Virtual Private Equity & Venture Capital Assembly 2021

Presented by: the Canadian Association of Alternative Strategies & Assets

CAASA
CANADIAN ASSOCIATION OF
ALTERNATIVE STRATEGIES & ASSETS

Tuesday, September 28th, Wednesday, September 29th,
& Thursday, September 30th
Plus Additional Meeting Day: Friday, October 1st



WELCOME TO OUR DIVE INTO PRIVATE EQUITY AND VENTURE CAPITAL

Our Private Equity & Venture Capital Assembly brings together speakers and participants from public and private pension plans, sovereign wealth funds, foundations & endowments, single and multi-family offices, investment dealers, Canadian and global investment management houses, and affiliated service providers. Panels and speak to the venture and growth industry's search for quality, scalable ideas and companies, structuring and taxation possibilities, and longitudinal views of the industry and its sub-sets from veteran players. All content is subject to Chatham House Rule.

THANK YOU TO OUR SPONSORS

CIBC MELLON

TSVC

UNIGESTION

WE HAVE THREE DAYS OF CONTENT!

We invite all delegates to make use of the CAASA All-in-One Virtual Platform. **You will have received an email to login to it - please let us know if you have not received it as it is crucial to your participation.** All panels, keynotes, other presentations, and the 1:1 meetings are facilitated through the platform. **You will not receive Zoom/etc. links to access the content.**

On September 28th-30th we have our panels et al (see next page for more) as well as a few hours of 1:1 meetings. The latter are booked via the platform - we add people as they register and there may be a backlog as we have many registrations each day. Delegates are encouraged to populate their profile so that folks that might reach out to them or view them after receiving an invite to chat can get a good idea of who you are and what you do. You can add documents, videos, and product offerings as you like. We DO NOT provide contact information (phone #, email) to anyone for any reason, but the messages and invites that you send will be transmitted to the relevant party's email inbox. It's best to do some research and have a targeted ask or intro to make acceptance as easy as possible.

October 1st is a full day of meetings where you can, again, invite any delegate for a 15-mins chat. Some use this day as a second meeting day with those they met earlier while others have many first-meetings as well. How you interact is entirely up to you and 100% opt-in - have fun!

HIGHLIGHTED MEMBERSHIP CATEGORY

GLOBAL PARTNERS

As we continue to innovate in an effort to bring more value to members than ever before, we added an additional exposure category for select members: Global Partner.

As a Global Partner, **Napier Park Global Capital**, is featured prominently at three of our signature conferences in 2021.

As is our tradition, we treat all members equally for our speaking opportunities (191 in 2019 and 470 in 2020, and ~600 in 2021) and Global Partners are afforded the same propensity to speak on and moderate panels and participate in podcasts.

Any CAASA member this is interested in becoming a Global Partner should contact the CAASA office. More information can also be found in our ***Planning 2022*** document.

ABOUT CAASA

INCLUSIVE, ACTIVE, AND PAN-ALTERNATIVE

The Canadian Association of Alternative Strategies & Assets (CAASA) was created in response to industry requests for a national group to represent the Canadian alternative investment participants, including investors, asset managers, and service providers. CAASA is inclusive in that it welcomes participation from all companies active in the space as well as select individuals (those with investors) who might want to participate in committees and working groups - or simply attend member events - without their employer being a member of the association. CAASA is very active in both committees & groups and events: 45+ events, including this conference, have occurred or are planned in 2019. Pan-alternative, for CAASA, encompasses all alternative strategies and assets including: hedge funds / alternative trading strategies, private and public real estate (funds and direct), private lending, private equity, development & project finance, digital assets / crypto-assets, weather derivatives & cat bonds, and all aspects of diligence, trading, structuring, dealing, and monitoring alternatives in a stand-alone portfolio and as part of a larger investment strategy.

MEMBER BENEFITS

Investors join CAASA to be a part of a formal network of pension plans, foundations, endowments, sovereign wealth funds, and family offices to discuss ideas, strategies, and operational issues particular to their businesses - all within a closed group where managers and service providers may or may not be included, depending on the forum.

Managers see the association as a way to connect with peers, investors, and service providers to speak to fund structuring, sales & marketing, and regulatory issues. CAASA is not a capital introduction platform, but we do create forums where investors and managers can meet organically or via structured meeting sessions, such as at this conference, where participation by the investors is strictly opt-in.

Service providers participate in our events and working groups as well as assist in the production of thought leadership pieces which provide relevant information to both association members and the industry and investing public at large.

Founders receive exposure via our member directory, participating in our various Founders' Pitch Competitions, speaking on panels, taking part in podcasts, and being involved in a very active network.

NATIONAL AND GLOBAL

CAASA believes that the Canadian alternatives industry has a great deal to offer Canadians and the global community. The *Canadian Model of Pension Management* is well-known for its large alternatives focus, managed in-house in many cases with substantial allocations to external managers as well. Canadian investment managers operate in a robust regulatory regime (of hedge fund managers) that is becoming the norm across the globe and a stable banking back-drop that provides solace for investors as well as opportunities for managers. Talent in investment management (approximately 10% of all CFA charterholders reside in Canada) as well as newer areas such as digital assets and robo-advisory services are a differentiator. Of course, Canadian investors and managers are keen to learn of best practices in operations and portfolio management from their global peers.

TUESDAY, SEPTEMBER 28TH

8:00 AM	*Tête-à-tête meetings (5 x 20-minute 1:1 meetings)*
9:40 AM	***CAASA's Virtual Platform Introduction*** **Paul Koonar,** CAASA *A great refresher for those who have come to one of our conferences and an excellent introduction to our easy to use (according to reviews that we've heard!) online viewing, messaging, and meeting platform. We recommend that everyone see this as we will not be able to field one-on-one questions very easily on the day.*
9:50 AM	***NowTalk: Perseverance by John Ruffolo*** **John Ruffolo,** Maverix Private Equity **James Burron,** CAASA *This NowTalk features one of Canada's iconic venture investors who had a life-changing (and almost life-ending!) event about one year ago. John Ruffolo founded the first and one of the only venture capital units inside of a public pension plan, growing it to about $1 billion AUM. Now, with Maverix PE – which was in the middle of fundraising when the accident occurred – he has a $500 million fund and his perseverance made it happen. Learn his story and what makes him indomitable in this talk!*
10:30 AM	***Instant Access to PE & VC (aka Skip the J-Curve)*** **Randolph B. Cohen,** Harvard Business School **Alexandre Falin,** Unigestion Asset Management **Sudharshan Sathiyamoorthy,** Richter Family Office **Arthur Bushonville,** DSC Quantitative Group *This panel tackles the age-old question of how to get the benefit of private market investments without the mess of private market structures that are traditionally illiquid, with unknown capital call/return schedules, and haphazard/stale-dated pricing. Each of the panelists has a solution for investors that can alleviate these challenges while offering the aforementioned benefits to investors, be they institutional, family office, of accredited investor/retail. Join us to know more and ask your questions!*
11:30 AM	*Break*
11:45 PM	***Keynote: To be Confirmed at press time***
12:45 PM	*Break*
1:00 PM	***NowTalk: The State of PE & VC Globally*** **Cameron Joyce,** Preqin *This NowTalk gives a view of the PE and VC landscape in Canada and around the world by one of the best sources of investment data on the planet. Join us as Preqin lays the groundwork for a busy few conference days and brings everyone up to speed on where these areas of investment have been – and possibly where they are headed.*

TUESDAY, SEPTEMBER 28TH

1:30 PM

Founders' Pitch Competition (Tuesday Edition)
Judges:
Shez Samji, Silicon Valley Bank
Maria Pacella, Pender Ventures
Jayant Kadambi, Quain Investments
Founders:
Lakhveer Jajj & **Traci Cheng,** Moselle
Ashley Martis, Startup Fuel
Vivek Burhanpurkar, Cyberworks Robotics
Join us as our judges, which are well-known in the industry as pioneers with incisive and insightful questions for our founders, put three start-ups through their paces and offer some great advice to them. If you're in venture capital or interested to know how the mind of an investor in the space works, this is your opportunity to know more.

2:30 PM

Break

2:40 PM

CIBC MELLON

TSVC

These sessions are aimed at providing all event attendees with the ability to join in genuine knowledge exchange and discussion in a small group and informal setting. Choose up to 3 x 20-minute round table discussions

3:40 PM

Break

4:00 PM

Tête-à-tête meetings (6 x 20-minute 1:1 meetings)

6:00 PM

End of Day 1

WEDNESDAY, SEPTEMBER 29TH

8:00 AM	*Tête-à-tête meetings (6 x 20-minute 1:1 meetings)*
9:50 AM	***NowTalk: Go North, Canada Domicile*** **Jocelyn Blanchet,** KPMG **Michael Bunn,** Norton Rose Fulbright Canada *Many might not think of Canada as a preferred domicile for private equity and venture capital funds, but the country (especially Ontario) is becoming known for being particularly attractive for non-Canadian funds to hang their domicile hat. This NowTalk with give the basics as to why this is and how investors and managers alike can take advantages of that the jurisdiction has to offer.*
10:30 AM	***Rah! Rah! Canada!*** **Mark Shulgan,** OMERS Growth Equity **Ajay Gopal,** Framework Venture Partners **Mark Maybank,** Maverix Private Equity **Peter van der Velden,** Lumira Ventures **Senia Rapisarda,** HarbourVest Partners *Much of what we do at CAASA has an impetus in the book "Mexicans Don't Drink Molson" – Canadians seem to rarely toot their horn and/or export their ideas and way of thinking. But that is changing: our Maple Model of pension fund management and governance is revered the world over, we are still touting the strength of our banks (circa 2008), and Canada is leading the way in many other areas as well. Join us in this perhaps un-Canadian panel that speaks to what we are doing well…and likely some ideas on how we can do better.*
11:30 AM	*Break*
11:45 PM	***Fireside Chat: Topic & Speakers TBC at press time***
12:45 PM	*Break*
1:00 PM	***NowTalk: Offshore Access, Structures, & Governance*** **Nicola Cowman,** Carne Group *This NowTalk covers the world when it comes to establishing offshore funds, either as stand-alone vehicles or via a modular platform that can allow for quick entry into new jurisdictions while ensuring adherence to local and regional regulations, as well as investor preferences, that continue to adjust to the times.*

WEDNESDAY, SEPTEMBER 29TH

1:30 PM	***Founders' Pitch Competition (Wednesday Edition)*** ***Judges:*** **Spencer Greene,** TSVC **Éléonore Jarry-Farron,** Brightspark Ventures **Marcus Daniels,** Highline Beta ***Founders:*** **Sam Bogoch,** Axle AI **Rob Richards,** Key **Shael Soberano,** Konfidis *Join us as our judges, which are well-known in the industry as pioneers with incisive and insightful questions for our founders, put three start-ups through their paces and offer some great advice to them. If you're in venture capital or interested to know how the mind of an investor in the space works, this is your opportunity to know more.*
2:30 PM	*Break*
2:40 PM	*Tête-à-tête meetings (4 x 20-minute 1:1 meetings)*
4:00 PM	***Take an adVenture into Private reserves via our Wine Tasting*** **Mackenzie Putici,** *New World Wine Tours* *Join our in-house sommelier on Day 3 as he leads a hands-on exploration of some vintages that are off the beaten path.* ***Please register via the event page to receive the wine delivered to your door (where applicable by law, $45 cost). If you cannot receive them, we'll have a list of similar bottles and you're welcome to attend in any case!***
6:00 PM	*End of Day 2*

THURSDAY, SEPTEMBER 30TH

8:00 AM	*Tête-à-tête meetings (6 x 20-minute 1:1 meetings)*
9:50 AM	***NowTalk: Stroock on CFIUS/FIRRMA*** **Shannon Reaves,** Stroock & Stroock & Lavan LLP *The Committee on Foreign Investment in the United States (CFIUS) and the Foreign Investment Risk Review Modernization Act of 2018 (FIRRMA) have wide-reaching implications for foreign companies investing in assets in the United States and we are lucky enough to have this NowTalk from Stroock & Stroock & Lavan LLP to explain its potential effect on transactions that might be contemplated by delegates. Be sure to get to know CFIUS/FIRRMA!*
10:30 AM	***Getting in the Ground Floor*** **Raphael Bouskila,** Mako Financial Technologies **Marcus Daniels,** Highline Beta **Joseph Abramson,** Northland Wealth Management **Michael Steinberg,** Reciprocal Ventures **Fadi Albatal,** Innovobot *This panel brings together early investors in iconic home-runs to discuss how they came across these opportunities, gained access to relevant information, performed diligence on them, and managed the various stages of financings (and typical dilution provisions) to end up with a satisfying exit for all concerned.*
11:30 AM	*Break*
11:45 PM	***Ventures in Venture: Emerging VCs*** **Zack Ellison,** Applied Real Intelligence ("A.R.I.") **Eugene Zhang,** TSVC **Charlie Morris,** CMCC Global **Purvi Gandhi,** Quain Investments *This panel delves into how emerging venture fund managers (<3 years or track record and/or <$300 million AUM) have a plan to create a great deal of value for their LPs as they focus on their niche and work to build their portfolio companies into industry leaders.*
12:45 PM	*Break*
1:00 PM	***Papering-up: Automating compliance appropriately*** **Peter-Paul Van Hoeken,** DealSquare **Rebecca Kacaba,** DealMaker *Distribution of investment products can be rife with pitfalls and complications – be they emanating from regulators, institutions' investment processes, or the teething pains of creating new avenues of investment to certain investor segments. This foundational NowTalk will explain the challenges of complying with appropriate regulations and market practices while keeping the process simple and (relatively) effortless for investors.*

THURSDAY, SEPTEMBER 30TH

1:30 PM	***Founders' Pitch Competition (Thursday Edition)*** ***Judges:*** **Laurie Fuller,** Raiven Capital **Ajay Gopal,** Framework Venture Partners **Scott Morrison,** Wealhouse Capital Management ***Founders:*** **Brent Lane** & **Brett Jones,** HeyAuto **Alexandre Paré** & **Brett Montrose,** Streamline Athletes **Rand Abou Ras & Daniel Martinovic,** uCast *Join us as our judges, which are well-known in the industry as pioneers with incisive and insightful questions for our founders, put three start-ups through their paces and offer some great advice to them. If you're in venture capital or interested to know how the mind of an investor in the space works, this is your opportunity to know more.*
2:30 PM	*Break*
2:40 PM	*Tête-à-tête meetings (11 x 20-minute 1:1 meetings)*
6:00 PM	*End of Day 3*

FRIDAY, OCTOBER 1st - A DAY OF TÊTE-À-TÊTES

8:00 AM	*Tête-à-tête meetings (30 x 20-minute 1:1 meetings)*
6:00 PM	*End of Day 4 - Thank you!*

NOTES

SPEAKER BIOGRAPHIES

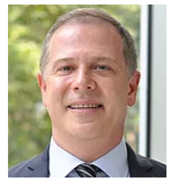
Thank you to our many speakers, presenters, and those who added their themes and topics, panel and non-industry speaker ideas, and other invaluable input!

SPEAKER BIOGRAPHIES

FPC Founder

Rand Abou Ras
CEO & Co-founder
uCast

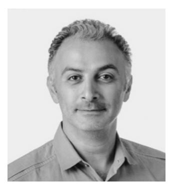
Rand is the co-founder & CEO of uCast - an online marketplace for Podcasters and Advertisers to launch profitable Ad campaigns in minutes. Rand is UX/UI Designer with an earned BComm majoring in Entrepreneurship & Strategy, she spent her career working with over 40 tech startups at world renowned incubators such as the DMZ, providing them with the knowledge to build and pivot fast. She decided to pursue her dream of building a tech startup after graduating during the pandemic and saw the struggles that many creators were facing, that's when she and Daniel co-founded uCast.



Joseph Abramson
Co-Chief Investment Officer
Northland Wealth Management

Joseph is a world-class talent that has advised some of Canada's most prominent ultra high-net-worth private investors, as well as many of the world's most sophisticated institutions. His specialties include: asset allocation; risk management and providing unique, cutting-edge, money-making insights into global financial markets. Over his 25+ year career, Joseph consistently outperformed his benchmarks, including as a Research Analyst at Senvest, a prominent and highly successful Wall Street hedge fund.

Joseph was also a Strategist for BCA Research, the world's leading provider of global macro strategy research to institutional investors. During his tenure, prominent BCA clients included: OMERs, TD, CDPQ, Goldman Sachs, Fidelity, Barclay's, Bank of Switzerland, and China Life. At BCA, he co-launched its Global Asset Allocation service which provides high-touch, interactive services to select top global institutional investment firms on all asset classes across all of the world's regions. More recently, Joseph was the Chief Investment Officer for a Montreal-based advisory, private equity and venture capital firm.



Fadi Albatal
Partner
Innovobot

Fadi is a serial tech entrepreneur, with his software engineering and AI background, Fadi manages investments focused on the application of AI. Fadi has held key executive positions at privately held and global companies, and has co-founded a number of companies which he led to successful exits.

SPEAKER BIOGRAPHIES

Jocelyn Blanchet, CPA
Partner, Tax
KPMG

Based in Toronto, Jocelyn is a Partner and the National Leader for KPMG's M&A tax practice. He has more than 15 years of experience assisting private equity, venture capital and hedge funds and other financial sponsors to plan and execute acquisitions, reorganizations and divestitures. Developing solutions for complex situations has gained him recognition as an innovative tax planner.

In addition, Jocelyn has extensive experience working with financial institutions on the design of products and strategies in their highly-regulated and heavily-taxed industry. As a result, he understands the necessity of working with regulators and other business units (compliance, capital management, financial reporting) in the design and implementation of their tax-efficient structures.

Jocelyn has authored and spoken extensively on the design of investment funds and on other issues relevant to M&A. He is also the Chair of the Tax Policy Committee of the Canadian Venture Capital & Private Equity Association (CVCA), advocating for positive tax changes in the industry.

FPC Founder

Sam Bogoch
Chief Executive Officer
Axle AI

Sam Bogoch is CEO of Axle AI, a company he co-founded in 2012. The company's slogan is "making media smarter", and its award-winning software helps media teams remotely search, manage and reuse large amounts of video content. The company has over 700 installations at content creation sites worldwide including NBC Universal, Madison Square Garden, the NY Yankees, Coca-Cola, and Tomorrowland.

Prior to cofounding Axle AI, Sam was Director of Product Management for workgroups at Avid, a public company (NASDAQ:AVID) products for 5 years. While there, he oversaw threefold revenue growth of his division division, from $17m/year to $55m/year. Sam's skill set includes product management, enterprise sales and marketing. A Canadian citizen, Sam holds degrees from Harvard (Physics) and Columbia (Medicine) and lives in Boston with his wife and daughter.

Raphael Bouskila
President
Mako Financial Technologies

Raphael is President of Mako Financial Technologies, helping financial companies transform their businesses with custom automation. Prior to founding Mako, Raphael was President of CoPower, an impact-investment/fintech company making clean energy accessible to Canadian investors. Under Raphael, CoPower raised over $25 million in client capital, plus venture capital from RBC, Fondaction CSN and Ferst Capital Partners, before being acquired by Vancity Bank. Raphael has been named Entrepreneur of the Year by MaRS (Toronto), Clean50/Staples (Toronto) and CollinsBarrow/Promies (Montreal). He serves as a judge and mentor to the McGill Dobson Cup startup competition, and as a mentor to the Finance Montreal Fintech Station.

Raphael holds a B.Eng. in electrical engineering from McGill University and an M.Sc. in physics from the University of Toronto, as well as the Exempt Market Products and Partners, Directors and Senior Officers securities certifications.

SPEAKER BIOGRAPHIES

Michael Bunn
Partner
Norton Rose Fulbright LLP

Michael Bunn is a partner at Norton Rose Fulbright Canada LLP. He practises corporate and securities law with an emphasis on the investment management sector. He regularly advises on the establishment and capital-raising needs of Canadian and international investment funds, including private equity funds, venture capital funds, hedge funds and retail mutual funds. Once a fund is launched, he remains on hand to advise on continuous disclosure, regulatory, corporate governance and other ongoing matters. He also provides registration advice for Canadian and international investment fund managers, investment advisors and dealers regarding their business activities in Canada. Prior to joining Norton Rose Fulbright Canada LLP, he practised at a leading offshore law firm in the Cayman Islands.

Vivek Burhanpurkar
Chief Executive Officer
Cyberworks Robotics

FPC Founder

Vivek is CEO and founder of Cyberworks Robotics. His background in autonomous self-driving technology dates back to the mid-1980s when he wrote the world's first academic thesis paper on the use of new AI principles for navigation in complex indoor environments while at the University of Toronto. He then published some of the earliest academic papers on autonomous navigation in peer reviewed IEEE and SME high impact journals, and was a keynote speaker at the American Association of Artificial Intelligence national convention. He has been featured in numerous popular media including the New York Times, The Guardian, The Globe and Mail, Times of India, Singapore Straits, Profit magazine as well as national and international television news programs. He also served as Managing Director of CRS Robotics, a 300 employee publicly traded multinational robotics company.

Vivek founded Cyberworks in 1983 leading the development of the world's first fully autonomous industrial mobile robots that could operate in a completely unknown environment efficiently and systematically without any human instruction or preprogramming. Cyberworks developed a wide range of autonomous mobile robots including multi-terrain walking robots, security robots, military robots, decontamination robots and wheelchair robots. As the company's CEO, Vivek oversaw its global expansion through strategic alliances with a series of multinational corporations in the US, Europe and Japan. Vivek was responsible for expanding Cyberworks from a basic R&D company into a full service, concept-to-production facility and leading a multinational group of academic and corporate robotic research teams in Canada, Holland, France, Belgium, USA and Japan. He also served as a Managing Director of CRS Robotics, a TSE traded multinational company with 300 engineers in Canada and Germany.

Since 2013, Cyberworks has been focused exclusively on the development of AI autonomous navigation software for third party vehicles. Vivek has negotiated strategic partnerships with leading universities and manufacturers across Canada and the US to leverage existing infrastructure and resources.

SPEAKER BIOGRAPHIES

James Burron, CAIA
President & Co-founder
CAASA

James co-founded CAASA in response to industry support for a Canadian alternatives association to bring Canada to the world and the world to Canada. Prior to CAASA, James was the Chief Operating Officer of AIMA Canada where his team of three worked with 12 committees to produce 50-60 events per annum across Canada, organized 100+ committee meetings, and increase member numbers over his 7-year tenure from 66 to 164 corporate entities. CAASA currently has about 275 corporate members with 100+ events per year. James currently sits on the Canadian Investment Funds Standards Committee (CIFSC), which categorizes mutual funds and alternative mutual funds (aka liquid alternatives) for the retail space in Canada.

James also has experience in research and writing for the CAIA Association (holding the designation since 2006) as well as serving on CAIA's exam council and as a grader for the Level II portion of the exam. He had roles in institutional sales and FoHF structuring in Seoul, South Korea, as a Product Manager at ICICI Wealth Management, and as an Investment Advisor at RBC Dominion Securities. James graduated from Simon Fraser University with a BBA (Finance).

Arthur Bushonville
Chief Executive Officer
DSC Quantitative Group

Art is the Founder and CEO of DSC Quantitative Group, LLC. He also developed the investable hedge fund index operation for Hedge Fund Research, Inc. ("HFR") including index methodology, manager selection and structured product development and distribution. Prior to joining HFR, Art was the Founder/CEO of a venture backed Internet exchange operation focused on creating unique derivative markets for online trading. He managed the financial trading and investments group for Koch Industries and spent seven years in the Capital Markets Group at the First National Bank of Chicago (J.P. Morgan). He began his career at the Chicago Mercantile Exchange where he held various positions, including research and audit.

Art earned an M.B.A. from Northwestern's Kellogg School of Management at Northwestern University and a BBA from Loyola University of Chicago.

FPC Founder

Traci Cheng
COO & Co-founder
Moselle

A Jill of all trades, Traci has worn all types of hats in startups including sales, marketing, and customer support, but her expertise lies in business and people operations. She's worked at tech startups from all sizes, including ScribbeLive, CareGuide (where she met Lakhveer), and Zoom.ai, where she grew the team by 2x as Head of People & Ops. Prior to Moselle, Traci joined BMO as the Head of Artificial Intelligence Operations, building out the bank's inaugural AI scientist team. She is often featured as a guest speaker on topics of Talent Management, Diversity & Inclusion, and Women in Tech.

SPEAKER BIOGRAPHIES

Randolph B. Cohen
MBA Class of 1975 Senior Lecturer of Business Administration
Harvard Business School

Randolph B. (Randy) Cohen is the MBA Class of 1975 Senior Lecturer of Entrepreneurial Management at Harvard Business School.

Cohen teaches finance and entrepreneurship at HBS, and has previously held positions as Associate Professor at HBS and Visiting Associate Professor at MIT Sloan. He currently teaches Field X and Y at HBS, courses for students who are starting businesses while obtaining their MBA. Last year he advised around 100 startup businesses in the courses. He also co-created the Alternative Investments course for Harvard Business School Online, which is taken by thousands of students and professionals each year.

Cohen's main research interests are the identification and selection of money managers who are most likely to outperform, as well as asset allocation, risk management, and anything else related to building great investment portfolios. Cohen has studied the differential reactions of institutions and individuals to news about firms and the economy, as well as the effect of institutional trading on stock prices. Other research areas include municipal securities, cryptocurrency, and longevity insurance.

In addition to his academic work, Cohen has helped to start and grow a number of businesses, mostly but not exclusively in the area of investment management, and has served as a consultant to many other companies. He is co-founder of PEO Partners, an asset-management firm which specializes in liquid private equity, a research interest of Cohen's for over 20 years.

Cohen serves on the Board of the Massachusetts Association for the Blind and helped launch ExSight Ventures, a small VC specializing in therapies for vision loss. His podcast, Dangerous Vision, in which he interviews fascinating people who have some connection to blindness, is available on iTunes and all other major platforms.

Cohen holds an AB in mathematics from Harvard College and a PhD in finance and Economics from the University of Chicago.

Nicola Cowman
Director
Carne Group

Nicola Cowman is a Director at Carne Global Financial Services, where she oversees client relations and business development for the US. Nicola advises US asset managers on the establishment, structuring, distribution and ongoing operation of traditional, hedge, private equity, and real estate funds, within the AIFMD and UCITS framework. With an extensive background in AIFMD and UCITS, along with European distribution Nicola plays a key role in building Carne's governance capabilities.

SPEAKER BIOGRAPHIES

FPC Judge

Marcus Daniels
Founding Partners & CEO
Highline Beta

Marcus Daniels is a Founding Partner & CEO of Highline Beta where he leads visionary execution, strategic deals, corporate venture co-investment and startup funding. He has 21+ years as a serial tech entrepreneur & operating executive with a top decile pre-seed investing (34 startups) track record. 12+ years working with Fortune 1000 companies advising on corporate innovation models & building a pipeline of new corporate ventures beyond the core business. Formerly the Co-Founder & CEO of HIGHLINE.vc and Managing Director of Extreme Startups, Marcus continues to help evolve accelerator models to make corporate-startup collaboration work.

Zack Ellison
Founder, Managing General Partner, & Chief Investment Officer
Applied Real Intelligence ("A.R.I.")

Zack Ellison, CFA, CAIA, is the Founder, Managing General Partner, and Chief Investment Officer of Applied Real Intelligence ("A.R.I."). A.R.I. is a Los Angeles-based venture debt investment manager focused on providing financing solutions to innovative, high-growth, VC-backed companies in recession-resistant sectors and underserved regions. A.R.I. has dual missions of: (1) democratizing the availability of capital for all types of founders, including women and minorities; (2) providing the fund's investors with unique access to "innovation" as an asset class, superior risk-adjusted returns, security of capital, and strong portfolio diversification benefits. Mr. Ellison leads A.R.I.'s investment activities, including sourcing, due diligence, structuring, execution, and portfolio management.

Previously, Mr. Ellison was Director of U.S. Public Fixed Income at Sun Life Financial, where he was responsible for corporate credit investing. Prior to Sun Life Financial, he was a corporate bond and credit default swap trader at Deutsche Bank. During the Global Financial Crisis, he was a banker focused on leveraged loans within the media and telecom sectors at Scotiabank.

Mr. Ellison is a frequent speaker at financial industry conferences, where he has presented his views on how companies and the financial markets need to innovate, adapt, and evolve to optimize risk and return. He has been a featured speaker at events hosted by CFA, CAIA, AIMA, Risk Magazine, Euromoney, Bloomberg, TABB Forum, 100 Women in Hedge Funds, Women in Fund Finance, WBR's Fixed Income Leaders Summit, and Private Equity Wire, among others.

Mr. Ellison holds an MBA from The University of Chicago Booth School of Business and an MS in Risk Management from New York University's Stern School of Business. He has earned the Chartered Financial Analyst (CFA) and Chartered Alternative Investment Analyst (CAIA) designations and currently serves as a Board Member of the CFA Society of Los Angeles, a Board Member of the Southern California Chapter of the CAIA Association, and the West Coast Regional Director of the Hedge Fund Association. Additionally, he is the Chair of the CIO Advisory Council and Chair of the University Relations Committee with CFA Society Los Angeles. He sits on various leadership sub-committees of Tech Coast Angels (TCA), the largest angel investing group in the United States.

SPEAKER BIOGRAPHIES

Alexandre Falin
Principal, Private Equity Team
Unigestion Asset Management

He joined Unigestion in February 2010. Alexandre started his career at BNP Paribas in Paris, developing systematic equity portfolios for private clients. He then worked at Harcourt in Zürich, as an operational due diligence analyst and subsequently as Head of portfolio risk. In this role, he was involved in the quantitative analysis of hedge fund portfolios and was the point of contact for key clients on risk management issues.

Alexandre holds a Master's degree in Engineering from the Ecole des Mines d'Alès and a post-graduate degree in Financial Engineering from ESSEC in Paris. He is also a CAIA Charterholder.

FPC Judge

Laurie Fuller
Venture Partner
Raiven Capital

Laurie Fuller is a Venture Partner, Strategic Advisor, Investor and Executive Coach. She is an accomplished leader who enjoys helping entrepreneurs scale by identifying growth capital, developing strategy and implementing operational models. She is skilled in working with entrepreneurs to hire, train, and manage diverse teams to achieve high performance. Currently, Laurie is a Venture Partner at Raiven Capital. Raiven Capital is a Canada-Silicon Valley Series A venture fund that concentrates on investments just before scaling begins.

In a previous role in a Silicon Valley tech company, she was responsible for the development and implementation of operational models which enabled global revenue growth from $1.2B to $6.2B during her tenure. Her international work experience includes positions based in North America, Europe, Asia and Africa. She brings a global perspective and builds strong partnerships that transform businesses.

She has a Global Executive MBA from TRIUM (NYU/LSE/HEC); MA from Stanford University; and a BS in Decision Science.

SPEAKER BIOGRAPHIES

Purvi Gandhi
Managing Director
Quain Investments

Purvi has over 20 years of professional work experience in institutional capital management in the United States and as CFO of a healthcare informatics company acquired by IMS. Purvi has been board member / board advisor for tech and non-tech companies in Asia and Silicon Valley, served as CFO at public and private equity firms, was investment committee member for a PE division which spun out of JP Morgan Chase which was investing across Asia and United States and invested across 300+ companies during her time there.

In recent five years Purvi was key member of a team of an overseas investor, incubating a model to invest in tech venture at scale in Silicon Valley and was also on the investment committee of one of the funds they managed. This portfolio of 300+ early and growth tech companies has generated 17 unicorns, 3 SPACs and 4 IPOs in 6 years. In this role, Gandhi diligenced what became one of the company's largest investment in 2016, in the Series D round of Stripe. At her current firm, Quain Investments, Purvi and her co-founder have institutionalized this very strategy of investing in early and growth tech venture at scale. They leverage a proprietary technology platform and partnerships with high volume of fragmented investors in the tech eco-system to access, assess and build a venture portfolio with higher probability of a strong alpha with a low beta.

Ms. Gandhi is an advocate for young girls to gain access to basic privilege of education. She is on the board of Girl in Yellow Foundation which enables young girls in rural India to access high school education.

Ajay Gopal
Partner
Framework Venture Partners

FPC Judge

Ajay Gopal is a Partner of Framework Venture Partners. Based in Toronto, Ajay has over a decade of combined VC and alternative investments investing and capital markets experience. With an entrepreneurial background, Ajay is a partner of a healthcare services company currently in operation in Toronto. Ajay currently serves on multiple Boards of Framework portfolio companies including Paper, Countingup, FlipGive and Daisy Intelligence.

As a student of engineering with prior operational technology experience, Ajay is keenly interested in meeting with technology companies across all verticals and focused on helping entrepreneurs with the scaling journey.

Spencer Greene
General Partner
TSVC

FPC Judge

TSVC is an early-stage venture firm that has seeded more than 150 companies over the last ten years, including notable successes like Zoom, Carta, Ginkgo Bioworks, and Lambda School.

Spencer Greene joined the firm in 2018 after more than 25 years as an entrepreneur, executive, advisor and investor in Silicon Valley technology companies. His investing interests include digital health and B2B SaaS companies.

SPEAKER BIOGRAPHIES

Lakhveer Jajj
CEO & Founder
Moselle

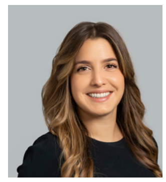
FPC
Founder

After graduating university, Lakhveer founded tech startups Sportlete, Sunview Labs and helped to build an ERP system in the Middle East. He later joined CareGuide as a founding member of the team, and as the Director of Engineering, led the development of a fintech product, HeartPayroll.

Following CareGuide, Lakhveer joined Highline Beta and built up various corporate co-creations, including Relay, a reinsurance startup. With ample technical and product experience behind him and a strong aptitude for problem solving, Lakhveer is an expert at building technology that can quickly scale and last.

Éléonore Jarry-Ferron
Principal
Brightspark Ventures

FPC
Judge



In addition to her role as Principal at Brightspark, Eleonore is very active in the tech community in Canada: she is the co-founder of Front Row Ventures, Canada's first university-focused venture capital firm. Board member and advisor to Technovation, Founder Institute, and the Canadian Venture Capital Association. 2018 Connector of the Year, 2019 Canadian Women in Tech to follow. She holds a BBA and a graduate diploma from HEC Montréal (awarded Lieutenant Governor of Québec medal).

Prior to joining Brightspark, Éléonore worked in Assurance & Advisory at Ernst & Young LLP, focusing on alternative investment funds and private equity firms.

Éléonore developed a passion for helping entrepreneurs and financing innovation while studying technology entrepreneurship in Silicon Valley as part of Stanford University's immersion program for international students.

Brett Jones
Co-Founder
HeyAuto

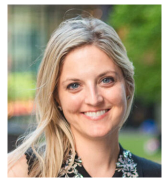
FPC
Founder

Brett joined the HeyAuto team in its infancy. With over a decade of Automotive experience, Brett brings a wealth of knowledge to the industry. He has been focusing on growing an amazing team of like-minded individuals with the ultimate goal of establishing HeyAuto as a leader in the Automotive tech space in Canada. As head of business development, Brett works closely with the sales department to onboard dealers across the nation to the platform.

SPEAKER BIOGRAPHIES

Cameron Joyce
Vice President of Research Insights
Preqin

Cameron Joyce has 10 years of experience in the investment industry and is currently part of Preqin's Research Insights team based in London. He previously worked on the international equity desk of one of the largest pension funds in Latin America where he managed a $5bn allocation to Emerging Market equities in Asia. Cameron later went on to work for a Vietnam based investment bank, writing investment research for global institutional clients. Cameron is also a CFA Charterholder and an Economics graduate from the University of Manchester.

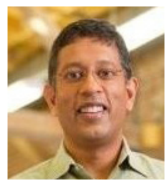


Rebecca Kacaba
CEO & Co-Founder
DealMaker

Rebecca is the Chief Executive Officer and Co-Founder of DealMaker, winner of Lexpert's top 40 under 40 and named one of North America's most innovative lawyers by the Financial Times. She practiced law on Bay Street for over 10 years, founded the startup practice group at one law firm, and was co-chair of the Toronto Venture Technology and Emerging Growth Companies Group at another. She works tirelessly in the pursuit of growth.

Jayant Kadambi
Managing Director
Quain Investments

FPC Judge



Jayant is a Silicon Valley serial entrepreneur, 2x founder, technologist, and business leader who exited his first company in M&A and led his most recent company, YuMe, from inception to IPO (NYSE: YUME) as a global leader in digital media technology. He is an early backer of over two dozen tech companies including unicorns such as Triller and Boostup.ai and is currently an advisor and board member for many of these. He holds several domestic and international patents in the fields of video, semiconductors, and advertising technology. Jayant is also a writer for several business and tech publications, notably his weekly series of articles titled "Letters from Silicon Valley" for Moneylife.

Brent Lane
Chief Executive Officer & Founder
HeyAuto

FPC Founder

With a background in new venture feasibility, Brent had a clear vision of what HeyAuto would ultimately become. He's spent over a decade honing his technical skills, from design to development and everything in between. Brent is the head of product for the platform, working closely with the Ui/Ux and engineering team to produce a world-class, full-service automotive marketplace.

SPEAKER BIOGRAPHIES

Daniel Martinovic
CTO & Co-founder
uCast

FPC Founder

Daniel is the co-founder & CTO of uCast. He is a computer engineer that has experience working on full-stack applications for both the web and mobile use. While leading the growing developer team at uCast, Daniel focuses on project management, priority tasking, and continually improving workflows and systems. Daniel has been chosen for the last 2 years as a Canadian delegate for the G20 Youth Entrepreneurship Alliance, gaining access to foreign markets for trade and meeting business leaders around the world. With a passion for both technology and people, he has always taken an active role in pursuing business that intersect both.

Ashley Martis
Co-Founder & Chief Executive Officer
Startup Fuel

FPC Founder

Ashley Martis is a Schulich alumni studied Venture Capital and Finance with a special interest in Startup Valuation frameworks. He is a serial entrepreneur (6th startup), serial networker (758 events | 25 tech cities), Super connector (40K+ connections), and a Venture Partner at a growing number of emerging VC funds. His passions are in democratizing venture capital funding for all founders so together as a world we can collaborate on human innovation. Finally, he is a huge NBA fan and is a championship celebrator of the 2019 Toronto Raptors NBA Championship.

SPEAKER BIOGRAPHIES

Mark Maybank
Co-founder and Managing Partner
Maverix Private Equity

Mark is a Co-founder and Managing Partner with Maverix Private Equity where he leads the collective team and firm operations. As an active investor, director, advisor and entrepreneur, he has a wealth of experience spanning across multiple industries and geographies. This includes financial services, technology, health and wellness, media, telecom, oil and gas, services, and advanced manufacturing. With a passion to drive growth with a focus on human elements, Mark strives to scale Canada's strong and vibrant innovation-based ecosystem.

Prior to Maverix, Mark was the President and COO of Canaccord Genuity Corp, where he led the expansion of their wealth management and international capital markets businesses, positioning the firm as a global leader in commodity and technology financing.

In addition to being EVP, Corporate Development at Itemus Inc., a technology firm, he was also a Senior Technology Analyst with Yorkton Securities, and CFO of ECS Enhanced Cellular Communications, a US-based cellular services company. Mark earned his Chartered Accountant and Chartered Business Valuator designations at Deloitte in the audit, tax, and valuation advisory practices. Currently, Mark is the Chairman of Fleet Canada Inc. and serves on other boards including Forum Equity Partners and New Latitude Capital. Previously, he served on several boards including Executive Chairman (Advisory) of the Kirchner Group, Knix Wear, Entrec, Empire State Connector, Biologix, Moraine Capital, and Canaccord Genuity Financial.

Mark is an active philanthropist with former roles as Co-Chair of Gold Medal Plates, a Canadian Olympic Foundation program, Special Advisor to the Treasury Board of Canada, Ambassador for World Bicycle Relief, Advisor to SpiderTech cycling, member of the Corporate Advisory Board of the Art Gallery of Ontario and guest lecturer at the Director's College.

Outside of the office, Mark and his family enjoy an active lifestyle which includes skiing, biking, running, and swimming. They enjoy travelling and spending time in Annecy, France and the Okanagan Valley, B.C.

FPC Founder

Brett Montrose
Founder & Co-CEO
Streamline Athletes

Brett is a sports enthusiast with a lifelong entrepreneurial spirit. He has a professional background in digital marketing, recruitment technology, and two-sided marketplaces. He brings a strong ability to communicate the vision of the company internally and externally. Brett was a varsity track and field athlete for Simon Fraser University between 2009 and 2014.

SPEAKER BIOGRAPHIES

Charlie Morris
Co-Founder
CMCC Global

Charlie is the co-founder of CMCC Global, a blockchain asset management company that manages three digital asset funds as well as the Liberty Bitcoin Fund. CMCC Global was founded in Hong Kong in 2016 and today has offices in Asia and North America with a particular focus on Fintech innovation in Asia. Charlie's background a software engineer and management consultant allows him to combine a deep technical understanding of blockchain technology with the ability to evaluate its business implications.

Charlie's involvement in the blockchain space has included advising financial institutions, developing blockchain applications and investing in companies and protocols. He has given keynote addresses at the CLSA Investor Conference, Franklin Templeton annual offsite and the Global Investment Forum. He has also presented to senior management at many large institutions including Fidelity, Putnam Investments and Wellington Management.

FPC Judge

Scott Morrison
Founding partner, Chief Executive Officer, & Chief Investment Officer
Wealhouse Capital Management

Scott Morrison is a founding partner, the Chief Executive Officer and Chief Investment Officer of Wealhouse Capital Management. Scott is a Bay Street veteran with over twenty-five years of investment experience in the public and private global capital markets. Prior to founding Wealhouse, Mr. Morrison led managed portfolios for notable firms such as Mackenzie Investments, CI Funds, Investors Group as well as Empire Life Insurance Company. Mr. Morrison has been on the Board of Trustee of Boardwalk REIT (BEI-U) since May 2018 and also devotes his time as a member of the Investment Committee at the Centre for International Governance Innovation (CIGI).

FPC Judge

Maria Pacella
Managing Partner
Pender Ventures

Maria has more than 20 years of investing and operational experience in emerging growth companies, with a focus on technology businesses. She spent 11 years with one of Canada's largest venture capital firms where she invested in multiple early-stage ventures and served on a variety of boards, in the areas of enterprise software and health-tech. Along the way, she worked at two start-ups assisting with operational activities and strategic initiatives. Prior to that, Maria worked in M&A for Deutsche Bank.

Current board roles include Clarius Mobile Health, Jane Software, Teradici, Librestream, and One45 Software. She is also on the SFU Beedie School of Business Advisory Board and St. Paul's Hospital Foundation Board. She holds a BBA from Simon Fraser University, is a CFA charterholder and an active member and a past President of CFA Society Vancouver. As an investor in the rapidly changing world of early-stage technology, Maria invests in exceptional entrepreneurs and teams that excel at identifying business opportunities, develop world-class products and are dedicated to building great companies.

SPEAKER BIOGRAPHIES

Alexandre Paré
Founder & Co-CEO
Streamline Athletes

Alex is passionate about giving back to the community and creating systems for revenue. He has exceptional abilities to think strategically and to build relationships with people and organizations. Professionally, Alex is a certified track and field coach with experience in project management. Alex was a varsity track and field athlete for Simon Fraser University between 2010 and 2015.

Senia Rapisarda
Managing Director
HarbourVest Partners (Canada) Limited

Senia Rapisarda joined HarbourVest in 2014 to focus on partnership and direct co-investments in Canada and to expand HarbourVest's local presence in the market. Senia joined the Firm from BDC Venture Capital, where she was responsible for the establishment of a team focused on direct and indirect investments across Canada. Her previous experience includes positions at Nur Energie in London, London Business School, Nomura International, and Salomon Brothers, among other roles.

Senia received a JD (summa cum laude) from LUISS University in Rome in 1987 and a Masters in Law and Economics (Fulbright Scholar) from Columbia University in 1989. An Italian and Canadian citizen, Senia speaks fluent Italian, French, and Spanish.

Shannon Reaves
Partner
Stroock & Stroock & Lavan LLP

Shannon Reaves is a Partner in the National Security/CFIUS/Compliance Group at Stroock & Stroock & Lavan LLP in Washington, D.C. He focuses his practice in the areas of cross-border transaction reviews before the Committee on Foreign Investment in the United States (CFIUS), industrial security, including Foreign Ownership, Control or Influence (FOCI) mitigation matters before the U.S. Departments of Defense and Energy, and export control compliance. Shannon has represented clients in hundreds of CFIUS reviews, performed industrial security due diligence on numerous transactions and investigated multiple regulatory violations, including FOCI mitigation, sanctions, and export controls violations.

Shannon represents clients in pre-CFIUS filing analysis conducting due diligence to determine potential national security issues; preparing for CFIUS reviews and investigations, including assessing whether specific transaction structures create CFIUS jurisdiction; negotiating deal terms with the government and preparing filings; and advising companies regarding FOCI mitigation/negation compliance issues. Shannon routinely negotiates and prepares FOCI mitigation/negation arrangements, including Special Security Agreements, Proxy Agreements, Security Control Agreements and FOCI Board Resolutions. Shannon frequently serves as a resource for various government officials looking for insight from the corporate viewpoint.

SPEAKER BIOGRAPHIES

Rob Richards
Chief Executive Officer
Key

FPC
Founder

Rob is a tech operator and recognized leader. He worked in aerospace and at Nortel for a decade, where he was quickly promoted to senior management with his own P&L. Rob was an early management team member and COO of Nasdaq-listed eCruiter.com, the first SaaS company in Canada. After eCruiter's IPO, he became an angel investor and mentor to several startups, and co-founded Plaza Ventures in 2008. Rob developed the vision for Key while being steeped in the condo business at Plazacorp, a leading condo developer in the GTA.

Shez Samji
Managing Director & Head of Business Development
Silicon Valley Bank

FPC
Judge

Shez oversees SVB's market-facing efforts and client acquisition strategy across Canada. As part of his role, Shez leverages SVB's global innovation platform by connecting companies, market influencers, and both Canadian and U.S. investors who are looking for growth or value-add opportunities. Shez also leads the origination of Canadian corporate and sponsor-backed financings for public and late-stage technology companies and assists with the structuring of complex senior and junior debt financings.

With more than 10 years of direct private and public market investing experience, Shez's background includes originating, structuring and executing transactions across the capital structure and in a variety of industries and situations.

Prior to joining SVB, Shez was a Vice President at Third Eye Capital, Canada's largest private debt and special situations investor. He began his career at BMO Capital Partners, the Bank of Montreal's principal investing division.

Shez lives in Toronto with his wife and daughter. Outside the office, Shez serves on the Investment Committee for Community Development Venture Fund, a nonprofit microloan company founded to support entrepreneurship, particularly among immigrants to Canada.

SPEAKER BIOGRAPHIES

Sudharshan Sathiyamoorthy
Vice President
Richter Family Office

Sudharshan Sathiyamoorthy is a seasoned investment professional with deep experience in the search, approval and monitoring process for alternative investment managers. He is Vice President and Head of Manager Research at Richter Family Office. Sudharshan has previously worked at some of Canada's most respected institutions, including RBC Capital Markets, Diversified Global Asset Management, Canada Pension Plan Investment Board, and Alignvest Investment Management. Sudharshan holds a Ph.D. in Physics, and was a Post-Doctoral Investigator at the Woods Hole Oceanographic Institution.

Richter Family Office (RFO) is a multi-billion dollar multi-family office that provides independent and objective wealth management services to high net worth families and individuals. Established in 1999, and as one of the largest and oldest multi-family offices in Canada, RFO has gained an exceptional reputation for providing holistic advice to clients without the bias of affiliations with other financial institutions or wealth managers. RFO has offices in Toronto and Montreal.

Mark Shulgan
Managing Director
OMERS Growth Equity

Mark Shulgan is Managing Director and Head of OMERS Growth Equity. Mark currently serves on the boards of TouchBistro, Dialpad and Coveo.

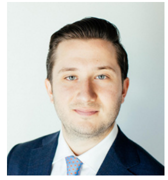
Prior to joining OMERS, Mark helped start and then led the Thematic Investing team at CPP Investments. At CPP, Mark oversaw a $5B investment portfolio comprised of public and private investments in high growth technology, healthcare and consumer companies located in North America, Asia and Europe. Prior to CPP, Mark was a Vice President at Fortress Investment Group. He began his career as an investment banker at Scotiabank.

Mark is a graduate of Western University where he received a Bachelor of Arts and graduated with the Gold Medal and the University of Windsor where he earned an MBA. Mark grew up in Windsor and now resides in Toronto with his family.

SPEAKER BIOGRAPHIES

Shael Soberano
Chief Executive Officer
Konfidis Inc.

FPC Founder



Shael Soberano, CFA is the Chief Investment Officer of Konfidis Inc. with 12 years of institutional real estate investment experience.

Shael is also a Principal of the Sharno Group of Companies, including as a Partner of Sharno Group Inc., a privately owned independent principal investment firm, and as Principal of Sharno Capital Corporation, a registered Exempt Market Dealer and Portfolio Manager with the Ontario Securities Commission.

Previously, Shael was Vice President, Senior Analyst at Vision Capital, a leading Canadian Hedge Fund manager focused on publicly traded real estate related securities. Shael first joined Vision Capital as an Analyst in 2009, shortly after its inception, became Vice President, Senior Analyst in 2014, and was registered as an Advising Representative with the Ontario Securities Commission in 2017. With a wide range of roles spanning both business development and investment management, Shael's contributions were integral in growing Vision Capital's assets under management and contributed to its award-winning risk-adjusted performance over his tenure. At Vision Capital, Shael was responsible for hands-on analysis and diligence of the U.S. Single-Family REIT sector from its infancy.
Shael is a CFA Charterholder and completed the Bachelor of Management and Organizational Studies Honours Degree with a Specialization in Finance at the University of Western Ontario.

Michael Steinberg
Managing Partner
Reciprocal Ventures

Michael has been an institutional investor in the technology sector for the past 25 years. He currently is the Managing Partner of Reciprocal Ventures, a firm he founded in 2016 to capitalize on early-stage private opportunities in Fintech and Digital Finance.

Michael is responsible for the overall leadership and investing efforts at the firm. He is an active investor and presently sits on the boards of Reciprocal portfolio companies Peekd, MindBridge, Qwil, WorkRails, and Tallarium.

Michael concentrates on the capital markets, asset management and breakthrough technologies powering blockchains and machine intelligence. He has been an early backer of notable pioneers in these areas including DataMinr, MindBridge, Solana, and The Graph.

Prior to Reciprocal, Michael served as a Portfolio Manager at SAC Capital Management (now Point 72) from 1996 to 2012. Starting at SAC during the early commercialization of the internet, he managed public technology investments within the hardware, enterprise software and communication sectors, generating 15 consecutive years of positive returns. His focus shifted in 2012, where he led the firm's first Fintech investment in the private markets. He started his career at boutique asset manager Sanford C. Bernstein.

Michael has a Bachelor's degree from University of Wisconsin-Madison.

SPEAKER BIOGRAPHIES

Peter van der Velden
Managing General Partner
Lumira Ventures

With 28 years of investment and operating experience, Peter has participated in building companies from start-up through to expansion in the life sciences, information technology, and consumer sectors. Known as a results-oriented entrepreneur with demonstrated success in venture and buyout investing, transaction structuring and completion, strategic planning, business development, and operational management and restructuring, Peter has established a strong reputation for leadership, consensus building, judgment, integrity, and clarity of vision.

Responsible for the overall business operations of Lumira Ventures, Peter is also active on the investment-side, focusing primarily on growth equity investments in companies that have made, or are close-to-making, the transition from development to selling and marketing their products. Peter's specific investment expertise includes non-traditional and consumer-oriented medicines, spin-outs of operating businesses, restructurings, and public companies.

Peter is a very active volunteer in the communities in which he works and plays and is a frequent lecturer at universities and conferences around the world on themes related to venture capital, innovation, and healthcare. Peter works closely with both Federal and Provincial governments advising on policy matters related to healthcare innovation and innovation financing. As the Chairman of the CVCA, he worked closely with the Federal government on their Venture Capital Action Plan and was a member of the Government of Ontario's Health Innovation Council, whose mission was to enhance the adoption of Ontario-based innovation by the Ontario healthcare system.

Throughout his career, Peter successfully participated on both sides of the value creation equation working both as an entrepreneur and as a partner and mentor to highly successful management teams. Peter's experience includes: Founder of a boutique merchant bank focused on private IT-based companies, Head of Investment Banking for a boutique investment bank focused on the public IT-based companies, Partner in a buyout partnership targeting retail and consumer-centric businesses; Vice President Business Development for a venture capital-backed drug delivery company; and an Associate role at Canada's then-largest venture capital firm. Peter started his working career with Canada's largest independent vaccine manufacturer.

Eugene Zhang
Founding Partner
TSVC

Eugene Zhang is a Founding Partner of TSVC, a WMBE early-stage venture capital firm founded in 2010. Since inception, TSVC has invested in 188 startups across primarily deep tech, fintech and health tech sectors with 6 unicorns ($1 billion+ valuation), including, Zoom, Carta, Ginkgo Bioworks, Quanergy, Plus and Iterable. Eugene's investment focus is on emerging technologies and FinTech as he continues keeping his work on gender diverse teams close to heart for coming cohorts. He has led investments in over 70 startups including ZOOM, Quanergy, Lex Machina, Trusper, TrustGo, Carta, Ginkgo Bioworks, Iterable, Gaatu, EquityZen, 17Zuoye and GigaDevice while serving on multiple boards including Gaatu and Tsinghua Entrepreneur & Executive Club (TEEC).

NOTES

MEMBER DIRECTORY

The diversity, drive, experience, and resources of our members is what makes CAASA happen. The CAASA staff literally work all day to keep up with their ideas for advocacy and service offering initiatives; events of all sizes, on all topics, in many cities across Canada and elsewhere; and creating an environment where they can support each other and the industry at large.

Thank you!

MEMBER DIRECTORY

INVESTORS & ALLOCATORS

ABSHE Holding - *Single Family Office*

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Since 2019

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Since 2020

Early Joiner

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Burlington, Ontario

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Bell Kearns & Associates (Individual) - *Wealth Advisory*

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bfinance - *Institutional Investment Consulting*

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Blue Bridge Wealth Management - *Multi Family Office*

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BlueSky Investment Counsel - *Multi-Family Office*

Jean-Pierre Berger, *President & CEO*
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Since 2021

MEMBER DIRECTORY

INVESTORS & ALLOCATORS

BMO Nesbitt Burns (Individual) - *IIROC Broker Dealer*
Naveed Mohammed
VP & Head of Investment Manager Research, BMO Private Wealth
Since 2018

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Canaccord Genuity Wealth Management - *IIROC Broker Dealer*
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Cidel - *Wealth Management / Multi Family Office*
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Since 2020

Eckler Ltd. - *Investment Consulting to Institutional Investors & Family Offices*
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Since 2019

Enoch Wealth Inc (Individuals) - *Exempt Market Dealer*
Yi (Helen) Zhang, *Dealing Representative*
Qin (Jeff) Chu, *Dealing Representative*
Since 2021

Fipke Group - *Single Family Office*
Jason Granger, *Chief Operating Officer*
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Since 2020

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Since 2019

Forthlane Partners - *Multi Family Office*

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Since 2019

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Since 2018

HarbourVest Partners - *Private Equity Fund of Funds*

Daniel Conti, *Principal*
Toronto, Ontario dconti@harbourvest.com (647) 484-3027

Since 2019

Healthcare of Ontario Pension Plan - *Public Pension*

Robert Goobie, *AVP Collateral Management, Fixed Income & Derivatives*
Toronto, Ontario rgoobie@hoopp.com (416) 908-1053

Since 2020

Heirloom Investment Management - *Investment Manager for Families and UHNWIs*

Geoff Dover, *Chief Investment Officer*
Toronto, Ontario geoff.dover@heirloominvesting.com (416) 275-2620

Since 2019

The Leona M. and Harry B. Helmsley Charitable Trust (Individual) - *Foundation*

Christopher Rapcewicz, *Head of Investment Risk and Operations*
New York, NY rapcewicz@helmsleytrust.org (212) 679-3600

Since 2018

Horizon Capital Holdings (Individual) - *Single Family Office*

Enzo Gabrielli, *EVP and CFO*
Montréal, Québec egabrielli@horizoncap.ca (514) 982-3901

Since 2018

Koloshuk Farrugia Corp. - *Single Family Office*

Robert Koloshuk, *Director*
Toronto, Ontario rkoloshuk@wavefrontgam.com (416) 933-8283

Since 2019

Mandeville Private Client - *Wealth Manager*

Burlington, Ontario

Since 2021

MEMBER DIRECTORY

INVESTORS & ALLOCATORS

Master Plan Management (Individual) - *Single Family Office*

Shimmy Brandes, *Chief Financial Officer*
Toronto, Ontario

Since 2019

Mirabaud Asset Management (Individual) - *HNWI & Family Office*

Jean Courcelles, *Portfolio Manager*
Montréal, Québec jean.courcelles@mirabaud.ca (438) 989-0737

Since 2019

Morgan Stanley Wealth Management Canada Inc. - *Wealth Manager*

Craig Koenig, *Executive Director, Head of Product Sales & Distribution*
Toronto, Ontario craig.koenig@morganstanley.com (416) 943-8400

Since 2021

National Bank Financial (Individual) - *Wealth Manager*

Andrew Reitknecht, *Portfolio Manager & Wealth Advisor*
Oakville, Ontario andrew.reitknecht@nbc.ca (905) 849-3936

Since 2021

Northfront Financial (Individual) - *Multi Family Office*

Shamez Kassam, *Partner*
Calgary, Alberta shamez.kassam@northfront.com (403) 571-8960

Since 2020

Northland Wealth Management - *Multi Family Office*

Arthur Salzer, *Founder & CEO*
Toronto, Ontario asalzer@northlandwealth.com (416) 360-3423 Ext 121

Since 2018

Northstar Trading - *Wholesale Electricity Trading (Prop.)*

Bahi Kandavel, *Founder & Trading Director*
Toronto, Ontario bkandavel@nstrading.ca (647) 874-4626

Since 2018

Numus Financial Inc. - *Exempt Market Dealer*

Evan Dawe, *Corporate Development Associate*
Halifax, NS edawe@numusfinancial.com (902) 802-3188

Since 2021

OMERS Capital Markets (Individuals) - *Pension*

Kenneth Tam, *Senior Analyst, Risk Management, Capital Markets*
Thorsten Koop, *Director, Cross-Asset Strategies, Capital Markets*

Since 2021

Ontario Teachers' Pension Plan - *Pension Plan*

5650 Yonge Street
Toronto, Ontario (416) 228-5900

Since 2018

Open Access (Individual) - *Investment Platform*

Ryan Sheriff, *Portfolio Manager*
Toronto, Ontario rsheriff@openaccessltd.com (416) 364-2109

Since 2020

OPTrust - *Pension*

Wei Xie, *Director*
Toronto, Ontario wxie@optrust.com (416) 681-3048

Since 2020

MEMBER DIRECTORY

INVESTORS & ALLOCATORS

Our Family Office - *Multi Family Office*

Since 2019

Neil Nisker, *Co-founder, Executive Chairman & CIO*
Toronto, Ontario neil@ourfamilyoffice.ca (416) 304-9870

Pandion Investments Limited - *Family Office*

Since 2018

Michael Doble, *Vice President*
Montréal, Québec mdoble@pandionltd.com (514) 842-8477

Early Joiner

Prime Quadrant - *Family Office Advisor*

Since 2018

Isaac Lempriere, *Associate Director of Investments*
Toronto, Ontario isaac@primequadrant.com (647) 749-4118 x111

Raintree Wealth Management

Since 2019

Adrian Morgan, *Vice President & Portfolio Manager*
Toronto, Ontario amorgan@raintreeWM.com (647) 361-2923

Raymond James Ltd. (Individuals) - *IIROC Broker Dealer*

Since 2018

John Boomsma, *Financial Advisor & Portfolio Manager*
Darren Coleman, *Senior Vice President & Portfolio Manager*
Emma Querengesser, *Senior Alternative Investments Specialist, Structured Solutions & Alternative Investments*

RBC Dominion Securities (Individuals) - *IIROC Broker Dealer*

Since 2018

Mark Allen, *Vice President & Portfolio Manager*
Pamela Yoon, *Vice President & Portfolio Manager*
John Duke, *Vice President & Portfolio Manager*
John MacIsaac, *Vice President, Canadian Research & Due Diligence*
Brendan Rogers, *Vice President, Investment & Wealth Advisor*
Jamison McAuley, *Portfolio Manager*

Richardson Wealth - *IIROC Broker Dealer*

Since 2018

Romain Marguet, *VP, Head of Alternative Investments*
Toronto, Ontario

Richardson Wealth Private Family Office - *Family Office Services*

Since 2018

Kieran Young, *National Director*
Toronto, Ontario kieran.young@rwprivatefamilyoffice.com (416) 969 4759

Richter Family Office - *Multi Family Office*

Since 2020

Toronto, Ontario | Montréal, Québec

Scotia Wealth Management (Individual) - *IIROC Broker Dealer*

Since 2019

Ted Karon, *Portfolio Manager*
Toronto, Ontario ted.karon@scotiawealth.com (416) 863 7324

SmartBe Wealth Inc. - *Smart-beta ETF Provider, Quant Strategy Specialists*

Since 2020

Jay Barrett, *Managing Director*
Montréal, Québec jayb@smartbewealth.com (514) 716-1994

MEMBER DIRECTORY

INVESTORS & ALLOCATORS

Stonehage Fleming - *Family Office*

Ralph Awrey, *Director*
Toronto, Ontario ralph.awrey@stonehagefleming.com (647) 535-3181

Since 2021

Tacita Capital Inc. (Individual) - *Multi-family Office*

Edwin Wong, *Director, Portfolio Management*
Toronto, Ontario ewong@tacitacapital.com (416) 579-3883

Since 2021

Timberline Equities - *Single Family Office*

Adam Halbert, *Principal*
Toronto, Ontario adam@timberlineq.com (416) 780-8000

Since 2020

TIMC - *Alternative Investment Strategies & Exempt Market Dealer*

Amre Qahawish, *President*
Montréal, Québec aqahawish@timc.ca (514) 393-6707

Since 2019

Ullman Wealth Management - *Multi Family Office*

Lawrence Ullman, *Chief Executive Officer*
Toronto, Ontario lawrence@ullmanwealth.com (416) 927-0000

Since 2018

Vibrato Capital (Individual) - *Single Family Office*

Tec Han, *Chief Investment Officer*
Portland, Oregon

Since 2019

Wealth Stewards - *Wealth Manager*

Bob Simpson, *Director Private Debt & Equity*
Toronto, ON bsimpson@wealthstewards.ca (905) 502-0100

Since 2021

Westcourt Capital Corp. - *Multi Family Office*

Robert Janson, *Chief Investment Officer*
Toronto, Ontario rj@westcourtcapital.com (416) 400-5943

Since 2021

Zen Capital & Mergers Ltd. - *Single Family Office*

Daniel Stow, *Chief Investment Officer*
Vancouver, BC daniel.stow@zen-capital.ca (604) 603-4433

Since 2019

A number of CAASA members in each category prefer to be non-public and are not recorded in this document.

MEMBER DIRECTORY

ASSET MANAGERS

Early Joiner

3iQ Digital Asset Management - *Digital Asset Manager*

Frederick Pye, *Chairman & CEO*
Toronto, Ontario fpye@3iq.ca (416) 639-2130

Since 2018

Actis - *Growth Markets Infrastructure and Real Estate*

Maureen O'Toole, *Head of Investor Development, Americas*
New York, NY MOToole@act.is (646) 689-2569

Since 2020

Adaptive ETF - *Global Tactical Portfolio Solutions*

Jeff Black, *Portfolio Manager & Co-Head of ETF Strategy*
Toronto, Ontario jeff.black@adaptiveetf.ca (416) 777-6767

Since 2021

ADI Capital Partners - *Real Estate Fund Manager*

Ryan Gonsalves, *Chief Operating Officer*
Toronto, Ontario ryan.gonsalves@adicapitalpartners.com (905) 335 2929

Since 2018

AGF Investments, Inc. - *Fundamental, Quantitative & Alternative Strategies*

Tyler Chapman, *Vice President, Institutional & Key Account Solutions*
Toronto, Ontario Tyler.Chapman@agf.com (416) 721-5224

Since 2019

AGinvest Farmland Properties Canada

Anthony Faiella, *SVP, Business Development*
Toronto, Ontario anthony.faiella@aginvestcanada.com (416) 271-6888

Since 2020

Agriroots Capital Management Inc. - *Agri-lending*

Robb Nelson, *Chief Executive Officer*
Chatham, Ontario robb@agriroots.ca (519) 436-3353

Since 2021

Early Joiner

Algonquin Capital - *Credit Long-Short Fund Manager*

Raj Tandon, *Founding Partner, Business Development*
Toronto, Ontario raj.tandon@algonquincap.com (416) 306-8401

Since 2018

Early Joiner

Alignvest Student Housing - *Private REIT*

Sanjil Shah, *Managing Partner*
Toronto, Ontario sshah@alignvest.com (416) 418-5675

Since 2018

Allianz Global Investments- *Alternative Fund Manager*

Joseph Pursley, *Director - Insurance*
Philadelphia, PA Joseph.Pursely@allianzgi.com

Since 2020

Alquity Investment Management - *ESG and Impact Investing*

Renee Arnold, *Executive Director*
London, UK renee.arnold@alquity.com (215) 350-9063

Since 2020

Antrim Investments - *Mortgage Investment Corporation*

Will Granleese, *Portfolio Manager*
Langley, BC will@antriminvestments.com (416) 898-5692

Since 2020

MEMBER DIRECTORY

ASSET MANAGERS

Applied Real Intelligence (A.R.I.) - *Venture Debt*

Zack Ellison, *Managing General Partner*
Beverly Hills, CA zellison@arivc.com (310) 881-3893 ext. 100

Since 2021

AQR Capital Management - *Quantitative Investment Manager*

Marianne Love, *Managing Director, Business Development*
Greenwich, CT marianne.love@aqr.com (203) 742-6951

Since 2020

Arcis Capital Partners - *Technology Growth Equity*

Afzal (Al) M. Tarar, *Chairman & Managing Partner*
New York, NY afzal@arciscap.com (212) 634-7173

Since 2020

Ascendi Capital - *Real Estate Fund Manager*

Adeola Oladimeji, *Managing Partner*
Vancouver, BC ade@ascendi-capital.com (604) 441-2932

Since 2021

Aspect Capital - *Systematic Asset Manager*

Emmett Fitzgerald, *Managing Director, Americas*
Stamford, CT emmett.fitzgerald@aspectcapital.com (203) 653-7803

Since 2019

Auspice Capital Advisors - *Quantitative Investment Specialist*

Brennan Basnicki, *Director*
Toronto, Ontario brennan@auspicecapital.com (647) 990-2226

Since 2020

Avenue Living Asset Management - *Private Real Estate*

Jason Jogia, *Chief Investment Officer*
Calgary, Alberta jjogia@avenueliving.ca (403) 984 9363 ext 121

Since 2019

Aviva Investors - *Global Asset Manager*

Rahul Khasgiwale, *Investment Director - Americas*
Toronto, Ontario rahul.khasgiwale@avivainvestors.com (416) 360 2766

Since 2019

Axia Real Assets LP - *Real Estate*

Joshua Varghese, *Partner*
Toronto, Ontario josh@axiarealassets.com (416) 364-1145

Since 2021

Axonic Capital - *Credit Long-Short*

Joel Maizel, *Managing Director*
New York, NY jmaizel@axoniccap.com (212) 508-7155

Since 2019

Bedford Park Capital - *High Conviction Manager*

Jordan Zinberg, *President & CEO*
Toronto, Ontario Jordan@bedfordparkcapital.com (416) 623-8230

Since 2021

Bridgeport Asset Management - *Alternative Asset Fund Manager*

John Fisher, *President & CIO*
Toronto, Ontario johnf@bridgeportasset.com (416) 323-3241

Since 2021

MEMBER DIRECTORY

ASSET MANAGERS

Brightspark - *Venture Capital*
Alexandre Cabrejo-Jones, *Director, Investor Relations*
Montréal, Québec alex@brightspark.com (514) 220-2539
Since 2019

Cameron Stephens - *Private Lending*
Katie Bonar, *VP, Investment Management and Strategy*
Toronto, Ontario kbonar@cameronstephens.com (416) 899-9701
Since 2021

Carlisle Management Company S.C.A. - *Life Settlements*
Vittorio Vermigli, *Portfolio Analyst*
Luxembourg vvermigli@cmclux.com +352 691 353 014
Since 2020

Celernus Investment Partners - *Real Estate and Private Lending*
Gord Martin, *President & Managing Partner*
Oakville, Ontario gmartin@celernus.com (289) 863-1344
Since 2019

Centurion Asset Management Inc. - *Real Estate and Private Lending*
Vali Lazarescu, *Vice President, Institutional Sales*
Toronto, Ontario vlazerescu@centurion.ca (647) 390-9790
Since 2019

CI Global Asset Management - *Traditional and Alternative Investments*
Jennifer Sinopoli, *Senior Vice-President, Head of Distribution, Central Canada*
Toronto, Ontario jsinopoli@ci.com (416) 681-7734
Since 2018

CIBC Asset Management - *Traditional and Alternative Investments*
Michael Sager, *Vice President, Multi-Asset & Currency*
Toronto, Ontario michael.sager@cibc.com (416) 980-6301
Since 2019

Claret Asset Management Corporation - *HNW PM Services*
Pierre Thauvette, *Strategic Development and Portfolio Manager*
Montréal, Québec pthauvette@claret.ca (514) 840-6014
Since 2018

Clearfield Capital Management - *Special Situations Fund Manager*
Jamie Forusz, *Head of Partner Relations*
New York, NY forusz@clearfieldcap.com (212) 468-5420
Since 2021

Clifton Blake Asset Management - *Real Estate Asset Manager*
JD Rothstein, *VP, Institutional Accounts*
Toronto, Ontario jdrothstein@cliftonblake.com (416) 900-0966
Since 2021

CMCC Global - *Asia Focused Blockchain Venture Capital*
Charlie Morris, *Managing Partner*
Toronto, Ontario charlie@cmcc.vc (416) 587-5988
Since 2020

CMLS Asset Management - *Direct Real Estate, Private Lending & PE*
Cynthia Maisonneuve, *Director, National Sales*
Toronto, Ontario cynthia.maisonneuve@cmls.ca (416) 846-2917
Since 2019

MEMBER DIRECTORY

ASSET MANAGERS

CoinFund Management LLC - *Early-Stage, Fundamental Crypto Strategies*

Pallavi Gondipalli, *Managing Partner, Head of Business Development & IR*
New York, NY pallavi@coinfund.io (917) 618-0920

Since 2020

Community Fund - *Multistrategy & Real Estate, U.S. & Israel*

Ashley Pullen, *Head of Marketing and Business Development*
Los Angeles, CA apullen@comllp.com (917) 887-0366

Since 2021

Connor, Clark & Lunn Funds, Inc. - *L/S Equity, L/S Income & EMN*

Tim Elliott, *President*
Toronto, Ontario telliott@cclgroup.com (416) 643-7637

Since 2019

Converium Capital - *Multi-Strategy Opportunistic Hedge Fund*

Jacqueline Allen, *Head of Business Development & Investor Relations*
Montréal, Québec jallen@converiumcap.com (514) 418-0179

Since 2021

Corton Capital Inc. - *Timber Fund Manager*

David Jarvis, *President & CEO*
Toronto, Ontario david@cortoncapital.ca (416) 627-5625

Since 2019

Early Joiner

Crystalline Management Inc - *Multi-Strategy Arbitrage*

Bryan Nunnelley, *Chief Executive Officer*
Montréal, Québec bnunnelley@cristallin.ca (514) 284 0246 x235

Since 2018

Cortland Credit Group Inc. - *Private Lending*

James Kelly, *Managing Director – Business Development*
Toronto, Ontario jkelly@cortlandcredit.ca (416) 356-2743

Since 2021

CPI Capital - *U.S. Multi-Residential Real Estate*

Ava Benesocky, *Chief Executive Officer*
Vancouver, BC ava@cpicapital.com (604) 828-8302

Since 2021

Cult Wines - *a fine wine investment firm*

Atul Tiwari, *CEO Cult Wines Canada*
Toronto, Ontario canada@cultwinesltd.com 1.855.808.CULT

Since 2021

Dana Point Capital Limited - *Emerging Markets Equity Market Neutral*

Mohamed Abdel-Hadi, *Founder & CIO*
London, UK mah@danapointcapital.uk +44 777 058 55 55

Since 2021

Desjardins Global Asset Management - *Traditional & Liquid Alts Funds*

Gwendolyn de Guzman, *Senior Advisor, Business Development*
Montréal, Québec Gwendolyn.a.De.Guzman@desjardins.com (438) 334-8115

Since 2019

DGC Capital - *Impact Investing*

Françoise E. Lyon, *President & Managing Partner*
Montréal, Québec flyon@dgccapital.ca (514) 867-8822

Since 2020

MEMBER DIRECTORY

ASSET MANAGERS

Diagram Venture - *Venture Builder*

Maeghan Smulders, *Head of Ecosystem*
Montréal, Québec hello@diagram.ca

Since 2018

Donville Kent Asset Management - *Alternative Fund Manager*

Sarah Cheng, *VP, Investor Relations & Business Development*
Toronto, Ontario sarah@donvillekent.com (416) 843-2144

Since 2020

Driehaus Capital Management - *Life Sciences Strategy*

Lee Diamandakis, *Senior Vice President*
Chicago, IL ldiamandakis@driehaus.com (312) 587-3859

Since 2020

DSC Quantitative Group, LLC - *Access to PE & VC Indices*

Jeffrey Knupp, *Eastern Business Development Director*
Chicago, IL jknupp@dscqg.com (312) 621-7414

Since 2021

Durum Capital - *Diversified Asset Manager with Real Estate Investment Funds*

Chaim Karpel, *Eastern Business Development Director*
Toronto, Ontario chaim@durum.ca (647) 393-4874

Since 2021

ehp Funds - *Hedge & Liquid Alts Funds*

Jason Mann, *Partner & Founder*
Toronto, Ontario jmann@ehpartners.com (647) 988-7699

Since 2019

Emso Asset Management - *EM Sovereign & Corporate Credit*

Mark Quandt, *Investor Relations*
New York, NY Mark.Quandt@emso.com (212) 307-8902

Since 2020

Equiton Capital - *Real Estate*

Aaron Pittman, *Head of Canadian Institutional Investments*
Burlington, Ontario apittman@equiton.com (905) 635-1381 x119

Since 2020

Espresso Capital - *Technology-Focused Private Debt Fund Manager*

Alkarim Jivraj, *CEO*
Toronto, Ontario alkarim@espressocapital.com (647) 288-3006

Since 2018

Evolve ETFs - *Innovative ETF Solutions*

Elliot Johnson, *CIO & COO*
Toronto, Ontario ejohnson@evolveetfs.com (416) 558-6661

Since 2021

Evovest - *Machine Learning Portfolio Manager*

Benoit Robert, *Head of Business Development*
Montréal, Québec benoit.robert@evovest.com (514) 915-0028

Since 2020

Fiera Capital Corp. - *Fiera Private Alternative Investments*

Theresa Shutt, *Senior Vice President, Fiera Private Debt*
Toronto, Ontario tshutt@fieracapital.com (647) 504-3143

Since 2018

MEMBER DIRECTORY

ASSET MANAGERS

FirePower Capital - *Private Debt, Private Equity, M&A Advisory*
Ilan Jacobson, *Founding Partner & CEO*
Toronto, Ontario ijacobson@firepowercapital.com (647) 288-3333
Since 2019

Firm Capital
Sandy Poklar, *Chief Operating Officer*
Toronto, Ontario spoklar@firmcapital.com (416) 635-0221 ext. 235
Since 2021

Forstrong Global Asset Management - *Global Macro*
Rob Duncan, *Senior Vice President & Portfolio Manager*
Toronto, Ontario rduncan@forstrong.com (416) 880-5861
Since 2019

FORT LP - *Quantitative Systematic Fund Manager*
Jean Olivier Caron, *Executive Director, Business Development*
New York, NY jeanolivier.caron@fortlp.com (212) 471-4348
Since 2018

Framework Ventures - *Venture Capital*
Peter Misek, *Managing Partner*
Toronto, Ontario peter@framework.vc (289) 300-1319
Since 2021

Franklin Templeton - *Traditional and Alternative Funds and Strategies*
Julie Caron, *SVP, Business Development - Institutional Investment Services*
Québec City , Québec jcaron@franklintempleton.ca (418) 576-7118
Since 2019

Fulcra Asset Management Inc - *Credit Opportunities Fund*
Charles Baumberg, *Business Development*
Vancouver, BC cbaumberg@fulcraam.com (604) 683-8351
Since 2018

Galaxy Capital - *Cryptocurrency Investment and Trading*
Paul Cappelli, *Portfolio Manager*
New York, NY paul.cappelli@galaxydigital.io
Since 2021

Gentai Capital Corporation - *Mortgage Investment Corporation*
Peter Yang, *Managing Director*
Richmond, BC peter.yang@gentaicapital.com
Since 2020

Graham Capital Management - *Quantitative & Discretionary Macro Investment Solutions*
Jennifer Ancker Whelen, *Chief Client Officer*
Rowayton, CT jwhelen@grahamcapital.com (203) 899-3552
Since 2020

Group RMC - *Real Estate Co-Investing*
Anthony Guarnieri, *VP Family Office and Advisor Relations*
Montréal, Québec anthony@grouprmcusa.com (514) 758-8562
Since 2019

Heard Capital, LLC - *Long-Only & Equity Long-Short*
Priya Kaftan, *Head of Investor Relations & Product Strategy*
Chicago, IL pkaftan@heardcapital.com (312) 786-5211
Since 2020

MEMBER DIRECTORY

ASSET MANAGERS

Highline Beta - *Venture Capital*
Marcus Daniels, *Founding Partner*
Toronto, Ontario marcus@highlinebeta.com (416) 587-7623
Since 2020

Highwood Value Partners - *PE Approach to International Public Equities*
Desmond Kingsford, *Managing Partner*
Whistler, BC dk@highwoodvaluepartners.com (604) 388-9933
Since 2020

Horizons ETFs - *Active. Benchmark. Tactical.*
Jaime Purvis, *Executive Vice President*
Toronto, Ontario jpurvis@horizonsetfs.com (416) 601-2495
Since 2019

Hosking Partners LLP - *Global Equity Strategies for Institutional Investors*
James Batting, *Client Services Business Development*
London, UK jbatting@hoskingpartners.com +44 353 613 0020
Since 2021

Hudson Valley Property Group - *Affordable Housing Preservation Funds*
Diana Bellizzi, *Investor Relations*
New York, NY diana@hvpg.com (917) 398-4100
Since 2020

iCapital Network - *Alternative Investment Platform*
Tom Johnston, *Canadian Market Leader*
Toronto, Ontario tjohnston@icapitalnetwork.com (647) 629-5019
Since 2020

ICM Asset Management - *N.A. Real Estate, Music Royalties*
Doug Laird, *Managing Director, Institutional Relationships*
Calgary, Alberta dlaird@icmgroup.ca (403) 471-6471
Since 2019

Innovobot - *Venture Capital & Innovation Ecosystem*
Mario Venditti, *Chief Executive Officer*
Montréal, Québec mvenditti@innovobot.com (514) 487-5557
Since 2019

Invesco - *Traditional and Alternative Investments*
Lisa-Marie McDermott, *Head of Wealth Management Platforms*
Toronto, Ontario lisa-marie@mcdermott@invesco.com (647) 629-7274
Since 2021

Investcorp Investment Advisors - *PE, Real Estate, Credit, & Absolute Return*
Christopher W. Mason, *Relationship Management*
New York, NY cmason@investcorp.com (646) 690-5048
Since 2020

Investment Partners Fund Inc - *Alternative Fund*
Chris Tester, *Director, Business Development*
Ottawa, Ontario chris@ipfund.ca (587) 888-2685
Since 2020

Invico Capital Corporation - *Private Lending Fund Manager*
Allison Taylor, *Chief Executive Officer*
Calgary, Alberta amtaylor@invicocapital.com (403) 538-4829
Since 2019

MEMBER DIRECTORY

ASSET MANAGERS

JM Fund Management - *Long-Short Equity Fund Manager*
Jan Mizrahi, *President & Portfolio Manager*
Toronto, Ontario Jan@jmfund.com (416) 722-8628
Since 2018

Kayne Anderson Capital Advisors L.P. - *Alternative Fund Manager*
Andrew DeYoung, *Vice President*
Los Angeles, CA adeyoung@kaynecapital.com (310) 284-6448
Since 2020

Kilgour Williams Capital - *Private Credit Fund Manager*
Colin Kilgour, *Managing Director*
Toronto, Ontario ck@kilgourwilliams.com (416) 315-4884
Since 2019

Lawrence Park Asset Management - *Credit Long-Short Fund Manager*
Hunter Hutt, *Director, Business Development*
Toronto, Ontario hunter.hutt@lawrenceparkam.com (416) 646-2180
Since 2018

Lazard Asset Management - *Alternatives Fund Manager*
Mike Wariebi, *Managing Director, Global Head of Alternative Investments Distribution*
New York, NY mike.wariebi@lazard.com (212) 632-6631
Since 2020

LFIS Capital - *Alternatives Fund Manager*
Corinne Andre, *Head of Business Development*
Paris, France corinne.andre@lfis.com +33 1 44 56 42 71
Since 2019

Early Joiner
LionGuard Capital Management - *Alternative & Traditional Manager*
Andrey Omelchak, *President & CIO*
Montréal, Québec aomelchak@lionguardcapital.com (514) 448-6441
Since 2018

Lumira Ventures - *Venture Capital*
Peter van der Velden, *Managing General Partner*
Toronto, Ontario plv@lumira.vc (416) 213-4223
Since 2019

Mackenzie Investments - *Traditional and Alternative Investment Strategies*
Michael Schnitman, *Head of Alternative Investments*
Toronto, Ontario michael.schnitman@mackenzieinvestments.com
Since 2018

Man Group - *Asset Management*
Jason Bourgea, *Vice President, Institutional Business Development*
Boston, MA jason.bourgea@man.com (212) 649-6654
Since 2019

Marret Asset Management - *Asset Management*
Roberto Katigbak, *Institutional Strategist & Head of Sales and Marketing*
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Since 2018

Maverix Private Equity - *Growth Private Equity*
John Ruffolo, *Founder & Managing Partner*
Toronto, Ontario jruffolo@maverixpe.com
Since 2021

MEMBER DIRECTORY

ASSET MANAGERS

Maxam Capital Management Ltd. - *Event-Driven L/S & Arbitrage (Liquid Alt)*
Travis Dowle, *President & Fund Manager*
Vancouver, BC travis@maxamcm.com (604) 685-0201
Since 2019

MGG Investment Group - *Private Lending*
Daniel Leger, *Managing Director*
New York, NY dleger@mgginv.com
Since 2020

MKP Capital Management - *Discretionary Global Macro*
David Burke, *Partner, Chief Client Officer*
New York, NY dburke@mkpcap.com (212) 303-7100
Since 2020

Montrusco Bolton - *Traditional and Alternative Funds*
Claude-Andre Duquette, *VP, Institutional & Retail Sales*
Montréal, Québec duquetteca@montrusco.com (514) 282-5451
Since 2019

Morex Capital - *Private Lending - Mortgage Investment Corp.*
Charbel Cheaib, *Partner*
Toronto, Ontario charbel@morexcapital.com (416) 571-9141
Since 2019

Morgan Stanley Investment Management
Matt Sebesten, *Executive Director*
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Since 2019

Munro Partners - *Global Long-Short Equity*
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Since 2018

Music Royalties Inc. - *Dividend Paying Music Royalty Fund*
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Global Partner
Napier Park Global Capital - *Alternative Asset Manager*
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Nephila Advisors LLP - *Catastrophe Bonds & Weather Derviates*
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Since 2020

Next Edge Capital - *Platform - Private Lending, Biotech, Metals & Commodities*
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Since 2018

Signature Member
North Peak Capital Management LLC. - *Equity Long-Short*
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Peakhill Capital - *Co-GP Strategy*

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Since 2020

PenderFund Capital Management Ltd. - *Public/Private Asset Manager*

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Since 2019

Picton Mahoney Asset Management - *Alternative Fund Manager*

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Pilot House Capital - *Long-Short Equity Fund Manager*

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Since 2018

PlusPlus Capital Management - *Managed Futures / CTA*

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Private Debt Partners - *Private Debt*

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Quain Investments - *TechVenture@Scale*

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Since 2020

Qwest Investment Fund Management - *Alternative Funds*

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Raiven Capital - *Venture Capital*

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Since 2019

Reciprocal Ventures - *Venture Capital*

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ReSolve Asset Management - *Global Systematic Investment Manager*

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Robson Capital Management - *Platform - Various Strategies*
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RPIA - *Global Fixed Income Experts*
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Sagard Holdings
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Early Joiner
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Slate Securities - *Public & Private Real Estate Investment Management*
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Spartan Fund Management - *Alternative Asset Manager - Various Strategies*
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Since 2018

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StoreWest Developments - *Real Estate, Self-Storage, Car Wash*
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Third Point - *Multi-Strat, Corp/Struc Credit, Venture Capital & Activism*
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Trans Canada Capital Inc. - *Alternative Strategies*
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Since 2020

Trez Capital - *Real Estate Debt & Equity Manager*
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TSVC - *Early Stage Venture Capital*
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TVC Private Real Estate Trust - *Real Estate*
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Unigestion - *Alternative Funds*
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Vesta Wealth Partners - *O-CIO and Alternatives Fund Manager*
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YTM Capital Asset Management - *Alternative Credit*

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Axle AI, Inc. - *Remote Video Content Search Solution*

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Consilium Crypto - *Digital Asset Trading & Liquidity Solutions*

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CITIZN INC - *Democracy's Social Network*

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Darwin Labs - *Health & Safety Automation*

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Since 2020

Innovfin - *Blockchain Education, Strategy, & Implementation*

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Just Boardrooms - *Meeting Space Marketplace*

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Konfidis Inc. - *Real Estate / PropTech*

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Liquid Avatar Technologies Inc. - *Creating Value from Online Identity*

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Meetami Innovation Inc - *Digital and Crypto Asset Platform*

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Since 2021

Metis - *Decentralized Autonomous Organization*

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Moselle - *Supply Chain & Trade Finance Solutions*

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Netlok - *Cybersecurity - Identity and Access Management*

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Pascal WealthTech - *Digital technology empowering financial advisors*

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Rakr - *IoT Farming Solutions*

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Terentia - *Digital Asset Management*

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WealthAgile - *We build AI Driven Crypto Portfolios*

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Since 2020

Willow - *Propsharing*

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MEMBER DIRECTORY

SERVICE PROVIDERS

360 Trading Networks, Inc. - *Foreign Exchange Trading Platform*

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AAREA - *Private Lending & Loan Administration*

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Altrust Investment Solutions - *Alternative Funds Platform*

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Apex Fund Services - *Fund Administration Services*

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Since 2019

Arbutus Partners - *Distribution Services for Undiscovered Asset Managers*

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Athena International Management Limited - *Governance Services*

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AUM Law - *Legal & regulatory compliance advice*

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Bitbuy Technologies Inc. - *Digital Asset Exchange*

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BMO Capital Markets

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Boosted Ai - *Artificial Intelligence Software for Investment Managers*

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Since 2021

Brane Capital - *Cryptocurrency Custody*

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MEMBER DIRECTORY

SERVICE PROVIDERS

Early Joiner

Canaccord Genuity Direct - *Cross-asset Executing Broker & Prime Brokerage*

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Carne Group - *UCITS/AIFM Management Company*

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Since 2019

Castle Hall Diligence - *Due Diligence: Operations, ESG, Cyber and Risk*

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Caystone Solutions Ltd. - *Family Office & Fund Administration Services*

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Coach House Partners - *IT Consulting for Alternative Fund Managers*

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Since 2018

Dart Family Office - *Real Estate*

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DealMaker - *Digital Private Placement & Client-Onboarding Solutions*

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DealSquare - *Online Private Markets Platform*

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Deutsche Börse Group - *Exchange*

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Federation of Mutual Fund Dealers - *Association*

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SERVICE PROVIDERS

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Fundata - *Alternative & Traditional Data Aggregation*

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Fundserv - *Investment Fund Trade Facilitation Platform*

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GDA Capital Corporation - *Cryptoasset Administration*

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Government of Canada - *Consulate General of Canada in New York*

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Hill + Knowlton Strategies Canada - *Public Relations Firm*

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Hut 8 Mining - *Cryptocurrency Mining*

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ICICI Bank Canada - *Indian Securities & Private Equity Deals*

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Mako Financial Technologies - *Take Manual Out of Wealth Management*
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