



**CAASA**  
CANADIAN ASSOCIATION OF  
ALTERNATIVE STRATEGIES & ASSETS

**CAASA - CANADIAN ASSOCIATION OF ALTERNATIVE STRATEGIES & ASSETS**

***ACGIA – Association Canadienne en Gestion d’Investissements Alternatifs***

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**NEW MEMBERSHIP APPLICATION FOR FOUNDERS / START-UPS**

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***\*\*Please note all content within this application will be used to assist us in curating our event topics, offerings and potential speaking slots. Any information on a specific individual or entity will not be released to those outside of association staff.***



## 1) MEMBERSHIP TYPE

*The undersigned applies for the following membership category:*

### a) Canadian Corporate Member

- Canadian Corporation
- Canadian Partnership
- Canadian Limited Partnership
- Other Canadian Legal Entity

### b) Associate Member

- Non-Canadian Corporation
- Non-Canadian Partnership
- Non-Canadian Limited Partnership
- Other Non-Canadian Legal Entity

## 2) ENTITY CONTACT DETAILS

<b>Entity Name:</b>	
<b>General Entity Email:</b>	
<b>Office Number:</b>	
<b>Main Office Address:</b>	
<b>Entity Website:</b>	

### Primary Membership Contact

*Please indicate the primary contact for membership and billing.*

<b>Full Name:</b>	
<b>Email:</b>	
<b>Phone Number:</b>	
<b>Title:</b>	
<b>MIGs of interest:</b>	

\*Please see Appendix for sample MIG names



**Secondary**

**Membership Contact**

<b>Full Name:</b>	
<b>Email:</b>	
<b>Title:</b>	
<b>MIGs of interest:</b>	

**Contact for Billing/Invoices**

<b>Full Name:</b>	
<b>Email:</b>	
<b>Title:</b>	
<b>Please specify if the contact/company name listed on the invoice should be different from above</b>	
<b>MIGs of interest:</b>	

**Contact for Marketing & Sponsorship Opportunities**

<b>Full Name:</b>	
<b>Email:</b>	
<b>Title:</b>	
<b>MIGs of interest:</b>	

\*Please see Appendix for sample MIG names



**Contact for Approving Publications & Printed Documents**

<b>Full Name:</b>	
<b>Email:</b>	
<b>Title:</b>	
<b>MIGs of interest:</b>	

**4) ADDITIONAL CONTACTS & MEMBER INITIATIVE GROUPS (MIGs)**

*All employees of a member are eligible to receive member benefits. Please attach an additional sheet if you would like to add contacts beyond the below personnel.*

<b>Full Name:</b>	
<b>Email:</b>	
<b>Title:</b>	
<b>MIGs of interest:</b>	

<b>Full Name:</b>	
<b>Email:</b>	
<b>Title:</b>	
<b>MIGs of interest:</b>	

<b>Full Name:</b>	
<b>Email:</b>	
<b>Title:</b>	
<b>MIGs of interest:</b>	

\*Please see Appendix for sample MIG names



#### 4) GENERAL ENTITY PROFILE

##### a) Additional/Secondary Office Locations

*Please indicate additional locations in which your entity has an office or representative.*

Toronto                       Montreal                       Vancouver                       Calgary

Other (within Canada): \_\_\_\_\_

Other (outside Canada): \_\_\_\_\_

##### b) Value / Rounds of Funding:

*Please indicate the entity's estimated value today and rounds of funding thus far:*

**Est'd Entity Value (CAD):**    \$

##### Rounds of Funding:

Stage (please state below)	Amount raised	Est'd entity value

##### c) Market Segments

*Please note your market segment (used to curate our events and speaking slots).*

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\*Please see Appendix for sample MIG names



## 6) AREAS OF INTEREST WITHIN MEMBERSHIP

- Networking with Peers
- Member Initiative Groups / Committees
- Education / Research
- Regulatory / Legal
- Mentorship Program
- Raising Capital – Ontario
- Raising Capital – Quebec
- Raising Capital - Prairie Provinces (MB, SK, AB)
- Raising Capital - West Coast (BC)
- Raising Capital - Atlantic Region (NB, NL, NS, PEI)
- Raising Capital - USA
- Raising Capital - Other (please specify) \_\_\_\_\_
- Other Interest (specify) \_\_\_\_\_

\*Please see Appendix for sample MIG names



## 9) MEMBERSHIP AUTHORIZATION & PAYMENT INFORMATION

*Membership fees are based on the schedule below (page 7 of the application).*

*My signature below confirms that all information within this application form is true. I confirm that the entity is either duly registered in at least one recognized jurisdiction, in the process of registration or is exempt from registration. Further, upon approval of membership, I confirm that the entity will abide by the association by-laws.*

*I/We consent to receiving commercial electronic messages from the Canadian Association of Alternative Strategies and Assets ("CAASA"), Suite 2500, 120 Adelaide Street West, Toronto, ON M5H 1T1 (647-953-0737 / caasa.ca / caroline@caasa.ca), including news, updates, promotions, offers and information from and about CAASA products and services. I acknowledge I withdraw my consent at any time by unsubscribing using the links provided in those electronic messages, or by contacting CAASA as above.*

<b>Name on card:</b>	
<b>Card type:</b>	
<b>Credit card number:</b>	
<b>Expiry date (mm/yy):</b>	
<b>CVC code:</b>	
<b>Billing address:</b>	
<b>Amount to be charged (CAD):</b>	
<b>Name of signatory:</b>	
<b>Authorized signature:</b>	
<b>Date:</b>	

\*Please see Appendix for sample MIG names



## 8) APPENDIX

### a) Fee schedule:

#### Asset Managers (in CAD Millions)

AUM	<\$50	\$50-\$100	\$100-500	\$500-\$1,000	>\$1,000	Long-only
<b>Subtotal</b>	\$1,250	\$2,100	\$3,150	\$4,200	\$5,250	\$1,250

#### Other Members

Type	Prime Brokers, Legal, Admin & Audit	Wealth Managers	Other Consultants, Exchanges	Family Offices, Institutions & Investment Dealers
<b>Subtotal</b>	\$5,250	\$3,150	\$2,100	\$525

*Employees of Family Offices, Institutional Investors, Investment Dealers and Wealth Managers, as approved by CAASA, may register individuals as members for \$160 plus applicable taxes per year.*

***Founders of Start-Ups may join as a corporate unit for \$200 per year, which includes a USD 50 discount to the knightly.co start-up platform. If in a competitive category of endeavour, Founders will be required to upgrade to Consultant or as applicable once out of start-up phase, as determined by CAASA).***

*\*The forgoing annual membership fees are subject to yearly change as determined by the Board of Directors and will be charged the applicable tax rate.*

### b) Proposed Member Initiative Groups (MIGs)

*More details and groups to follow as we continue to collect member input and constitute our groups.*

Advocacy Group	Peer Connect Group
Compliance & Operations Group	Private Equity Group
Digital Assets Group	Private Lending Group
Emerging Managers Group	Québec Group
Investor Group (investors only)	Real Assets & Real Estate Group
Marketing & Sales Group	Research & Training Group
Mentorship Matching Group	

### c) Trial and discount code for Knightley.co

*All Founder members get a discount code for this VC/Angel/Founder dataroom – USD 100 per year after a 30-day trial. Code: CAASA. See video: [bit.ly/KnightleyCAASA](https://bit.ly/KnightleyCAASA).*

*\*Please see Appendix for sample MIG names*