



CAASA
CANADIAN ASSOCIATION OF
ALTERNATIVE STRATEGIES & ASSETS

CAASA - CANADIAN ASSOCIATION OF ALTERNATIVE STRATEGIES & ASSETS

ACGIA – Association Canadienne en Gestion d’Investissements Alternatifs

NEW MEMBERSHIP APPLICATION FOR ASSET MANAGERS

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*****Please note all content within this application will be used to assist us in curating our event topics, offerings and potential speaking slots. Any information on a specific individual or entity will not be released to those outside of association staff.***



1) MEMBERSHIP TYPE

The undersigned applies for the following membership category:

a) Canadian Corporate Member

- Canadian Corporation
- Canadian Partnership
- Canadian Limited Partnership
- Other Canadian Legal Entity

b) Associate Member

- Non-Canadian Corporation
- Non-Canadian Partnership
- Non-Canadian Limited Partnership
- Other Non-Canadian Legal Entity

2) ENTITY CONTACT DETAILS

Entity Name:	
General Entity Email:	
Office Number:	
Main Office Address:	
Entity Website:	

Primary Membership Contact

Please indicate the primary contact for membership and billing.

Full Name:	
Email:	
Phone Number:	
Title:	
MIGs of interest:	



Secondary Membership Contact

Full Name:	
Email:	
Title:	
MIGs of interest:	

Contact for Billing/Invoices

Full Name:	
Email:	
Title:	
Please specify if the contact/company name listed on the invoice should be different from above	
MIGs of interest:	

Contact for Marketing & Sponsorship Opportunities

Full Name:	
Email:	
Title:	
MIGs of interest:	



Contact for Approving Publications & Printed Documents

Full Name:	
Email:	
Title:	
MIGs of interest:	

3) ADDITIONAL CONTACTS & MEMBER INITIATIVE GROUPS (MIGs)

All employees of a member are eligible to receive member benefits. Please attach an additional sheet if you would like to add contacts beyond the below personnel.

Full Name:	
Email:	
Title:	
MIGs of interest:	

Full Name:	
Email:	
Title:	
MIGs of interest:	

Full Name:	
Email:	
Title:	
MIGs of interest:	



4) GENERAL ENTITY PROFILE

a) Additional/Secondary Office Locations

Please indicate additional locations in which your entity has an office or representative.

Toronto Montreal Vancouver Calgary

Other (within Canada): _____

Other (outside Canada): _____

b) Investor Types:

Please indicate your primary investor type.

- Institutional Investor – Pension
- Institutional Investor - Foundation, Endowment, Sovereign Wealth Fund
- Accredited Investor – Single or Multi-Family Office
- Retail Investor - Investment Advisors
- Other (please specify) _____

c) Primary Regulator:

- AMF - Autorité des marchés financiers
- ASC - Alberta Securities Commission
- BCSC - British Columbia Securities Commission
- OSC - Ontario Securities Commission
- Other (please specify) _____



d) Strategies / Offering:

Please note your net assets invested in the following strategies/assets (only CAASA staff will know this information and use towards curating events and speaking slots).

Strategy	Internal/Direct	Via Funds	Open to speaking on this topic at events?
Multi-strategy			
Equity Market Neutral			
Fixed Income Arbitrage / Long Short Credit			
Distressed Debt			
Private Equity			
Venture Capital (please specify)			
Event Driven			
Equity Long Short			
Global Macro			
Managed Futures / CTA			
Real Estate			
Infrastructure			
Private Lending			
Commodities			
Timber			
Weather Derivatives			
Life Settlements/ILS			
Digital Assets / Crypto-currency			
ETF			
Long-only			
Other (please specify)			
TOTAL ASSETS (CAD)			



5) AREAS OF INTEREST WITHIN MEMBERSHIP

- Networking with Peers
- Member Initiative Groups / Committees
- Education / Research
- Compliance / Operations
- Regulatory / Legal
- ESG / Impact Investing
- Mentorship Program
- Marketing – Ontario
- Marketing – Quebec
- Marketing - Prairie Provinces (MB, SK, AB)
- Marketing - West Coast (BC)
- Marketing - Atlantic Region (NB, NL, NS, PEI)
- Marketing - USA
- Marketing - Other (please specify) _____
- Other Interest (specify) _____

6) CURRENT SERVICE PROVIDERS

Please indicate below the third-party providers that currently service you.

Prime Broker(s)	
Lawyer(s)	
Fund Administrator(s)	
Accountant(s)	
Auditor(s)	

7) SOURCE OF APPLICATION

Please indicate how you heard of the CAASA membership.

- CAASA staff
- Referral by existing CAASA member
- Third Party Conference/Event
- Online (website, LinkedIn etc.)
- Other (please specify) _____



8) MEMBERSHIP AUTHORIZATION & PAYMENT INFORMATION

Membership fees are based on the schedule below (page 8 of the application).

My signature below confirms that all information within this application form is true. I confirm that the entity is either duly registered in at least one recognized jurisdiction, in the process of registration or is exempt from registration. Further, upon approval of membership, I confirm that the entity will abide by the association by-laws.

I/We consent to receiving commercial electronic messages from the Canadian Association of Alternative Strategies and Assets (“CAASA”), Suite 2500, 120 Adelaide Street West, Toronto, ON M5H 1T1 (647-953-0737 / caasa.ca / caroline@caasa.ca), including news, updates, promotions, offers and information from and about CAASA products and services. I acknowledge I withdraw my consent at any time by unsubscribing using the links provided in those electronic messages, or by contacting CAASA as above.

Name on card:	
Card type:	
Credit card number:	
Expiry date (mm/yy):	
CVC code:	
Billing address:	
Amount to be charged (CAD):	
Name of signatory:	
Authorized signature:	
Date:	



9) APPENDIX

a) Fee schedule:

Asset Managers (in CAD Millions)

AUM	<\$50	\$50-\$100	\$100-500	\$500-\$1,000	>\$1,000	Long-only
Subtotal	\$1,250	\$2,100	\$3,150	\$4,200	\$5,250	\$1,250

Other Members

Type	Prime Brokers, Legal, Admin & Audit	Wealth Managers	Other Consultants, Exchanges	Family Offices, Institutions & Investment Dealers
Subtotal	\$5,250	\$3,150	\$2,100	\$525

Employees of Family Offices, Institutional Investors, Investment Dealers and Wealth Managers, as approved by CAASA, may register individuals as members for \$160 plus applicable taxes per year.

Founders of Start-Ups may join as a corporate unit for \$200 per year, which includes a USD 50 discount to the knightly.co start-up platform. If in a competitive category of endeavour, Founders will be required to upgrade to Consultant or as applicable once out of start-up phase, as determined by CAASA).

**The forgoing annual membership fees are subject to yearly change as determined by the Board of Directors and will be charged the applicable tax rate.*

b) Proposed Member Initiative Groups (MIGs)

More details and groups to follow as we continue to collect member input and constitute our groups.

Advocacy Group

Compliance & Operations Group

Digital Assets Group

Emerging Managers Group

Investor Group (investors only)

Marketing & Sales Group

Mentorship Matching Group

Peer Connect Group

Private Equity Group

Private Lending Group

Québec Group

Real Assets & Real Estate Group

Research & Training Group