportunities might present themselves over the next while. <b>Speaker TBD at press time</b></p>

# DAY 1 AGENDA

ALL TIMES EST

TUESDAY, FEBRUARY 15<sup>TH</sup> (CONTINUED)

10:30 AM	<p><b>To Short, or Not To Short?</b> The universe of investment options in Canadian equity funds is dominated by long-only strategies that employ various techniques to value and trade stocks, and use shorting to deliver value to their investors. Does stock-picking suffice to produce excellent portfolios, and - if so - what are the best techniques to use? This panel will delve into how a selection of managers might short (or not), why they do it (or not), and how those who do short express their views (e.g., cash borrow or various derivatives strategies) and manage the unique risks that shorting can pose. <b>Ryan Marr</b>, Waypoint Investment Partners, <b>Philip Smith</b>, Investment Partners Fund, <b>Andrey Omelchak</b>, LionGuard Capital Management Inc., and <b>Jordan Zinberg</b>, Bedford Park Capital Corporation</p>
11:30 AM	Mini-break
11:45 AM	<p><b>Liquid Alts Lunch 2022</b> This is it! Now in its third year, our Liquid Alts Lunch is a stalwart of any conference focused on the retail channel. Celebrating 3+ years since promulgation, the Modernization of the Canadian Investment Management Industry (31-103) original comment letter from March 2013 has brought about not only \$20+ billion in AUM, but a sea-change in how advisors and investors view risk and alternatives overall. <b>James Burron</b>, CAASA, <b>Travis Dowle</b>, Maxam Capital Management, <b>Andrew Torres</b>, CI Global Asset Management, and <b>Tim Elliott</b>, Connor Clark &amp; Lunn Funds Inc.</p>
12:45 PM	Lunch Break
1:00 PM	<p><b>NowTalk - The Lyon's Share: A look at emerging managers</b> This NowTalk features a pioneer in the fund marketing and placement business - especially now with his role of introducing new and emerging asset managers to advisors. In this chat he speaks to the emerging manager scene in Canada features a real estate manager that is growing its portfolio via returns, new investors, and additional allocations from current ones. <b>Grahame Lyons</b>, Arbutus Partners, and <b>Mark Irwin</b>, DaVinci Capital Partners</p>
1:30 PM	<p><b>CAASA Chat: The View on Credit &amp; Equity Markets</b> Our first keynote features two scions of the Canadian securities and asset management industry: David Picton of Picton Mahoney Asset Management and Richard Pilosof of RPIA. This is a real treat and opportunity to get a longitudinal look at the industry, spanning decades, from these veterans who are in the trenches and can speak to all areas of their respective specialties. This is not to be missed! <b>James Burron</b>, CAASA, <b>David Picton</b>, Picton Mahoney Asset Management, and <b>Richard Pilosof</b>, RPIA</p>
2:30 PM	Mini-break
2:40 PM	<p><b>Table Talks</b> (3 x 20-minute meetings) <b>SigmaSandbox</b> <b>Unigestion</b> <b>Liquid Avatar Technologies</b> <b>Invico Capital</b> - A Cost-Benefit Discussion of Liquidity in Alternative Investments</p>
3:40 PM	Tête-à-tête meetings (7 x 20-minute meetings)
6:00 PM	End of Day 1



# MANY FORMS OF CONTENT

# DAY 2 AGENDA

WEDNESDAY, FEBRUARY 16<sup>TH</sup>

ALL TIMES EST

## TÊTE-À-TÊTE MEETINGS

This is your opportunity to schedule one-on-one meetings with any conference delegate. All matching MUST be completed by end of day on Monday, February 12<sup>th</sup> to ensure the scheduling software has time to confirm the meetings and each one is served up to you at the right time.

You can schedule as few or as many (up to 56, 20-minute meetings over the 2 days): book-ending Day 1, 2, and 3 (morning only) and all day on Day 4. Over the years we received feedback that these are possibly the best part of our conferences as it gives folks an opportunity to connect away from the scheduled panels and meal times and really drill down into their respective interests. The software automatically suggests times that are mutually convenient for both parties.

Anyone can book a meeting with any other delegate. Please decline meetings that you might not be interested in or able to commit too - then the other party can invite another to chat. And if you are interested, you're free to connect via our messenger or ask for their email (we DO NOT release delegate contact information, so you'll have to ask for it or send yours along to them and await a response).

All meetings will be scheduled via the CAASA All-in-One Virtual Platform. Meetings should be completed by end of day on Monday, February 12<sup>th</sup> to ensure entry into the virtual room.

## TABLE TALKS

We do not sell paid-for speaking spots, preferring delegates to choose when they might like to hear from sponsors. Table Talks are 20-minute sessions where anywhere from 2 to 30 delegates can get a briefing on a topic and participate in an interactive discussion. As with all of our events, we refrain from pay-to-play and draw all speakers from membership and prefer audiences to opt-in to more niche discussions, as will be delivered at the Table Talks.

Attendees can choose up to three sessions out of each of the those offered on February 9<sup>th</sup>, 10<sup>th</sup>, and 11<sup>th</sup>. Titles/topics of each session will be published on the CAASA All-in-One Virtual Platform, also where attendees will register for their desired sessions. This should be completed by noon on Monday, February 12<sup>th</sup> to ensure entry into the virtual room, although one can enter rooms on-demand on the day if space allows.

## CAASA CE CENTRE - COURSES ON ALL THINGS ALTERNATIVE

We believe in making alternatives as accessible as possible and developed our CE Centre with that in mind! Launched in early 2021, we partnered with **Learnedly** to create a platform for IROC Investment Advisors (and many others, as described below) to gain unbiased knowledge across all alternative strategies and asset classes. Of course, true to our mantra of access, **registration on and use of this platform by advisors is 100% free and without any additional charge, ever. And, of course, we will never sell your information to anyone.**



To date, we have released more than 60 courses including sessions from our *Wealth Managers' Forum 2021* and *Digital Assets Global Exchange 2021* and (soon) discussions from our *Private Equity & Venture Capital Assembly 2021*. We also transferred many of our webinars (all of them to be added by end of January 2022) on topics such as: India, China, Colombia, growth stocks, alt-alts, real estate, private lending & mortgage funds, quant investing, the effects of COVID on certain markets, KYP & compliance, cryptoassets/currencies, ESG, the metaverse, inflation, foreign exchange, and more!

We offer CE credits available from the **Financial Planning Institute** and the **Mutual Fund Dealers Association**. We are adding eligibility for both **CFA** and **CPA** members and given that our courses are content-rich, provide real insights vs. marketing pitches, and the skill-testing questions are written by an experienced individual in that field we believe our courses are appropriate for these and other designations in the financial and investment field

8:00 AM	Tête-à-tête meetings (6 x 20-minute meetings)
10:00 AM	<b>NowTalk – Biotech: Great Things</b> This NowTalk looks into the exciting (and very much more in the news!) topic of biotech from a seasoned trader in the field. Eden Rahim's mutual fund offers long-only and long-short versions which can exploit the great deal of in-depth information that he has amassed on these companies and their innovations. <b>Eden Rahim</b> , Next Edge Capital
10:30 AM	<b>Translating One's Strategy to Liquid Alts</b> When the rules were being drafted for Liquid Alts, some postulated that they were too restrictive to allow many strategies but these four examples show that it is very possible to produce a liquid alts version (exactly the same or modified) of many tried-and-true trading strategies. Come hear their stories and learn how liquid alts can expand the realm of possibilities for investors. <b>Craig Machel</b> , Richardson Wealth, <b>Julian Klymochko</b> , Accelerate Financial Technologies, <b>Justin Jacobsen</b> , PenderFund Capital Management, and <b>David Burbach</b> , YTM Capital Asset Management
11:30 AM	Mini-break
11:45 AM	<b>Adding Crypto to Your Book</b> Cryptoassets and cryptocurrencies have gone from esoteric ideas embraced by a few in the fringes of (economic) society to a full-blown multi-trillion-dollar asset class in just the last 5-10 years. Clients are asking about them more frequently and there are both unregulated and regulated options for them. This panel will discuss some of the ways that IAs can incorporate exposure to these assets in their books and how to position them with clients alongside more traditional assets. <b>Kerem Kolcuoglu</b> , Penrose Partners, <b>Raj Lala</b> , Evolve ETFs, <b>Sue Ennis</b> , Hut 8 Mining, and <b>Hashim Mitha</b> , MeetAmi Innovations
12:45 PM	Lunch Break
1:00 PM	<b>NowTalk – Navigating ESG Data</b> This now talk delves into data and how portfolio managers, analyst, and investment advisors can better understand how a portfolio might be ESG compliant both initially and in the future. Both speakers have a great deal of experience working with data and creating output that is easily digestible and tailored to investors and advisers. Join us for this informative talk about a growingly important subject. <b>Jocelyn Courcelles</b> , Fundata and <b>Bonnie Lyn de Bartok</b> , The S-Factor Co.
1:30 PM	<b>Definite Diversifiers (alt-alts)</b> Diversification can be difficult to discover as well as fleeting, but this line-up of speakers has alternative strategies and assets that are unique and can provide true diversification for investor portfolios. <b>Dr. Keith Black</b> , Financial Data Professional (FDP) Institute, <b>John Fisher</b> , Bridgeport Asset Management, <b>Robb Nelson</b> , AgriRoots Capital Management, and <b>Tim Gallagher</b> , Music Royalties Inc.
2:30 PM	Mini-break
2:15 PM	<b>Table Talks (3 x 20-minute meetings)</b> <b>Figment</b> - Generating Stable Returns on Digital Assets and Fueling Innovation <b>Orchard Global Asset Management</b> - #1: Infrastructure for a wider investor base; #2: Tail Risks; #3: Introduction to litigation finance <b>Bridgeport Asset Management</b>
3:40 PM	Tête-à-tête meetings (7 x 20-minute meetings)
6:00 PM	End of Day 2



# DAY 3 AGENDA

THURSDAY, FEBRUARY 17<sup>TH</sup>

ALL TIMES EST

8:00 AM	Tête-à-tête meetings (6 x 20-minute meetings)
9:50 AM	<b>NowTalk – Neo Ideas: Platform Traded Funds</b> Access to new issues in the offering memorandum space can be a confusing and daunting task for both IAs and clients. Sourcing deals, performing diligence, and completing the required paperwork are just three areas that platforms such as NEO Connect and DealSquare ameliorate and simplify. This NowTalk will discuss how IAs can get their clients access to cutting-edge transactions that can truly diversify their holdings (and their book) as well as provide a differentiator for their practice. <b>Peter-Paul Van Hoeken</b> , DealSquare, and <b>Erik Sloane</b> , Neo Exchange
10:30 AM	<b>Real Estate Round-Up</b> Real Estate is perhaps the oldest investment and one that continues to provide value for investors over various markets and business cycles. This panel will highlight leaders in the field and provide insight into how IAs and their clients can best make use of its particular benefits and challenges. <b>Sandy Poklar</b> , Firm Capital, <b>Sanjil Shah</b> , Alignvest Student Housing, <b>Dennis Mitchell</b> , Starlight Capital, and <b>Prakash David</b> , Triovest
11:30 AM	Mini-Break
11:45 AM	<b>Insight ETFs: WMF Edition</b> ETFs were invented in Canada and we continue to offer an amazing array of one-asset, index, portfolio, and thematic options for investors of all types. This panel includes some of the innovators in the space and will provide IAs with a view of the landscape and how they can be used in various client portfolios. <b>Robert Duncan</b> , Forstrong Global Asset Management, <b>Michael Cooke</b> , Mackenzie Investments, <b>Warner Wen</b> , Global X ETFs, and <b>Bobby Eng</b> , Franklin Templeton
12:45 PM	Mini-break
1:00 PM	<b>NowTalk – Alts, Data, and Alternative Data</b> This NowTalk looks into how IAs and other advisors can get up the curve on alternative investments, data-driven investing, and the new-new world of alternative data. Our speakers will introduce three ways to gain valuable knowledge and skills in these areas and some advice as to which ones might be best for you - depending on your business plan and preferences. <b>Dr. Keith Black</b> , FDP Institute, and <b>John Bowman</b> , CAIA Association
1:30 PM	<b>Focus on Private Lending</b> Private Lending (aka private debt, aka private yield, aka private credit) is one of the fastest growing asset classes in the world, providing important diversification benefits to investor portfolios and IA books as it distributes credit to typically underserved or unbanked areas of the market. This panel has speakers representing a selection of the industry and will be a real eye-opener for those who are in the market or looking at allocating to this area. <b>Travis Forman</b> , Harbourfront Wealth Management, <b>Alkarim Jivraj</b> , Espresso Capital, <b>Allison M. Taylor</b> , Invico Capital Corporation, and <b>James Kelly</b> , Cortland Credit, and <b>Katie Bonar</b> , Cameron Stephens
2:30 PM	Mini-break
2:40 PM	Tête-à-tête meetings (10 x 20-minute meetings)
6:00 PM	End of Day 3

# DAY 4 AGENDA & CE CENTRE

FRIDAY, FEBRUARY 18<sup>TH</sup>

8:00 AM	Tête-à-tête meetings (27 x 20-minute meetings)
5:00 PM	End of Day 4 Program

## PRIVACY & SECURITY

Typically, we do not release the names of individuals attending CAASA events - including to sponsors and speakers at events - although we may disclose the employers of attendees, except for those working at single family offices which are redacted. For this gathering, of course, all delegates have access to the individuals' names and employers for the purpose of scheduling meetings and booking seats at Table Talks. However, **we never release the email addresses or phone numbers of attendees**; asking instead that folks reach out via the mobile app / web portal or other means such as corporate websites or services such as LinkedIn. We can pass on contact requests to another party, without any guarantee of a response.

To ensure the security of information and views expressed during the summit panels and other sessions, we use a scheduling platform that integrates pre-recorded and live video so that all attendees can easily connect during the tête-à-tête sessions, Table Talks, and plenary times. Since attendees will be identified as their login name for each session, **if we see duplicate names or believe another person is using a delegate's login, we will terminate transmission and investigate** - and ask attendees to let us know if they see something suspicious.

**All tête-à-têtes and Table Talks will not be recordable via the site - however all panels and 'main stage' sessions will be available.** Shortly after the conference, we will upload all from this latter class into the **CAASA CE Centre** as individual courses that can be used to satisfy one's continuing education requirements. We currently have Financial Planning Institute and Mutual Fund Dealer Association (MFDA) accreditations and will be adding CFA and CPA ones shortly as well. We have 60+ courses on the platform already and will add 60+ more each year as we continue with our mission to educate all on the benefits and challenges of allocating to alternative investments.



CANADIAN ASSOCIATION OF ALTERNATIVE STRATEGIES & ASSETS

CAASA CE Centre

Discover. Develop. Master.

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# OUR DATAROOM



CANADIAN ASSOCIATION OF ALTERNATIVE STRATEGIES & ASSETS

Powered by www.sigmasandbox.com

## ALL THE DATA REQUIRED TO MEET YOUR (INVESTMENT) MATCH

At the suggestion of one of our single family office members, we partnered with **SigmaSandbox** to create a dataroom to provide a more robust experience for managers and investors by getting performance and other information into the hands of prospective investors. We believe this free offering, exclusive to CAASA members, can be used in advance of the conference, during it, and afterward as much as one might like.

## FOR INVESTORS

Simply create a login and you can search the database, choose any number of funds, introduce them into a portfolio approximating yours (more than 2,500 ETFs and 115 indices to choose from) to see how they might have affected its returns, and/or download tear-sheets of the fund to get portfolio and other data on it, the manager, and whom to contact to get more information.

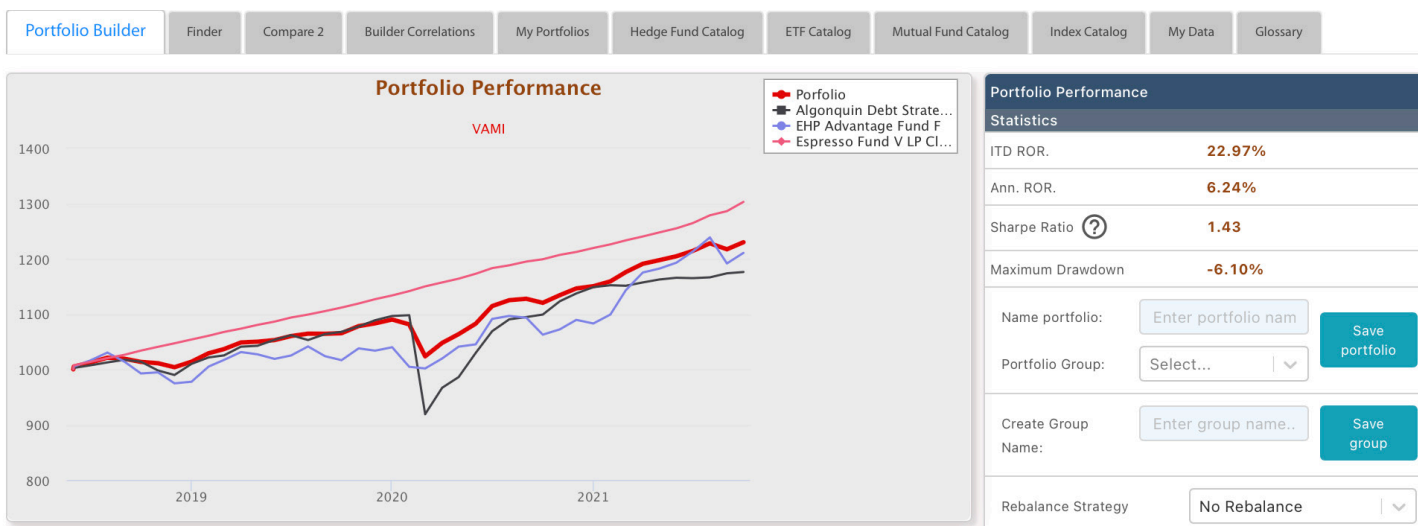
You can also produce pro forma portfolios (like the one below) to see how adding certain funds could have affected returns. The weighting of each fund can be easily adjusted - leading to all performance metrics being updated immediately.

To simplify your search, we have a special **CAASA Catalogue** that can be used to seek our members' tear-sheets and return profiles. This list is constantly growing as more of our manager members see the value in making their data available to select investors like yourself.

## FOR MANAGERS

Uploading your performance and other information gets you on the radar of delegates at the conference and, as **SigmaSandbox** and CAASA will be making this available to more than 1,000 endowments, other significant investors, and wealth managers across North America and around the world with our ongoing events and those held in concert with other associations and the Government of Canada. It is simple and easy to do so and sets your fund apart with the opportunity to have many investors see how well you manage funds entrusted with you.

It is very easy to do the initial data population as well as monthly/periodic updates to the platform. Basically, SigmaSandbox does all of the heavy lifting and has created a very streamlined process requiring minimal additional effort on the managers' part.



## 3IQ Global Cryptoasset Fund A

### Contact Information

3iQ Corp.  
 1 King St. West, Suite 4800  
 Toronto, M5H 1A1  
 fppe@3iq.ca  
 1 (514) 775-0010

### Fund Details

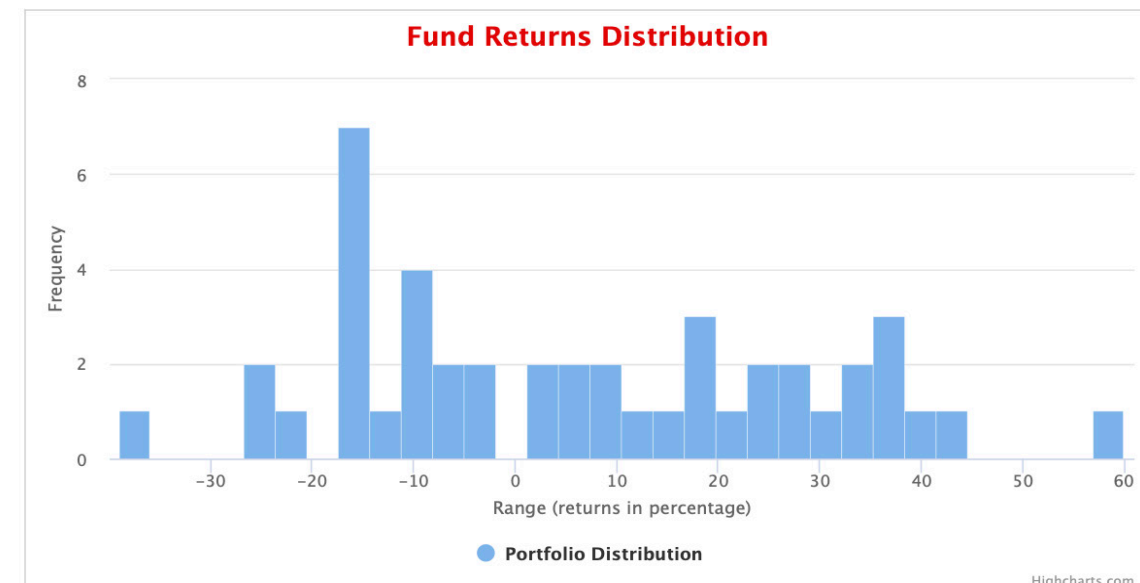
The 3iQ Global Cryptoasset Fund ("GCF") is established as a mutual fund trust and its investment objectives are to provide investors with exposure to a portfolio of three leading cryptoassets, namely, bitcoin, ether and litecoin, with an opportunity for long term capital appreciation. Rebalancing of the Fund and the historical composite returns are subject to quarterly and "trigger" rebalancing. The triggers are defined in the offering memorandum, with upper limits set at 75% for bitcoin, 50% for ether, 50% for litecoin.

Statistics & Ratios Summary		
	Fund	Benchmark
Ann. RoR	62.57 %	N/A
Sharpe Ratio (0%)	0.99	N/A
Volatility	79.33 %	N/A
Average Monthly Return	6.55 %	N/A
Highest Monthly Return	60.00 %	N/A
Lowest Monthly Return	-39.00 %	N/A
Maximum Drawdown	-69.49 %	N/A
% Positive Months	55.56 %	N/A
% Negative Months	44.44 %	N/A
Average Monthly Gain	23.72 %	N/A
Average Monthly Loss	-14.92 %	N/A
1Y Return	N/A	N/A
1Y Volatility	N/A	N/A



### Monthly Performance

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	YTD	YTD Benchmark
2021	36.44%	23.27%	23.43%	14.00%	-25.00%	-8.76%	10.00%	29.00%	-10.00%	39.00%	2.00%	-23.00%	125.79%	0.00%
2020	37.41%	5.28%	-25.85%	37.85%	2.39%	-2.92%	34.40%	8.11%	-14.78%	18.21%	44.04%	35.10%	318.67%	0.00%
2019	-11.00%	21.00%	13.00%	17.00%	60.00%	26.00%	-17.00%	-15.00%	-8.00%	7.00%	-15.00%	-14.00%	45.72%	0.00%
2018	N/A	N/A	N/A	31.00%	-16.00%	-15.00%	18.00%	-17.00%	-9.00%	-8.00%	-39.00%	-4.00%	-55.09%	0.00%



# RECENT PUBLICATIONS

## COVERING ALL THE BASES

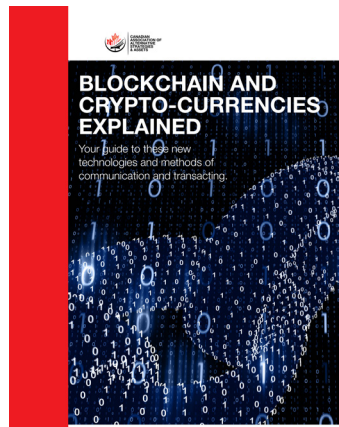


We are constantly in search of ways to make alternatives more accessible to investors and our series of papers and primers (found on the *Publications* area of our website) are no exception.

Prior publications include *The Case for Real Estate Investing*, *Canada's New Liquid Alternatives*, and *Investing in Real Estate and Private Lending*.

We released our *Private Equity & Venture Capital Primer* in April of 2021 thanks to the contributions of our members: **FirePower Capital, Innovobot, Investcorp, Mackenzie Investments, Raiven Capital, and Unigestion**. A truly diverse range of views and companies to highlight many areas of the industry.

In May 2021 we released *The Evolution of Quant* spoke to how that world has adapted to various market and regulatory challenges over the last few years. Thank you to our participating members: **Aspect Capital, Deutsche Börse Group, FORT LP, LFIS, ReSolve Asset Management, SmartBe Wealth, and WaveFront Global Asset Management**.



We released our *Blockchain and Crypto-currencies Explained* paper in September - with much thanks to our contributing members **3iQ Digital Asset Management, CI Global Asset Management, CMCC Global, CoinFund, Consilium Crypto, Fidelity Clearing Canada, Galaxy Digital Asset Management, and Horizons ETFs**.

Quickly following in September was our paper *Defining ESG and Impact Investing* thanks to the input of **Evolve ETFs, Nephila Climate, OPTrust, SS&C, and Waratah Capital Advisors**.

Finally, in January 2022 we released our *Democratized Alternatives: Liquid Alternatives in the Canadian Market* thanks to the support and contribution from **Maxam Capital Management, ehp Funds, CIBC Mellon, and Next Edge Capital**.

Thank you again to all of our members for these, our other past publications, and those that we will produce in 2022 and onward. It's always great to work with you!

Any member that has a topic idea is encouraged to contact the CAASA office and we will do our best to make it happen!



# PAYING FORWARD & GIVING BACK

## TIME-TESTED MODEL USED TO READY THE NEXT GENERATION OF LEADERS

A special thanks to **Ron Cheshire** and **HarbourVest Partners' Daniel Conti** for working with us on this important program to bring together those who would like to take their personal growth and career to the next level with those who have been there. The key to this program is its focus on matching mentors and mentees as well as possible, bringing both of their strengths to the forefront during orientation, and allowing them, as professionals, to decide their schedule and how they will interact over the one year of contact.

### Eligibility:

**Matching Committee:** individuals employed CAASA members or with individual membership; should have 10+ years of experience in the industry

**Mentees:** individuals employed CAASA members or with individual membership; 4 - 6 years of industry experience

**Mentors:** individuals employed CAASA members or with individual membership or non-members; 10+ years of industry experience

### Premise:

Mentorship, as opposed to coaching and other forms of guidance, is best performed between two well-matched individuals who have some level of experience (and maturity, as well as knowing what they want from the relationship) and participate in a comprehensive orientation that allows them to become an effective unit as quickly as possible.

### Process:

In January and February 2022 CAASA will solicit applications for two parties: mentees and the Matching Group. Mentees will provide background on themselves as well as permission from their employer to participate in the program.

The Matching Group consists of 6-8 individuals who meet in March and April to match mentees with mentors. The Matching Group is not required to take on a mentee (but can if they like); rather, they review the profiles and suggest mentors and meet with the prospective mentors to explain the program and, as applicable, get them on board. *The Matching Group commitment is just 2 months.*

The Class of 2021 begins on June 1, 2022, ending on May 31, 2023. All pairs will be part of a half-day orientation where they will learn about their roles and tasks, the program overall, and each others' strengths and talents. A follow-up session will occur in September. Each pair may schedule their meetings/chats as they like and they may be in-person or over the phone.

The main purpose of the program, for many mentees, is to learn key skills and perspectives that can help them in their jobs and careers over the next few years. Many have great technical skills, and to gain a promotion involving managing people, take on a more client-facing role in the company, or just do their current job better it sometimes takes some outside help from someone who has been there.

This will be our third cohort and we are super-proud of the work of our Matching Committee in putting together the pairs, our mentors for their time, and mentees for their initiative to take part in this program!

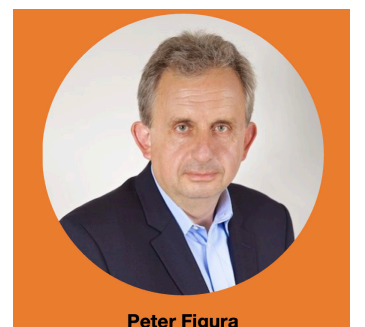


Farielle Pacha

## CAIA SCHOLARSHIP

Members are also encouraged to follow the lead of **Farielle Pacha** and **Peter Figura** who were our 2019 & 2020 **CAIA Scholars**. They had a comp pass to the exam for what is objectively the best course for alternatives - the **Chartered Alternative Investment Analyst**.

We open the submission window early in the year and announce the winner on about May 1. See our site for more!



Peter Figura



# NOTES

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# SPEAKER BIOGRAPHIES

*Thank you to our many speakers, presenters, and those who added their themes and topics, panel and non-industry speaker ideas, and other invaluable input!*

## **DAVID PICTON** **PRESIDENT AND LEAD PORTFOLIO MANAGER** **PICTON MAHONEY ASSET MANAGEMENT**

David is a founding partner of Picton Mahoney Asset Management, President, and Lead Portfolio Manager, responsible for our Canadian equity market neutral, long short, and long-only strategies.

David has been a prominent presence in the Canadian investment industry for the past several decades. Highlights of his career so far include launching Synergy Asset Management in 1997 and working as its lead Canadian momentum portfolio manager (some of those mandates he continues to manage to this day), and spending eight years as Head of Quantitative Research at RBC Dominion Securities, where he was one of the top-ranked analysts in his sector.

David holds an Honours Bachelor of Commerce degree from the University of British Columbia.



## **RICHARD PILOSOFF** **PRINCIPAL, CHIEF EXECUTIVE OFFICER** **RPIA**

Richard Pilosoff is a founding partner and the Chief Executive Officer of RPIA, one of the largest alternative asset management firms in Canada.

Prior to founding RPIA, Richard spent over 25 years with RBC Capital Markets where he served as Managing Director and Head of Global Debt Markets. Richard was also responsible for developing and building RBC's international capital markets platform, now with significant operations in London, Hong Kong, Sydney, and New York.

Richard is an active community volunteer, serving as a Director on the Mt. Sinai Hospital Board and as Co-Chair for the Sinai Health Foundation, where he was also the Campaign Chair and a member of the Philanthropy Executive Council, the Stewardship Council, and the Gift Acceptance Committee. He is currently a board member of the Ontario Junior Hockey League Foundation and President of the Pickering Panthers Junior A Hockey Club.

Richard holds a BA in Finance from Coastal Carolina University.



## **FRANCIS SABOURIN** **FINANCIAL PLANNER & PORTFOLIO MANAGER** **RICHARDSON WEALTH**

Financial Planner and Portfolio Manager, Francis Sabourin, Director, Wealth Management at Richardson Wealth, was awarded the Distinguished Advisor Award (prix Conseiller émérite) for the year 2016 by the publication Finance et Investissement, highlighting the good performance of his portfolios, both equal to or higher than the benchmarks, while minimizing the risks, thereby focusing on good capital protection. The Advisor of the Year Award is designed to recognize an advisor with at least 20 years of experience, who has marked the financial industry with a unique and remarkable practice. Francis celebrated 25 years of practice on October 5, 2017.

Among the most highly prized awards of excellence in the Canadian financial world, the Wealth Professional Awards are designed to recognize leaders in the wealth management industry and honour their contributions and outstanding achievements in Canada's financial industry.

Against this backdrop, in addition to taking home the top award of Global Advisor of the Year in 2016, 2017 and 2019, Francis took home the award for the Discretionary Portfolio Manager of the Year for 2018. In 2020, Francis won the Silver Award for Advisor of the Year – Alternative Investments



## **MICHAEL SAGER** **VICE-PRESIDENT – MULTI-ASSET & CURRENCY MANAGEMENT** **CIBC ASSET MANAGEMENT**

Michael Sager is a member of the CIBC Client Portfolio Manager team. Dr Sager partners with all CIBC distribution channels to deliver targeted thought leadership, education, and investment advice and perspective to clients and consultants.

Prior to joining CIBC Asset Management in 2018, Dr. Sager was an Asset Allocation Consultant at Alignvest Investment Management. Previously, he was a Senior Portfolio Manager at the Canadian Pension Plan Investment Board, a Fixed Income Currency Strategist at Wellington Management, Head of Currency Research at JP Morgan Asset Management and Putnam Investments, and an economist at the European Central Bank and the Bank of England.

Dr. Sager earned a Ph.D. in Economics from the University of Warwick and a Master of Science degree (with distinction) in Economics from the University of London.





# KICK-OFF KEYNOTES (YYC)

## **SCOTT STARRATT** **INVESTMENT ADVISOR & PORTFOLIO MANAGER** **CANACCORD GENUITY WEALTH MANAGEMENT**

Scott Starratt is a Certified Financial Planner and a Chartered Investment Manager. He graduated with a Bachelor of Commerce from the University of Alberta in 1993 majoring in Finance, Game Theory and Chemistry and draws on more than 29 years of experience in the financial services industry.

Scott's role is to lead the team and perform astute due diligence, manage portfolios and select top-tier alternative and hedged investments for our clients' downside protection. Scott gets to know company management teams on an up-close-and-personal basis to be able to assess funds based on more than figures on a balance sheet: he also considers each managers' ethics, track records and integrity under pressure.



## **JASON JOGIA** **CHIEF INVESTMENT OFFICER** **AVENUE LIVING ASSET MANAGEMENT**

Mr. Jogia serves as the Chief Investment Officer of Avenue Living, as well as Chief Executive Officer of our Opportunity Trust. He has over 15 years of experience in real estate capital markets and has originated over \$10 billion in real estate loans and \$500 million in equity. Prior to Avenue Living, he led the management of a \$1.5 billion real estate debt portfolio at a major Canadian bank. He has extensive experience in real estate investment analysis and capital structure on various real estate asset classes.

Mr. Jogia's insight and expertise in creating structures to attract capital, accessing capital markets, and alternative investments has helped Avenue Living parlay a focus on investing in the everyday to surpass \$2.5 billion in assets under management. As CIO, he takes his fiduciary responsibility to the stakeholders earnestly. His focus remains on creating cadences that safeguard our investors, allowing them to comfortably invest in overlooked markets.

Mr. Jogia serves as an instructor at the University of Calgary specializing in Real Estate Finance. He also serves as a board member for the Calgary Public Library Foundation. He holds a Master of Business Administration from the University of Calgary, and a Master of Corporate Finance from SDA Bocconi in Milan, Italy. In 2020, Mr. Jogia was featured Avenue Magazine's "Top 40 Under 40" for his achievements in "transforming what real estate investment can look like." An avid traveler, Mr. Jogia has visited over 38 countries and enjoys exploring different cultures and landscapes.



# KICK-OFF KEYNOTES (YYZ)

## **TOM JOHNSTON** **CANADIAN MARKET HEAD** **iCAPITAL NETWORK**

Tom Johnston heads the iCapital Network activities in Canada, having been active in the alternatives and broader asset management industry in the country for more than 25 years. He is a substantial presence at many industry events and has been a champion of the sector for much of his career.

Prior to his role at iCapital Network, he led the institutional sales efforts at CI Global Asset Management, after a 15-year tenure at UBS Asset Management (Canada). Tom started his career at the Royal Trust company and has served as a member of the board of directors and executive committee with the Portfolio Managers Association of Canada (PMAC).

He has a Bachelor of Arts from The University of Western Ontario and a Bachelor of Laws from the University of Windsor.



## **PAUL KWON** **SENIOR VICE PRESIDENT, PRIVATE EQUITY EMERGING MANAGER TEAM** **UNIGESTION ASSET MANAGEMENT**

Paul Kwon, Senior Vice President, is a member of the Private Equity Emerging Managers team. He joined Unigestion in September 2017.

He began his career in Private Equity as a Vice President at 747 Capital in New York. In his role, he was responsible for sourcing lower middle-market investment opportunities, performing analytical analysis, and preparing internal portfolio reports. Before joining 747 Capital, Paul was deployed overseas for four years in the United States Marine Corps.

Paul holds a Bachelor's Degree in Economics from Columbia University, USA.



## PHILIP MESMAN HEAD OF FIXED INCOME

### PICTON MAHONEY ASSET MANAGEMENT

Philip Mesman is a partner and lead Portfolio Manager of our firm's Income Strategies. Phil's previous investment experience includes working through the ranks at Scotiabank as a Commercial Lender; Merrill Lynch as a Proprietary Analyst and Trader; Greywolf Capital as a Credit Analyst; and Harris Investments as Managing Director and Portfolio Manager.

As a 20+ year veteran in the investment industry and second generation credit specialist, Phil brings extensive experience across all facets of income investing.

Phil graduated from the University of Western Ontario with a degree in Economics and is a CFA charterholder.



## LIAM O'SULLIVAN PRINCIPAL, CO-HEAD OF CLIENT PORTFOLIO MANAGEMENT RPIA

As Head of Client Portfolio Management, Liam leads the team responsible for developing client relationships and developing new investment solutions. Prior to this role, Liam spent several years managing corporate bond portfolios for the firm, with a focus on the Canadian corporate bond market.

Prior to joining RPIA in 2010, Liam held the position of Chief Risk Officer at Northwest Investment Management in the UK. He started his career in finance working on the Credit Trading desk at CIBC world Markets in London. Liam has a Masters in Politics, Philosophy and Economics from New College, Oxford and a Masters in Economics from the University of British Columbia. Liam is a CFA charterholder.



## Keith Black Managing Director – Program Director FDP Institute



Keith Black has more than thirty years of financial market experience, serving approximately half of that time as an academic and half as a trader and consultant to institutional investors. He currently serves as Managing Director, Program Director FDP Charter at the FDP Institute.

During his most recent role as Managing Director, Content Strategy at CAIA was responsible for Curriculum development. Previous to that Keith was a valued team member at Ennis Knupp + Associates, Keith advised foundations, endowments and pension funds on their asset allocation and manager selection strategies in hedge funds, commodities, and managed futures. Other experiences include commodities derivatives trading, stock options research and Cboe floor trading, and building quantitative stock selection models for mutual funds and hedge funds. Dr. Black previously served as an assistant professor and senior lecturer at the Illinois Institute of Technology.

Keith has contributed to the CFA Digest, and has published in The Journal of Wealth Management, The Journal of Trading, The Journal of Investing, and The Journal of Alternative Investments, among others. He is the author of the book "Managing a Hedge Fund," as well as co-author of the second, third, and fourth editions of the CAIA Level I and Level II curriculum. Dr. Black was named to the Institutional Investor magazine's list of "Rising Stars of Hedge Funds" in 2010.

Dr. Black earned a BA from Whittier College, an MBA from Carnegie Mellon University, and a PhD from the Illinois Institute of Technology. He has earned the Chartered Financial Analyst (CFA) designation and was a member of the inaugural class of both CAIA and FDP members.

## John Bowman Executive Vice President CAIA Association



John serves as Executive Vice President for the CAIA Association, overseeing the industry leading CAIA charter, thought leadership and content development, and CAIA's Asia Pacific strategy. John has devoted over 20 years to the asset management industry to recover the narrative of the value that the investment profession bring to society. He is a staunch public advocate for market integrity, long-termism, investor outcomes, diversity, human dignity and educational standards, as necessary ingredients to building a sustainable and healthy profession.

John previously served as Managing Director for the Americas for CFA Institute, a region comprised of 40+ countries from Canada, the U.S., Central America, South America and the Caribbean. Bowman joined CFA Institute in 2004 after holding several industry positions. He served as a portfolio manager at Mellon Growth Advisors (MGA), where he was responsible for portfolio construction and stock selection for the MGA International Growth and MGA Global Growth strategies. Bowman also served as a portfolio manager for the International Growth Opportunities Strategy at State Street Global Advisors (SSgA) in its Global Fundamental Strategies Group.

John is a prolific writer and commentator, frequently appearing in industry and business publications such as the Wall Street Journal, The New York Times, Pension and Investments, Financial Advisor, The Independent, Wealthmanagement.com and CNBC.

Bowman earned a BS in Business Administration from Mary Washington College and is a CFA charterholder.



# SPEAKER BIOGRAPHIES

## **Katie Bonar**

*Vice President, Investment Management and Strategy*  
Cameron Stephens



Katie Bonar is the Vice President, Investment Management and Strategy at Cameron Stephens and joined the company in 2012. Katie raises capital for and manages Cameron Stephens' two key retail investment vehicles, Cameron Stephens Mortgage Investment Corporation and Cameron Stephens Mortgage Trust, and is directly responsible for the 250+ investors and \$100 million+ in assets under management in these two funds. She also leads many strategic initiatives to grow and diversify the business. Katie has a Bachelor of Business Administration (BBA) from the Schulich School of Business at York University and has her Chartered Financial Analyst (CFA) designation.

## **David Burbach**

*Managing Director & Founding Partner*  
YTM Capital Asset Management



David spent the first 17 years of his career as in-house legal counsel. He started at Fidelity Investments and then moved to Mackenzie Investments to lead its legal department. David developed strong risk management skills by successfully executing on a wide range of complex legal, compliance, and investing projects. He has provided counsel on investment management and product creation relating to mutual funds, institutional pools, separate accounts, hedge funds, closed-end funds, derivatives, insurance products, pensions, offshore hedge funds, and listed securities in many regions including Canada, the U.S., Europe, Asia, Bermuda, and the Caribbean. This background served David well when he changed gears and joined YTM Capital in 2013. His focus is providing investors and their advisors strong risk adjusted returns with downside protection and the best of service.

David holds the Chartered Alternative Investment Analyst and Chartered Investment Manager designations and his schooling includes Faculty of Law, University of Toronto, Bachelor of Laws; Ivey School of Business, Western University, Honours Business Administration; and Rotterdam School of Business, Erasmus University, MBI Exchange

## **James Burron**

*Co-Founder & Partner*  
CAASA



James co-founded CAASA in response to industry support for a Canadian alternatives association to serve all aspects including: hedge / alternative strategies; private lending; private real estate; private equity; plus emerging areas where Canada is a leader such as digital assets / blockchain and robo-advisors.

Prior to CAASA, James was the Chief Operating Officer of AIMA Canada where his team of three worked with 12 committees to produce 50-60 events per annum across Canada, organized 100+ committee meetings, and increase member numbers over his 7-year tenure from 66 to 164 corporate entities.

James currently sits on the Canadian Investment Funds Standards Committee (CIFSC), which categorizes mutual funds and alternative mutual funds (aka liquid alternatives) for the retail space in Canada. He is also called upon by membership and industry groups to speak to risk ratings and portfolio placement of all types of alternative investments.

James also has experience in research and writing for the CAIA Association (holding the designation since 2006) as well as serving on CAIA's exam council and as a well as other duties. He had roles in institutional sales and FoHF structuring in Seoul, South Korea, as a Product Manager at ICICI Wealth Management, and as an Investment Advisor at RBC Dominion Securities. James graduated from Simon Fraser University with a BBA (Finance).

# SPEAKER BIOGRAPHIES

## **Michael Cooke**

*SVP, Head of Exchange Traded Funds*  
Mackenzie Investments



Michael Cooke was appointed Senior Vice President and Head of Exchange Traded Funds for Mackenzie Investments in April 2015. He leads the Mackenzie ETF team and oversees all aspects of the Mackenzie ETF strategy through cross-functional engagement with internal and external partners.

Michael has more than 22 years of experience in the investment management industry across institutional and retail markets. He has held progressively senior positions at several leading global asset management and capital markets firms. His key areas of expertise include global ETF business strategy and derivative product structuring/marketing. Michael earned his BA (Honours) in Political Studies from Queen's University in Kingston, Ontario.

## **Jocelyn Courcelles**

*Vice President of Client Relations and Business Development*  
Fundata



Jocelyn Courcelles serves as the Vice President of Client Relations and Business Development for Fundata Canada. Fundata distributes fund information and other financial data to the financial market including over 80,000 financial advisors, planners, managers and institutions, back offices, data vendors and millions of retail investors. Fundata's expertise and deep relationships in the industry has resulted in its platform being preferred by the industry.

Jocelyn is responsible for supporting both the onboarding process of investment fund managers to the Fundata platform but also ensuring that the distribution of the fund's information is hitting the appropriate network. Jocelyn and his team work closely with each and every client to ensure the delivery of reliable, accurate and fully customizable data feeds to all corners of the financial marketplace.

Jocelyn joined Fundata eight years ago. He holds an MBA and has almost a full decade of experience prior to Fundata working with both a major Canadian Bank and a large Investment Fund provider.

## **Prakash David**

*Chief Investment Officer*  
Triovest



Prakash is the Chief Investment Officer of Triovest. In this capacity he heads up the firm's capital raising, investments, development, and asset management businesses. Prakash has over 20 years of diverse real estate experience, both in Canada and internationally. Prior to joining Triovest in 2017, Prakash worked for Lendlease in Singapore, heading up investment management, development, and leasing of a \$3B master-planned office and residential precinct in partnership with Abu Dhabi Investment Authority. Prior to this, Prakash headed up a retail expansion strategy in the MENA region for Majid Al Futtaim, the retail leader in the Middle East. He also built the investment and development platform for the City of Toronto, led a Walmart-anchored retail expansion strategy throughout Southwestern Ontario with SmartCentres, and practised municipal law in Toronto. He holds an MBA, LLB, and BA (Politics), and sits on the executive of the ICSC as Government Relations Chair.

# SPEAKER BIOGRAPHIES

## **Travis Dowle**

*President*

Maxam Capital Management



Travis Dowle is the President of Maxam Capital Management Ltd., an alternative investment management firm. Travis began his career in 1996 with MK Wong & Associates, which was later acquired by HSBC Asset Management. Travis left HSBC in 2007 to lead public market investments for a family office and private investment group, before he founded Maxam in 2009. Travis is a graduate of the University of Western Ontario and holds the Chartered Financial Analyst (CFA) designation.

## **Rob Duncan**

*Senior Vice President – Institutional Strategy & Portfolio Manager*

Forstrong Global Asset Management



Robert is responsible for advancing sub-advisory relationships with pensions, foundations, endowments, family offices and broker dealer platforms. He is also responsible for managing Forstrong's institutional client base.

Prior to joining Forstrong in 2018, he gained invaluable experience as Vice President at BlackRock leading their iShares institutional business in Canada. Robert has a Bachelor of Economics from Concordia University and holds the Chartered Financial Analyst® designation.

In his spare time, he likes to spend weekends at the cottage with his wife and 2 sons. He also enjoys pretending to play hockey and golf while avoiding the chiropractor as much as possible.

## **Tim Elliott**

*President & Co-founder*

Connor, Clark and Lunn Funds Inc.



Tim Elliott is President of Connor, Clark and Lunn Funds Inc., a business he founded within the CC&L Financial Group to deliver unique, institutional quality investments to individual investors through funds, alternative investments and separately managed accounts. The CC&L Financial Group is one of Canada's largest independently-owned asset managers, responsible for approximately \$70 billion in assets, across a broad range of traditional and alternative investments, including public and private market asset classes, for institutional and individual investors. Tim holds a BA in Economics from Dalhousie University and holds the CFA designation.

## **Bobby Eng**

*Senior Vice President, Head of Platform and Institutional ETF Distribution*

Franklin Templeton



Bobby is a Senior Vice President at Franklin Templeton Investments and Head of Platform and Institutional ETF Distribution. Prior to this role, Bobby was Vice President and Head of SPDR ETFs Canada responsible for the overall distribution of SPDR ETFs to institutional investors throughout Canada including pension plans, endowments, foundations, insurance companies, investment consultants, asset managers and banks. Bobby was also Senior Vice President and Head of Sales at First Trust Portfolios Canada and also spent 10 -years at Blackrock Asset Management, most recently as Director & Vice President, Head of National Accounts responsible for asset managers and brokerage senior executive relationships.

Bobby holds a Bachelor's degree in Finance from Concordia University and holds the Certified Investment Management Analyst (CIMA) designation from the Investment Management Consultant Association (IMCA).

# SPEAKER BIOGRAPHIES

## **Sue Ennis**

*VP of Corporate Development and Head of Investor Relations*

Hut 8 Mining



In today's new financial paradigm, Sue Ennis, Hut 8 Mining's VP of Corporate Development and Investor Relations, is an investor whisperer.

Since 2016, she has steered underappreciated companies into multi-billion market cap opportunities and told some of Canada's greatest emerging tech and innovation stories. Throughout her career as a capital markets advisor, Sue has focused primarily on blockchain, fintech incubation, startups, territory expansion and large enterprise with a focus on sales optimization.

The shape of today's investor landscape has changed, and with social media as the new decentralized hedge fund, investors need a blockchain maverick and futurist in their corner. Sue understands the need to tap into online communities and analysts to drive awareness in the right places. Her results speak for themselves. In 2021 alone, Sue grew online community engagement for Hut 8 Mining by more than 500 per cent to an industry-leading position.

Working with CEOs to build some of Canada's greatest young tech companies, Sue is a storyteller who can simplify complex concepts into digestible, actionable and investible ones. As a growing leader in the digital space, she is a sought after industry voice who has championed technological innovation as a media spokesperson and keynote speaker across the globe.

Before joining Hut 8, Sue worked on global retail and institutional business development with some of the largest asset managers in North America, most recently serving as SVP of Shyft Network helping lead the company's ambitious vision to establish a scalable, blockchain-based data sharing protocol.

True to her risk-taking reputation in the crypto space, Sue is never one to evade a challenge or an opportunity for adventure having run ultra-marathons in Greenland and the Middle East, worked on a shark research boat in South Africa and completed a jungle survival course in the Amazon.

## **John Fisher**

*President & Chief Investment Officer*

Bridgeport Asset Management



John is President and Chief Investment Officer of Bridgeport Asset Management, a Toronto based investment management firm that offers alternative asset strategies focused on private credit, private equity and commercial litigation finance. Through Bridgeport's Balmoral Wood Litigation finance division, John is a co-founder of the Balmoral Wood Commercial Advocate Fund which provides investors with diversified exposure to hundreds of commercial case investments around the globe using a multi-strategy approach. Prior to starting Bridgeport in 2007, John was a Managing Director at Clairvest Group, a Toronto-based private equity firm, and worked in corporate finance, business valuation and audit at PricewaterhouseCoopers.



# SPEAKER BIOGRAPHIES

## **Travis Forman**

*Investment Advisor & SVP*  
Harbourfront Wealth



In 2016, Travis and his team joined Harbourfront Wealth Management leaving behind a 17 year career with a competing firm. As Senior Vice President with Harbourfront he wears two hats. Not only does Travis run successful wealth management practice. He's also instrumental in architecting and managing the Rockridge Private Debt Pool, Forsyth Private Real Estate Portfolios and Laurier Private Real Estate Pool for Willoughby Asset Management. Canada's first retail multi provider portfolio solutions focusing on private debt, private real estate & private equity.

## **Tim Gallagher**

*Chairman & CEO*  
Music Royalties Inc.



After the successful creation and exit of a gold royalty company, Tim was researching other types of long term "royalty cash flows" and discovered that intellectual property rights or copyrights are protected for the Life of the Author plus 70 years. In March 2018, he founded the company and made the first investment in Music Royalties. He is also the Founder, Chairman & CEO of Green Royalties Inc. and the former Chairman & CEO of Metalla Royalty & Streaming Ltd 2009-2017. He is a former Founder and Director of several TSX Venture Exchange listed CPCs including Biorem Inc, Soltoro Ltd, Schneider Power Inc. and Xtierra Inc. Tim has invested in, financed, managed and assisted in the growth of numerous companies in the royalty, resource, clean tech and technology sectors primarily by taking them public since 1997.

## **Mark Irwin**

*Managing Director & Senior Portfolio Manager*  
DaVinci Capital Partners



Mark Irwin is a founding principal at DaVinci Capital Partners, as well as being a Managing Director and Senior Portfolio Manager at the firm. He has 28 years of experience performing research and financial analysis, and providing strategic guidance on structuring portfolios.

## **Justin Jacobsen**

*Portfolio Manager*  
PenderFund Capital Management



Justin's investment approach is centered around his quick response to market dynamics, taking advantage of opportunities and reacting to increased risk. The key is intelligent security selection across capital structures and markets, supported by active investing, as experienced and applied through multiple market cycles. He has demonstrated the patience and discipline to hold a high quality but out-of-favour security, while constantly re-evaluating his investment thesis in response to new information. Justin is focused on uncovering the best risk-adjusted opportunities in every market cycle and dynamically adjusts portfolio positioning for market environments to protect and grow capital. He utilizes strategies such as distressed credit investing, event-driven trading, capital structure arbitrage, and actively works with management teams to help shape positive outcomes for the securities held.

Justin most recently worked as a Senior Portfolio Manager at one of Canada's largest pension fund managers. During his four-year tenure, he was responsible for managing a high-yield focused credit strategy, generating returns for the underlying pension funds. Justin has also spent 11 years at a highly regarded Canadian investment firm. He worked in progressive roles as a member of the firm's fixed income team. His investment research contributed to the Absolute Return and High Yield Bond strategies at the firm.

Justin graduated with a BA in Honours History from Western University and an MBA in Finance from Washington State University. He earned his Chartered Financial Analyst designation in 2012.

# SPEAKER BIOGRAPHIES

## **Alkarin Jivraj**

*Chief Executive Officer*  
Espresso Capital



Alkarim Jivraj has been involved in technology investment banking, venture capital, and venture debt for more than 20 years, during which time he has advised or invested in over 200 companies. Prior to joining Espresso, he was the Founder and Managing Partner of Intrepid Business Acceleration Fund, a venture capital fund, and Managing Director of the advisory firm Intrepid Equity Finance. Alkarim started his finance career at Yorkton Securities, a boutique investment banking firm, eventually leading its information technology investment banking practice and co-managing two investment funds.

## **James Kelly**

*Partner & Head of Business Development*  
Cortland Credit

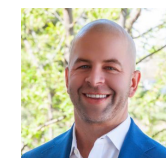


James has more than 30 years of experience operating in the fixed income space. Prior to Cortland, he was Senior Vice President, Institutional Sales at AEGON Capital Management. Previously, he was at RBC Capital Markets for 22 years and was Managing Director, Head of Fixed Income Sales.

James is responsible for expanding Cortland's institutional investor base as well as overseeing the sales and marketing strategy of Cortland's product range.

## **Julian Klymochko**

*CEO and Chief Investment Officer*  
Accelerate Financial Technologies



Julian is the CEO and Chief Investment Officer of Accelerate. Prior to founding Accelerate in February 2018, he was the Chief Investment Officer of Ross Smith Asset Management where he managed a number of award-winning alternative investment strategies for nearly a decade. He started his career as an Analyst at BMO Capital Markets. Currently, Julian is the Chairman of Bitcoin Well and a Director of the CFA Society Calgary.

Julian attended the University of Manitoba where he graduated with a Bachelors of Science (Engineering) and a Bachelors of Commerce (Finance), both with distinction. Julian is a Chartered Financial Analyst (CFA) charterholder. Julian has been featured in some of the world's top financial and business media including Bloomberg, CNBC, The Wall Street Journal, BNN, Business Insider and The Globe and Mail. He is the author of the book Reminiscences of a Hedge Fund Operator and host of the Absolute Return Podcast.

## **Kerem Kolcuoglu**

*Managing Partner*  
Penrose Partners



Kerem is an MBA and the Managing Partner of Penrose Partners, a blockchain-focused consulting firm advising startups, enterprises, governments and investors on navigating the blockchain and digital assets space.

Kerem is an advisor to the Canadian Technology Accelerators and to the Premier's Office of FinTech for the Bermuda Government. He is also a Founding Board Member of the Bermuda Innovation & Technology Association (BITA) and a Founding Partner of the i3 Innovation Incubator in Bermuda.

Previously at PricewaterhouseCoopers and at MLG Blockchain, he has consulted multinational enterprises, governments and educational institutions on understanding and adopting blockchain technology. Bridging his experience in multiple industries, he has foreseen change and advised clients on navigating digital transformation in an age of disruption.

# SPEAKER BIOGRAPHIES

## Raj Lala

*President & Chief Executive Officer*  
Evolve ETFs



Mr. Lala is President and Chief Executive Officer of Evolve ETFs. Evolve is one of Canada's fastest growing ETF providers and a leader in disruptive innovation. Mr. Lala has been a featured guest on numerous media outlets such as BNN Bloomberg, Financial Post, 680 News, John Oakley Show and Moolala Podcast with Bruce Sellery. Mr. Lala is the host of "The Innovators Behind Disruption" podcast, available through Spotify, Apple Podcasts, and Google Play. As part of the Wealth Professional Hot List he has been named as one of the top 50 professionals who have had the greatest impact on the Canadian investment landscape for three consecutive years (2018, 2019, 2020). Mr. Lala is also a distinguished speaker who has been featured in some of Canada's top publications, including: CNN Money, Yahoo Finance, Maclean's, The Globe and Mail, Reuters, Toronto Star, Investment Executive, The Motley Fool, and Advisor's Edge.

## Grahame Lyons

*President & Founder*  
Arbutus Partners



An investment fund industry pioneer in the growth and origination of many large well known investment funds over the past 25 years in financial services. Early adopter of major trends in mutual funds, ETFs and alternative investments. Leading role in the growth and origination of many large well known investment funds.

Senior and executive roles at Fidelity Investments Canada Ltd, Strategic Value Corp, Loring Ward Investment Counsel, Barclays Global investors Canada Ltd. and Claymore Investments.

Obtained a Bachelor of Administrative and Commercial Studies from the University of Western Ontario as well as passing the Canadian Securities Course and Investment Funds Institute of Canada Course early in his career.

## Craig Machel

*Director, Wealth Management and Portfolio Manager, Investment Advisor*  
Richardson Wealth



Craig encourages his clients to think differently about investing in order to ensure a positive impact in his clients' financial circumstances. He works beyond traditional portfolio management in the equity and bond markets alone to include conservative and predictable alternative assets, offering more effective diversification and a benchmark that offers protection and peace of mind regardless of market conditions. Craig is a frequent commentator on alternative asset allocations for various media outlets, and a panelist and speaker at industry events.

## Ryan Marr

*Partner & Chief Investment Officer*  
Waypoint Investment Partners



Ryan is Partner & Chief Investment Officer focusing on the All Weather Strategy at Waypoint Investment Partners. Prior to joining Waypoint, Ryan spent 10 years employed by Gluskin Sheff + Associates – a Canadian wealth manager focused on high net worth clients across Canada. Prior to departing, Ryan held the position of Vice-President & Portfolio manager where he managed and co-managed portfolios investing in North American equities across a variety of strategies including equity long only, equity long / short and non-resource equities.

Ryan sits on the advisory board for a number of technology focused venture funds in Canada. Ryan holds a degree in Economics & Financial Management from Wilfrid Laurier University and has earned the Chartered Investment Manager designation. In addition, Ryan is a licensed derivatives and options trader with experience deploying risk management strategies for institutional investment mandates and segregated client accounts.

# SPEAKER BIOGRAPHIES

## Dennis Mitchell

*Chief Executive Officer & Chief Investment Officer*  
Starlight Capital



Dennis Mitchell joined Starlight Capital in March 2018 as Chief Executive Officer and Chief Investment Officer. Dennis has over 20 years of experience in the investment industry and has held executive positions with Sprott Asset Management, serving as Senior Vice-President and Senior Portfolio Manager, and Sentry Investments, serving as Executive Vice-President and Chief Investment Officer. Mr. Mitchell received the Brendan Wood International Canadian TopGun Award in 2009, 2010, and 2011 and the Brendan Wood International 2012 Canadian TopGun Team Leader Award. He holds the Chartered Financial Analyst designation and earned a Master of Business Administration from the Schulich School of Business at York University in 2002 and an Honors Bachelor of Business Administration degree from Wilfrid Laurier University in 1998.

## Hashim Mitha

*Chief Executive Officer and Co-Founder*  
MeetAmi Innovaations



Hashim is the Chief Executive Officer and Co-Founder of MeetAmi ("MeetAmi") Innovations Inc., a Vancouver-based Fintech company that has built AmiPRO™, the first Digital Asset investing platform in Canada. AmiPRO takes wealth management firms from learning to liquidity with the software tools for trade execution and account management, an ecosystem of vendors, and learning to navigate the world of Digital Assets. The soon to launch Digital Asset Shelf™, a curated listing of Digital Asset and tokenized investment opportunities can be accessed through the AmiPRO platform. MeetAmi empowers advisors to confidently invest in Digital Assets while navigating the Digital Asset world.

Hashim is a bold visionary who is leading the expert team at MeetAmi to launch the first-ever Digital Asset investing platform in Canada, for wealth management advisors and firms. His authentic, strong leadership enables him to build a culture within organizations that lays a foundation for success. With AmiPRO, he is creating a platform of education, portfolio design tools, trade execution, and a reporting and compliance workflow engine that empowers advisors to invest in Digital Assets while meeting the regulatory requirements for proficiency, disclosure, suitability, and risk.

Throughout his career, Hashim has always had a passion for driving the adoption of new technologies and building effective teams to commercialize innovations. He knows how to restructure organizations for growth opportunities. In 2017 he led the turn-around of a premier Canadian provider of voice, data and media services which received the TSX Venture Top 50 Award as one of the strongest companies on the TSX Venture Exchange. Prior to that, he consolidated corporate learning organizations and created one of the largest education companies in the United Kingdom.

In 2017, Hashim started his journey into the world of Blockchain and Bitcoin learning about Cryptocurrencies, mining operations, liquidity pools, exchanges, custodial providers, investment challenges as well as understanding the regulatory landscape. In 2019, he established MeetAmi with his co-founder, Sarah Morton, to create a technology platform which gives advisors and firms the necessary education and tools to meet their clients' growing demand for Digital Assets.

Hashim's vision for the AmiPRO platform is to enable advisors to transact in Digital Assets on behalf of their clients, with a simple to use interface that provides tools and resources to comfortably navigate the world of Digital Assets. Investor demographics are changing and AmiPRO is poised to help firms meet the changing needs and interests of their clients. The AmiPRO interface, combined with how content is provided, will set new standards in Fintech and Regtech and helps to de-risk the process for investing in Digital Assets.

He holds a Bachelor of Applied Sciences in Mechanical Engineering from the University of British Columbia.



# SPEAKER BIOGRAPHIES

## **Robb Nelson**

*Chief Executive Officer, Co-Founder & Director*  
AgriRoots Capital Management



With over 35 years of successful business and entrepreneurial experience, including 25 years in the Private Lending space specializing in agriculture, residential development, and residential homes, Robb is a practicing mortgage professional, co-founder, and co-owner of AgriRoots Capital Management Inc. – the leading alternative lender in Canada's agricultural sector. In addition, he is the founding Principal, Broker of Record, and CEO of FamilyLending.ca Inc. – a mortgage brokerage providing residential, commercial, and agricultural mortgages – founded in 2001.

As a leading expert in agricultural lending, finance, investment, and mortgages, Robb offers deep insight and expertise to agricultural borrowers and investors alike, with the objective of keeping farming families on family farms across Canada.

A goal-driven, collaborative, and insightful professional, Robb focuses on identifying opportunities, building financial solutions, and providing high-quality client service.

## **Andrey Omelchak**

*President, Chief Executive Officer and Chief Investment Officer*  
LionGuard Capital Management Inc.



Andrey Omelchak is the President, Chief Executive Officer and Chief Investment Officer of LionGuard Capital Management Inc., the Company he founded in April 2014. As President & CEO, Andrey sets the Company's corporate direction, makes key personnel hiring decisions and ensures adherence to the highest ethical and professional standards in all dealings. As Chief Investment Officer, Andrey oversees the investment strategy of the Funds managed by the Company.

His role of Chief Investment Officer includes asset allocation decisions, stock selection decisions, and a rigorous risk management process. Andrey ensures that all research personnel adheres to core investment philosophy and effectively contributes towards strengthening Company's research expertise. Mr. Omelchak also oversees interactions with LionGuard's sophisticated client base, which includes institutional investors, family offices, fund of funds and select investment advisers.

Before LionGuard, Andrey worked as Portfolio Manager, Canadian Equities, at Montrusco Bolton Investments, where he oversaw Canadian Small Capitalization Equity Fund, Canadian Medium Capitalization Equity Fund, and other mandates, with combined assets under management of over C\$1.35 billion. He also designed new strategies, which were successfully marketed to the Company's institutional clients. Andrey left Montrusco to start LionGuard Capital at the end of March 2014. Prior to that, Andrey worked in the sell-side research department at Dundee Securities (currently Eight Capital). Andrey strongly believes that an excellent understanding of the inner workings of the sell-side makes him a better fund manager. Before Dundee, Andrey worked in research, trading and risk management roles at a Montreal-based Bellator Fund Management. Initially hired as a risk manager, Andrey subsequently moved to research and trading roles within the company.

Mr. Omelchak graduated with distinction from Concordia University, in Montreal, where he completed both his B.Comm. and M.Sc. (Finance) degrees. His graduate thesis was published in The Journal of Portfolio Management and The Journal of Derivatives & Hedge Funds, as well as presented at several academic conferences. Andrey also obtained Financial Risk Manager (FRM) designation from Global Association of Risk Professionals, Chartered Investment Manager (CIM) designation from Canadian Securities Institute and Chartered Financial Analyst (CFA) designation from CFA Institute. Andrey is a frequent guest and commentator on Bloomberg BNN (including on Market Call), AIMA panels, CAASA panels, Reuters, Financial Post, Les Affaires and other media companies and associations.

# SPEAKER BIOGRAPHIES

## **Sandy Poklar**

*Chief Operating Officer and Managing Director*  
Firm Capital



Sandy Poklar is currently the Chief Operating Officer and Managing Director, Capital Markets & Strategic Developments for Firm Capital Corporation, EVP Finance for Firm Capital Mortgage Investment Corporation (FC : TSX), Chief Financial Officer and a Trustee for Firm Capital Property Trust (FCD.UN : TSXV) and President & CEO of Firm Capital Apartment REIT (FCA.U/FCA.UN : TSXV). Sandy is also a Trustee for True North Commercial REIT (TNT.UN). Prior to joining Firm Capital, Sandy was employed at Macquarie Capital and TD Securities where he was a Vice President in their Real Estate Investment Banking Groups. Sandy is a CPA, CA in Canada, a CPA(US) licensed in the State of Illinois and has his ICD.D designation.

## **Eden Rahim**

*Portfolio Manager*  
Next Edge Capital



Eden Rahim is the Portfolio Manager for the Next Edge Biotech and Life Sciences Opportunities Fund. Eden's broad experience includes over twenty-five years as a Portfolio and Hedge Fund Money Manager, Options Strategist, Derivatives & Biotech Analyst.

Eden possesses a top quartile 5-year 5-Star growth fund Portfolio Manager track record on over \$1 billion in assets across 4 mandates at RBC Global Asset Management, in addition, Eden has delivered a +26% compounded annual return across a biotechnology mandate between 1995-2003. His experience also includes overseeing 14 Covered Call ETFs (over \$0.7 Billion AUM) in Canada, the US & Australia while at Horizons Exchange Traded Funds.

Eden is a regular guest speaker on the biotech industry on Bloomberg TV, BNN, as well as an author and contributor to many industry sources and major press articles in the US, and Canada. He also contributes as a speaker at numerous healthcare conferences.

## **Michael Sager**

*Vice-President – MULTI-Asset & Currency Management*  
CIBC Asset Management



Michael Sager is a member of the CIBC Client Portfolio Manager team. Dr. Sager partners with all CIBC distribution channels to deliver targeted thought leadership, education, and investment advice and perspective to clients and consultants.

Prior to joining CIBC Asset Management in 2018, Dr. Sager was an Asset Allocation Consultant at Alignvest Investment Management. Previously, he was a Senior Portfolio Manager at the Canadian Pension Plan Investment Board, a Fixed Income Currency Strategist at Wellington Management, Head of Currency Research at JP Morgan Asset Management and Putnam Investments, and an economist at the European Central Bank and the Bank of England.

Dr. Sager earned a Ph.D. in Economics from the University of Warwick and a Master of Science degree (with distinction)

# SPEAKER BIOGRAPHIES

**Sanjil Shah**  
*Managing Partner*  
Alignvest Student Housing



Sanjil has been with Alignvest since the firm's inception in 2011. In addition to his responsibilities at Alignvest Management Corporation, and at Alignvest Student Housing, he is also the President and Dealing Representative of Alignvest Capital Management Inc., a registered Exempt Market Dealer. Prior to its sale, Sanjil was a Member of the Board of Directors of Edgewood Health Network.

Before joining Alignvest, Sanjil was the Chief Operating Officer of StorageNow Holdings, a real estate business that developed or acquired, and operated, 11 self-storage properties in Ontario, Saskatchewan and Alberta. Prior to joining StorageNow, Sanjil was a Senior Manager at KPMG LLP, a global professional services firm, where he specialized in providing audit and advisory services to publicly listed technology companies in Canada and the United States. Sanjil has also been an instructor at York University's Schulich School of Business, the University of Toronto and with the Chartered Accountants of Ontario's School of Accountancy.

Sanjil holds a Bachelor of Arts from the University of Toronto, and is a CPA, CA.

**Erik Sloane**  
*Founder, Chief Investment Officer & Lead Portfolio Manager*  
Neo Exchange



Erik Sloane is the Chief Revenue Officer responsible for driving growth by working closely with capital-raising companies, asset managers, sell-side firms, buy-side firms and other industry stakeholders. With NEO since inception, Erik helped define and implement the foundational NEO stock exchange and fund distribution platforms and has held several senior roles including Head of Funds & Trading and Head of Product Management. Erik also chaired several industry advisory groups instrumental in guiding NEO's approach to meeting the needs of an evolving industry and currently sits on the Canadian ETF Association (CETFA) Operations Committee.

Prior to joining NEO, Erik was with the Alpha Exchange where he led product management, after helping to oversee the delivery of the exchange's core technology platform. Erik started his career at a global business and technology consultancy firm. Erik holds a Bachelor of Arts (Honours) in Economics from Queen's University.

**Philip Smith**  
*Portfolio Manager & Chief Compliance Officer*  
Investment Partners Fund



Philip has been active in global financial markets for almost 30 years. He spent over a decade with J.P.Morgan & Co., structuring and trading risk management products across a variety of asset classes. He subsequently managed macro and commodities strategies as an asset manager and fund-of-funds manager. Philip was also a founding investor in BetaPro Management, which eventually became Horizons Exchange-Traded Funds and grew to over \$5 billion in assets under management. He earned a Bachelor of Economics from Mount Allison University in 1989 and a graduate degree from the Georgetown University School of Foreign Service, in Washington, DC, in 1991.

# SPEAKER BIOGRAPHIES

**Allison M. Taylor**  
*Chief Executive Officer and Portfolio Manager*  
Invico Capital Corporation



Allison Taylor is the Chief Executive Officer and co-founder of Invico Capital Corporation. Allison is a registered Portfolio Manager, a graduate of the Haskayne School of Business with a MBA in Finance and a graduate of the University of Western Ontario with an Honors Bachelor of Science in Actuarial Science and Statistics.

Allison brings more than 20 years of experience in corporate finance in both the private equity and financial advisory services within the energy sector. Allison has been actively involved in the assessment of investments, ongoing regulatory compliance and accounting on behalf of the Invico managed investment offerings. Allison started her career at Mercer Management and Towers Perrin in pension consulting doing actuarial valuations for large company pension plans. She then moved into investment banking at RBC Dominion Securities doing mergers and acquisitions.

She advanced her career by moving to Ernst & Young Corporate Finance Inc. doing merger and acquisitions and working with unique situations and niche opportunities to help private companies structure capital raising. It was these experiences that highlighted the opportunity to start Invico Capital with co-founder Jason Brooks.

Allison is currently a member of the Investment Committee at the University of Calgary. She was also the proud recipient of the WP Female Executive of the Year Award, at the 2019 Women in Wealth Management Awards.

**Andrew Torres**  
*Senior Vice-President and Senior Portfolio Manager*  
CI Global Asset Management



Andrew is a 25 year veteran of global fixed income markets, having managed multi-billion dollar bond portfolios in London, New York, and Toronto. Andrew is a former Vice-Chair and Global Head of Credit Products at TD Securities, where he held a variety of senior trading roles over a 15-year career.

Prior to founding Lawrence Park Asset Management in 2011, Andrew was a partner and portfolio manager at Aladdin Capital Management in London, England.

**Peter-Paul Van Hoeken**  
*Founder and Chief Executive Officer*  
DealSquare



Peter-Paul has more than 15 years of experience in finance, investment management and business consultancy. He's held multiple senior management positions with global banks in the areas of corporate strategy, commercial and investment banking. Upon relocation to Canada in 2010, Peter-Paul worked as a consultant for several early stage and growth companies. In that period he experienced the challenges that young companies face in attracting capital and realized how the venture financing space was essentially not using any of today's available technology. In 2014 Peter-Paul founded FrontFundr to address this challenge and take on the opportunity to create the New Capital Market. Today FrontFundr is Canada's leading investment crowdfunding platform. Peter-Paul is also Managing Director of DealSquare, launched in October 2019 in partnership with NEO. DealSquare is Canada's first centralized dealer platform for private placements. He is a member of the Ontario Securities Commission – Fintech Advisory Committee and also serves on the advisory committees of the Private Capital Markets Association in Canada (PCMA) and National Crowdfunding Association Canada (NCFA). Peter-Paul holds a Master of Science in business economics and finance from Erasmus University Rotterdam, in the Netherlands.



# SPEAKER BIOGRAPHIES

## **Warren Wen**

*Research Director, Canada*

Global X ETFs



Having joined Global X in 2019, Warner is based in Toronto with a mandate to support the firm's Canadian clients and partners. His primary responsibilities involve communicating information about Global X's ETFs and research to a wide range of Canadian investors and senior decision makers, including financial advisers, portfolio managers, major financial institution head offices, and institutional investors.

Prior to joining Global X, Warner was Senior ETF Product Manager at Mackenzie Investments. He also worked in MSCI's Toronto office and Scotiabank earlier in his career.

Warner holds a Master's of Finance (MF) degree from York University's Schulich School of Business and an MBA from Rollins College's Crummer Graduate School of Business in Florida.

## **Jordan Zinberg**

*President and Chief Executive Officer*

Bedford Park Capital Corporation



Jordan Zinberg is the President and CEO of Bedford Park Capital Corporation. Mr. Zinberg has over 15 years of investment industry experience including portfolio management and trading, and has served as a director of both private and public companies. Before founding Bedford Park Capital, Mr. Zinberg was a Managing Director and Portfolio Manager at a prominent Toronto-based investment management firm. Prior to that role, Mr. Zinberg spent 7 years at one of Canada's largest investment dealers. Mr. Zinberg holds an MBA from the Schulich School of Business as well as several industry licenses and certifications. He also holds the Chartered Investment Manager designation and is a fellow of the Canadian Securities Institute.

# MEMBER DIRECTORY

*The diversity, drive, experience, and resources of our members is what makes CAASA happen. The CAASA staff literally work all day to keep up with their ideas for advocacy and service offering initiatives; events of all sizes, on all topics, in many cities across Canada and elsewhere; and creating an environment where they can support each other and the industry at large.*

Thank you!

# MEMBER DIRECTORY

## INVESTORS & ALLOCATORS



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**Member Since 2021**



**BCI - Pension Plan**

Dave Finstad, *Managing Director*  
Victoria, BC

**Member Since 2021**



**Bell Kearns & Associates (Individual) - Wealth Advisory**

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**bfinance - Institutional Investment Consulting**

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**Member Since 2019**

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**Member Since 2019**



**BlueSky Investment Counsel - Multi-Family Office**

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**Member Since 2021**



**BMO Nesbitt Burns (Individual) - IIROC Broker Dealer**

Naveed Mohammed  
*VP & Head of Investment Manager Research, BMO Private Wealth*

**Member Since 2018**



**Bodhi Research Group - Alternative Investment Consulting**

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**Member Since 2018**



**Canaccord Genuity Wealth Management - IIROC Broker Dealer**

Scott Starratt, *Senior Portfolio Manager*

**Member Since 2019**

**Canada Overseas Asset Management Limited (Indiv.) - Single Family Office**

Vincent Fernandez, *Chief Investment Officer*  
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**Member Since 2018**

**Casselman & Co. Inc. (Individual) - Single Family Office**

Brian Casselman, *Principal*  
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**Member Since 2018**



**CEOS Family Office - Multi Family Office**

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**Member Since 2019**



**CIBC Private Wealth Management (Individual) - IIROC Broker Dealer**

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**Member Since 2019**



**Cidel - Wealth Management / Multi Family Office**

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**Member Since 2019**



**Echelon Wealth Partners Inc. - IIROC Broker Dealer**

James Hunter, *Head of Wealth Management*  
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**Member Since 2019**



**Eckler Ltd. - Investment Consulting to Institutional Investors & Family Offices**

Jason Campbell, *Principal*  
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**Member Since 2019**



# MEMBER DIRECTORY

## INVESTORS & ALLOCATORS



### Enoch Wealth Inc (Individuals) - Exempt Market Dealer

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Member  
Since  
2021

### Fipke Group - Single Family Office

Jason Granger, *Chief Operating Officer*  
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Member  
Since  
2020



### Focus Asset Management - Multi Family Office

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Member  
Since  
2019

### Gryphon Investment Advisors Bahamas Limited - Multi Family Office

Albany, New Providence, The Bahamas

Member  
Since  
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### Guardian Partners Inc. - Wealth Manager

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### Harbourfront Wealth Management (Individual) - IIROC Broker Dealer

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Member  
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### HarbourVest Partners - Private Equity Fund of Funds

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Member  
Since  
2019



### Healthcare of Ontario Pension Plan - Public Pension

Robert Goobie, *AVP Collateral Management, Fixed Income & Derivatives*  
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Member  
Since  
2020



### Heirloom Investment Management - Investment Manager for Families and UHNWIs

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Toronto, Ontario geoff.dover@heirloominvesting.com (416) 275-2620

Member  
Since  
2019



### Horizon Capital Holdings (Individual) - Single Family Office

Enzo Gabrielli, *EVP and CFO*  
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Member  
Since  
2018



### IMCO - Pension

Andrew Garrett, *Senior Principal*  
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Member  
Since  
2021



### Koloshuk Farrugia Corp. - Single Family Office

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Member  
Since  
2019

# MEMBER DIRECTORY

## INVESTORS & ALLOCATORS



### Mandeville Private Client - Wealth Manager

Burlington, Ontario

Member  
Since  
2021

### Master Plan Management (Individual) - Single Family Office

Shimmy Brandes, *Chief Financial Officer*  
Toronto, Ontario

Member  
Since  
2019



### Mirabaud Asset Management (Individual) - HNWI & Family Office

Jean Courcelles, *Portfolio Manager*  
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Member  
Since  
2019



### Morgan Stanley Wealth Management Canada Inc. - Wealth Manager

Craig Koenig, *Executive Director, Head of Product Sales & Distribution*  
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Member  
Since  
2021



### National Bank Financial (Individual) - Wealth Manager

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Member  
Since  
2021



### Nicola Wealth (Individual) - Wealth Manager

Yi (Helen) Zhang, *Financial Planning Associate*  
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Member  
Since  
2021



### Northfront Financial (Individual) - Multi Family Office

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Calgary, Alberta shamez.kassam@northfront.com (403) 571-8960

Member  
Since  
2020



### Northland Wealth Management - Multi Family Office

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Member  
Since  
2018



### Numus Financial Inc. - Exempt Market Dealer

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Member  
Since  
2021



### OMERS Capital Markets (Individuals) - Pension

Kenneth Tam, *Senior Analyst, Risk Management, Capital Markets*  
Thorsten Koop, *Director, Cross-Asset Strategies, Capital Markets*

Member  
Since  
2021



### Ontario Teachers' Pension Plan - Pension Plan

5650 Yonge Street  
Toronto, Ontario (416) 228-5900

Member  
Since  
2018



### Open Access (Individual) - Investment Platform

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Member  
Since  
2020

# MEMBER DIRECTORY


## INVESTORS & ALLOCATORS

 **OPTrust - Pension**  
Wei Xie, *Director*  
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**Member Since 2020**


 **Our Family Office - Multi Family Office**  
Neil Nisker, *Co-founder, Executive Chairman & CIO*  
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**Member Since 2019**


 **Pandion Investments Limited - Family Office**  
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**Member Since 2018**


**Early Joiner**

 **Prime Quadrant - Family Office Advisor**  
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**Member Since 2018**

 **Raintree Wealth Management**  
Adrian Morgan, *Vice President & Portfolio Manager*  
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**Member Since 2019**

 **Raymond James Ltd. (Individuals) - IIROC Broker Dealer**  
John Boomsma, *Financial Advisor & Portfolio Manager*  
Darren Coleman, *Senior Vice President & Portfolio Manager*  
Emma Querengesser, *AVP, Alternative Investments | Structured Solutions and Alternative Investments*

**Member Since 2018**

 **RBC Dominion Securities (Individuals) - IIROC Broker Dealer**  
Mark Allen, *Senior Portfolio Manager*  
Ted Karon, *Portfolio Manager*  
John Duke, *Senior Portfolio Manager*  
Brendan Rogers, *Senior Investment & Wealth Advisor*  
Jamison McAuley, *Portfolio Manager*


**Member Since 2018**

 **Richardson Wealth - IIROC Broker Dealer**  
Romain Marguet, *VP, Head of Alternative Investments*  
Toronto, Ontario


**Member Since 2018**

 **Richardson Wealth Private Family Office - Family Office Services**  
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**Member Since 2018**

 **Richter Family Office - Multi Family Office**  
Toronto, Ontario | Montréal, Québec


**Member Since 2020**

 **SmartBe Investments Inc. - Portfolio diversification strategies**  
Jay Barrett, *Managing Director*  
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**Member Since 2020**

# MEMBER DIRECTORY

## INVESTORS & ALLOCATORS

 **Stonehage Fleming - Family Office**  
Ralph Awrey, *Director*  
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**Member Since 2021**

 **Tacita Capital Inc. (Individual) - Multi-family Office**  
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**Member Since 2021**

**Timberline Equities - Single Family Office**  
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**Member Since 2020**

 **Ullman Wealth Management - Multi Family Office**  
Lawrence Ullman, *Chief Executive Officer*  
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**Member Since 2018**

**Vibrato Capital (Individual) - Single Family Office**  
Tec Han, *Chief Investment Officer*  
Portland, Oregon


**Member Since 2019**

 **Wealth Stewards - Wealth Manager**  
Bob Simpson, *Vice President, Portfolio Strategy*  
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**Member Since 2021**

 **Westcourt Capital Corp. - Multi Family Office**  
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**Member Since 2021**

 **Yameba Capital - Single Family Office**  
Danny Freedman, *Vice Chair*

**Member Since 2022**

 **Zen Capital & Mergers Ltd. - Single Family Office**  
Daniel Stow, *Chief Investment Officer*  
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**Member Since 2019**

A number of CAASA members in each category prefer to be non-public and are not recorded in this document.



# MEMBER DIRECTORY

## ASSET MANAGERS



**3iQ Digital Asset Management - Digital Asset Manager**  
 Frederick Pye, *Chairman & CEO*  
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**Member Since 2018**



**Accelerate Financial Technologies - Innovative ETFs**  
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**Member Since 2021**



**Actis - Growth Markets Infrastructure and Real Estate**  
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**Member Since 2020**



**Adaptive ETF - Global Tactical Portfolio Solutions**  
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**Member Since 2021**



**ADI Capital Partners - Real Estate Fund Manager**  
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**Member Since 2018**



**AGF Investments, Inc. - Fundamental, Quantitative & Alternative Strategies**  
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**Member Since 2019**



**AGinvest Farmland Properties Canada**  
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**Member Since 2020**



**Agriroots Capital Management Inc. - Agri-lending**  
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**Member Since 2021**



**Algonquin Capital - Credit Long-Short Fund Manager**  
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**Member Since 2018**



**Algoz - Crypto Market-Making & Trading**  
 Alon Karniel, *Funds Manager*  
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**Member Since 2021**



**Alignvest Student Housing - Private REIT**  
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**Member Since 2018**



**Allianz Global Investments- Alternative Fund Manager**  
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**Member Since 2020**

# MEMBER DIRECTORY

## ASSET MANAGERS



**Alquity Investment Management - ESG and Impact Investing**  
 Renee Arnold, *Executive Director*  
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**Member Since 2020**



**Antrim Investments - Mortgage Investment Corporation**  
 Will Granleese, *Portfolio Manager*  
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**Member Since 2020**



**Applied Real Intelligence (A.R.I.) - Venture Debt**  
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**Member Since 2021**



**AQR Capital Management - Quantitative Investment Manager**  
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**Member Since 2020**



**Arcis Capital Partners - Technology Growth Equity**  
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**Member Since 2020**



**Ascendi Capital - Real Estate Fund Manager**  
 Adeola Oladimeji, *Managing Partner*  
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**Member Since 2021**



**Aspect Capital - Systematic Asset Manager**  
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**Member Since 2019**



**Auspice Capital Advisors - Quantitative Investment Specialist**  
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**Member Since 2020**



**Avenue Living Asset Management - Private Real Estate**  
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**Member Since 2019**



**Axia Real Assets LP - Real Estate**  
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**Member Since 2021**



**Axonic Capital - Credit Long-Short**  
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**Member Since 2019**



**Bedford Park Capital - High Conviction Manager**  
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**Member Since 2021**

# MEMBER DIRECTORY

## ASSET MANAGERS

	<b>Bridgeport Asset Management - Alternative Asset Fund Manager</b> Stephanie Kremer, <i>Head, Distribution &amp; Marketing</i> Toronto, Ontario Stephanie@bridgeportasset.com (647) 637-2940	<b>Member Since 2021</b>
	<b>Brightspark - Venture Capital</b> Alexandre Cabrejo-Jones, <i>Head of Investor Relations</i> Montréal, Québec alex@brightspark.com (514) 220-2539	<b>Member Since 2019</b>
	<b>Cacoeli Asset Management - Multi-Res &amp; Affordable Housing Real Estate</b> Toronto, ON	<b>Member Since 2021</b>
	<b>Cameron Stephens - Private Lending</b> Katie Bonar, <i>VP, Investment Management and Strategy</i> Toronto, Ontario kbonar@cameronstephens.com (416) 899-9701	<b>Member Since 2021</b>
	<b>Carlisle Management Company S.C.A. - Life Settlements</b> Vittorio Vermigli, <i>Portfolio Management Associate</i> Luxembourg vvermigli@cmclux.com +352 691 353 014	<b>Member Since 2020</b>
	<b>Celernus Investment Partners Inc. - Real Estate and Private Lending</b> Kurt Hagerman, <i>CCO &amp; COO</i> Burlington, Ontario khagerman@celernus.com (289) 863-1344	<b>Member Since 2019</b>
	<b>Centurion Asset Management Inc. - Real Estate and Private Lending</b> Vali Lazarescu, <i>Vice President, Institutional Sales</i> Toronto, Ontario vlazarescu@centurion.ca (647) 390-9790	<b>Member Since 2019</b>
	<b>CI Global Asset Management - Traditional and Alternative Investments</b> Jennifer Sinopoli, <i>Senior Vice-President, Head of Distribution, Central Canada</i> Toronto, Ontario jsinopoli@ci.com (416) 681-7734	<b>Member Since 2018</b>
	<b>CIBC Asset Management - Traditional and Alternative Investments</b> Michael Sager, <i>Executive Director, Multi-Asset &amp; Currency</i> Toronto, Ontario michael.sager@cibc.com (416) 980-6301	<b>Member Since 2019</b>
	<b>Clearfield Capital Management - Special Situations Fund Manager</b> Jamie Forusz, <i>Head of Partner Relations</i> New York, NY forusz@clearfieldcap.com (212) 468-5420	<b>Member Since 2021</b>
	<b>Clifton Blake Asset Management - Real Estate Asset Manager</b> KC Daya, <i>President</i> Toronto, Ontario kdaya@cliftonblake.com (416) 238-6992	<b>Member Since 2021</b>
	<b>Clocktower Group - Alternative Asset Manager</b> Wei Liu, <i>Partner</i> Los Angeles, CA wliu@clocktower.com (310) 458-2003	<b>Member Since 2021</b>

# MEMBER DIRECTORY

## ASSET MANAGERS

	<b>CMCC Global - Asia Focused Blockchain Venture Capital</b> Charlie Morris, <i>Managing Partner</i> Toronto, Ontario charlie@cmcc.vc (416) 587-5988	<b>Member Since 2020</b>
	<b>CMLS Asset Management - Real Estate Debt and Equity Manager</b> Cynthia Maisonneuve, <i>Director, National Sales</i> Toronto, Ontario cynthia.maisonneuve@cmls.ca (416) 846-2917	<b>Member Since 2019</b>
	<b>CoinFund Management LLC - Early-Stage, Fundamental Crypto Strategies</b> Pallavi Gondipalli, <i>Managing Partner, Head of Business Development &amp; IR</i> New York, NY pallavi@coinfund.io (917) 618-0920	<b>Member Since 2020</b>
	<b>Community Fund - Multistrategy, TMT &amp; Real Estate, U.S. &amp; Israel</b> Ashley Pullen, <i>Head of Marketing and Business Development</i> Los Angeles, CA apullen@comllp.com (917) 887-0366	<b>Member Since 2021</b>
	<b>Connor, Clark &amp; Lunn Funds, Inc. - L/S Equity, L/S Income &amp; EMN</b> Tim Elliott, <i>President</i> Toronto, Ontario telliott@cclgroup.com (416) 643-7637	<b>Member Since 2019</b>
	<b>Converium Capital - Multi-Strategy Opportunistic Hedge Fund</b> Jacqueline Allen, <i>Head of Business Development &amp; Investor Relations</i> Montréal, Québec jallen@converiumcap.com (514) 418-0179	<b>Member Since 2021</b>
	<b>Corton Capital Inc. - Forestry Funds</b> David Jarvis, <i>President &amp; CEO</i> Toronto, Ontario david@cortoncapital.ca (416) 627-5625	<b>Member Since 2019</b>
	<b>Cortland Credit Group Inc. - Private Lending</b> James Kelly, <i>Managing Director - Business Development</i> Toronto, Ontario jkelly@cortlandcredit.ca (416) 356-2743	<b>Member Since 2021</b>
	<b>CPI Capital - U.S. Multi-Residential Real Estate</b> Ava Benesocky, <i>Chief Executive Officer</i> Vancouver, BC ava@cpicapital.ca (604) 828-8302	<b>Member Since 2021</b>
	<b>Crabel Capital Management - Quantitative Asset Manager</b> Lisa Martin, <i>Director of Business Development</i> Los Angeles, California lmartin@crabel.com (847) 770-1789	<b>Member Since 2021</b>
	<b>Crystalline Management Inc - Multi-Strategy Arbitrage</b> Bryan Nunnelley, <i>Chief Executive Officer</i> Montréal, Québec bnunnelley@crystallin.ca (514) 284 0246 x235	<b>Member Since 2018</b>
	<b>Cult Wines - a fine wine investment firm</b> Atul Tiwari, <i>CEO Cult Wines Americas</i> Toronto, Ontario canada@cultwines.com 1.855.808.CULT	<b>Member Since 2021</b>



# MEMBER DIRECTORY

## ASSET MANAGERS



**DaVinci Capital Partners** - Traditional & Liquid Alts Funds  
Mark Irwin, *Managing Director & Senior Portfolio Manager*  
Toronto, Ontario mark.irwin@davincicapital.com (647) 260-3388 x223

**Member Since 2021**



**Desjardins Global Asset Management** - Traditional & Liquid Alts Funds  
Sonia Mahadeo, *Senior Advisor, Institutional Affairs*  
Montréal, Québec sonia.mahadeo@desjardins.com (416) 844-0623

**Member Since 2019**



**DGC Capital** - Impact Investing  
Françoise E. Lyon, *President & Managing Partner*  
Montréal, Québec flyon@dgccapital.ca (514) 867-8822

**Member Since 2020**



**Diagram Venture** - Venture Builder  
Valentina Tacchi, *Operations Manager - Venture Creation*  
Montréal, Québec valentina@diagram.ca

**Member Since 2018**



**Donville Kent Asset Management** - Alternative Fund Manager  
Sarah Cheng, *VP, Investor Relations & Business Development*  
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**Member Since 2020**



**Driehaus Capital Management** - Life Sciences Strategy  
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**Member Since 2020**



**DSC Quantitative Group, LLC** - Access to PE & VC Indices  
Jeffrey Knupp, *Eastern Business Development Director*  
Chicago, IL jknupp@dscqg.com (312) 621-7414

**Member Since 2021**



**Durum Capital** - Diversified Asset Manager with Real Estate Investment Funds  
Chaim Karpel, *Business Development Director*  
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**Member Since 2021**



**ehp Funds** - Hedge & Liquid Alts Funds  
Jason Mann, *Co-Founder & CEO*  
Toronto, Ontario jmann@ehpartners.com (647) 988-7699

**Member Since 2019**



**Emso Asset Management** - EM Sovereign & Corporate Credit  
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**Member Since 2020**



**Equiton Capital** - Real Estate  
Aaron Pittman, *Senior Vice President, Head of Institutional*  
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**Member Since 2020**



**Espresso Capital** - Technology-Focused Private Debt Fund Manager  
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**Member Since 2018**

# MEMBER DIRECTORY

## ASSET MANAGERS



**Evolve ETFs** - Innovative ETF Solutions  
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**Member Since 2021**



**EvoVest** - Machine Learning Portfolio Manager  
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**Member Since 2020**



**Fiera Capital Corp.** - Fiera Private Alternative Investments  
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**Member Since 2018**



**FirePower Capital** - Private Debt, Private Equity, M&A Advisory  
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**Member Since 2019**



**Firm Capital Corporation**  
Sandy Poklar, *Chief Operating Officer*  
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**Member Since 2021**



**Forstrong Global Asset Management** - Global Macro  
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**Member Since 2019**



**FORT LP** - Quantitative Systematic Fund Manager  
Jean Olivier Caron, *Executive Director, Business Development*  
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**Member Since 2018**



**Framework Venture Partners** - Venture Capital  
Peter Misek, *Managing Partner*  
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**Member Since 2021**



**Franklin Templeton** - Traditional and Alternative Funds and Strategies  
Julie Caron, *SVP, Business Development - Institutional Investment Services*  
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**Member Since 2019**



**Fulcra Asset Management Inc** - Credit Opportunities Fund  
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**Member Since 2018**



**Galaxy Fund Management** - Digital Asset Investing  
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**Member Since 2021**



**Gentai Capital Corporation** - Mortgage Investment Corporation  
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**Member Since 2020**

# MEMBER DIRECTORY

## ASSET MANAGERS



**Global X** - Thematic, Alternative Income, and International ETFs  
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**Member Since 2021**



**Graham Capital** - Multi-Strategy, Quantitative & Discretionary Macro Strategies  
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**Member Since 2020**



**Group RMC** - Real Estate Co-Investing  
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**Member Since 2019**



**Guardian Capital** - Alternative & Traditional Strategies  
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**Member Since 2021**



**Harrison Street** - Demographics-Driven Real Estate & Infrastructure Investing  
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**Member Since 2021**



**Heard Capital, LLC** - Long-Only & Equity Long-Short  
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**Member Since 2020**



**Highline Beta** - Venture Capital  
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**Member Since 2020**



**Highwood Value Partners** - PE Approach to International Public Equities  
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**Member Since 2020**



**Honest Capital** - Concentrated, long-term, long-biased manager  
Shawn Badlani, *Chief Investment Officer*  
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**Member Since 2021**



**Horizons ETFs** - Active. Benchmark. Tactical.  
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**Member Since 2019**



**Hudson Valley Property Group** - Affordable Housing Preservation Funds  
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**Member Since 2020**



**iCapital Network** - Alternative Investment Platform  
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**Member Since 2020**

# MEMBER DIRECTORY

## ASSET MANAGERS



**ICM Asset Management** - N.A. Real Estate, Music Royalties  
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**Member Since 2019**



**Innovobot** - Venture Capital & Innovation Ecosystem  
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**Member Since 2019**



**Invesco** - Traditional and Alternative Investments  
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**Member Since 2021**



**Investcorp Investment Advisors** - Real Estate, Credit, & Absolute Return  
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**Member Since 2020**



**Investment Partners Fund Inc** - Alternative Fund  
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**Member Since 2020**



**Invictus Capital** - Digital Asset Manager  
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**Member Since 2021**



**Invico Capital Corporation** - Private Lending Fund Manager  
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**Member Since 2019**



**JM Fund Management** - Long-Short Equity Fund Manager  
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**Member Since 2018**



**Kilgour Williams Capital** - Private Credit Fund Manager  
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**Member Since 2019**



**KULA Investments, LLC** - Quantitative Asset Manager  
Jeffrey Applebaum, *Chief Executive Officer, Co-Founder*  
Chicago, Illinois      japplebaum@kulainvestments.com      (312) 520-0317

**Member Since 2021**



**Lazard Asset Management** - Alternatives Fund Manager  
Mike Wariebi, *Managing Director, Global Head of Alternative Investments Distribution*  
New York, NY      mike.wariebi@lazard.com      (212) 632-6631

**Member Since 2020**



**LFIS Capital** - Alternatives Fund Manager  
Corinne Andre, *Head of Business Development*  
Paris, France      corinne.andre@lfis.com      +33 1 44 56 42 71

**Member Since 2019**



# MEMBER DIRECTORY

## ASSET MANAGERS

Early  
Joiner



**LionGuard Capital Management - Alternative & Traditional Manager**  
Andrey Omelchak, *President & CIO*  
Montréal, Québec aomelchak@lionguardcapital.com (514) 448-6441

Member  
Since  
2018



**Loyal VC LP - Venture Capital**  
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Toronto, Ontario kamal@loyal.vc (416) 925-5557

Member  
Since  
2021



**Lumira Ventures - Venture Capital**  
Peter van der Velden, *Managing General Partner*  
Toronto, Ontario plv@lumira.vc (416) 213-4189

Member  
Since  
2019



**Lyxor Asset Management, Inc. - Alternatives Manager**  
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New York, NY mark.tower@lyxor.com (212) 205-4056

Member  
Since  
2018



**Mackenzie Investments - Traditional and Alternative Investment Strategies**  
Michael Schnitman, *Head of Alternative Investments*  
Toronto, Ontario michael.schnitman@mackenzieinvestments.com

Member  
Since  
2018



**Make Space Capital Partners - Self Storage**  
John Manley, *Business Development*  
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Member  
Since  
2022



**Man Group - Asset Management**  
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New York, NY simon.harding@man.com (646) 960-1874

Member  
Since  
2019



**Marret Asset Management - Asset Management**  
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Member  
Since  
2018



**Maverix Private Equity - Growth Private Equity**  
Investor Relations  
Toronto, Ontario investorrelations@maverixpe.com

Member  
Since  
2021



**Maxam Capital Management Ltd. - Value, Catalysts & Arbitrage (Liquid Alt)**  
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Member  
Since  
2019



**MGG Investment Group - Private Lending**  
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Member  
Since  
2020



**MKP Capital Management - Discretionary Global Macro**  
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New York, NY dburke@mkpcap.com (212) 303-7100

Member  
Since  
2020

# MEMBER DIRECTORY

## ASSET MANAGERS



**Morex Capital - Private Lending - Mortgage Investment Corp.**  
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Member  
Since  
2019



**Morgan Stanley Investment Management**  
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Member  
Since  
2019



**Munro Partners - Global Long-Short Equity**  
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Toronto, Ontario akaruna@munropartners.com.au (416) 402-4252

Member  
Since  
2018



**Music Royalties Inc. - Monthly Dividend Paying Music Royalty Company**  
Tim Gallagher, *Chairman & CEO*  
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Member  
Since  
2018



**Napier Park Global Capital - Alternative Asset Manager**  
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New York, NY Michael.Lashendock@NapierParkGlobal.com (212) 235-0783

Member  
Since  
2019



**Nephila Capital - Catastrophe Bonds & Weather Derivatives**  
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Member  
Since  
2020



**Next Edge Capital - Platform - Private Lending, Biotech, Metals & Commodities**  
Robert Anton, *President*  
Toronto, Ontario robert.anton@nextedgecapital.com (647) 274-6897

Member  
Since  
2018



**Orchard Global - Public Fixed Income & Private Lending**  
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Member  
Since  
2022



**Peakhill Capital - Real Estate Debt & Co-GP Equity**  
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Member  
Since  
2020



**PenderFund Capital Management Ltd. - Public/Private Asset Manager**  
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Member  
Since  
2019



**PHL Capital - Private Lending**  
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Member  
Since  
2022



**Picton Mahoney Asset Management - Alternative Fund Manager**  
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Member  
Since  
2020

# MEMBER DIRECTORY

## ASSET MANAGERS

	<b>Pilot House Capital - Long-Short Equity Fund Manager</b> Guy Caplan, <i>Founder &amp; CIO</i> Vancouver, BC    gcaplan@pilothousefunds.com    (604) 603-6059	<b>Member Since 2018</b>
	<b>PlusPlus Capital Management - Managed Futures / CTA</b> Kapil Rastogi, <i>President</i> Princeton, NJ    kapil.rastogi@pluspluscapital.com    (917) 353-2664	<b>Member Since 2021</b>
	<b>Private Debt Partners - Private Debt</b> Jean-Christophe Greck, <i>Founder, Managing Partner &amp; CIO</i> Montréal, Québec    jcgreck@privatedebt.com    (514) 992-5232	<b>Member Since 2020</b>
	<b>Quain Investments - TechVenture@Scale</b> Purvi Gandhi, <i>Managing Partner</i> Palo Alto, CA    purvi@quaininvestments.com    (650) 781-3915	<b>Member Since 2020</b>
	<b>Raiven Capital - Venture Capital</b> Paul Dugsin, <i>General Partner</i> Toronto, Ontario    paul@raivencapital.com    (416) 936-5717	<b>Member Since 2019</b>
	<b>Reciprocal Ventures - Venture Capital</b> Michael Steinberg, <i>General Partner</i> New York, NY    mikes@recvc.com    (516) 316-1023	<b>Member Since 2021</b>
	<b>ReSolve Asset Management - Systematic Global Macro Manager</b> Richard Laterman, <i>Portfolio Manager</i> Toronto, Ontario    richard.laterman@investresolve.com    (416) 350-3095	<b>Member Since 2019</b>
	<b>Rise Properties Trust - Platform - Various Strategies</b> Leslie Toth, <i>Senior Vice President</i> Victoria, BC    lesliet@riseproperties.ca    (403) 605-7172	<b>Member Since 2021</b>
	<b>Robson Capital Management - Platform - Various Strategies</b> Jeffrey Shaul, <i>President &amp; CEO</i> Toronto, Ontario    jshaul@robsoncapital.com    (416) 388-6185	<b>Member Since 2018</b>
	<b>RPIA - Global Fixed Income Experts</b> Kripa Kapadia, <i>Director, Client Portfolio Management</i> Toronto, Ontario    kkapadia@rpia.ca    (647) 776-7465	<b>Member Since 2021</b>
	<b>Sagard Holdings</b> Leslie Hill, <i>Partner</i> New York, NY    hill@sagardholdings.com    (646) 774-1580	<b>Member Since 2018</b>
	<b>Securian Asset Management</b> Amy Theuninck, <i>Managing Director</i> St. Paul, MN    amy.theuninck@securianam.com	<b>Member Since 2020</b>

# MEMBER DIRECTORY

## ASSET MANAGERS

	<b>Slate Securities - Public &amp; Private Real Estate Investment Management</b> Fraser McEwen, <i>Partner</i> Toronto, Ontario    fraser@slatesecurities.com    (416) 583-1827	<b>Member Since 2018</b>
	<b>Spartan Fund Management - Alternative Asset Manager - Various Strategies</b> Gary Ostoich, <i>President</i> Toronto, Ontario    gostoich@spartanfunds.ca    (416) 601-3171	<b>Member Since 2018</b>
	<b>Starlight Capital - Traditional and Alternative Real Asset Strategies</b> Lou Russo, <i>Senior Vice President, National Sales &amp; Distribution</i> Toronto, Ontario    lrusso@starlightcapital.com    (647) 245-2076	<b>Member Since 2018</b>
	<b>Stewart Asset Management - Concentrated U.S. Equity Growth Strategy</b> Ronald Dooley, <i>Chief Operating Officer &amp; CFO</i> New York, NY    rdooley@stewartassetmgmt.com    (917) 214-1333	<b>Member Since 2021</b>
	<b>StoreWest Developments - Real Estate, Self-Storage, Car Wash</b> Roland Schatz, <i>President</i> Calgary, Alberta    roland@storewest.ca    (403) 612-9158	<b>Member Since 2021</b>
	<b>Third Point - Multi-Strat, Corp/Struc Credit, Venture Capital &amp; Activism</b> Greg Habay, <i>Managing Director, Marketing &amp; Investor Relations</i> New York, NY    GHabay@thirdpoint.com    (212) 715-3484	<b>Member Since 2021</b>
	<b>Trans Canada Capital - Alternative Strategies</b> Jean-François Milette, <i>Global Head, Client Solutions</i> Montréal, Québec    jfmilette@transcanadacapital.com    (514) 397-7370	<b>Member Since 2020</b>
	<b>Trez Capital - Real Estate Debt &amp; Equity Manager</b> Vikram Rajagopalan, <i>Senior Vice President, Head of Retail Markets</i> Toronto, Ontario    vikramr@trezcapital.com    (647) 788-1787	<b>Member Since 2018</b>
	<b>Triovest - Real Estate</b> Luigi Luppi, <i>Vice President, Investor Relations</i> Toronto, Ontario    lluppi@triovest.com    (416) 941-1284	<b>Member Since 2021</b>
	<b>TSVC - Early Stage Venture Capital</b> Eugene Zhang, <i>Founding Partner</i> Los Altos, CA    eugene@tsvcap.com	<b>Member Since 2021</b>
	<b>Unigestion - Alternative Funds</b> Dario Di Napoli, <i>Senior Vice President, Institutional Clients</i> Toronto, Ontario    ddinapoli@unigestion.com    (416) 572-2284	<b>Member Since 2020</b>
	<b>Unison Investment Management - Residential Real Estate</b> Joseph Celentano, <i>Global Head of Markets</i> San Francisco, CA    joe.celentano@unisonim.com    (415) 738-7930	<b>Member Since 2021</b>



# MEMBER DIRECTORY

## ASSET MANAGERS

	<b>Varick Capital Partners LP - Quantitative Asset Manager</b> Patrick Hannon, <i>Managing Director</i> New York, NY patrick.hannon@varickcapital.com (646) 295-9009	<b>Member Since 2021</b>
	<b>Vesta Wealth Partners - O-CIO and Alternatives Fund Manager</b> Adam Hoffman, <i>President</i> Calgary, Alberta adam.h@vestawp.com (403) 970-0700	<b>Member Since 2020</b>
	<b>Vivid Capital Management - Energy Transition</b> CJ Hervey, <i>Director</i> Toronto, Ontario cj@vividcapitalmanagement.com (416) 523-2820	<b>Member Since 2021</b>
	<b>Walkerville Capital Inc. - Real Estate</b> Rhys Wyn Trenhaile, <i>Director</i> Windsor, Ontario rhys@walkervillecapital.com (519) 991-6600	<b>Member Since 2021</b>
	<b>WaveFront Global Asset Management - Alternative Funds</b> Roland Austrup, <i>CEO</i> Toronto, Ontario rastrup@wavefrontgam.com (416) 508-3996	<b>Member Since 2019</b>
	<b>Waratah Capital Advisors - Long-Short Equity and ESG Funds</b> Jessica Clark Barrow, <i>Managing Director &amp; Head of Client Management</i> Toronto, Ontario Jessica@waratahcap.com (416) 637-5618	<b>Member Since 2019</b>
	<b>Waypoint Investment Partners - Alternative Fund Manager</b> Michael Lindblad, <i>Vice President, Wealth Management</i> Toronto, ON mlindblad@waypointinvestmentpartners.com (416) 200-4457	<b>Member Since 2021</b>
	<b>Wealhouse Capital Management - Alternative Fund Manager</b> Emily Newman, <i>Executive Vice President, Sales</i> Toronto, ON emilyn@wealhouse.com (416) 500-5454	<b>Member Since 2021</b>
	<b>Wellington Management Company LLP - The alternatives edge</b> Alan Matijas, <i>Managing Director, Director of Canada</i> Toronto, Ontario ajmatijas@wellington.com (416) 847-1350	<b>Member Since 2019</b>
	<b>Westbridge Capital - Private Equity</b> Carl P. James, <i>Senior Vice President, National Sales Manager</i> Toronto, Ontario cjames@westbridgecapital.ca (416) 938-2509	<b>Member Since 2019</b>
	<b>Western Wealth Capital - U.S. Real Estate</b> Mark Breakspear, <i>VP, Fund Operations &amp; Distribution</i> Vancouver, BC mbreakspear@westernwealthcapital.com (603) 323-6675	<b>Member Since 2021</b>
	<b>YTM Capital Asset Management - Alternative Credit</b> Kevin Foley, <i>Managing Director, Institutional Accounts</i> Oakville, Ontario kevin.foley@ytmcapital.com (416) 306-8328	<b>Member Since 2020</b>

# MEMBER DIRECTORY

## START-UP FOUNDERS

	<b>Consilium Crypto - Digital Asset Trading &amp; Liquidity Solutions</b> Austin Hubbell, <i>Founder &amp; CEO</i> Toronto, Ontario austin@consiliumcrypto.ai (514) 654-7219	<b>Member Since 2020</b>
	<b>Continuum.Social - Social platform powered by NFT and Web 3.0 tech</b> Sergei Beliaev, <i>Founder</i> Toronto, Ontario sb@continuum.social (647) 244-1319	<b>Member Since 2021</b>
	<b>InnovFin - Blockchain Education, Strategy, &amp; Implementation</b> Othalia Doe-Bruce, <i>Founder</i> Peterborough, Ontario o.doebruce@innovfin.ca (416) 900-3897	<b>Member Since 2020</b>
	<b>Just Boardrooms - Meeting Space Marketplace</b> Howard Chang, <i>Co-Founder</i> Toronto, Ontario howardc@theturnlab.com (416) 712-5503	<b>Member Since 2020</b>
	<b>Koios Intelligence Inc. - Artificial Intelligence Client Communication</b> Nader Kobrossi, <i>Director of Operations</i> Montréal, Québec nader.kobrossi@koiosintelligence.ca (514) 627-4634	<b>Member Since 2021</b>
	<b>Konfidis Inc. - Real Estate / PropTech</b> John Asher, <i>President and Co-Founder</i> Toronto, Ontario john@konfidis.com (416) 200-0954	<b>Member Since 2021</b>
	<b>Leyline Altruism - NFTs for Good</b> Jeremy Dela Rosa, <i>Founder &amp; CEO</i> Vancouver, BC jeremy@leyline.gg (949) 466-0870	<b>Member Since 2021</b>
	<b>Liquid Avatar® Technologies Inc. - Creating Value from Online Identity</b> David Carter, <i>CFO</i> Toronto, Ontario dave.carter@liquidavatar.com (647) 725-7742 ext 705	<b>Member Since 2021</b>
	<b>Meetami Innovation Inc - Digital and Crypto Asset Platform</b> Sarah Morton, <i>Chief Strategy Officer</i> Vancouver, BC sarah@meetami.ca (604) 616-7271	<b>Member Since 2021</b>
	<b>Metis - Decentralized Platform for Web3 Business</b> Jonah Keri, <i>Chief Marketing Officer and Business Development Lead</i> Montréal, Québec jonah.k@metis.io	<b>Member Since 2021</b>
	<b>Moselle - Your Supply Chain Command Center</b> Lakhveer Jajj, <i>Founder &amp; CEO</i> Toronto, Ontario lakhveer@moselle.up (647) 830-5382	<b>Member Since 2021</b>
	<b>Pascal WealthTech - Digital technology empowering financial advisors</b> Howard Atkinson, <i>President &amp; CEO</i> Toronto, Ontario hatkinson@pascalfinancial.com	<b>Member Since 2020</b>

# MEMBER DIRECTORY

## START-UP FOUNDERS

	<b>Rakr</b> - IoT Farming Solutions Mohamad Yaghi, CEO & Co-Founder Toronto, Ontario mohamad.yaghi@rakr.ca (416) 702-3818	<b>Member Since 2020</b>
	<b>Rondeivu</b> - InvestTech Platform for Institutional Deals Michael Ashmore, Founder & CEO Southport, CT	<b>Member Since 2021</b>
	<b>The S-Factor</b> - Social Impact Quantitative Data & Analytics ESG Data Bonnie-Lyn de Bartok, Founder & CEO Toronto, Ontario bonnielyn@thesfactor.co (647) 930-9075	<b>Member Since 2021</b>
	<b>SR.ai</b> - Artificial Intelligence for Socially Responsible Investing Alik Sokolov, CEO & Co-Founder Toronto, Ontario alik@sr-ai.co (647) 548-5153	<b>Member Since 2021</b>
	<b>Terentia</b> - Digital Asset Management Neal Bilow, Chief Executive Officer Toronto, Ontario neal.bilow@terentia.io (416)409-0369	<b>Member Since 2021</b>
	<b>WealthAgile</b> - A Smart Crypto Platform for Investors Tim Burgess, Co-Founder & CEO Toronto, Ontario tim@wealthagile.com (905) 599-7772	<b>Member Since 2020</b>
	<b>Willow</b> - Propsharing Neville Joanes, CIO Toronto, Ontario neville@willow.ca (778) 835-6551	<b>Member Since 2021</b>

# MEMBER DIRECTORY

## SERVICE PROVIDERS

	<b>360 Trading Networks, Inc.</b> - Foreign Exchange Trading Platform Rolo Ledesma, Product Specialist New York, NY rolo.ledesma@360t.com (416) 568-6329	<b>Member Since 2021</b>
	<b>Altrust Investment Solutions</b> - Alternative Funds Platform Wilson Tow, Managing Partner, Head of Product Structuring & Business Development Toronto, Ontario wtow@altrustsolutions.com (647) 205-7418	<b>Member Since 2020</b>
	<b>Apex Fund Services</b> - Fund Administration Services Raja Krishnan, Managing Director - Canada Toronto, Ontario rajak@apexfunds.ca (647) 962-1375	<b>Member Since 2019</b>
	<b>Arbutus Partners</b> - The pursuit of tomorrow's winners, today Grahame Lyons, Founder Vancouver, BC info@arbutuspartners.com (604) 229-9749	<b>Member Since 2020</b>
	<b>Athena International Management Limited</b> - Governance Services Allison Nolan, Founder Cayman Islands anolan@athena.ky +1 (345) 943-2211	<b>Member Since 2020</b>
	<b>AUM Law</b> - Legal & regulatory compliance advice Kimberly Poster, Chief Legal Counsel Toronto, Ontario kposter@aumlaw.com (416) 966-2004 x266	<b>Member Since 2019</b>
	<b>Battea Class Action Services</b> - Securities Class Action Recoveries Bob Williamson, Vice President, Sales Stamford, CT williamson@battea.com (516) 987-6006	<b>Member Since 2018</b>
	<b>Bitbuy Technologies Inc.</b> - Digital Asset Exchange Torstein Braaten, Head of Regulatory Affairs and CCO Toronto, Ontario torstein@bitbuy.ca (437) 826-2441	<b>Member Since 2021</b>
	<b>Boosted.ai</b> - Artificial intelligence software for investment managers Erik McBain, Director of Growth Toronto, Ontario erik.mcbain@boosted.ai (613) 884-2246	<b>Member Since 2021</b>
	<b>Brane</b> - Cryptocurrency Custody Solutions Nicholas Sanschagrín, Director of Sales Toronto, Ontario nick.sanschagrín@brane.ca (613) 809 2353	<b>Member Since 2021</b>
	<b>Campbell Lutyens</b> - Fund Placement & Advisory Katie Alberti Price, Senior Vice President New York, NY price@campbell-lutyens.com (219) 928-6327	<b>Member Since 2021</b>
	<b>Capital Governance Partners LLC</b> - Independent Fund Oversight Carlos Ferreira, Managing Director Los Angeles, CA caf@capitalgovernance.com (949) 231-7147	<b>Member Since 2021</b>

### CAASA ANNUAL CONFERENCE 2022 - MONTRÉAL

Tuesday, November 1 - Thursday, November 3

Attendees from around the world, tête-à-tête sessions for all  
Table Talks for niche topics

Limited capacity - Investor delegates: 40%+ of ~250 overall





# MEMBER DIRECTORY

## SERVICE PROVIDERS



**Canaccord Genuity Direct** - Cross-asset Executing Broker & Prime Brokerage  
JF Sabourin, *Managing Director - Head of Canaccord Genuity Direct*  
Montréal, Québec jfsabourin@cgdirect.ca (514) 985-8086

**Member Since 2018**



**Carne Group** - UCITS/AIFM Management Company  
Nicola Cowman, *Director*  
New York, NY Nicola.Cowman@carnegroup.com (347) 410-0927

**Member Since 2019**



**Castle Hall Diligence** - Due Diligence: Operations, ESG, Cyber and Risk  
Chris Addy, *Founder & CEO*  
Montréal, Québec caddy@castlehalldiligence.com (450) 465-8880

**Member Since 2018**



**Caystone Solutions Ltd.** - Family Office & Fund Administration Services  
Wendy Warren, *Managing Director*  
Nassau, Bahamas wwarren@caystone.com (242) 397-6505

**Member Since 2020**



**CIBC Mellon** - Custody & Fund Administration  
Alistair Almeida, *Executive Director, Business Development*  
Toronto, Ontario alistair.almeida@cibcmellon.com (416) 643-5126

**Member Since 2018**



**Coach House Partners** - IT Consulting for Alternative Fund Managers  
Michael Fowler, *Founding Partner*  
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**Member Since 2018**



**Dart Family Office**  
Jackie Doak  
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**Member Since 2020**



**DealMaker** - Digital Private Placement & Client-Onboarding Solutions  
Rebecca Kacaba, *Chief Executive Officer*  
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**Member Since 2019**



**DealSquare** - Online Private Markets Platform  
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**Member Since 2020**



**DeFiSafety Inc.** - Cryptoasset Risk Analysis Services  
Rex Hygate, *President*  
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**Member Since 2021**



**Deutsche Börse Group** - Exchange  
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**Member Since 2020**



**Federation of Mutual Fund Dealers** - Association  
Matthew Latimer, *Executive Director*  
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**Member Since 2020**

# MEMBER DIRECTORY

## SERVICE PROVIDERS



**Fidelity Clearing Canada ULC** - Custody and Clearing Solutions  
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**Member Since 2019**



**Figment** - Digital Assets & Staking  
Lorien Gabel, *CEO & Co-founder*  
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**Member Since 2021**



**FIS Global** - Capital Market Solutions  
Jeff d'Avignon, *Sr Sales Executive, Cross-Assets Trading and Risk*  
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**Member Since 2021**



**Fundata** - Alternative & Traditional Data Aggregation  
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**Member Since 2018**



**Fundserv** - Investment Fund Trade Facilitation Platform  
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**Member Since 2021**



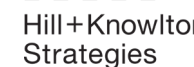
**GDA Capital Corporation** - Cryptoasset Administration  
Joaquim Miro, *Chief of Staff*  
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**Member Since 2021**



**Government of Canada** - Consulate General of Canada in New York  
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**Member Since 2020**



**Hill + Knowlton Strategies Canada** - Public Relations Firm  
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**Member Since 2021**



**Hut 8 Mining** - Cryptocurrency Mining  
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**Member Since 2021**



**ICICI Bank Canada** - Indian Securities & Private Equity Deals  
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**Member Since 2020**



**Independent Trading Group (ITG), Inc.** - Trading & Market Making Services  
Sean Debotte, *CEO*  
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**Member Since 2019**



**Innocap** - Managed Account Platform  
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**Member Since 2019**

# MEMBER DIRECTORY

## SERVICE PROVIDERS

	<b>Juniper Square</b> Brandon Sedloff, <i>Managing Director &amp; Senior Vice President</i> San Francisco, California brandon@junipersquare.com	<b>Member Since 2020</b>
	<b>KPMG</b> Peter Hayes, <i>Partner, Audit, Financial Services</i> Toronto, Ontario phayes@kpmg.ca (416) 777-3939	<b>Member Since 2019</b>
	<b>McMillan LLP - Investment Funds   Tax   Derivatives</b> Jason Chertin, <i>Co-Chair, Investment Funds and Asset Management</i> Toronto, Ontario jason.chertin@mcmillan.ca (416) 865-7854	<b>Member Since 2018</b>
	<b>Meraki Global Advisors - Global multi-asset outsourced trading</b> Michael Ashby, <i>COO</i> New York, NY Ma@merakiglobaladvisors.com (435) 214-0772	<b>Member Since 2019</b>
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	<b>Robert Walters - Recruitment Consultancy</b> Martin Fox, <i>Managing Director - Canada</i> Toronto, Ontario Martin.Fox@RobertWalters.com (416) 998-9649	<b>Member Since 2018</b>
	<b>SIDE Securities Industry Data Exchange Inc. - Ops Solutions Provider</b> John Serpa, <i>President &amp; CEO</i> Toronto, Ontario jserpa@sidexchange.com (416) 802-2434	<b>Member Since 2019</b>

# MEMBER DIRECTORY

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	<b>Sera Global Advisors - Real Assets Advisor</b> Kate Nowak, <i>Vice President, Private Capital Advisory</i> Toronto, Ontario kate.nowak@seraadvisory.com (416) 275-1654	<b>Member Since 2021</b>
	<b>SGGG Fund Services - Fund Administration</b> Dennis MacPherson, <i>Senior Vice President</i> Toronto, Ontario dmacpherson@sgggfsi.com (416) 855-7247	<b>Member Since 2018</b>
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	<b>SS&amp;C Technologies - Fund Administration</b> Gabe Vinizki, <i>Director, Business Development</i> Toronto, Ontario gvinizki@sscinc.com (416) 435-6402	<b>Member Since 2019</b>
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	<b>Williams Trading - Outsourced Trading Services</b> Jonathan Naga, <i>Senior Managing Director, Head of Business Development</i> New York, NY jnaga@wtco.com (917) 733-5144	<b>Member Since 2021</b>

**FAMILY OFFICE SUMMIT 2022**  
**Tuesday, May 3 - Thursday, May 5**  
 Attendees from around the world, tête-à-tête sessions for all  
 Table Talks for niche topics - Special Sessions for SFO Family & Pros  
 In-Person - Toronto, Ontario - Limited Capacity (70% allocated)







*For more information on CAASA membership, initiatives, and events, please contact:*

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