



CAASA
CANADIAN ASSOCIATION OF
ALTERNATIVE STRATEGIES & ASSETS

CAASA - CANADIAN ASSOCIATION OF ALTERNATIVE STRATEGIES & ASSETS

ACGIA – Association Canadienne en Gestion d'Investissements Alternatifs

NEW MEMBERSHIP APPLICATION FOR INVESTORS

1) MEMBERSHIP TYPE	2
2) INVESTOR TYPE	2
3) ENTITY CONTACT DETAILS	2
4) ADDITIONAL CONTACTS & MEMBER INITIATIVE GROUPS (MIGS)	4
5) GENERAL ENTITY PROFILE	5
6) AREAS OF INTEREST WITHIN MEMBERSHIP	7
7) MEMBERSHIP AUTHORIZATION & PAYMENT INFORMATION	8
8) APPENDIX	9

****Please note all content within this application will be used to assist us in curating our event topics, offerings and potential speaking slots. Any information on a specific individual or entity will not be released to those outside of association staff.**



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1) MEMBERSHIP TYPE

The undersigned applies for the following membership category:

a) Canadian Corporate Member

- ☐ Canadian Corporation
- ☐ Canadian Partnership
- ☐ Canadian Limited Partnership
- ☐ Other Canadian Legal Entity

b) Associate Member

- ☐ Non-Canadian Corporation
- ☐ Non-Canadian Partnership
- ☐ Non-Canadian Limited Partnership
- ☐ Other Non-Canadian Legal Entity

2) INVESTOR TYPE

- ☐ Institutional Investor – Pension
- ☐ Institutional Investor - Foundation, Endowment, Sovereign Wealth Fund
- ☐ Accredited Investor – Single Family Office
- ☐ Accredited Investor – Multi-Family Office
- ☐ Retail Investor - Investment Advisor/Dealer
- ☐ Other/Non-Canadian Entity (please specify) _____

3) ENTITY CONTACT DETAILS

Entity Name:	
General Entity Email:	
Office Number:	
Main Office Address:	
Entity Website:	

*Please see Appendix for sample MIG names



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Primary Membership Contact

Please indicate the primary contact for membership and billing.

Full Name:	
Email:	
Phone Number:	
Title:	
MIGs of interest:	

Secondary Membership Contact

Full Name:	
Email:	
Title:	
MIGs of interest:	

Contact for Billing/Invoices

Full Name:	
Email:	
Title:	
Please specify if the contact/company name listed on the invoice should be different from above	
MIGs of interest:	

*Please see Appendix for sample MIG names



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Contact for Marketing & Sponsorship Opportunities

Full Name:	
Email:	
Title:	
MIGs of interest:	

Contact for Approving Publications & Printed Documents

Full Name:	
Email:	
Title:	
MIGs of interest:	

4) ADDITIONAL CONTACTS & MEMBER INITIATIVE GROUPS (MIGs)

All employees of a member are eligible to receive member benefits. Please attach an additional sheet if you would like to add contacts beyond the below personnel.

Full Name:	
Email:	
Title:	
MIGs of interest:	

Full Name:	
Email:	
Title:	
MIGs of interest:	

*Please see Appendix for sample MIG names



CAASA
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Full Name:	
Email:	
Title:	
MIGs of interest:	

5) GENERAL ENTITY PROFILE

a) Additional/Secondary Office Locations

Please indicate additional locations in which your entity has an office or representative.

☐ Toronto ☐ Montreal ☐ Vancouver ☐ Calgary

☐ Other (within Canada): _____

☐ Other (outside Canada): _____

b) Net Assets:

Please indicate the entity's net assets (optional).

Net Assets (CAD):

\$

*Please see Appendix for sample MIG names



c) Strategies of Interest

Please note your net assets invested in the following strategies/assets (only CAASA staff will know this information and use towards curating events and speaking slots).

Strategy	Internal/Direct	Via Funds	Open to speaking on this topic at events?
Multistrategy			
Equity Market Neutral			
Fixed Income Arbitrage / Long Short Credit			
Distressed Debt			
Private Equity			
Venture Capital (please specify)			
Event Driven			
Equity Long Short			
Global Macro			
Managed Futures / CTA			
Real Estate			
Infrastructure			
Private Lending			
Commodities			
Timber			
Weather Derivatives			
Life Settlements/ILS			
Digital Assets / Crypto-currency			
ETF			
Long-only			
Other (please specify)			

*Please see Appendix for sample MIG names



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6) AREAS OF INTEREST WITHIN MEMBERSHIP

- ☐ Networking with Peers
- ☐ Member Initiative Groups / Committees
- ☐ Education / Research
- ☐ Compliance / Operations
- ☐ Regulatory / Legal
- ☐ ESG / Impact Investing
- ☐ Mentorship Program
- ☐ Marketing – Ontario
- ☐ Marketing – Quebec
- ☐ Marketing - Prairie Provinces (MB, SK, AB)
- ☐ Marketing - West Coast (BC)
- ☐ Marketing - Atlantic Region (NB, NL, NS, PEI)
- ☐ Marketing - USA
- ☐ Marketing - Other (please specify) _____
- ☐ Other Interest (specify) _____

7) Please let us know how you would like your company displayed in our membership directory and CAASA marketing materials. Choose 1 of the options below:

Option 1: Full Information



Alberta Teachers' Retirement Fund - Pension Plan

Darryl Orom, *Head of Absolute Return Investments*
Edmonton, Alberta

DOrom@atrf.com

(780) 453-4438

Option 2: Entity Information Only



Ontario Teachers' Pension Plan - Pension Plan

5650 Yonge Street
Toronto, Ontario

(416) 228-5900

*Please see Appendix for sample MIG names



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Option 3: Entity Information & Key Contact Name Only (no email)

8) SOURCE OF APPLICATION

Please indicate how you heard of the CAASA membership.

- ☐ CAASA staff ☐ Referral by existing CAASA member
☐ Third Party Conference/Event ☐ Online (website, LinkedIn etc.)
☐ Other (please specify) _____

9) MEMBERSHIP AUTHORIZATION & PAYMENT INFORMATION

Membership fees are based on the schedule below (page 7 of the application).

My signature below confirms that all information within this application form is true. I confirm that the entity is either duly registered in at least one recognized jurisdiction, in the process of registration or is exempt from registration. Further, upon approval of membership, I confirm that the entity will abide by the association by-laws.

☐ *I/We consent to receiving commercial electronic messages from the Canadian Association of Alternative Strategies and Assets ("CAASA"), Suite 2500, 120 Adelaide Street West, Toronto, ON M5H 1T1 (647-953-0737 / caasa.ca / caroline@caasa.ca), including news, updates, promotions, offers and information from and about CAASA products and services. I acknowledge I withdraw my consent at any time by unsubscribing using the links provided in those electronic messages, or by contacting CAASA as above.*

Name on card:	
Card type:	
Credit card number:	
Expiry date (mm/yy):	
CVC code:	
Billing address:	
Amount to be charged (CAD):	
Name of signatory:	
Authorized signature:	
Date:	

*Please see Appendix for sample MIG names



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8) APPENDIX

a) Fee schedule:

Asset Managers (in CAD Millions)

AUM	<\$50	\$50-\$100	\$100-500	\$500-\$1,000	>\$1,000	Long-only
Subtotal	\$1,250	\$2,100	\$3,150	\$4,200	\$5,250	\$1,250

Other Members

Type	Prime Brokers, Legal, Admin & Audit	Wealth Managers	Other Consultants, Exchanges	Family Offices, Institutions & Investment Dealers
Subtotal	\$5,250	\$3,150	\$2,100	\$525

Employees of Family Offices, Institutional Investors, Investment Dealers and Wealth Managers, as approved by CAASA, may register individuals as members for \$160 plus applicable taxes per year.

Founders of Start-Ups may join as a corporate unit for \$200 per year, which includes a USD 50 discount to the knightly.co start-up platform. If in a competitive category of endeavour, Founders will be required to upgrade to Consultant or as applicable once out of start-up phase, as determined by CAASA).

**The forgoing annual membership fees are subject to yearly change as determined by the Board of Directors and will be charged the applicable tax rate.*

b) Proposed Member Initiative Groups (MIGs)

More details and groups to follow as we continue to collect member input and constitute our groups.

Advocacy Group	Peer Connect Group
Compliance & Operations Group	Private Equity Group
Digital Assets Group	Private Lending Group
Emerging Managers Group	Québec Group
Investor Group (investors only)	Real Assets & Real Estate Group
Marketing & Sales Group	Research & Training Group
Mentorship Matching Group	

c) Trial and discount code for Knightley.co

All SFO members get a discount code for this VC/Angel/Founder dataroom – USD 100 per year after a 30-day trial. Code: CAASA. See video: bit.ly/KnightleyCAASA.

**Please see Appendix for sample MIG names*