CAASA Annual Conference - Montréal

Presented by: the Canadian Association of Alternative Strategies & Assets

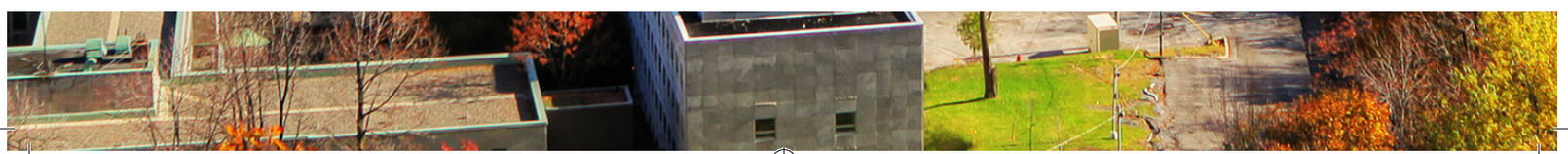
Monday, November 4th & Tuesday, November 5th
Fairmont Queen Elizabeth
900 René-Lévesque Boulevard West, Montréal, Québec

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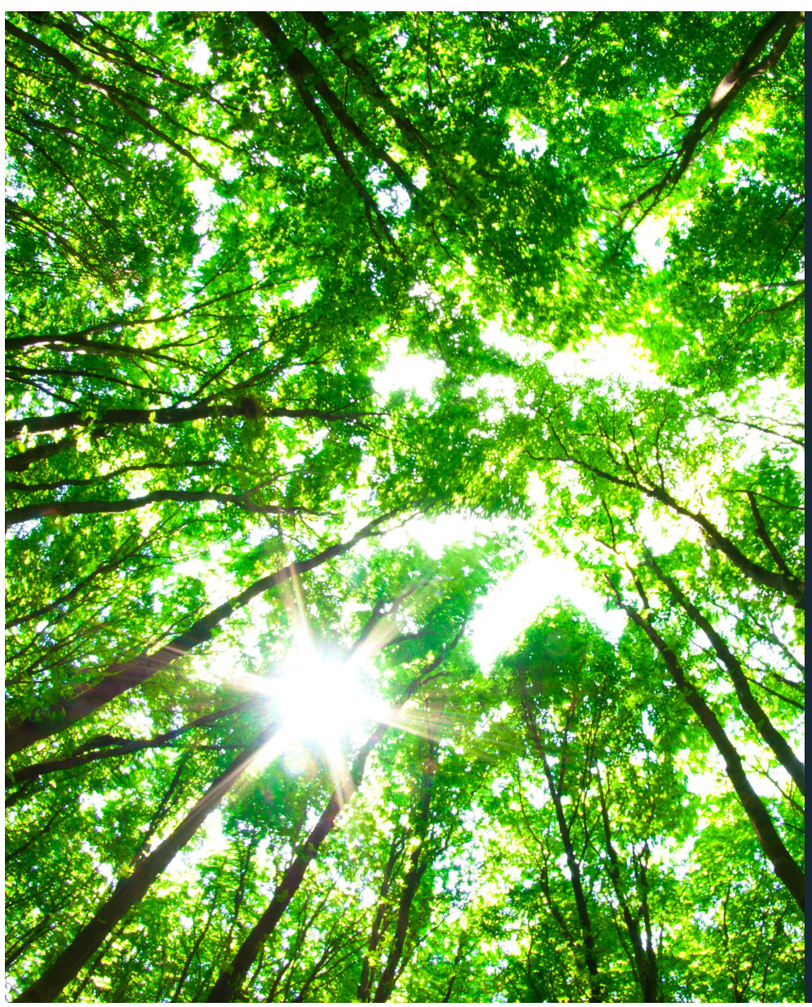
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Alternative investments are often are speculative and include a high degree of risk. Investors could lose all or a substantial amount of their investment. Alternative investments are suitable only for eligible, long-term investors who are willing to forgo liquidity and put capital at risk for an indefinite period of time. They may be highly illiquid and can engage in leverage and other speculative practices that may increase the volatility and risk of loss. Alternative investments typically have higher fees than traditional investments. Investors should carefully review and consider potential risks before investing. All investments involve risks, including the possible loss of principal.

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MESSAGE FROM CAASA



Caroline Chow



James Burron



Paul Koonar

THANK YOU, FROM OUR TEAM TO YOURS

Few might have thought that a brand new association might have had the traction that we have. Founded on February 5, 2018, we gathered 100 members by the end of that year and stand now at about 180 members. Our signature events, such as the CAASA Annual Conference and our Family Office Summit in Toronto each May, are what some members join for – sometimes rather explicitly – but the other fifty (50) events that we organize each year further define our mission and value proposition to membership and the industry at large. Socials, the 15-part Starting an Alts Fund Series (offered as an on-demand webinar and covering hedge as well as PE and VC funds), single and mulit-part format events including keynotes, speed-dating, panels, and workshops all exemplify our commitment to bringing people together as they like and providing a forum for the discussion of ideas and the formation and curation of business and social connections.

Our little team of three – we welcomed Paul mid-2019 to support our many events and initiatives – is augmented by a cadre of stalwart supporters who really make some of our events happen. We could not do so without them!

Feedback is also very important to use, ego-crushing as it might be. If you have ideas for events, improvements we should make, or compliments for features that you really liked, we are all ears. We use these comments and suggestions in our on-going program construction and enhancement so please let us know if there is anything you liked, didn't like, or might offer as an improvement.

So, thank you for making the first 2 years of our existence a fantastic experience and benefit for members and the industry and we look forward to hearing from you and seeing you again soon!

Your CAASA Team

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MONDAY MORNING TABLE TALKS

As part of our effort to allow you to get the most out of your day, we have scheduled nine, 20-minute, concurrent table talks on Monday morning. Designed to provide a briefing on topical and timely subjects, these discussions are lead by industry leaders whom also make themselves available throughout the conference schedule. We hope you enjoy them!



















DAY 1 AGENDA - MONDAY, NOV 4TH

Investors, Speakers, and Sponsors Only

8:30 AM	*Breakfast & Registration*	
9:00 AM	*Tête-à-tête sessions (2 x 15 minute sessions)*	
9:30 AM	***Meeting the Challenge of CIOs in 2020*** **Chris Schindler** *Investors & Academic Scholars Only*	*Table Talks (3 x 20-minute sessions)*
10:30 AM	*Morning Break (tête-à-tête session can be scheduled here) Sponsored by Desjardins*	
10:45 AM	***Portfolio Diversification Revisited: Lessons Learned from Previous Cycles*** **Dr. Keith Black,** CAIA Association	*Table Talks (2 x 20-minute sessions)*
11:30 AM	***Focus on Family Offices – Interactive Round-Table*** **Malcolm Katz-Larson,** Rohit Group **Yasir Khushi,** MSA Capital Ltd. **Shauhan Kataroyan,** Lune Rouge	*Table Talks (2 x 20-minute sessions)*
12:15 PM	*Sponsor, Speaker, Scholar & Investor Lunch*	
12:35 PM	***A Few Remarks about the Curriculum*** **Dr. Ranjan Bhaduri,** Bodhi Research Group	
12:45 PM	***Keynote Fireside Chat*** **Mario Therrien,** Caisse de dépôt et placement du Québec *Interviewed by:* **François Rivard,** Innocap Investment Management	

MAKE THE MOST OF YOUR DAYS WITH US

Back by popular demand, our tête-à-tête sessions number twenty (20) and are 15 minutes in length, over both days. Using our mobile app and/or web portal, you can book as many meetings are you like during these scheduled times as well as during breaks and lunches. We ask that you refrain from meeting during our plenary and speaking sessions, as a courtesy to others and the speakers, and keep in mind that you can use the app (as many do) to schedule meetings outside of the conference schedule.

We also offer a series of 20-minute table-talks (see left) that speakers, sponsors, and investors can take advantage of on Monday morning. You can participate in up to 7 of them over the course of the morning, or the concurrently-scheduled talks (please be advised that the Chris Schindler talk from 9:30-10:30 am is strictly exclusive to investors and academic scholars).

If you have any ideas on formatting and topics for next year, please let us know!

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Canadian Investors Lead a Global Shift to Alternatives

In 2019, CIBC Mellon published "The Race for Assets: Canada vs. the World", a study of 50 Canadian Institutional Investors' attitudes and plans for investment into alternative asset classes.

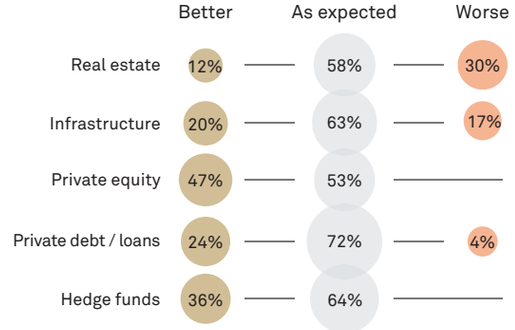
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To learn more, contact: Jon Lofto at 905 755 7123

Download our research: **www.cibcmellon.com/raceforassets**

Canadian institutional investors' satisfaction with performance over 12 months, by asset class.



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DAY 1 AGENDA - MONDAY, NOV 4TH

All Delegates

1:30 PM	*General Registration & Tête-à-tête sessions (2 x 15 minute sessions)*
2:00 PM	*Welcome remarks*
2:15 PM	***Evaluation of Hedge Funds*** **Christophe L'Ahelec,** Ontario Teachers' Pension Plan **Brandon Gill New,** OP Trust **Mohamed Farid,** World Bank Pension Plan *Moderated by:* **Dr. Ranjan Bhaduri,** Bodhi Research Group
3:00 PM	***The World of Privates: Private Equity, Venture Capital, Real Estate & Infrastructure*** **Pam Chan,** BlackRock **Nicolas Drapeau,** Bell Canada Pension Plan **Thai An Le,** Morgan Stanley Investment Management Moderated by: **Michael Bernstein**
3:45 PM	*Afternoon Coffee Break*
4:00 PM	*Tête-à-tête sessions (4 x 15 minute sessions)*
5:00 PM	***Keynote Welcome Reception:*** ***Investing in your Health to achieve Optimal Performance*** **Sylvie Bernier,** Olympic Gold Medalist
7:00 PM	*End of Day 1 Program*

Some conference delegates organize private dinners, either beforehand or ad hoc through the meeting mobile app/portal. Feel free to use this technology to connect with your fellow conference-goers. CAASA does not release contact information of attendees at any of its events so use this opportunity to connect with folks and create new relationships before these two days are done!

If you find yourself in conversation with someone during a panel discussion, please move away from the plenary room's entrance so that those in the room are not distracted from the session.

Our town crier will be sure to move people along so that you know when the next part of the program is about to begin - be sure you're ready for his loud bell and bellowing voice!

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DAY 2 AGENDA - TUESDAY, NOV 5TH

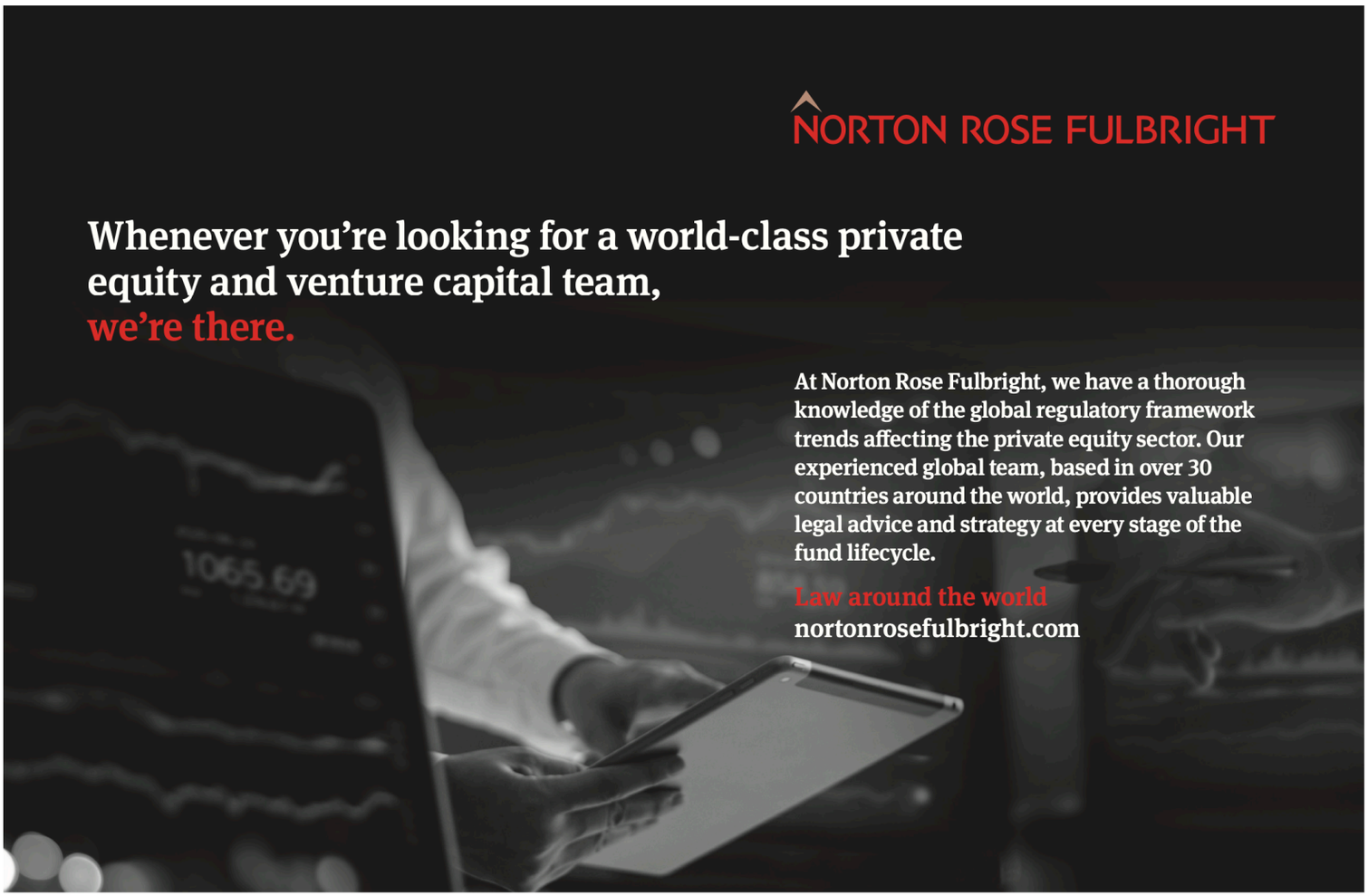
All Delegates

8:00 AM	*Breakfast*
8:40 AM	***Happy Health Nutrition Session: Eating for Ultimate Energy*** **Caroline Chow,** Happy Health & CAASA
9:00 AM	***The Global Hunt for Alpha*** **Tim Berry,** Lighthouse Investment Partners **Dr. Wagner Dada,** Canada Pension Plan Investment Board **Dave Finstad,** British Columbia Investment Management Corporation Moderated by: **Shael Soberano,** Sharno Group
9:45 AM	***A Perspective on Best Practices for Co-Investments*** **Kalina Berova,** Bodhi Research Group
10:15 AM	*Morning Break (tête-à-tête session can be scheduled here)* *Sponsored by Norton Rose Fulbright*
10:30 AM	*Tête-à-tête sessions (4 x 15 minute sessions)*
11:30 AM	***Machine Learning: Hype versus Reality*** **Dr. Jay-Calvin Uyemura Reyes,** Institute for Defense Analyses
12:15 PM	***Lunch Keynote: How to Know a Neutrino from a Hole in the Ground*** ***Dr. Art McDonald,*** Nobel Laureate in Physics, Queen's University
1:30 PM	***Portfolio Design, Asset Allocation and Tactical Tilting*** **Jim Hwang,** Canada Pension Plan Investment Board **Maxime Tessier,** Abu Dhabi Investment Authority Moderated by: **Dr. Paul White**
2:15 PM	***How Demographics Shape Strategic Asset Allocation*** **Dr. Lars Meuller,** Fjärde AP-fonden (AP4)
2:45 PM	*Afternoon Coffee Break (tête-à-tête session can be scheduled here)*
3:00 PM	*Tête-à-tête sessions (4 x 15 minute sessions)*
3:30 PM	***ESG in Action*** **Marc Gauthier,** Concordia University **Oona Stock,** Caisse de dépôt et placement du Québec Moderated by: **Akobe Sandy,** MacArthur Foundation
4:15 PM	***2020 – A Clear Vision*** **Paul Biszko,** Ontario Teachers' Pension Plan **Dr. Davide Sabatini,** Bodhi Research Group **Dr. Srikanya Yathip,** Government Pension Fund of Thailand Moderated by: **Brian Gelfand**
5:00 PM	***Closing Remarks*** **- James Burron,** CAASA *Tête-à-tête sessions (4 x 15 minute sessions)*
6:05 PM	*End of Day 2 Program*

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ABOUT CAASA

INCLUSIVE, ACTIVE, AND PAN-ALTERNATIVE

The Canadian Association of Alternative Strategies & Assets (CAASA) was created in response to industry requests for a national group to represent the Canadian alternative investment participants, including investors, asset managers, and service providers. CAASA is inclusive in that it welcomes participation from all companies active in the space as well as select individuals (those with investors) who might want to participate in committees and working groups - or simply attend member events - without their employer being a member of the association. CAASA is very active in both committees & groups and events: 50+ events, including this conference, have occurred or are planned in 2018. Pan-alternative, for CAASA, encompasses all alternative strategies and assets including: hedge funds / alternative trading strategies, private and public real estate (funds and direct), private lending, private equity, development & project finance, digital assets / crypto-assets, weather derivatives & cat bonds, and all aspects of diligence, trading, structuring, dealing, and monitoring alternatives in a stand-alone portfolio and as part of a larger investment strategy.

MEMBER BENEFITS

Investors join CAASA to be a part of a formal network of pension plans, foundations, endowments, sovereign wealth funds, and family offices to discuss ideas, strategies, and operational issues particular to their businesses - all within a closed group where managers and service providers may or may not be included, depending on the forum.

Managers see the association as a way to connect with peers, investors, and service providers to speak to fund structuring, sales & marketing, and regulatory issues. CAASA is not a capital introduction platform, but we do create forums where investors and managers can meet organically or via structured meeting sessions, such as at this conference, where participation by the investors is strictly opt-in.

Service providers participate in our events and working groups as well as assist in the production of thought leadership pieces which provide relevant information to both association members and the industry and investing public at large.

NATIONAL AND GLOBAL

CAASA believes that the Canadian alternatives industry has a great deal to offer Canadians and the global community. The *Canadian Model of Pension Management* is well-known for its large alternatives focus, managed in-house in many cases with substantial allocations to external managers as well. Canadian investment managers operate in a robust regulatory regime (of hedge fund managers) that is becoming the norm across the globe and a stable banking back-drop that provides solace for investors as well as opportunities for managers. Talent in investment management (approximately 10% of all CFA charterholders reside in Canada) as well as newer areas such as digital assets and robo-advisory services are a differentiator. Of course, Canadian investors and managers are keen to learn of best practices in operations and portfolio management from their global peers.

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SPECIAL SPEAKERS

SYLVIE BERNIER - OLYMPIC GOLD MEDALIST



In winning the gold medal in the three-meter event at the 1984 Olympic Games in Los Angeles, Sylvie Bernier made history by becoming the first Quebec athlete to win gold at the Olympics, as well as the first—and, to this date, the only—Canadian gold medallist in diving. Her win fuelled the dreams of an entire generation of athletes.

After this achievement, Sylvie retired from competition and took up a new cause: promoting a healthy lifestyle. She would do so on radio and television for more than 35 years. Following her degree in business administration, she completed in 2011 a master's degree at McGill University "International Masters for Health Leadership". Since 2012, Sylvie has been a healthy lifestyle ambassador and chairs the Table sur le mode de vie physiquement actif (TMVPA) and the Table québécoise sur la saine alimentation (TQSA).

This Olympian has a rich and varied résumé. She embarked on a second career in communications as a radio host and speaker. A recipient of the Orders of Quebec and Canada, she was also inducted into the International Aquatic Sports Hall of Fame, the first Canadian to receive this honour in diving. Sylvie returned to the Olympic Games in a volunteer role as Assistant and Chef de Mission for the Canadian delegation in 2006, 2008 and in 2012, where the entire Canadian team appreciated her leadership.

In April 2019, she published a book and a documentary, both entitled "The day I could not dive", having the drowning of her nephew Raphaël as a narrative. These allowed Sylvie to begin a next step of her great life project: awareness and prevention of drowning amongst children. She is the honorary spokesperson of the Lifesaving Society's Swim to Survive program. She dreams that all children in Quebec will be introduced to swimming.

Mother to three daughters, Sylvie Bernier is an inspiration for the generation that saw her dive for gold. She is dedicated to ensuring that the next generation grows up educated and healthy.

ADDING VALUE WITH ALTERNATIVE VIEWPOINTS

In our experience, speakers from outside of the asset management industry can provide welcome perspective on various aspects of work and life, such as perseverance, planning one's business, adapting to the market, or even just thinking about how other areas of thought can be applied to one's endeavour.

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SPECIAL SPEAKERS

MARIO THERRIEN, CFA



Mario Therrien leads the Strategic Partnerships, Developed Markets team, which is responsible for developing a network of sustainable and high-quality local partnerships in these markets. He also oversees all investment activities in external hedge funds and public equity funds, in addition to applying an integrated management approach to the Growth Markets mandate in the Equity Markets portfolio. His mandate consists of adding value by building portfolios with the best external managers, while improving CDPQ's in-house management through the sharing of knowledge and expertise. He sits on the Executive Committee.
Mr. Therrien joined CDPQ in 1993 as an Analyst before taking on the role of Portfolio Manager in the group responsible for absolute return activities. Subsequently, he was mandated to develop external management activities in liquid-asset classes.

Mr. Therrien holds a Bachelor's degree in Economics and a Master's degree in Finance from Université de Sherbrooke. He has also completed the Canadian Securities Course given by the Canadian Securities Institute, and is a CFA charter holder.

KALINA BEROVA, CFA



Ms. Kalina Berova has mor than 15 years of investment and risk management industry experience. Currently she is Visiting Researcher at Bodhi Research Group.

Most recently, Ms. Berova was part of the External Relationships Team at the New York office of OMERS, a Canadian pension fund, where she gained experience in a broad range of traditional and niche hedge fund strategies, custom alternative investment solutions, and private equity funds & co-investments.

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SPECIAL SPEAKERS

DR. ART McDONALD, PhD, NOBEL LAUREATE IN PHYSICS
HOW TO KNOW A NEUTRINO FROM A HOLE IN THE GROUND



Art McDonald, CC, O. Ont, O. N.S., FRS, FRSC, P. Eng, is a native of Sydney, N.S. Canada. He has degrees in physics from Dalhousie University (BSc, MSc) and Caltech (PhD) and fourteen honorary degrees. From 1969-1982 he was a Research Officer at AECL Chalk River Laboratories; 1982-1989, Professor at Princeton University; 1989-2013, Professor at Queen's University, Kingston, Canada, 2006-2013 Gordon and Patricia Gray Chair in Particle Astrophysics and 2013 became Professor Emeritus. Since 1989 he has been Director of the Sudbury Neutrino Observatory (SNO) Scientific Collaboration. Among many awards, he is a Companion of the Order of Canada, Co-recipient of the 2015 Nobel Prize in Physics and the 2016 Breakthrough Prize in Fundamental Physics with the SNO Collaboration. He has more than 160 scientific publications and continues to be active in basic research in Neutrinos and Dark Matter at the SNOLAB underground laboratory.

DR. JAY-CALVIN UYEMURA REYES, PhD
MACHINE LEARNING: HYPE VERSUS REALITY



Dr. Jay-Calvin Uyemura Reyes is a researcher at the Institute for Defense Analyses. He has a Ph.D. In mathematics from Stanford University where he was a student of Dr. Persi Diaconis.

Dr. Reyes has more than 20 years of professional research experience. He specializes in the mathematics of card shuffling and random walks, but he has made contributions in many other fields including high-performance computing, submarine volcanology, and machine learning. He coauthored a paper that was presented at the 2017 Conference on Neural Information Processing Systems (NIPS).

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FACULTY BIOGRAPHIES

Thank you to our many speakers, presenters, designated questioners, and those who added their themes and topics, panel and non-industry speaker ideas, and other invaluable input!

FACULTY BIOGRAPHIES



Michael Bernstein, CFA, ICD.D
President
Juno Advisors Ltd.

Michael is the president of Juno Advisors Ltd., his consulting company which focuses on strategic advisory and investment opportunities. Over the last year, he has worked with one of Canada's largest pension funds, an international sovereign wealth fund, and a provincial government. He recently served as Special Advisor to Waterfront Toronto on its smart city initiative with Sidewalk Labs, the Google sister company. Michael is the former President and Chief Executive Officer of Capstone Infrastructure Corporation. He was responsible for the company's overall management of power infrastructure and utilities businesses in Canada and internationally.

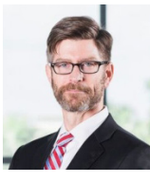
As an executive with extensive experience in the Canadian power, infrastructure and utilities sector, Michael was previously the President of Macquarie Infrastructure and Real Assets Canada Ltd. and a Senior Managing Director of Macquarie Group Limited. Prior to this, Michael served as the Senior Managing Director of Infrastructure and Utilities Advisory for Macquarie Capital Markets Canada, as well as a senior executive with CIBC World Markets' Power & Utilities investment banking group. Michael has been involved in a wide range of IPOS, private and public debt and equity financings, M&A and significant P3 projects such as the $4.25 billion Bruce Power refurbishment, the development of the A-25 bridge in Quebec and the completion of the Sea-to-Sky highway project. At Capstone, Michael helped triple the size of its power portfolio to over 500 MW, primarily through acquiring and developing renewable projects in Canada.

Currently, Michael is the chair of CircuitMeter Inc., an early stage sub-metering and data analytics company, a director of BiomeRenewable, and a director of the Independent Electricity System Operator of Ontario. Until January 2019, he was the Chairman of the Association of Power Producers of Ontario (APPrO). He is a member of the Young Presidents' Organization (YPO) and works with both CDL and MARS to help start-ups. From 2013 to 2017, Michael was a director of Build Toronto, the real estate development arm of the City of Toronto.

Michael holds a Bachelor of Arts in Economics and Philosophy from Dartmouth College and an MBA from Ivey Business School at Western University. He also holds the Chartered Financial Analyst and ICD.D designations.

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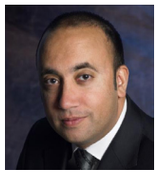
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Tim Berry, CFA
Managing Director
Lighthouse Partners

A global investment management firm established in 1999, Lighthouse Investment Partners offers hedge fund solutions to investors who are looking to diversify their asset mix and realize growth with a lower correlation to traditional equity and fixed income allocations.

Tim identifies investment strategy opportunities and analyzes and monitors portfolio construction and asset allocation. Tim is a member of the Investment Research Committee, Equity Committee and Relative Value Committee. Previously, Tim ran the hedge fund investment team at Private Advisors LLC, an institutional alternative investment manager where he invested in hedge fund managers across a broad variety of strategies for 14 years. He was a member of the hedge fund investment committees and the firm's executive committee. Tim started his investment career at Chesapeake Capital Corporation, a commodity trader, where he analyzed fund performance and performed due diligence on alternative investments on behalf of the principals of the firm. Tim earned a master's degree from Duke University and graduated with distinction with a Bachelor of Arts from the University of Virginia. He is a CFA charterholder and a member of the CFA Society of Virginia.



Ranjan Bhaduri, PhD, CFA, CAIA
President & CEO
Bodhi Research Group

Dr. Ranjan Bhaduri is the Founder, President & CEO of Bodhi Research Group. Bodhi Research Group is focused on research and education in the alternative investments industry.

Dr. Bhaduri has extensive experience in hedge fund research, hedge fund factorization, portfolio management, and due diligence (investment, operational, and structural) having designed and implemented an institutional due diligence and research program. Dr. Bhaduri's conducted due diligence and helped design customized portfolios of alternatives at Morgan Stanley. Earlier, he was at a Canadian Fund of Funds, and at a multi-billion dollar capital management firm where he was involved in all aspects of its fund of hedge funds and structured finance business. He has also worked with two major Canadian investment banks in the Financial Strategy Consulting Group and in Global Risk Management & Control, respectively.

Dr. Bhaduri holds both the CFA and CAIA charters. He is a member of the American Mathematical Society, the Mathematical Association of America, the Toronto CFA Society, and PRMIA. Dr. Bhaduri previously served as a member of the All About Alpha Editorial Board, and has previously served on the CAIA Chicago Chapter Executive.

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FACULTY BIOGRAPHIES



Paul Biszko, CFA
Senior Principal
Ontario Teachers' Pension Plan

Paul Biszko, Senior Principal, has been with OTPP since January 2012. Since 2016, he has been a member of Total Fund Management, which focuses on managing the strategic beta portfolio. In this capacity, his role includes helping shape the broader global macroeconomic and multi asset class strategy outlook, exploring longer term investment themes, EM country risk/reward assessments, and providing internal advisory to the private deal teams.

Prior to TFM, Paul led the EM team in the AMR department from a macro/investment planning perspective. Prior to joining OTPP, Paul worked for ten years (2002-2011) at RBC Capital Markets, where he progressively rose to the Director level. In his role as a trading floor EM strategist in the EM Research Strategy and Trading team, he focused on EM country/asset class macroeconomic analytics and the generation of both tactical and strategic trade and investment ideas across EM currencies, rates and credit primarily spanning the LATAM and CEEMEA regions. Before RBC, he did an internship with Deutsche Bank's EM team in Frankfurt, Germany, as well as a semester student exchange at Universität St.Gallen in Switzerland (both 2001). Paul holds an HBA from the University of Western Ontario's Richard Ivey School of Business (2001), and is a CFA Charterholder since 2008.



Dr. Keith Black, CAIA, CFA, PhD
Managing Director of Curriculum and Exams
CAIA Association

Keith Black has over twenty-five years of financial market experience, serving approximately half of that time as an academic and half as a trader and consultant to institutional investors. He currently serves as Managing Director of Curriculum and Exams for the CAIA Association. During his most recent role at Ennis Knupp+ Associates, Keith advised foundations, endowments and pension funds on their asset allocation and manager selection strategies in hedge funds,commodities,and managed futures. Prior experience includes commodities derivatives trading, stock options research and CBOE floor trading, and building quantitative stock selection models for mutual funds and hedge funds. Dr. Black previously served as an assistant professor and senior lecturer at the Illinois Institute of Technology.

He contributes regularly to The CFA Digest, and has published in The Journal of Wealth Management, The Journal of Trading, The Journal of Investing, and The Journal of Alternatives Investments, among others. He is the author of the book "Managing a Hedge Fund," as well as co-author of the 2012 and 2015/2016 second and third editions of the CAIA Level I and Level II textbooks. Dr. Black was named to the Institutional Investor magazine's list of "Rising Stars of Hedge Funds" in 2010.

Dr. Black earned a BA from Whittier College, an MBA for Carnegie Mellon University, and a PhD from the Illinois Institute of Technology. He has earned the Chartered Financial Analyst (CFA) designation and was a member of the inaugural class of the Chartered Alternative Investment Analyst (CAIA) candidates.

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FACULTY BIOGRAPHIES



James Burron, CAIA
President & Co-Founder
Canadian Association of Alternative Strategies & Assets

James co-founded CAASA in response to industry support for a Canadian alternatives association to serve all aspects including: hedge / alternative strategies; private lending; private real estate; private equity; plus emerging areas where Canada is a leader such as digital assets / blockchain and robo-advisors.

Prior to CAASA, James was the Chief Operating Officer of AIMA Canada where his team of three worked with 12 committees to produce 50-60 events per annum across Canada, organize 100+ committee meetings, and increase member numbers over his 7-year tenure from 66 to 164 corporate entities.

James also has experience in research and writing for the CAIA Association as well as serving on CAIA's Exam Council and as a grader for the Level II portion of the exam. He also had roles in institutional sales and FoHF structuring in Seoul, South Korea, as a Product Manager at ICICI Wealth Management, and an Investment Advisor at RBC Dominion Securities. He graduated from Simon Fraser University with a BBA (Finance).



Pam Chan, CFA
Managing Director
BlackRock Alternative Solutions Group

Pam Chan, CFA, Managing Director, is Chief Investment Officer and Global Head of the Alternative Solutions Group ("ASG") within BlackRock Alternative Investors ("BAI"). As CIO, she is responsible for leading the investment management of Alternative Solutions' portfolios. She is Chair of the Alternative Solutions Investment Committee and a member of the BAI Executive Committee. Ms. Chan's service with the firm began in 2012. She co-founded Alternative Solutions in 2013 and prior to becoming CIO, she served as a senior portfolio manager and primary deputy to the CIO. Prior to joining BlackRock, Ms. Chan worked as a senior research analyst for the Financial Stability Board, as a Senior Investment Associate at Bridgewater and as a member of the Financial Institutions Group at Goldman, Sachs & Co., first as an investment banker and subsequently, in their Capital Markets group, focused on private placements and structured finance.

She currently serves on the Board of the Harvard Club of New York Foundation and the Harvard Business School Alumni Board. Additionally, she is Co-Chair for the Harvard College Schools and Scholarship Committee of New York City. She was nominated as a World Economic Forum Global Shaper in 2012 and served as Curator for the New York City hub in 2014.

She graduated magna cum laude from Harvard College with a joint concentration in Philosophy and Government, and earned an MBA with high honors from Harvard Business School.

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FACULTY BIOGRAPHIES



Caroline Chow, CNE
Co-Founder & Vice President, CAASA
Founder, Happy Health

Caroline is Vice President and co-founder of the Canadian Association of Alternative Strategies & Assets (CAASA). She works closely with members to establish and grow the Association's initiatives both within Canada and abroad. She serves a broad range of alternatives such as liquid alts, private lending, real estate, and digital assets.

Previously, she spent 5 years at AIMA Canada and over this time, corporate membership, events and committee engagement doubled.

Caroline is also a certified Culinary Nutrition Expert (CNE) and Les Mills RPM instructor. When she is not contributing to the Canadian Alternatives industry, she provides education, consulting and workshops through her brand, Happy Health.

She is an Honours graduate of the Academy of Culinary Nutrition as well as the University of Waterloo, holds a degree in Honours Economics with a Finance Specialization and has completed the Canadian Securities Course (CSC).



Dr. Wagner Dada, PhD, CFA
Senior Portfolio Manager, Capital Markets and Factor Investing Department
Canada Pension Plan Investment Board

Dada is responsible for researching and building systematic alpha and risk premia factors for multi-asset strategies. Before his current role, he was previously responsible for portfolio construction and research in the tactical asset allocation team within CPPIB. He joined CPPIB in August 2015 after spending seven years designing, building and implementing a global macro multi-asset systematic strategy at Tudor Investment Corporation. He holds a PhD degree in Economics from the University of Cambridge and is a CFA charterholder.



Nicolas Drapeau
Vice President, Private Markets
Bell Canada Pension Plan

Nicolas Drapeau is a VP for private markets at Bimcor, a mid-size corporate pension plan based in Canada with an active allocation to private equity, infrastructure and real estate. Before joining Bimcor, he worked for Pantheon Ventures, a large global fund of funds in their London office for three years (04-07) after a four year spell with the European team of the Russell private equity fund of funds in Paris (00-04). He started his career at **Caisse de dépôt et placement du Québec** (97-00) focusing on international fund investments. He has achieved the CFA designation and sat of the ILPA board for four years.

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FACULTY BIOGRAPHIES



Nour Elmostaqim
Senior Risk Manager
Bimcor - Bell Canada Pension Fund

Nour Elmostaqim is a senior risk manager at Bimcor – Bell Canada Pension Plan. For more than four years, she has been responsible for the risk management of multi-asset portfolio which exceeds 24B$. She also assists in managing the absolute return portfolio, where she performs HF manager selection, and investment due diligence. Prior to joining Bimcor, she was an investment analyst at HR Strategies (fund of hedge funds) for which she obtained scholarship to complete her Master's thesis. Nour has a Bachelor in Mathematics from Concordia University, and Master's in Finance from HEC Montréal.



Mohamed Farid, CFA
Principal Portfolio Manager - Managing the Absolute Return Portfolio
World Bank Pension Fund

Mohamed Farid is a Principal Portfolio Manager, managing $2.7 billion portfolio of the absolute return strategies at the World Bank Pension Fund in Washington D.C. He has extensive experience investing directly in hedge funds and he is an active member of the Investment Committee of the Pension Plan of the World Bank.

Prior to joining the World Bank Pension Plan in 2001, Mohamed has worked for Deloitte & Touche and KPMG financial consulting groups. Mohamed started his career in commercial banking and worked in several positions in Treasury and Trade finance functions. He holds a Master's degree from the Johns Hopkins University in International economics and political science as well as Master's degree in Banking and Finance from Finafrica foundation in Milan, Italy.

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FACULTY BIOGRAPHIES



David Finstad, CFA
Senior Portfolio Manager
British Columbia Investment Management Corporation

Dave Finstad is Senior Portfolio Manager, Partnership Portfolio within BCI's Public Markets department, where he the leads the management of the firm's external absolute return and long-only equity investments.

Mr. Finstad has over 25 years of investment industry experience. Previously, he was a Visiting Researcher at Bodhi Research Group. Previously, he built and led the external management team at the New York office of OMERS, a Canadian pension fund based in Toronto. Prior to that, he spent 10 years at AIMCo in Edmonton, Alberta in a similar position. He also worked for Frank Russell Canada (now Russell Investments) and for the Workers' Compensation Board - Alberta.

Mr. Finstad is a member of the board for Alignment of Interests, a non-profit, investor-driven organization focused on strengthening the alignment between hedge fund industry participants. He has spoken at numerous industry conferences and has written a number of articles across a range of investment topics including manager selection and investment culture.

Mr. Finstad has a M.Sc. in Finance from the London School of Economics and is a CFA charterholder. Dave is also an avid ice hockey fan.



Marc Gauthier, CA
University Treasurer and Investment Officer
Concordia University

Mr. Gauthier is responsible for the oversight of the University's and its related entities' balance sheet, which are segregated through five distinct portfolios; Benefits (Pension Plan, Group Insurance Plans, Supplementary Retirement Plans and Post Retirement/Employment Benefits), Investments (Pension Fund and Foundations), Corporate Risks (Insurance, self-insurance and ERM programs), Capital Budget (Capital investments and Fixed Assets) and Financing. He has been employed by Concordia University for 29 years; his responsibilities have also included such roles as Executive Director, Finance and Business Operations, Director of Finance and Restricted Funds and prior as a senior financial analyst and accountant. Mr. Gauthier obtained his CMA and graduated from Finance and Accounting at Concordia University as well as from Queen's Executive Program in General Management.

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FACULTY BIOGRAPHIES

Brian Z. Gelfand



Brian Z. Gelfand has 20 years of senior leadership experience in exchange-traded derivatives and securities. Brian served as Special Advisor to the Secretary General of IOSCO before joining the Montréal Exchange, where he occupied senior leadership roles in business development, market operations and microstructure, corporate relations, and ultimately market regulation, surveillance and enforcement. Most recently Brian was Chief Commercial Officer for Post Trade Services at the TMX Group, responsible for integrated business development, relationship management and product and service development for both the central securities depository (CDS) and the derivatives central counterparty (CDCC).

In his spare time Brian enjoys playing jazz piano, cycling, skiing, sailing and scuba diving.

Brian is a member of the Bars of Quebec, New York and Massachusetts, and holds B.A., B.C.L. and LL.B degrees from McGill University and an M.A. in International Relations from The Johns Hopkins University (SAIS).

Brandon Gill New, CFA
Senior Portfolio Manager
OPTrust



Brandon Gill New is a Senior Portfolio Manager in the Strategic Relationships and Innovation division at OPTrust. In her role, she provides strategic direction and oversight over a number of strategies within the plan's portfolio of C$6B externally-managed public-markets funds.
Prior to joining OPTrust in early 2018, Brandon was a Director at BMO Capital Partners, a proprietary private debt and equity fund at Bank of Montreal. She also spent a decade at Ontario Teachers' Pension Plan. There, she served as a Portfolio Manager in the Alternative Investments Division managing a portfolio of global hedge funds and esoteric investment funds, and as an Assistant Portfolio Manager in the Public Equities Division covering Emerging Markets equities.

Brandon holds an MBA from London Business School and a B.A. in International Economics from Middlebury College in Vermont. She is also a CFA Charterholder.

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FACULTY BIOGRAPHIES



Jim Hwang, CFA
Managing Director
Canada Pension Plan Investment Board

Jim is a Managing Director at the CPP Investment Board. He works with the Capital Markets & Factor Investing (CMF) department on strategy. He previously led the Portfolio Design and Portfolio Management groups in the Total Portfolio Management (TPM) department where he was responsible for leading his group to identify and research systematic investment factors, and allocate the Total Fund to factors and assets in a systematic and diversified manner that fulfills CPPIB's legislative mandate. Earlier at CPPIB, Jim managed the Portfolio Engineering team in Public Market Investments-Quant Equities, where he was responsible for daily production of quantitative investment models and related analytics.

Before joining CPPIB, Jim held various roles managing quantitative portfolios and portfolio management teams in HK and China with Bosera Asset Management Co., and in the US with Barclays Global Investors and UBS Brinson Inc.

Jim has an MS in Mechanical Engineering from UC Berkeley and a BS in Aeronautical & Astronautical Engineering from MIT. He is also a CFA charter holder.



Shauhan Kataroyan, CFA
Senior Analyst, Strategy and Corporate Development
Lune Rouge

Shauhan Kataroyan joined Lune Rouge in 2017, a single family office located in Montreal. As a Senior Analyst, his role is focused on executing the evolving strategy of the fund. He is responsible in helping jumpstart new ventures, manage the direct investments within the venture and real estate portfolios, and track the performance of the fund investments. Shauhan joined Lune Rouge from MNP, where he worked in business valuations and corporate finance advisory. He has previously worked in corporate M&A at CGI. He received a bachelor of commerce at Concordia and is a CFA charterholder.

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FACULTY BIOGRAPHIES



Malcolm Katz-Larson, CFA
Associate Corporate Development
Rohit Group

Malcolm is an Associate in the Corporate Development Group for Rohit Capital Inc. a family office in Alberta. He is responsible for sourcing, structuring, and executing on strategic investments across numerous asset classes and managing Rohit's roster of capital partners.

Prior to joining Rohit in 2017, Malcolm previously worked for Periscope Capital, a Toronto-based North American arbitrage hedge fund. Malcolm is also CFA charterholder.



Yasir Khushi, CPA
Group Finance Director
MSA Capital Ltd.

Yasir Khushi is the Group Finance Director at MSA Capital Ltd, a single Dubai based multi-billion dollar family office where he oversees all aspects of investments, including direct private equity, venture capital, luxury retail, hospitality and real estate, while managing family business interests in community banking and private banking. Reporting directly to the Chairman, he is very active in managing the firm and its strategy.

Yasir received an MBA in Corporate Finance from London Business School and holds a CPA qualification from CPA Canada.



Christophe L'Ahelec, CFA
Senior Principal - Alternative Investments, Capital Markets
Ontario Teachers' Pension Plan

Christophe L'Ahelec is a Senior Principal in the Capital Markets department, at Ontario Teachers' Pension Plan Board where he is responsible for the portfolio construction and risk management of the external manager portfolio. Prior to that he was a quantitative analyst and assistant portfolio manager at Mignon Genève SA/Alpstar Asset Management, in Switzerland, where he took part in the creation and portfolio management of a European systematic market neutral fund.

Christophe is a graduate engineer in Finance and Applied Mathematics from the Ecole Nationale Supérieure d'Informatique et de Mathématiques Appliquées de Grenoble, France and holds the Chartered Financial Analyst® designation.

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FACULTY BIOGRAPHIES



Thai An Le, CFA, CAIA
Vice President, AIP Private Markets
Morgan Stanley

Thai An Le is a Vice President for AIP Private Markets and spearheads the development of its operational due diligence framework. Prior to joining the firm in 2018, Thai An was a Director and the Head of Operational Due Diligence at Castle Hall Alternatives, an ODD consulting firm representing institutional clients, family offices, funds of funds, and sovereign wealth funds, where she led diligence interviews with hedge fund and private equity investment managers across the globe.

Thai An received a B.Com. in finance, strategy, and entrepreneurship from McGill University in Montreal, Canada. She holds the Chartered Financial Analyst (CFA) and the Chartered Alternative Investment Analyst (CAIA) designations.



Dr. Lars Meuller, PhD
Portfolio Strategist
Fjärde AP-fonden (AP4)

Lars leads the portfolio strategy and construction function across asset classes and investment strategies at AP4, manages the quantitative research group to provide bespoke research, solutions and decision support to strategic, tactical and active investment decisions. Voting member of the Funds' tactical asset allocation investment committee and responsible for the strategic ALM process. With over 20 years of experience in asset management, prior to joining AP4 2018 he held senior roles in institutional and hedge fund organisations in Europe and the US, including Senior Portfolio Manager at CPP IB, Economist at the International Monetary Fund, and Chief Analyst at Volvo Treasury. He began his career as an academic economist focused on monetary macroeconomics.



François Rivard
Chief Executive Officer
Innocap Investment Management

François Rivard is the CEO of Innocap investment Management. During his 22 years in the Investment industry, he has held various senior functions in capital markets and wealth management in Toronto, Montreal and London including Head of the Financial Product Group at National Bank of Canada responsible for all the engineering and distribution of structured derivative based Investments globally. Mr. Rivard is the recipient of Bishop's University Top 10 Under 10 award, has contributed or been featured in Bloomberg, the Globe and Mail, Derivatives week, Structured Product Magazine as well as a featured speaker at various conferences in London, Paris, Zurich, Abu Dhabi and Singapore. Mr. Rivard received his MBA from Ashridge Business School (City University of London) and a BBA from Bishops University.

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FACULTY BIOGRAPHIES



Dr. Davide Sabatini, O.D
Solutions Engineer
Bodhi Research Group

Dr. Davide Sabatini is the Solutions Engineer at Bodhi Research Group. His duties include building infrastructure and assisting the CEO with analysis. He uses multi-disciplinary skills to develop client-centered solutions focused on research and education in the alternative investments industry.

Dr. Sabatini draws on inter-professional experience in the business, clinical and engineering domains. As co-founder of archerETF, he worked to offer investors low-cost, multi-asset-class portfolios using ETFs. As a board-certified optometric physician, he worked to build multiple private clinics by creating cloud-based management systems and delivering therapeutic eye-care to a large patient base. As an entrepreneurial engineer, he developed a secure web-based educational utility that facilitates, enhances and differentiates elementary-level curriculum delivery for both educators and students.

Dr. Sabatini holds degrees in mathematics, physics, applied mathematics, optometry and engineering. Academic awards include a Governor General Award, Chancellor Scholarship, NSERC Scholarship, Burke Memorial Ring, Milos Novotny Graduate Fellowship and Graduate Teaching Award. Dr. Sabatini was a founding board member of Wellspring Niagara which provides free social, psychological, informational and spiritual support to individuals and families living with cancer. He has represented Canada internationally in ball hockey.



Akobe Sandy, CFA
Associate, Impact Investments
John D. and Catherine T. MacArthur Foundation

As a financial professional experienced in investment management and socially responsible investments, Akobe is passionate about working to bridge capital gaps for underinvested people and communities. He currently is an Impact Investments Associate for the John D. and Catherine T. MacArthur Foundation. Within this role, he helps manage MacArthur's $500 million impact investment portfolio, including Benefit Chicago, a $100 million fund advancing economic development throughout Chicago.

Prior to joining MacArthur, Akobe spent several years as an investment banker with KPMG Corporate Finance and Madison Street Capital. Within these roles, he advised on sell-side M&A and capital raising transactions across numerous industries. Previously, Akobe also provided research and analysis on hedge funds and CTA strategies with AlphaMetrix, an alternative investment management firm.

Akobe received a B.A. in Political Science from Yale University. He is a CFA charterholder and member of the CFA Society Chicago.

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FACULTY BIOGRAPHIES

Chris Schindler



Chris Schindler was responsible for a variety of roles during his 18 years at Ontario Teachers' Pension Plan. He started in the Research and Economics team where he worked on the Asset Liability Model before transitioning to the asset management side of the firm as a founding member of the newly formed Tactical Asset Allocation group. Over the next 12 years, he was responsible for researching and managing a wide variety of systematic programs as head of the Global Systematic Investing group. Notable programs included an internal CTA, risk parity portfolio, alternative risk premium program, quantitative cash equities and the enhanced beta strategy, all of which were launched between 2005 and 2007, as well as a large number of pure alpha strategies (models not highly correlated to traditional alternative risk premiums). He and his team were also responsible for evaluating and hiring external managers in the systematic space. In 2016 Chris joined the newly formed Portfolio Construction Group (PCG) as head of Asset Allocation and Portfolio Management. PCG was responsible for making recommendations to the CIO on Total Fund asset allocation decisions, including risk factor balance, asset class composition and weightings, FX hedging policy, tail mitigation strategies and constrained resource allocation.

Shael Soberano, CFA
Chief Investment Officer
Sharno Group Inc.



Shael Soberano, CFA, is the Chief Investment Officer of Sharno Group Inc., a privately owned independent principal investment group and family office focused on identifying strategic, value-add investment opportunities across a wide range of traditional and alternative asset classes and strategies. Shael brings the most current analytical tools and rigorous discipline to the financial analyses he utilizes in capital allocation decisions. Shael developed and refined his financial expertise as a securities analyst and principal investor across a wide array of alternative investments.

Shael is also the President & CEO, Portfolio Manager & Chief Compliance Officer of Sharno Capital Corporation, a registered Exempt Market Dealer and Portfolio Manager with the Ontario Securities Commission, and distributes securities in Ontario to eligible Accredited Investors.

Most recently, Shael Soberano was Vice President, Senior Analyst at Vision Capital Corporation, a leading Toronto-based Hedge Fund manager focused on publicly traded real estate related securities. Shael first joined Vision Capital as an Analyst in 2009, shortly after its inception. He was promoted to Vice President, Senior Analyst in 2014, and was registered as an Advising Representative with the Ontario Securities Commission in 2017. With a wide range of roles spanning both business development and investment management initiatives, Shael's contributions were integral in growing Vision Capital's assets under management and contributed to its award-winning risk-adjusted performance over that period.

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FACULTY BIOGRAPHIES

Shael Soberano, con't

Shael is a CFA Charterholder and completed the Bachelor of Management and Organizational Studies Honours Degree with a Specialization in Finance at the University of Western Ontario. Shael completed the Partners, Directors, and Senior Officers (PDO) Course in 2019 and the Canadian Securities Course (CSC) in 2010, provided by the Canadian Securities Institute (CSI).



Oona Stock, CFA
Executive Vice-President and Chief Human Resources Officer
Caisse de dépôt et placement du Québec

Oona Stock is Executive Vice-President, Talent & Performance. She oversees the talent management, culture and transformation, human capital strategic alignment, total compensation and HR information management teams. She is a member of the Executive Committee.

Ms. Stock has more than 25 years of experience in organizational transformation. In 1997, she joined the consulting firm SECOR as a strategy consultant and became a partner in 2007. When KPMG acquired SECOR, she led the strategy practice for Canada while overseeing, within the firm, the national client experience practice. Her deep understanding of strategy, human resources and the components of organizational culture serve in supporting the President and CEO and Senior Management in designing and executing organizational and cultural transformation plans to enhance company-wide performance and employee engagement.

She holds a Master's degree in Management, Human Resources from Université de Montréal. Ms. Stock is a member of the Major Fundraising Campaign Office of the Montreal Mental Health University Foundation. She also co-leads the "Diversity in Investment" initiative of the Investor Leadership Network, a direct result of Canada's 2018 G7 Presidency.

She initiated Focus stratégique du Québec in 2010, and has served as a business strategy expert for the Génération INC. movement.



Maxime Tessier
Senior Advisor
Abu Dhabi Investment Authority

Maxime works at the Abu Dhabi Investment Authority (ADIA) in the UAE and is the Senior Advisor for Tactical Asset Allocation. In this role, he supports the Fund's Investment Committee and senior management. Prior to joining ADIA, he held investment roles at **Caisse de dépôt et placement du Québec** and CIBC Global Asset Management, with a focus on TAA and FX. His academic background is economics (international trade, development and foreign exchange).

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FACULTY BIOGRAPHIES

Dr. Paul White, CAIA, PhD



Dr. White is a published investment management executive with expertise in asset allocation, portfolio strategy, and quantitative research. Recently, Dr. White was in charge of the inception and management of the unified managed account program at a large financial services firm; he was instrumental in the firm's investment strategy, portfolio construction, and risk management. His clients have included retail investors, institutional investors, and the UHNW channel. His multi asset specialties include traditional investments, smart beta, liquid alternatives, and hedge funds. Technical areas of his research include risk factor modelling, hedge fund strategies, and alpha generating techniques. Dr. White graduated from the Wharton School of Business at the University of Pennsylvania with an MBA, from Stanford University with a PhD in applied physics, and from the Massachusetts Institute of Technology with a BS.

Srikanya Yathip, PhD
Deputy Secretary General
Government Pension Fund of Thailand



Prior to joining GPF, Dr. Srikanya spent more than 10 years studying and working in Japan and the United Kingdom. Upon returning to home country, she spent most of her time working in a well-recognized Japanese advertising agency and finished her advertising career as Managing Director and Regional Strategy Director, the only Thai woman ever been in that position. She is very much well-known in Thai advertising and academic world as she published many books on consumer behaviors, consumer research, marketing and branding. Some of them are in English and some are being used as a must-read book for advertising students in many universities.

Upon joining GPF, Dr. Srikanya was assigned to play a key role in developing and communicating member investment choices, including life-path choice to GPF's plan members. She is very active in applying mathematical simulation models for communication. Those include retirement adequacy model, contribution simulation model and investment choice simulation model.

Dr. Srikanya also developed various kinds of investment games helping plan members to understand GPF's operations including SAA, asset risk-return, business, investment cycle and etc. Currently she is working on using communication technology to personalize plan member communication.

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MEMBER DIRECTORY

The diversity, drive, experience, and resources of our members is what makes CAASA happen. The CAASA staff literally work all day to keep up with their ideas for advocacy and service offering initiatives; events of all sizes, on all topics, in many cities across Canada and elsewhere; and creating an environment where they can support each other and the industry at large.

Thank you!

MEMBER DIRECTORY

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BMO Nesbitt Burns (Individual)
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Canaccord Genuity Wealth Management
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CIBC Private Wealth Management (Individual)
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NAFAA welcomes additional members to assist in the definition and ongoing development of the association.

Additional information can be found at www.nafaassociation.org or by contacting **Chris Meader** at chris.meader@nafaassociation.org.

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