Virtual CAASA Alternative Perspectives 2021

Presented by: the Canadian Association of Alternative Strategies & Assets

CAASA
CANADIAN ASSOCIATION OF
ALTERNATIVE STRATEGIES & ASSETS

Tuesday, June 8th, Wednesday, June 9th & Thursday, June 10th
Plus Additional Meeting Day: Friday, June 11th

Program sponsor:

HARBOURVEST

OUR WORLD RUNS ON ALTERNATIVE PERSPECTIVES

Knowing where the world is headed is half the battle - the other part is being able to pull together one's capabilities and preparing and executing one's plan while adapting to constant change. This conference is designed to equip attendees with all of these areas: learning the perspectives of peers and leaders in the industry and how they are creating proactive paths forward as well as contingencies should - as they will - circumstances change. Alternatives, of course, are a big part of this given their convexity, non-linearity, and path-dependent outcomes: all characteristics that can assist asset owners to a more fulsome response to their internal requirements and constraints as well as an ever-changing investment and impact environment. All content is subject to Chatham House Rule.

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ABOUT CAASA

INCLUSIVE, ACTIVE, AND PAN-ALTERNATIVE

The Canadian Association of Alternative Strategies & Assets (CAASA) was created in response to industry requests for a national group to represent the Canadian alternative investment participants, including investors, asset managers, and service providers. CAASA is inclusive in that it welcomes participation from all companies active in the space as well as select individuals (those with investors) who might want to participate in committees and working groups - or simply attend member events - without their employer being a member of the association. CAASA is very active in both committees & groups and events: 45+ events, including this conference, have occurred or are planned in 2019. Pan-alternative, for CAASA, encompasses all alternative strategies and assets including: hedge funds / alternative trading strategies, private and public real estate (funds and direct), private lending, private equity, development & project finance, digital assets / crypto-assets, weather derivatives & cat bonds, and all aspects of diligence, trading, structuring, dealing, and monitoring alternatives in a stand-alone portfolio and as part of a larger investment strategy.

MEMBER BENEFITS

Investors join CAASA to be a part of a formal network of pension plans, foundations, endowments, sovereign wealth funds, and family offices to discuss ideas, strategies, and operational issues particular to their businesses - all within a closed group where managers and service providers may or may not be included, depending on the forum.

Managers see the association as a way to connect with peers, investors, and service providers to speak to fund structuring, sales & marketing, and regulatory issues. CAASA is not a capital introduction platform, but we do create forums where investors and managers can meet organically or via structured meeting sessions, such as at this conference, where participation by the investors is strictly opt-in.

Service providers participate in our events and working groups as well as assist in the production of thought leadership pieces which provide relevant information to both association members and the industry and investing public at large.

NATIONAL AND GLOBAL

CAASA believes that the Canadian alternatives industry has a great deal to offer Canadians and the global community. The *Canadian Model of Pension Management* is well-known for its large alternatives focus, managed in-house in many cases with substantial allocations to external managers as well. Canadian investment managers operate in a robust regulatory regime (of hedge fund managers) that is becoming the norm across the globe and a stable banking back-drop that provides solace for investors as well as opportunities for managers. Talent in investment management (approximately 10% of all CFA charterholders reside in Canada) as well as newer areas such as digital assets and robo-advisory services are a differentiator. Of course, Canadian investors and managers are keen to learn of best practices in operations and portfolio management from their global peers.

FORMATS FOR THE DAYS

A PLETHORA OF WAYS TO LEARN AND INTERACT

TÊTE-À-TÊTE MEETINGS

This is your opportunity to schedule one-on-one meetings with any conference delegate. All matching MUST be completed by end of day on Monday, June 7rd to ensure the scheduling software has time to confirm the meetings and each one is served up to you at the right time.

You can schedule as few or as many (up to 43, 20-minute meetings over the 4 days): each morning JUNE 84h , 9th, and 10th and all day (8am - 6pm EDT) on June 11th. Over the years we received feedback that these are possibly the best part of our conferences as it gives folks an opportunity to connect away from the scheduled panels and meal times and really drill down into their respective interests. The software automatically suggests times that are mutually convenient for both parties.

Anyone can book a meeting with any other delegate. Please decline meetings that you might not be interested in or able to commit too - then the other party can invite another to chat. And if you are interested, you're free to connect via our messenger or email (we do not release delegate emails or other contact information, so you'll have to ask for it or send yours along to them and await a response).

All meetings will be scheduled via our conference app and web portal. Meetings must be completed by noon on Monday, June 7rd to ensure entry into the virtual room.

TABLE TALKS

We do not sell paid-for speaking spots, preferring delegates to choose when they might like to hear from sponsors. Table Talks are 20-minute sessions where anywhere from 2 to 30 delegates can get a briefing on a topic and participate in an interactive discussion. As with all of our events, we refrain from pay-to-play and draw all speakers from membership and prefer audiences to opt-in to more niche discussions, as will be delivered at the Table Talks.

Attendees can choose up to three sessions out of those offered on Day 1, 2, and/or 3. **Titles/topics of each session will be published on our conference app and web portal, also where attendees will register for their desired sessions. This must be completed by noon on Monday, June 7rd to ensure entry into the virtual room.**

SUMMIT POLICIES

PRIVACY & SECURITY

We do not release the names of individuals attending CAASA events - including to sponsors and speakers at events - although we may disclose the employers of attendees, except for those working at single family offices, which are redacted. For this gathering, of course, all delegates have access to the individuals' names and employers for the purpose of scheduling meetings and booking seats at Table Talks. However, **we never release the email addresses or phone numbers of attendees**; asking instead that folks reach out via the mobile app / web portal or other means such as corporate websites or services such as LinkedIn. We can pass on contact requests to another party, without any guarantee of a response.

To ensure the security of information and views expressed during the summit panels and other sessions, we use a scheduling platform that integrates pre-recorded and live video so that all attendees can easily connect during the tête-à-tête sessions, Table Talks, and plenary times. Since attendees will be identified as their login name for each session, **if we see duplicate names or believe another person is using a delegate's login, we will terminate transmission and investigate** - *and ask attendees to let us know if they see anything suspicious.*

All tête-à-têtes and Table Talks will not be recordable via the site and all plenary sessions will only be broadcast live. Recordings of any of the proceedings will not be released post-event in order to keep the live-feel of an in-person event and ensure that potentially sensitive information inadvertently communicated does not go further.

All of our sessions are strictly Chatham House Rule and we ask all delegates to refrain from using screenshots and other archival methods as well as not tweeting or using other social media concerning any of the speakers, topics, or information communicated. Any communication should be broad and general and have absolutely no attribution of any notions to any speaker.

DAY 1 AGENDA

TUESDAY, JUNE 8th

8:00 AM	*Tête-à-tête meetings (4 x 20-minute 1:1 meetings)*
9:40 AM	CAASA's Virtual All-in-One Platform Introduction
9:50 AM	***NowTalk - Much Ado About Emerging Manager Programs*** **Kirk Sims,** Teachers' Retirement System of Texas **Graham Lyons,** Arbutus Partners *A great deal has been written about the emerging managers premium that can be present and taken advantage of by early investors into a fledgeling asset management team. This panel will explain the concept and its history, describe how investors are interpreting new findings & observations and evolving their programs, and where the panel participants see the space moving over the next few years. A must-watch panel for managers and investors alike!*
10:30 AM	***Where Private Credit is Headed*** **David Sheng,** Aksia **Theresa Shutt,** Fiera Private Debt **Greg Racz,** MGG Investment Group **Trevor Castledine,** bfinance **David Lowery,** Preqin *Private credit markets have become a force in the investment industry over the last 12 years as banks' risk appetites have waned and the demand for leverage has continued its rise. Is the industry in for growing pains as its AUM begins to perhaps rival that of banks or is it an idea whose time has truly come and will continue its meteoritic rise unabated? Find out from this panel of experts from many areas of the market.*
11:30 AM	*Break*
11:45 AM	***Fireside Chat – The Power of Active Management: Generating Alpha through Market Cycle*** **Jonathan Dorfman,** Napier Park Global Capital **Elizabeth Burton,** Employees' Retirement System of the State of Hawaii *Jon Dorfman has a storied past, being one of the early risk takers and innovators in credit default swap, credit index (Tracers and CDX) and trenched risk credit markets, Jon served as an ISDA committee member responsible for the first standardized credit default swap contract. Join us for his fireside chat with Elizabeth Burton, one of the youngest CIOs in the U.S. and an accomplished investor both on the Mainland and in Hawaii where she is reshaping the pension plan she took over in 2018.*
12:45 PM	*Break*

DAY 1 AGENDA

TUESDAY, JUNE 8th

1:00 PM	***NowTalk - Outsourced Trading*** **Benjamin R. Arnold,** Meraki Advisors **John Christofilos**, AGF Investments Inc. *Knowing one's skill-set and constraints is an important part of investment management (and life) but finding the right solutions to capability short-comings is paramount to being able to deliver on one's obligations. This panel will look into how one can inventory their systems and abilities and then source the best service providers to fulfill those requirements – especially in terms of trading.*
1:30 PM	***PE & VC in 2021*** **Jason Campbell,** Eckler **Gerry Brunk,** Lumira Ventures **Amanda Outerbridge,** HarbourVest Partners **Cara Nakamura,** Kamehameha Schools *Private equity & venture capital is an important part of many a balanced institutional portfolio but knowing how to access these areas and select managers as well as broader, categorical allocation decisions such as that of choosing LBO, growth, venture (and its sub-areas such as pre-seed, seed, and Series A), geography, and sector (or agnostic) can be a challenge. This panel will give broad-stokes advice to investors coupled with more specific trend analysis and ways to create value for beneficiaries in today's markets.*
2:15 PM	*Break*
2:30 PM	***Hosted table talks:*** **Napier Park Global Capital,** *Exploiting Market Dislocations with Contingent Capital* **North Peak Capital,** *Equity Long Short Investing: Opportunities at these all-time highs* **Lyxor Asset Management,** *Discussion on Macro and CTA in a Risk Mitigating Framework* This session is aimed at providing all event attendees with the ability to join in genuine knowledge exchange and discussion in a small group and informal setting. *Choose from 3 x 20-minute round table discussion times*
3:30 PM	*Break*
3:40 PM	*Tête-à-tête meetings (7 x 20-minute 1:1 meetings)*
6:00 PM	*End of Day 1*

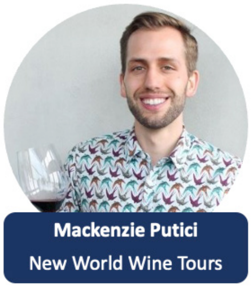
DAY 2 AGENDA

WEDNESDAY, JUNE 9th

8:00 AM	*Tête-à-tête meetings (6 x 20-minute 1:1 meetings)*
9:50 AM	***NowTalk - Primes and Alpha Primes: How the hedge fund landscape has evolved*** **Sandy Rattray,** Man Group *The prime broker - hedge fund relationship has been under strain from time to time over the last few decades, most notably during the Great Financial Crisis of about 12 years ago and this has come to a head once more with the strain brought on by the Archegos situation. Join Sandy Rattray for a frank look at how relationships with 'the primes' has evolved over time and where he believes it is headed.*
10:30 AM	***Today's Trading Styles*** **François Rivard,** Innocap Investment Management **Pablo E. Calderini,** Graham Capital Management **Michael Hume,** MKP Capital Management **Ed Fang,** Man Numeric **Stuart Bohart,** FORT LP *This panel gathers a diverse group of portfolio managers, each with a distinct trading style used in their quest for alternative streams of alpha-producing performance for the investors in their fund. Viewers will come to know how each of these perspectives of the market lead to their unique implementation of trades designed to exploit these insights while, at the same time, limiting downside risk.*
11:30 AM	*Break*
11:45 AM	***Fireside Chat – The Future of Quant*** **Martin Lueck,** Aspect Capital **Wei Xie,** OPTrust *Martin Lueck is one of the earliest CTA, or managed futures, traders having founded world-class companies over the last 34 years, applying his – and a plethora of PhD's – intellect to the markets to create trading systems designed to deliver non-correlated return streams to investors. Interviewing Marty is Wei Xie whose role brings him in touch with many managers like Marty and his focus on machine learning and alternative data is the perfect foundation for a chat with someone who has been in this field from its infancy.*
12:45 PM	*Break*
1:00 PM	***NowTalk - Trends in Class Action Alpha*** **Bob Williamson,** Battea Class Action Services **Bryan Nunnelley,** Crystalline Management *Class action services sounds ominous and like it might involve litigation services, but that is just the starting point: once a class action has been certified, one needs to (i) know about it, (ii) file the paperwork correctly, and (iii) follow up with any deficiencies or time-sensitive requirements to ensure payment. Billions of dollars are available to investors, either directly or via funds, and knowing how to access this money is an alpha-generator.*

DAY 2 AGENDA

WEDNESDAY, JUNE 9th

1:30 PM	***Go Global*** **Rahul Khasgiwale,** Aviva Investors **Lionel Erdely,** Investcorp-Tages **Chrisitian McCormick,** Allianz Global Investors **Laurie Fuller,** Raiven Capital *Global investing has been a theme for decades, even centuries, as investors have scoured the planet for returns. Home country bias is real and has profound effects upon one's short term and long term gains (for better or for worse) – this and many other portfolio effects will be discussed by this diverse group of panelists.*
2:15 PM	*Break*
2:30 PM	***Hosted table talks:*** **Graham Capital Management,** *Quantitative Macro and Market Cycle Dynamics* **CIBC Asset Management,** *Global Macro: Return, Diversification, & Liquidity* **Juniper Square,** *Allocation to Real Estate: Will higher inflation and high prices drive investors to other asset classes?* **HarbourVest Partners,** *Navigating The Next Wave of Private Equity: Considerations as you build your portfolio* This session is aimed at providing all event attendees with the ability to join in genuine knowledge exchange and discussion in a small group and informal setting. *Choose from 3 x 20-minute round table discussions*
3:30 PM	*Break*
4:00 PM	***The Tartan Tour - Scotch Tasting*** **Mackenzie Putici,** New World Wine Tours *Once again, Mackenzie will lead us in a tasting chosen just for this group and date. This is a great opportunity to relax a bit and learn about our Scotches of the day. (Separate registration required.)* 
6:00 PM	*End of Day 2*

DAY 3 & 4 AGENDA

THURSDAY, JUNE 10th

8:00 AM	*Tête-à-tête meetings (6 x 20-minute 1:1 meetings)*
9:50 AM	***NowTalk - Access to Leverage*** **Racheal Wason,** BMO Financial Group **Maxime Tessier,** *formerly with ADIA* *The market landscape and managers' and asset owners' relationships has evolved over the last few years and providers of leverage instruments (e.g., the primes) have adjusted their offerings to adjust to changes in the view of balance sheet and trading risk as well as regulatory constraints. This NowTalk will speak to how managers, investors, and their leverage providers are adapting to new market realities.*
10:30 AM	***ESG & Me*** **Mee Warren,** Bodhi Research Group **Maria Pacella,** Pender Ventures **Mario Venditti,** Innovobot **Raj Lala,** Evolve ETFs **Maria Rapin,** Nephila Climate *Internalizing ESG into your investment program – As many know, we are not that content to just have another ESG talk and this one is no different. We will spend some time explaining the topic and a great deal of the panel will be dedicated to how investors and managers are really making a difference to enable, as one might say, allow the human race to survive and thrive with resources we have.*
11:30 AM	*Break*
11:45 AM	***Fireside Chat - Trading through the decades*** **Clifford S. Asness,** AQR Capital Management **David "Tiger" Williams,** Williams Trading *Cliff is one of the most well-known managers on the globe, having more than 30 years of experience managing money with some of the most storied of shops (including his own), all based on quantitative finance principals. We are happy to have Tiger Williams stepping in as Cliff's interviewer, the two going back more then 20 years and Tiger's trading shop being perhaps the oldest on the street. This should be fun!*
12:45 PM	*Break*
1:00 PM	***NowTalk - Cross Asset Trading & Risk*** **Jeff D'Avignon,** FIS **Stuart Smith,** FIS *In a world dramatically changed over the last 18 months many firms are turning their attention to how they can better handle their risks, whether that is ensuring they are better prepared for future dynamic market conditions. Whether this is inflation increases, volatility spikes or the unpredictable impacts of the new retail market high quality risk management lies at the heart of how to survive in the new normal.*

DAY 3 & 4 AGENDA

THURSDAY, JUNE 10th

1:30 PM	***Bringing it all Together – GPFA Leading the Way*** **James Burron,** CAASA **Rob Goobie,** HOOPP *Pension plans' models of governance and operating have evolved a great deal over the last 20 years as plan sponsors around the world have embraced, in many cases, new ways to manage that assets set aside to provide retirement income for millions of beneficiaries globally and the latest iteration, and one that is growing like wildfire is that of pensions working together to optimize their operational performance while managing inherent risks, such as counterparty risk, in their everyday transactions. This talk will describe how plans' strategies have met modern challenges as well as introduce viewers to the Global Peer Financing Association (GPFA) which now counts among its membership some of the largest plans in the world, totalling more than $7 trillion in assets. This is your opportunity to get acquainted with this growing association and its far-reaching mandate to improve efficiencies of its members.*
2:15 PM	*Break*
2:20 PM	*Tête-à-tête meetings (9 x 20-minute 1:1 meetings)*
6:00 PM	*End of Day 3 for All*

FRIDAY, JUNE 11th - A DAY OF TÊTE-À-TÊTES

8:00 AM	*Tête-à-tête meetings (27 x 20-minute 1:1 meetings)*
6:00 PM	*End of Day 4 - Thank you!*

In order to give more opportunities to bring people together with our opt-in meeting structure, our fourth day is 100% 1:1 meetings. These can be booked on Day 1-3 or prior and makes a great occasion to plan a day of introductory, deeper diligence, or re-acquainting meetings for all participants.

FIRESIDE PAIRING - JUNE 8

ELIZABETH BURTON

Elizabeth Burton is the Chief Investment Officer of the Employees' Retirement System of the State of Hawaii ("HIERS"), where she oversees over $17 billion in pension fund assets. HIERS provides retirement, disability and survivor benefits for employees of the State of Hawaiʻi ("State") and its counties, including teachers, professors, police officers, firefighters, judiciary employees, judges and elected officials. The defined benefit plan has both contributory and noncontributory benefit structures.

Prior to joining HIERS, Ms. Burton served as Managing Director of the Quantitative Strategies Group at the Maryland State Retirement Agency. In this role, Ms. Burton was responsible for the $4.5B Absolute Return Portfolio and for risk management oversight of the $55B Plan. Ms. Burton joined the Agency in July 2016.

Previously, Ms. Burton owned William Street Advisory—a strategic advisory practice which she founded in 2013. Prior to that role, Ms. Burton was a Senior Economist and Expert Witness with Criterion Economics. Prior to that role, Ms. Burton was a Consultant at First Annapolis where she worked on M&A transactions and consulting the payments industry. Previous positions include: Co-Portfolio and Quantitative Risk Analyst with a South Africa-based fund of hedge funds, Trader (fixed income securities) for a risk management firm, and Portfolio Management Associate with a quant-focused fund of hedge funds.

Ms. Burton received her Bachelor's degree in Political Science and French, cum laude, from Washington and Lee University, and her MBA in Finance, Econometrics & Statistics from the University of Chicago Booth School of Business. She is on the board of a private residential real estate investment trust and a Trustee of The Hill School, a private boarding school. She is also a CAIA charter holder. Ms. Burton was named one of Chief Investment Officer Magazine's Top-40-Under-40 in June 2017. In 2019 Ms. Burton received the Industry Innovation Award for <$20B plan by Chief Investment Officer Magazine and was listed in the Power 100 in 2019.

Employees' Retirement System
of the State of Hawaii

FIRESIDE PAIRING - JUNE 8

JONATHAN DORFMAN

Jon Dorfman is a Senior Managing Partner and CIO of Napier Park Global Capital ("Napier Park"). Napier Park separated from Citigroup's alternative investment arm, Citi Capital Advisors ("CCA"), in February 2013. Jon was previously Co-CEO and CIO of CCA. He was a co-founder of Carlton Hill Global Capital ("CHGC"), a specialized asset management firm that was acquired by Citi in 2007.

Prior to establishing CHGC in 2005, Jon spent 20 years at Morgan Stanley in the Fixed Income Division. He worked in Tokyo and London for 17 years and served as a Managing Director for 8 years. While at Morgan Stanley, Jon held numerous senior management positions including Co-Head of the Global Investment Grade Credit Group and Head of Global Credit Derivative and Asset Swap Trading Group.

Among the innovators and early risk takers in the credit default swap, credit index (Tracers and CDX) and tranched risk credit markets, Jon served as an ISDA committee member responsible for the first standardized credit default swap contract.

Jon earned a BSE degree, magna cum laude, from the Wharton School of Business at the University of Pennsylvania.

NAPIERPARK
GLOBAL CAPITAL

FIRESIDE PAIRING - JUNE 9

WEI XIE

Wei Xie is a Director in the Capital Markets Group at OPTrust, one of Canada's largest pension funds with assets of $20 billion and investment professionals in Toronto, London and Sydney.

Wei leads the management of the external public markets program that covers a broad spectrum of liquid strategies, which includes equities, credit and hedge funds. Wei also spearheads the investment innovation efforts at OPTrust by co-chairing the Incubation Portfolio Investment Committee, with a particular focus on Crypto and the application of Machine Learning / Artificial Intelligence.

Wei held various progressively senior positions at OPTrust. Prior to this, Wei was the Chief-of-Staff to the Chief Investment Officer, when he supported and advised the CIO in his day-to-day management of OPTrust's Investment Division. Prior to that, he served as an Investment Strategist for the Global Investment Strategy Group, and an Associate Portfolio Manager within the Private Markets Group.

Wei earned a Bachelor of Business Administration degree from Wilfrid Laurier University in Waterloo, Canada.

OPTrust

FIRESIDE PAIRING - JUNE 9

MARTIN LUECK

Mr. Lueck co-founded Aspect in September 1997. As Research Director, Mr. Lueck oversees the Research team responsible for generating and analysing fundamental research hypotheses for development of all Aspect's investment programmes. Mr Lueck is also a member of Aspect's Investment Committee.

Prior to founding Aspect, Mr. Lueck was with Adam, Harding and Lueck Limited (AHL), which he co-founded in February 1987 with Michael Adam and David Harding. Man Group plc (a leading global provider of alternative investment products and solutions) completed the purchase of AHL in 1994 and Mr. Lueck left in 1996. At AHL, Mr. Lueck was instrumental in developing AHL's trading systems and approach to research as well as the proprietary software language that provided the platform for all of AHL's product engineering and implementation.

From May 1996 through August 1997, Mr. Lueck was on gardening leave from AHL during which time he helped establish his wife's publishing business Barefoot Books Inc.

Mr. Lueck was a Director of Research at Brockham Securities Limited, a London based commodity trading advisor, from October 1984 to February 1987 and an executive in the Japanese Equity Sales department of Nomura International, a provider of financial services for individual, institutional, corporate, and government clients, from January to October 1984.

Mr. Lueck serves on the Board of the National Futures Association. He holds an M.A. in Physics from Oxford University and currently serves as Chair of the Oxford Physics Development Board.

aspect capital

FIRESIDE PAIRING - JUNE 10

DAVID " TIGER" WILLIAMS

Tiger is the Founder and Managing Member of Williams Trading. Prior to founding Williams Trading, Tiger was an Associate Director at Tiger Management where he was responsible for trading the domestic equity portfolio from 1993 through 1997.

Tiger began his career on Wall Street in 1987 as a member of the Principal Training Program at E. F. Hutton. In 1989, he joined First Boston as an Associate and Generalist Equity Sales Trader.

In 1990, Tiger joined Needham and Co. as a Vice President and in 1991 moved to Donaldson Lufkin and Jenrette, also as a Vice President.

Tiger received his Bachelor of Arts degree in Economics and Political Science at Yale University in 1984 and his Masters in Business Administration from the Stern School of Business at New York University in 1989.

WILLIAMS TRADING

FIRESIDE PAIRING - JUNE 10

CLIFF ASNESS

Cliff is a Founder, Managing Principal and Chief Investment Officer at AQR Capital Management. He is an active researcher and has authored articles on a variety of financial topics for many publications, including The Journal of Portfolio Management, Financial Analysts Journal, The Journal of Finance and The Journal of Financial Economics.

He has received five Bernstein Fabozzi/Jacobs Levy Awards from The Journal of Portfolio Management, in 2002, 2004, 2005, 2014 and 2015. Financial Analysts Journal has twice awarded him the Graham and Dodd Award for the year's best paper, as well as a Graham and Dodd Excellence Award, the award for the best perspectives piece, and the Graham and Dodd Readers' Choice Award. In 2006, CFA Institute presented Cliff with the James R. Vertin Award, which is periodically given to individuals who have produced a body of research notable for its relevance and enduring value to investment professionals.

Prior to co-founding AQR Capital Management, he was a Managing Director and Director of Quantitative Research for the Asset Management Division of Goldman, Sachs & Co. He is on the editorial board of The Journal of Portfolio Management, the governing board of the Courant Institute of Mathematical Finance at NYU, the board of directors of the Q-Group, the board of the International Rescue Committee and the board of trustees of The National WWII Museum.

Cliff received a B.S. in economics from the Wharton School and a B.S. in engineering from the Moore School of Electrical Engineering at the University of Pennsylvania, graduating summa cum laude in both. He received an M.B.A. with high honors and a Ph.D. in finance from the University of Chicago, where he was Eugene Fama's student and teaching assistant for two years (so he still feels guilty when trying to beat the market).

AQR

TABLE TALKS - JUNE 8

NAPIERPARK GLOBAL CAPITAL

NORTH PEAK CAPITAL

LYXOR Asset Management
SOCIETE GENERALE GROUP

TABLE TALKS - JUNE 9

CIBC Asset Management

Juniper Square

OUR DATAROOM

ALL THE DATA REQUIRED TO MEET YOUR (INVESTMENT) MATCH

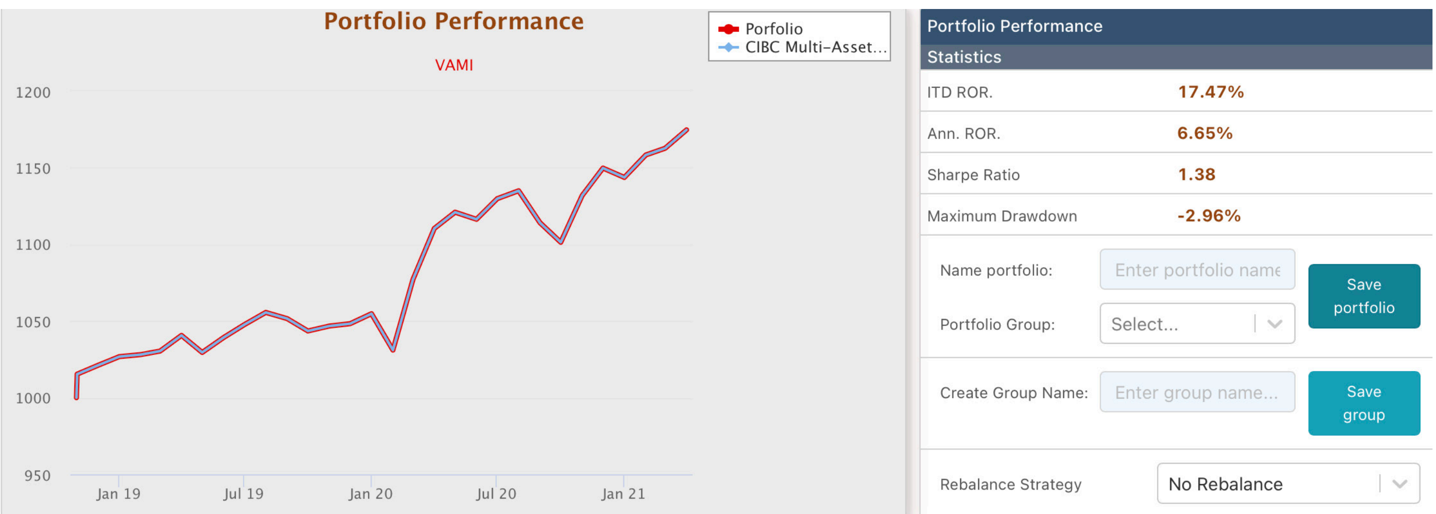
At the suggestion of one of our single family office members, we partnered with **SigmaSandbox** to create a dataroom to provide a more robust experience for managers and investors by getting performance and other information into the hands of prospective investors. We believe this free offering, exclusive to CAASA members, can be used in advance of the conference, during it, and afterward as much as one might like.

FOR INVESTORS

Simply create a login and you can search the database, choose any number of funds, introduce them into a portfolio approximating yours (more than 2,500 ETFs and 115 indices to choose from) to see how they might have affected its returns, and/or download tear-sheets of the fund to get portfolio and other data on it, the manager, and whom to contact to get more information. You can also use this to research managers who have invited you to meet or, if you like, extend your own invitations for a tête-à-tête (more on these on subsequent pages).

FOR MANAGERS

Uploading your performance and other information gets you on the radar of delegates at the conference and, as **SigmaSandbox** and CAASA will be making this available to more than 1,000 endowments, other significant investors, and wealth managers across North America and around the world with our ongoing events and those held in concert with other associations and the Government of Canada. It is simple and easy to do so and sets your fund apart with the opportunity to have many investors see how well you manage funds entrusted with you.



CANADIAN ASSOCIATION OF ALTERNATIVE STRATEGIES & ASSETS

CIBC Multi-Asset Absolute Return Strategy (MAARS)

Contact Information
CIBC Asset Management
michael.sager@cibc.com

Fund Details

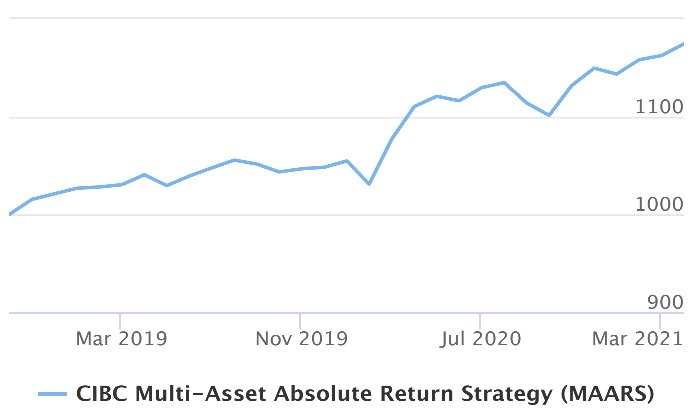
The CIBC Multi-Asset Absolute Return Strategy ("MAARS") is a recent addition to the set of CAM investment solutions. It was launched in October 2018. MAARS is a liquid Alternative, absolute return fund that diversifies portfolio allocations to equities, fixed income, and illiquid alternatives by encompassing a range of investment strategies across multiple asset classes, and investment horizons.

Statistics & Ratios Summary

	Fund	Benchmark
Ann. RoR	6.65 %	N/A
Sharpe Ratio (0%) ?	1.38	N/A
Volatility ?	4.76 %	N/A
Average Monthly Return	0.55 %	N/A
Highest Monthly Return	4.47 %	N/A
Lowest Monthly Return	-2.25 %	N/A
Maximum Drawdown	-2.96 %	N/A
% Positive Months	73.33 %	N/A
% Negative Months	26.67 %	N/A
Average Monthly Gain	1.13 %	N/A
Average Monthly Loss	-1.05 %	N/A
Fund to SP 500 correlation:	0.44	N/A

Manager Performance

VAMI



About the manager:

CIBC Asset Management (CIBC AM) is a wholly owned subsidiary of the Canadian Imperial Bank of Commerce ("CIBC"), a widely-held, publicly-traded company. We have dedicated teams for Equity, Fixed Income, and Multi-Asset & Currency Management. Our Multi-Asset & Currency Management team has more than two decades of experience managing multi-asset Balanced funds, and single asset and sector specialist funds. The team oversees investment strategies with a total AUM of $40bn.

Monthly Performance

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	YTD	YTD Benchmark
2021	-0.53%	1.28%	0.38%	1.03%	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	**2.17%**	0.00%
2020	0.62%	-2.25%	4.47%	3.09%	0.95%	-0.41%	1.20%	0.45%	-1.84%	-1.14%	2.77%	1.58%	**9.69%**	0.00%
2019	0.56%	0.13%	0.23%	0.99%	-1.07%	0.95%	0.81%	0.76%	-0.38%	-0.77%	0.31%	0.15%	**2.66%**	0.00%
2018	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1.53%	0.56%	**2.11%**	0.00%

HIGHLIGHTED MEMBERSHIP CATEGORIES

GLOBAL PARTNERS

As we continue to innovate in an effort to bring more value to members than ever before, we added an additional exposure category for select members: Global Partner.

These members, including **CEOS Family Office** and **Napier Park Global Capital**, are featured prominently at three of our signature conferences.

As is our tradition, we treat all members equally for our speaking opportunities (191 in 2019 and 470 in 2020) and Global Partners are afforded the same propensity to speak on and moderate panels and participate in podcasts.

Any CAASA member this is interested in becoming a Global Partner should contact the CAASA office.

(START-UP) FOUNDER

We have had a great deal of interest from start-up founders to be involved with our events and initiatives over the last few months - especially as we are active in the family office / angel network and venture capital funds.

To this end, we have created a Founder category to cater to this type of member. We will also feature Founder members on our panels and podcasts and organize special events for them to connect with funding sources and source best practices and great ideas from peers and others.

The intent is to work with true start-ups and give them access to great content and connections and CAASA reserves the right to require certain companies that might be better categorized as managers or service providers - especially if they might be considered mature or with substantial backing - to be so categorized and pay the relevant fee.

NOTES

SPEAKER BIOGRAPHIES

Thank you to our many speakers, presenters, and those who added their themes and topics, panel and non-industry speaker ideas, and other invaluable input!

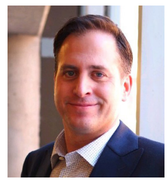
SPEAKER BIOGRAPHIES

Benjamin R. Arnold
Founder & Chief Executive Officer
Meraki Global Advisors

As the Founder & CEO of Meraki Global Advisors, Benjamin Arnold is responsible for running all facets of the business. The concept of Meraki Global Advisors was a direct result of Ben's determination to deliver asset managers a differentiated outsourced platform with an overriding objective of excellence.

Mr. Arnold has over 15 years of experience in the hedge fund and financial services industry spanning across the globe. Previously, he spent three years at Fillmore Advisors (acquired by INTL FCStone) where he was instrumental in growing the outsourced trading desk. Prior to his move back to the United States, Ben was an Executive Director on the Equity and Equity Derivatives Sales-Trading desk at Goldman Sachs in Hong Kong and Mumbai, India. Before joining Goldman Sachs, Ben was a Vice-President on the Equity and Equity Derivatives Sales-Trading desk at BNP Paribas in Mumbai.

Ben began his career as a hedge fund trader with roles at Intrepid Capital Management and Asian Century Quest Capital in New York. He graduated from American University's Kogod School of Business with a Bachelor of Science Degree in Finance.



Jeff d'Avignon
Sr. Sales Executive, Cross-Asset Trading & Risk Solutions
FIS

Jeff d'Avignon is commercial sales lead for FIS Global's Cross Asset Trading and Risk in North America. Supporting clients' technology initiatives in Capital markets across Risk Management, Financial Engineering and Trading. Jeff graduated from Simon Fraser University with a bachelor's in business administration in Finance and Marketing.

Stuart Bohart
President
FORT LP

Stuart Bohart, President, has more than 25 years of experience in the investment management business in various roles including portfolio management, risk management, and executive leadership. He is responsible for all non-research related functions at FORT.

From 2010 to 2015, Mr. Bohart was president of the Liquid Markets Division at Fortress Investment Group. He served on the Management and Operating Committees of Fortress and was responsible for Liquid Markets business infrastructure, sales and marketing, and development of new investment strategies. Prior to joining Fortress, Mr. Bohart served in a variety of capacities at Morgan Stanley. He was hired by Morgan Stanley in 1997 as a portfolio manager in the investment management division and went onto serve as global head of Prime Brokerage, Head of Alternatives, and co-Head of the Asset Management Division with $600 billion of assets under his supervision. He was a member of the Morgan Stanley Management Committee. Early in his career, Mr. Bohart held trading and portfolio management positions at Cargill, Harvard Management Company, and Bankers Trust.

He graduated from Northwestern University with a BA in economics and Asian studies.

SPEAKER BIOGRAPHIES

Gerry Brunk
Managing Director
Lumira Ventures

Gerry Brunk is a co-founder and Managing Director of Lumira Ventures, a North American healthcare venture capital firm whose Boston office he established in 2002. Some of his past and current investment responsibilities include Pharmasset (acquired by Gilead Sciences), BardyDx, KAI Pharmaceuticals (acquired by Amgen), MAKO Surgical (acquired by Stryker), Endotronix, enGene, HistoSonics, Cyrano Therapeutics, Satsuma Pharmaceuticals (Nasdaq: STSA) and Engage Therapeutics (acquired by UCB).

Prior to his investing career, Mr. Brunk was an entrepreneur in the life sciences sector, founding and serving in a variety of management and board capacities at several venture capital-funded companies. Earlier, as an engagement manager in the healthcare practice of The Boston Consulting Group he advised pharmaceutical and biotechnology firms on strategic issues involving portfolio management, product licensing, R&D productivity, new product launches and acquisitions. Mr. Brunk began his career as a member of the investment banking group of Credit Suisse First Boston in New York, where his clients included public and private healthcare companies.

Mr. Brunk serves on the Board of Directors of Southeast Life Sciences, the review board of the Ivy Foundation Biomedical Innovation Fund at the University of Virginia, and is a mentor for the Canadian Technology Accelerator in Boston and the Stanford University Biodesign program. He received an MBA from Stanford University Graduate School of Business and a BA from the University of Virginia, where he studied biology and economics.

James Burron, CAIA
President & Co-founder
CAASA

James co-founded CAASA in response to industry support for a Canadian alternatives association to bring Canada to the world and the world to Canada. Prior to CAASA, James was the Chief Operating Officer of AIMA Canada where his team of three worked with 12 committees to produce 50-60 events per annum across Canada, organized 100+ committee meetings, and increase member numbers over his 7-year tenure from 66 to 164 corporate entities. CAASA currently has about 275 corporate members with 100+ events per year. James currently sits on the Canadian Investment Funds Standards Committee (CIFSC), which categorizes mutual funds and alternative mutual funds (aka liquid alternatives) for the retail space in Canada.

James also has experience in research and writing for the CAIA Association (holding the designation since 2006) as well as serving on CAIA's exam council and as a grader for the Level II portion of the exam. He had roles in institutional sales and FoHF structuring in Seoul, South Korea, as a Product Manager at ICICI Wealth Management, and as an Investment Advisor at RBC Dominion Securities. James graduated from Simon Fraser University with a BBA (Finance).

SPEAKER BIOGRAPHIES

Pablo E. Calderini
President & Chief Investment Officer
Graham Capital Management

Pablo E. Calderini is the President and Chief Investment Officer of Graham Capital Management, L.P. ("GCM"), an alternative investment firm with approximately $17 billion in AUM as of May 1, 2021. Mr. Calderini is responsible for the management and oversight of the discretionary and systematic trading businesses at GCM, among other things. Mr. Calderini is also a member of the firm's Executive, Investment, Risk and Compliance committees. He joined GCM in August 2010 and became an Associated Person and Principal of GCM effective August 13, 2010.

Prior to joining GCM, Mr. Calderini worked at Deutsche Bank from June 1997 to July 2010 where he held positions of increasing responsibility, most recently the Global Head of Equity Proprietary Trading. Mr. Calderini commenced his career at Deutsche Bank as Global Head of Emerging Markets. During his tenure at Deutsche Bank, Mr. Calderini also helped manage several groups across the fixed income and equity platforms, including the Global Credit Derivatives Team.

Mr. Calderini received a B.A. in Economics from Universidad Nacional de Rosario in 1987 and a Masters in Economics from Universidad del CEMA in 1989, each in Argentina.

Jason Campbell
Principal
Eckler

A Principal within Eckler's Investment Consulting practice, Jason joined the firm in 2006. He provides investment strategy advice to a wide range of institutional clients, including DB and DC pension plans, endowment/foundations, single family offices, corporations and non-profit organizations.

In addition to consulting responsibilities, Jason is a member of the Investment Research Steering Committee which oversees investment manager and strategy research.

Jason's specific area of expertise is alternative investments including infrastructure, real estate and private equity/debt strategies.

An active volunteer within the pension and investment community, Jason has authored several articles and presented on investment strategy at numerous conferences. He is currently a member of the Canadian Investment Review Advisory Board, a volunteer on the CNIB's National Investment & Pension Committee and a director with the National Ballet School Foundation.

Jason graduated from the University of Western Ontario in 1999 with an Economics degree. He has also earned the designations of Chartered Financial Analyst and Chartered Alternative Investment Analyst.

SPEAKER BIOGRAPHIES

John Christofilos
SVP, Chief Trading Officer and Investment Management, Operations Strategy
AGF Investments

John Christofilos leads a team responsible for trading AGF's Assets Under Management across its Retail, Institutional, High-Net-Worth, and ETF portfolios. He is also a member of AGF's Business Development unit with responsibility for reviewing and leading Capital Markets opportunities and technology advancements. John is also a member of The Office of the CIO, a leadership structure that encourages and further embeds collaboration and active accountability across the Investment Management team and the broader organization.

His career has spanned more than 30 years, with experience on both the buy- and sell-side. Before joining AGF in 2014, John served as Managing Director at Canaccord Genuity, leading the firm's efforts in electronic and program trading. Prior to Canaccord, he worked as Senior Managing Director for E*TRADE Institutional, a full-service financial services firm based in New York City.

John is a member of the Canadian and U.S. Securities Traders Associations and is a past member of the Board of Directors of the Canadian ETF Association (CETFA).

He holds a Bachelor of Science degree from San Diego, California-based United States International University, where he played Division 1 Hockey.

Philip Coté
Director
bfinance

Philip Coté is a Director on the consulting team at bfinance Canada where he works with institutional investors on manager selection and due diligence assignments across asset classes. Prior to joining bfinance in 2019 Philip was a Director at Pavilion Alternatives Group where he focused on private markets manager research in the areas of private credit, infrastructure and real estate. Earlier in his career Philip worked at CIBC Asset Management and National Bank in product development and brokerage roles.

Philip is a CFA charter holder and holds the FRM designation. He completed a Bachelor of Commerce at Concordia University and a graduate certificate at McGill University.

SPEAKER BIOGRAPHIES

Lionel Erdely
Co-Chief Executive Officer & Chief Investment Officer
Investcorp-Tages

Prior to joining Investcorp in 2013, Lionel worked for 11 years at Lyxor Asset Management, where he held the dual position of Chief Investment Officer (2004 – 2013) and Chief Executive Officer of Lyxor Inc. (2009 – 2013). Prior to joining Lyxor, he was a Vice President in the Equity Corporate Finance department at Société Générale, where he worked on several IPOs, capital increases and convertible bond issues.

Lionel has an MBA Degree in Finance from the École Supérieure des Sciences Économiques et Commerciales (ESSEC) in Paris.

Ed Fang
Director of Research
Man Numeric

Ed Fang is the Director of Research at Man Numeric and serves on Man Numeric's Investment and Management Committees.

Prior to his current role, Ed has been overseeing day-to-day firm-wide research at Man Numeric in his capacity as the Deputy Director of Research since 2016. Ed joined Man Numeric in 2005 and has been actively engaged in a broad spectrum of research, ranging from bottom-up alpha models to top-down dynamic asset allocation. He has also been responsible for developing multiple innovation portfolios within Man Numeric's absolute return and alternative risk premium strategies.

Ed received a Bachelor of Science degree with honors in Economics and Management Information Science from Fudan University in China. He also received a doctorate degree in financial economics from Duke University. Ed is a CFA® charterholder.

Laurie Fuller
Venture Partner
Raiven Capital

Laurie Fuller is a Venture Partner, Strategic Advisor, Investor and Executive Coach. She is an accomplished leader who enjoys helping entrepreneurs scale by identifying growth capital, developing strategy and implementing operational models. She is skilled in working with entrepreneurs to hire, train, and manage diverse teams to achieve high performance. Currently, Laurie is a Venture Partner at Raiven Capital. Raiven Capital is a Canada-Silicon Valley Series A venture fund that concentrates on investments just before scaling begins.

In a previous role in a Silicon Valley tech company, she was responsible for the development and implementation of operational models which enabled global revenue growth from $1.2B to $6.2B during her tenure. Her international work experience includes positions based in North America, Europe, Asia and Africa. She brings a global perspective and builds strong partnerships that transform businesses.

She has a Global Executive MBA from TRIUM (NYU/LSE/HEC); MA from Stanford University; and a BS in Decision Science.

SPEAKER BIOGRAPHIES

Robert Goobie
Assistant Vice President Collateral Management, Fixed Income & Derivatives
Healthcare of Ontario Pension Plan (HOOPP)

Robert is the Assistant Vice President Collateral Management, Fixed Income and Derivatives at Healthcare of Ontario Pension Plan (HOOPP) with a focus on the optimization of large portfolios of collateral in excess of $100b for both Equities and Fixed Income assets, utilizing global relationships -peer to peer, broker dealers, and custodian banks. He also inspired HOOPP's in-house collateral management software which integrate Fixed Income, Equities, Derivatives, Securities Lending and Borrowing, Repo and FX Trading into one cohesive platform. Robert joined the HOOPP in October of 2000 after working seven years in the financial industry including at Deutsche Bank, Bankers Trust, TD Securities and HSBC. He has a bachelor's degree of Commerce, Accounting and Finance from Ryerson University in Toronto, Canada and is a Certified Public Accountant. Robert is very interested in the peer to peer community, utilizing blockchain technology for Capital Markets, focusing on: Fixed Income and Equity Repo, Securities Lending and Borrowing, Collateral Management and Financing.

Michael Hume
Partner and Head of Strategy & Research
MKP Capital Management

Mr. Hume is a Partner and Head of Strategy & Research. Mr. Hume is currently based in MKP's London Office. Prior to joining MKP in 2016, Mr. Hume was Head of the Capital Markets Division at the Bank of England, where he was responsible for leading the Financial Policy Committee assessment of capital markets risks and policies. Mr. Hume was also a Senior Adviser on International Issues and Monetary Policy Adviser to the MPC. From 1997 to 2008 Mr. Hume was at Lehman Brothers where he rose to become Chief European Economist.

Mr. Hume has published a number of articles in books and academic journals on the topic of financial imbalances and served on international policymaking committees at the G7, OECD, and Financial Stability Board. Mr. Hume read Philosophy, Politics, and Economics at the University of Oxford, received an M.Sc. in Economics at the University of Warwick, and is currently affiliated with the European Institute at London School of Economics.

Rahul Khasgiwale
Senior Investment Director for the Americas
Aviva Investors

Rahul is Senior Investment Director for the Americas across liquid markets and is based in Toronto, Canada. He works closely with our investment teams to represent investment processes, portfolio positioning and performance to prospects, clients and investment consultants. Rahul has regional management responsibilities for the Americas investment specialists and is also a member of the Americas client solutions leadership team and the global product leadership team.

Rahul joined Aviva Investors from Manulife Asset Management where he was Investment Director for Global Investment Solutions. Prior, Rahul was an Absolute Return Investment Director at Standard Life Investments focused on retail and institutional clients and consultants in Canada. He began his career at HSBC Global Asset Management, where he held a variety of senior investment roles based in the UK, Switzerland, Middle East and Canada across a twelve-year period.

SPEAKER BIOGRAPHIES

Raj Lala
President & CEO
Evolve ETFs

Mr. Lala is President and Chief Executive Officer of Evolve ETFs. Evolve is one of Canada's fastest growing ETF providers since launching its first ETF in September 2017. Evolve is a leader in thematic ETFs and specializes in bringing disruptive innovation ETFs to Canadian investors. Evolve's suite of ETF products provide investors with access to: (i) long term investment themes; (ii) index-based income strategies; and (iii) some of the world's leading investment managers. Established by a team of industry veterans with a proven track record of success, Evolve creates investment products that make a difference.

Prior to founding Evolve ETFs, Mr. Lala served as Head of WisdomTree Canada – a division of WisdomTree Investments Inc., one of the world's largest ETF issuers. Prior to this, Mr. Lala was Executive Vice President and Head of Retail Markets for Fiera Capital Corporation, a prominent Canadian investment management firm with over $100 billion in assets under management. Mr. Lala co-founded and served as President and CEO of Propel Capital Corporation (which was acquired by Fiera Capital Corporation in September 2014). Propel raised approximately $1 Billion in structured products in its five years of operation. Prior to Propel, Mr. Lala worked with Jovian Capital and held several roles at Jovian including President of JovFunds Inc., an asset management division of Jovian Capital.

Mr. Lala holds a Bachelor's degree in Economics from the University of Toronto.

David Lowery
Head of Research Insights
Preqin

Dave Lowery has worked in a variety of roles within the finance industry in a career spanning more than 20 years. Dave gained experience as a multi-asset portfolio manager for UHNW private clients, as well as insurance companies and pension funds. He also worked as an equity analyst on both the buy-side and sell-side, has managed real estate portfolios and has covered geographies around the world, often appearing on CNBC Squawk Box and Bloomberg TV.

More recently, Dave has worked for HM Treasury in its Infrastructure UK division, led Asia Pacific and alternative real estate research at AXA IM – Real Assets and set up a Strategy Research function at NTT Global Data Centers, the world's third largest data centre operator.

Grahame R. Lyons
President & Founder
Arbutus Partners

An investment fund industry pioneer in the growth and origination of many large well known investment funds over the past 25 years in financial services. Early adopter of major trends in mutual funds, ETFs and alternative investments. Leading role in the growth and origination of many large well known investment funds.

Senior and executive roles at Fidelity Investments Canada Ltd, Strategic Value Corp, Loring Ward Investment Counsel, Barclays Global investors Canada Ltd. and Claymore Investments.

SPEAKER BIOGRAPHIES

Christian McCormick
Director, Senior China Equity Product Specialist
Allianz Global Investors

Mr. McCormick's primary role is as a senior product specialist on our China equity platform, which oversees over $20 billion of long only equity investments. He also works on the firm's $41 billion Systematic quant platform that includes multifactor research in China. He has presented at numerous industry conferences, written several white papers, and is a frequent investment resource and guest across multiple media platforms, including Bloomberg and CNBC. Mr. McCormick's previous experience was as a managing director at quant firm INTECH and as an investment consultant for Meketa Investment Group. He has 22 years of investment industry experience.

Mr. McCormick has a B.A. in Business Administration and Russian Studies from Principia College in Illinois. He is a CFA charterholder.

Cara Nakamura
Managing Director, Financial Assets Division
Kamehameha Schools

Cara Nakamura serves as a Managing Director of the Financial Assets Division of the Kamehameha Schools, which represents a $12 billion globally diversified endowment. Prior to joining Kamehameha in 2016, Cara spent over a decade investing for Princeton University (the Princeton University Investment Company), focusing largely on the construction and growth of the endowment's $6 billion+ private equity/venture capital portfolio. Earlier professional roles included economic research at The Andrew W. Mellon Foundation and a Fulbright Scholarship in Kyoto, Japan.

Born and raised on Oahu, Hawaii, Cara earned an A.B. with honors in Economics and Certificates in both East Asian Studies and Japanese Language from Princeton University, and an M.B.A. in Finance from The Wharton School of the University of Pennsylvania. Cara, her husband, and two children reside in Honolulu, Hawaii.

Bryan Nunnelley
Chief Executive Officer
Crystalline Management

Bryan joined the firm in November 2011 and draws on 18 years of broad-based experience in the financial industry, including the management of alternative investment strategies, investment banking, mergers and acquisitions, and including corporate and business development with emerging businesses. Prior to joining Crystalline he was Director and COO at a Canadian hedge fund, and before that was VP – Strategic & Corporate Development, at a life-sciences company.

He holds a Master's degree in International Affairs from the Norman Paterson School of International Affairs in Ottawa, and graduated with a B.A. in Sociology and Business & Commerce, from Dalhousie University. In 2021, he completed the Directors Education Program (DEP) offered jointly by the Institute of Corporate Directors (ICD) and the Rotman School of Management at the University of Toronto.

SPEAKER BIOGRAPHIES

Amanda Outerbridge
Managing Director
HarbourVest Partners, LLC (Boston)

Amanda Outerbridge joined HarbourVest's primary partnership team in Boston in 2000 and focuses on U.S. partnership investments. She transferred to the London office in 2007, where she evaluated and monitored European venture capital and buyout partnership investments. She also spent two years in HarbourVest's Hong Kong office, where she concentrated on partnership investments in Asia Pacific and emerging markets.

Her previous experience includes internships with XL Capital Ltd and the Bank of Bermuda.

She received a BS (summa cum laude) in Business Administration from Babson College in 2000.

Maria Pacella
Managing Partner
Pender Ventures

Maria has more than 20 years of investing and operational experience in emerging growth companies, with a focus on technology businesses. She spent 11 years with one of Canada's largest venture capital firms where she invested in multiple early-stage ventures and served on a variety of boards, in the areas of enterprise software and health-tech. Along the way, she worked at two start-ups assisting with operational activities and strategic initiatives. Prior to that, Maria worked in M&A for Deutsche Bank.

Current board roles include Clarius Mobile Health, Jane Software, Teradici, Librestream, and One45 Software. She is also on the SFU Beedie School of Business Advisory Board and St. Paul's Hospital Foundation Board. She holds a BBA from Simon Fraser University, is a CFA charterholder and an active member and a past President of CFA Society Vancouver.

As an investor in the rapidly changing world of early-stage technology, Maria invests in exceptional entrepreneurs and teams that excel at identifying business opportunities, develop world-class products and are dedicated to building great companies

Greg Racz
Founder
MGG Investment Group

Prior to co-founding MGG, Mr. Racz was President of Hutchin Hill, a multi-billion multi-strategy hedge fund. Previously, Mr. Racz co-founded Octavian Advisors, LP, a $1.4 billion multi-strategy fund and was a corporate attorney at Wachtell, Lipton, Rosen & Katz. Mr. Racz was also a journalist at The Wall Street Journal. Mr. Racz clerked on the U.S.D.C. Circuit. He is a member of the Board of Trustees of the NYU Law School, and was a term member of the Council on Foreign Relations. He was named a "2011 Rising Star of Hedge Funds" by Institutional Investor and was named in 2019 a "Tomorrow's Titan" by the Hedge Fund Journal.

Mr. Racz received his JD, Order of the Coif, magna cum laude, from NYU Law School and his BA, magna cum laude and Phi Beta Kappa, from Dartmouth College. Mr. Racz also studied at Oxford University and Leningrad State University.

SPEAKER BIOGRAPHIES

Maria Rapin
CEO
Nephila Climate

Maria is CEO of Nephila Climate and a member of the Climate advisory board and firm ESG Committee. She is responsible for the strategy and overseeing the investment process for our climate strategies which aim to move scalable capital to support sustainable development.

Before joining us in 2015, she was a portfolio manager at SCOR Alternative Investments in Paris, where she worked on insurance-linked investments. Maria began her career with Swiss Re capital markets team working on the structuring, distribution, and trading of catastrophe bonds in New York and London, working with institutional investors in North America, Asia, and Europe.

She has a BBA in International Business/Information Systems from The George Washington University.

Sandy Rattray
Chief Investment Officer
Man Group

Sandy Rattray is Chief Investment Officer of Man Group and a member of the Man Group Executive Committee. He is also a member of the Man Group Responsible Investment Committee. He was previously CEO of Man AHL from 2013 to 2017, and CIO of Man Systematic Strategies from 2010 to 2013.

Before joining Man Group in 2007, Sandy spent 15 years at Goldman Sachs where he was a Managing Director in charge of the Fundamental Strategy Group. He also ran Equity Derivatives Research at Goldman Sachs in London and New York.

Sandy is a co-inventor of the VIX index. He is a board director of MSCI Inc and sits on the MSCI Advisory Council and the Jesus College Cambridge investment committee. Sandy is a governor of the Southbank Centre in London and is a founding patron of the London Cycling Campaign.

He holds a Master's Degree in Natural Sciences and Economics from the University of Cambridge and a Licence Spéciale from the Université Libre de Bruxelles.

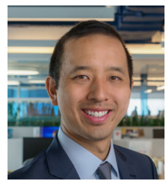
SPEAKER BIOGRAPHIES

François Rivard
Chief Executive Officer
Innocap Investment Management

As CEO, François leads Innocap Investment Management's activities. During his 24 years in the investment industry, he has held various senior functions in capital markets and wealth management in Toronto, Montreal and London. Notably, in his role as Head of the Financial Products Group at National Bank of Canada, he was responsible for engineering and distribution of structured derivative-based investments globally.

François is the recipient of Bishop's University Top 10 Under 10 award, a standing YPO member, and has contributed or been featured in BLOOMBERG, the Globe and Mail, Derivatives Week, Structured Products Magazine as well as a featured speaker at various conferences in North America, London, Paris, Zurich, Abu Dhabi and Singapore. Since 2014 François has been a board member and active contributor to the CHU Sainte-Justine Foundation, one of the largest sick kids hospitals and research centers in North America.

François received a BBA from Bishops University and his MBA from HULT Business School in London.



David Sheng
Senior Portfolio Advisor
Aksia

David is a Senior Portfolio Advisor at Aksia, and is responsible for advising North America institutional investors on their alternative investment programs, in particular hedge funds and private credit. He is an active member of Aksia's Diversity working group and the Association of Asian American Investment Managers.

Prior to joining Aksia, David was a Senior Manager Research Analyst at Man Group, FRM focused on sourcing and evaluating hedge fund strategies. David spent the first half of his career within the Institutional Sales and Trading businesses at HSBC and Morgan Stanley, particularly within the Fixed Income divisions.

David graduated from Princeton University with a BA in Economics and holds an MBA from Columbia University.

SPEAKER BIOGRAPHIES

Theresa Shutt
Senior Vice President
Fiera Private Debt

Theresa Shutt is Senior Vice President for Corporate Private Debt at Fiera Private Debt. Prior to joining Fiera Private Debt in July 2019, she was the Chief Investment Officer of Private Debt with Integrated Asset Management.

Ms. Shutt is responsible for the overall strategic direction and operation of the Corporate Debt Financing Team. In this role, she provides leadership in support of business development, investment approval, fund strategy and portfolio management. With over 20 years of experience in corporate lending and capital markets, she contributes to the success of the business by growing AUM through strategic capital deployment and the development of innovative private debt strategies.

Prior to Fiera Private Debt, Ms. Shutt was a Managing Director with BMO Corporate Finance where she developed customized financing solutions for mid-market Canadian Companies. Previous to this role, she held a variety of roles at RBC and Scotiabank structuring fixed income and equity derivatives for corporations and asset managers. She began her career working as an economist for the Conference Board of Canada.

Theresa Shutt holds a Bachelor of Arts (BA) in Economics (Honours) from the University of Alberta, a Master of Arts (MA) in Economics from McMaster University and a Master of Business Administration (MBA) from the Rotman School of Management of the University of Toronto. Ms. Shutt is a Chartered Financial Analyst (CFA) charterholder.

Kirk Sims
Head of Emerging Manager Program
Teachers' Retirement System of Texas

Kirk Sims heads TRS' Emerging Manager Program. Since being established in 2005, TRS has committed $3.7 billion to one of the largest programs of its kind. An additional $2 billion has been invested directly with EM Program graduates. Each graduate was selected as a result of consistent outperformance among a group of more than 160 EM managers. Recognizing the Program's success, in early 2019, TRS rolled out a plan to invest another $3 billion over the next three to five years.

Sims joined the Teacher Retirement System of Texas on March 1, 2019. Before joining Texas Teachers, he was a Senior Investment Officer for the Teachers' Retirement System of the State of Illinois. Sims had oversight and management responsibility of TRS's Emerging Manager Program, a $750 million evergreen pool of capital designed to identify and invest in emerging investment managers across all asset classes.

Prior, Sims worked at Prudential Retirement where he was responsible for a manager of manager's retirement platform as well as an open architecture investment platform. He also has a background in asset management and has held various positions with both large and small asset managers.

Sims is a CFA charter holder and holds a Masters in Business Administration from the Columbia University Graduate School of Business. He received a Bachelor of Business Administration from Howard University in Washington, DC.

SPEAKER BIOGRAPHIES

Stuart Smith
SVP, Head of Product, Cross-Asset Trading & Risk
FIS

Stuart Smith is vice president and product management group executive for FIS' Cross-Asset Trading and Risk (CATR) business. A seasoned senior leader in capital markets risk management, Stuart works closely with his product management team and FIS' senior architects to ensure FIS risk management technology continues to be positioned as the industry-leading engine for high performance trading and risk management. Stuart began his risk management career with FIS in 2010.

Preceding this, Stuart was a senior scientist with QinetiQ, a global defense technology company headquartered in the UK.

Mario Venditti
Founding Partner & CEO
Innovobot

Mario Venditti is CEO and Co-Founder of Innovobot, a Montreal-based investment and innovation platform that funds innovative technologies that tackle critical social and environmental challenges.

Innovobot is the lead investor in carbon removal technology firm CarbiCrete and conversational AI company Heyday.

Prior to founding Innovobot, Mario was COO of Above Security. Under Mario's guidance, Above Security acquired SecureIT in Switzerland and Seccuris in Winnipeg, and received international recognition from the Gartner Group as one of the Top 10 MSSP Vendors to Watch.

Mario was leader of the senior executive team that implemented a new global sales strategy and supply chain to service customers in 40+ countries. During his tenure, Above Security went from a small local start-up to a global company with clients in 40+ countries and multiple operations centres around the world, until it was acquired by Hitachi Systems in October 2015.

Mario also spent 12 years working as a management and strategy consultant. He has Masters a Science, a Bachelor of Engineering and an MBA from McGill University.

Maxime Tessier
formerly of: Abu Dhabi Investment Authority

Maxime started his career at the Quebec Ministry of Finance and transitioned to investment as Editor at the Bank Credit Analyst Research Group (BCA) in the mid 1990s. He joined CIBC Asset Management in 2001 as a portfolio manager for currency and tactical asset allocation and has assumed increasingly senior roles in this field at Caisse de Dépot et Placement du Québec (CDPQ) and the Abu Dhabi Investment Authority (ADIA). In 2020, he moved back to Montreal, Canada.

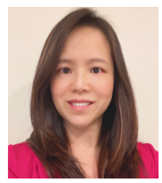
SPEAKER BIOGRAPHIES

Mee Warren
President
Bodhi Research Group

Mee Warren is President of Bodhi Research Group. Her duties include portfolio diagnostics, machine learning applications, due diligence, and risk management. Mee Warren is a seasoned investment professional. She recently completed a non-compete clause with Two Sigma Investments while running in the NYC marathon, competing in bridge and pool tournaments, and traveling to more than 25 countries. During this time, she also organized the FWA 2018 International Business Conference in Tokyo and the FWA 2019 International Business Conference in Mumbai and New Delhi, India.

Mee Warren was a Global Equity Region Manager at Two Sigma Investments, LP., an investment management firm with a scientific approach towards investments. During her fifteen years at the firm, the firm grew from under $100 million to over $55 billion in AUM. She leveraged her expertise in investments, market structure, and trading to develop new strategies, increase capacity, and enhance returns. In her previous role, she managed the US & Americas Equities Trading desk. In addition to covering the Americas, she also traded European and Asia regions equities, hard & soft commodity futures, bonds, currencies, interest rate swaps, and derivatives. Her first role at the firm, and as the firm's 30th hire, she worked directly with the Comptroller and CEO to develop and deliver financial reports and corporate functions.

In 2015, Mee received two industry awards: the "Women in Finance Outstanding Contributions" award from Markets Media and the "Women on Wall Street Trailblazer" award from Traders Magazine. Mee graduated with a Bachelor of Science degree from the University of Minnesota's Carlson School of Management with majors in Finance, International Business, and Risk Management and minors in Latin, Chinese, and East Asian Studies. She also completed the distinguished University of Minnesota Talented Youth Mathematics Program (UMTYMP). Mee is a member of the National Organization of Investment Professionals (NOIP), CFA Society of New York, 100 Women in Finance, and Women in ETFs. She is also on the Board of the Greater New York Bridge Association. Mee Warren earned ACBL Life Master status in May 2020.



Racheal Wason
Cross-Asset Solutions, Director
BMO Capital Markets

Racheal is currently a Director of Cross Asset Solutions at BMO Capital Markets where she provides structured investment solutions to institutional clients. Her previous experience includes alternative investments such as real estate and private equity. Prior to BMO, she held investment related roles at Scotiabank, Ontario Teachers' Pension Plan, and OPTrust.

Racheal holds an MBA from Ivey Business School at Western University.

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Bob Williamson
Vice President - Sales
Battea Class Action Services

Bob Williamson has more than 30 years' experience in the financial services industry. Prior to joining Battea – Class Action Services, LLC, he held various sales and management roles in the financial technology space. While at Lava Trading, Bob marketed electronic trading systems and execution services to the sell-side brokerage community. He became the top-producing sales person, of which the company was later acquired by Citi. Bob spent 12 years with NYFIX, Inc. In his role as Senior Vice President of Sales for NYFIX's trading products division, he was responsible for sales and for marketing the firm's trading platform, which was utilized by the financial community and became the dominant player in the listed trading executions and management space. Bob began his career in financial services with VBand, a specialized telecommunications company. During his seven-year tenure as a Regional Channel Sales Representative marketing a highly specialized telecommunication system for traders at banks, brokerage firms and exchanges.

Bob earned a BS in Business/Economics from the State University of New York at Oneonta and attended the University of Copenhagen, where he received a Certificate of Participation in the International Business Program.

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The diversity, drive, experience, and resources of our members is what makes CAASA happen. The CAASA staff literally work all day to keep up with their ideas for advocacy and service offering initiatives; events of all sizes, on all topics, in many cities across Canada and elsewhere; and creating an environment where they can support each other and the industry at large.

Thank you!

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